

Formalizing the Edmonds-Karp Algorithm

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Abstract

We present a formalization of the Edmonds-Karp algorithm for computing the maximum flow in a network. Our formal proof closely follows a standard textbook proof, and is accessible even without being an expert in Isabelle/HOL— the interactive theorem prover used for the formalization. We use stepwise refinement to refine a generic formulation of the Ford-Fulkerson method to Edmonds-Karp algorithm, and formally prove its complexity bound of $O(VE^2)$.

Further refinement yields a verified implementation, whose execution time compares well to an unverified reference implementation in Java.

This entry is based on our ITP-2016 paper with the same title.

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1 Introduction

Computing the maximum flow of a network is an important problem in graph theory. Many other problems, like maximum-bipartite-matching, edge-disjoint-paths, circulation-demand, as well as various scheduling and resource allocating problems can be reduced to it. The Ford-Fulkerson method [8] describes a class of algorithms to solve the maximum flow problem. An important instance is the Edmonds-Karp algorithm [7], which was one of the first algorithms to solve the maximum flow problem in polynomial time for the general case of networks with real valued capacities.

In our paper [16], we present a formal verification of the Edmonds-Karp algorithm and its polynomial complexity bound. The formalization is conducted entirely in the Isabelle/HOL proof assistant [21]. This entry contains the complete formalization. Stepwise refinement techniques [25, 1, 2] allow us to elegantly structure our verification into an abstract proof of the Ford-Fulkerson method, its instantiation to the Edmonds-Karp algorithm, and finally an efficient implementation. The abstract parts of our verification closely follow the textbook presentation of Cormen et al. [5]. We have used the Isar [24] proof language to develop human-readable proofs that are accessible even to non-Isabelle experts.

While there exists another formalization of the Ford-Fulkerson method in Mizar [18], we are, to the best of our knowledge, the first that verify a polynomial maximum flow algorithm, prove the polynomial complexity bound, or provide a verified executable implementation. Moreover, this entry is a case study on elegantly formalizing algorithms.

2 The Ford-Fulkerson Method

```
theory FordFulkerson-Algo
imports
  ../Flow-Networks/Ford-Fulkerson
  ../Lib/Refine-Add-Fofu
begin
```

In this theory, we formalize the abstract Ford-Fulkerson method, which is independent of how an augmenting path is chosen

```
context Network
begin
```

2.1 Algorithm

We abstractly specify the procedure for finding an augmenting path: Assuming a valid flow, the procedure must return an augmenting path iff there exists one.

definition *find-augmenting-spec* $f \equiv do \{$
 assert (*NFlow* $c\ s\ t\ f$);
 selectp p . *NPreflow.isAugmentingPath* $c\ s\ t\ f\ p$
 $\}$

Moreover, we specify augmentation of a flow along a path

definition (in *NFlow*) *augment-with-path* $p \equiv augment\ (augmentingFlow\ p)$

We also specify the loop invariant, and annotate it to the loop.

abbreviation *fofu-invar* $\equiv \lambda(f, brk).$
 NFlow $c\ s\ t\ f$
 $\wedge (brk \longrightarrow (\forall p. \neg NPreflow.isAugmentingPath\ c\ s\ t\ f\ p))$

Finally, we obtain the Ford-Fulkerson algorithm. Note that we annotate some assertions to ease later refinement

definition *fofu* $\equiv do \{$
 let $f_0 = (\lambda-. 0)$;

 $(f, -) \leftarrow while^{fofu-invar}$
 $(\lambda(f, brk). \neg brk)$
 $(\lambda(f, -). do \{$
 $p \leftarrow find-augmenting-spec\ f;$
 case p *of*
 None $\Rightarrow return\ (f, True)$
 | *Some* $p \Rightarrow do \{$
 assert ($p \neq []$);
 assert (*NPreflow.isAugmentingPath* $c\ s\ t\ f\ p$);
 let $f = NFlow.augment-with-path\ c\ f\ p;$
 assert (*NFlow* $c\ s\ t\ f$);
 return ($f, False$)
 $\}$
 $\}$
 $(f_0, False);$
 assert (*NFlow* $c\ s\ t\ f$);
 return f
 $\}$

2.2 Partial Correctness

Correctness of the algorithm is a consequence from the Ford-Fulkerson theorem. We need a few straightforward auxiliary lemmas, though:

The zero flow is a valid flow

lemma *zero-flow*: *NFlow* $c\ s\ t\ (\lambda-. 0)$
apply *unfold-locales*
by (*auto simp: s-node t-node cap-non-negative*)

Augmentation preserves the flow property

```
lemma (in NFlow) augment-pres-nflow:  
  assumes AUG: isAugmentingPath p  
  shows NFlow c s t (augment (augmentingFlow p))  
proof –  
  from augment-flow-presv[OF augFlow-resFlow[OF AUG]]  
  interpret f': Flow c s t augment (augmentingFlow p) .  
  show ?thesis by intro-locales  
qed
```

Augmenting paths cannot be empty

```
lemma (in NFlow) augmenting-path-not-empty:  
   $\neg$ isAugmentingPath []  
  unfolding isAugmentingPath-def using s-not-t by auto
```

Finally, we can use the verification condition generator to show correctness

```
theorem fofu-partial-correct: fofu  $\leq$  (spec f. isMaxFlow f)  
  unfolding fofu-def find-augmenting-spec-def  
  apply (refine-vcg)  
  apply (vc-solve simp:  
    zero-flow  
    NFlow.augment-pres-nflow  
    NFlow.augmenting-path-not-empty  
    NFlow.noAugPath-iff-maxFlow[symmetric]  
    NFlow.augment-with-path-def  
  )  
  done
```

2.3 Algorithm without Assertions

For presentation purposes, we extract a version of the algorithm without assertions, and using a bit more concise notation

context begin

```
private abbreviation (input) augment  
   $\equiv$  NFlow.augment-with-path  
private abbreviation (input) is-augmenting-path f p  
   $\equiv$  NPreFlow.isAugmentingPath c s t f p
```

```
definition ford-fulkerson-method  $\equiv$  do {  
  let f0 = ( $\lambda(u,v).$  0);  
  
  (f,brk)  $\leftarrow$  while ( $\lambda(f,brk).$   $\neg$ brk)  
    ( $\lambda(f,brk).$  do {  
      p  $\leftarrow$  selectp p. is-augmenting-path f p;  
      case p of  
        None  $\Rightarrow$  return (f, True)  
      | Some p  $\Rightarrow$  return (augment c f p, False)  
    })
```

```

    })
    (f0, False);
  return f
}

```

end — Anonymous context

end — Network

theorem (in *Network*) *ford-fulkerson-method* \leq (*spec f. isMaxFlow f*)

proof —

have [*simp*]: $(\lambda(u,v). 0) = (\lambda-. 0)$ **by** *auto*

have *ford-fulkerson-method* \leq *fofu*

unfolding *ford-fulkerson-method-def fofu-def Let-def find-augmenting-spec-def*

apply (*rule refine-IdD*)

apply (*refine-vcg*)

apply (*refine-dref-type*)

apply (*vc-solve simp: NFlow.augment-with-path-def*)

done

also note *fofu-partial-correct*

finally show *?thesis* .

qed

end — Theory

3 Edmonds-Karp Algorithm

theory *EdmondsKarp-Algo*

imports *FordFulkerson-Algo*

begin

In this theory, we formalize an abstract version of Edmonds-Karp algorithm, which we obtain by refining the Ford-Fulkerson algorithm to always use shortest augmenting paths.

Then, we show that the algorithm always terminates within $O(VE)$ iterations.

3.1 Algorithm

context *Network*

begin

First, we specify the refined procedure for finding augmenting paths

definition *find-shortest-augmenting-spec f* \equiv *assert (NFlow c s t f) \gg*
(selectp p. Graph.isShortestPath (residualGraph c f) s p t)

Note, if there is an augmenting path, there is always a shortest one

lemma (in *NFlow*) *augmenting-path-imp-shortest*:

isAugmentingPath $p \implies \exists p. \text{Graph.isShortestPath } cf \ s \ p \ t$
using *Graph.obtain-shortest-path* **unfolding** *isAugmentingPath-def*
by (*fastforce simp: Graph.isSimplePath-def Graph.connected-def*)

lemma (**in** *NFlow*) *shortest-is-augmenting*:
Graph.isShortestPath $cf \ s \ p \ t \implies \text{isAugmentingPath } p$
unfolding *isAugmentingPath-def* **using** *Graph.shortestPath-is-simple*
by (*fastforce*)

We show that our refined procedure is actually a refinement

lemma *find-shortest-augmenting-refine*[*refine*]:
 $(f', f) \in Id \implies \text{find-shortest-augmenting-spec } f' \leq \Downarrow Id \ (\text{find-augmenting-spec } f)$
unfolding *find-shortest-augmenting-spec-def find-augmenting-spec-def*
apply (*refine-vcg*)
apply (*auto*
simp: NFlow.shortest-is-augmenting
dest: NFlow.augmenting-path-imp-shortest)
done

Next, we specify the Edmonds-Karp algorithm. Our first specification still uses partial correctness, termination will be proved afterwards.

definition *edka-partial* $\equiv do \{$
let $f = (\lambda-. 0);$

 $(f, -) \leftarrow \text{while}^{fofu\text{-invar}}$
 $(\lambda(f, brk). \neg brk)$
 $(\lambda(f, -). do \{$
 $p \leftarrow \text{find-shortest-augmenting-spec } f;$
 $\text{case } p \text{ of}$
 $\text{None} \Rightarrow \text{return } (f, \text{True})$
 $| \text{Some } p \Rightarrow do \{$
 $\text{assert } (p \neq []);$
 $\text{assert } (NPreflow.isAugmentingPath \ c \ s \ t \ f \ p);$
 $\text{assert } (\text{Graph.isShortestPath } (\text{residualGraph } \ c \ f) \ s \ p \ t);$
 $\text{let } f = NFlow.augment-with-path \ c \ f \ p;$
 $\text{assert } (NFlow \ c \ s \ t \ f);$
 $\text{return } (f, \text{False})$
 $\}$
 $\}$
 $(f, \text{False});$
 $\text{assert } (NFlow \ c \ s \ t \ f);$
 $\text{return } f$
 $\}$

lemma *edka-partial-refine*[*refine*]: *edka-partial* $\leq \Downarrow Id \ \text{fofu}$
unfolding *edka-partial-def fofu-def*
apply (*refine-rcg bind-refine'*)
apply (*refine-dref-type*)
apply (*vc-solve simp: find-shortest-augmenting-spec-def*)

done

end — Network

3.2 Complexity and Termination Analysis

In this section, we show that the loop iterations of the Edmonds-Karp algorithm are bounded by $O(VE)$.

The basic idea of the proof is, that a path that takes an edge reverse to an edge on some shortest path cannot be a shortest path itself.

As augmentation flips at least one edge, this yields a termination argument: After augmentation, either the minimum distance between source and target increases, or it remains the same, but the number of edges that lay on a shortest path decreases. As the minimum distance is bounded by V , we get termination within $O(VE)$ loop iterations.

context *Graph* **begin**

The basic idea is expressed in the following lemma, which, however, is not general enough to be applied for the correctness proof, where we flip more than one edge simultaneously.

lemma *isShortestPath-flip-edge*:

assumes *isShortestPath* $s\ p\ t$ $(u,v) \in \text{set } p$

assumes *isPath* $s\ p'\ t$ $(v,u) \in \text{set } p'$

shows $\text{length } p' \geq \text{length } p + 2$

using *assms*

proof —

from $\langle \text{isShortestPath } s\ p\ t \rangle$ **have**

$\text{MIN}: \text{min-dist } s\ t = \text{length } p$ **and**

$P: \text{isPath } s\ p\ t$ **and**

$DV: \text{distinct } (\text{pathVertices } s\ p)$

by $(\text{auto simp: isShortestPath-alt isSimplePath-def})$

from $\langle (u,v) \in \text{set } p \rangle$ **obtain** $p1\ p2$ **where** $[\text{simp}]: p = p1 @ (u,v) \# p2$

by $(\text{auto simp: in-set-conv-decomp})$

from $P\ DV$ **have** $[\text{simp}]: u \neq v$

by $(\text{cases } p2) (\text{auto simp add: isPath-append pathVertices-append})$

from P **have** $\text{DISTS}: \text{dist } s\ (\text{length } p1)\ u = \text{dist } u\ 1\ v = \text{dist } v\ (\text{length } p2)\ t$

by $(\text{auto simp: isPath-append dist-def intro: exI}[\text{where } x = [(u,v)])])$

from MIN **have** $\text{MIN}': \text{min-dist } s\ t = \text{length } p1 + 1 + \text{length } p2$ **by** *auto*

from $\text{min-dist-split}[\text{OF } \text{dist-trans}[\text{OF } \text{DISTS}(1,2)]\ \text{DISTS}(3)\ \text{MIN}]$ **have**

$\text{MDSV}: \text{min-dist } s\ v = \text{length } p1 + 1$ **by** *simp*

from $\text{min-dist-split}[OF\ DISTS(1)\ \text{dist-trans}[OF\ DISTS(2,3)]]\ MIN'$ **have**
 $MDUT: \text{min-dist } u\ t = 1 + \text{length } p2$ **by** simp

from $\langle (v,u) \in \text{set } p' \rangle$ **obtain** $p1'\ p2'$ **where** $[\text{simp}]: p' = p1' @ (v,u) \# p2'$
by $(\text{auto simp: in-set-conv-decomp})$

from $\langle \text{isPath } s\ p'\ t \rangle$ **have**
 $DISTS': \text{dist } s\ (\text{length } p1')\ v = \text{dist } u\ (\text{length } p2')\ t$
by $(\text{auto simp: isPath-append dist-def})$

from $DISTS'[THEN\ \text{min-dist-minD},\ \text{unfolded } MDSV\ MDUT]$ **show**
 $\text{length } p + 2 \leq \text{length } p'$ **by** auto

qed

To be used for the analysis of augmentation, we have to generalize the lemma to simultaneous flipping of edges:

lemma $\text{isShortestPath-flip-edges}$:

assumes $\text{Graph.E } c' \supseteq E - \text{edges}$ $\text{Graph.E } c' \subseteq E \cup (\text{prod.swap'edges})$

assumes $SP: \text{isShortestPath } s\ p\ t$ **and** $EDGES-SS: \text{edges} \subseteq \text{set } p$

assumes $P': \text{Graph.isPath } c'\ s\ p'\ t$ $\text{prod.swap'edges} \cap \text{set } p' \neq \{\}$

shows $\text{length } p + 2 \leq \text{length } p'$

proof –

interpret $g': \text{Graph } c'$.

{
fix $u\ v\ p1\ p2'$
assume $\langle (u,v) \in \text{edges} \rangle$
and $\text{isPath } s\ p1\ v$ **and** $g'.\text{isPath } u\ p2'\ t$
hence $\text{min-dist } s\ t < \text{length } p1 + \text{length } p2'$
proof $(\text{induction } p2'\ \text{arbitrary: } u\ v\ p1\ \text{rule: length-induct})$
case $(1\ p2')$
note $IH = 1.IH[\text{rule-format}]$
note $P1 = \langle \text{isPath } s\ p1\ v \rangle$
note $P2' = \langle g'.\text{isPath } u\ p2'\ t \rangle$

have $\text{length } p1 > \text{min-dist } s\ u$

proof –

from $P1$ **have** $\text{length } p1 \geq \text{min-dist } s\ v$

using min-dist-minD **by** $(\text{auto simp: dist-def})$

moreover from $\langle (u,v) \in \text{edges} \rangle\ EDGES-SS$

have $\text{min-dist } s\ v = \text{Suc } (\text{min-dist } s\ u)$

using $\text{isShortestPath-level-edge}[OF\ SP]$ **by** auto

ultimately show $?thesis$ **by** auto

qed

from $\text{isShortestPath-level-edge}[OF\ SP]\ \langle (u,v) \in \text{edges} \rangle\ EDGES-SS$

have

$\text{min-dist } s\ t = \text{min-dist } s\ u + \text{min-dist } u\ t$

and *connected s u*
by *auto*

show *?case*
proof (*cases prod.swap'edges* \cap *set p2' = {}*)
— We proceed by a case distinction whether the suffix path contains swapped edges
case *True*
with *g'.transfer-path[OF - P2', of c]* (*g'.E* \subseteq *E* \cup *prod.swap'edges*)
have *isPath u p2' t* **by** *auto*
hence *length p2' \geq min-dist u t* **using** *min-dist-minD*
by (*auto simp: dist-def*)
moreover note (*length p1 > min-dist s u*)
moreover note (*min-dist s t = min-dist s u + min-dist u t*)
ultimately show *?thesis* **by** *auto*

next
case *False*
— Obtain first swapped edge on suffix path
obtain *p21' e' p22'* **where** [*simp*]: *p2' = p21' @ e' # p22'* **and**
E-IN-EDGES: e' \in prod.swap'edges **and**
P1-NO-EDGES: prod.swap'edges \cap *set p21' = {}*
apply (*rule split-list-first-propE* [*of p2' $\lambda e. e \in$ prod.swap'edges*])
using (*prod.swap'edges* \cap *set p2' \neq {}*) **apply** *auto []*
apply (*rprems, assumption*)
apply *auto*
done
obtain *u' v'* **where** [*simp*]: *e' = (v', u')* **by** (*cases e'*)

— Split the suffix path accordingly
from *P2'* **have** *P21': g'.isPath u p21' v'* **and** *P22': g'.isPath u' p22' t*
by (*auto simp: g'.isPath-append*)
— As we chose the first edge, the prefix of the suffix path is also a path in the original graph
from
g'.transfer-path[OF - P21', of c]
(*g'.E* \subseteq *E* \cup *prod.swap'edges*)
P1-NO-EDGES
have *P21: isPath u p21' v'* **by** *auto*
from *min-dist-is-dist[OF (connected s u)]*
obtain *psu* **where**
PSU: isPath s psu u **and**
LEN-PSU: length psu = min-dist s u
by (*auto simp: dist-def*)
from *PSU P21* **have** *P1n: isPath s (psu @ p21') v'*
by (*auto simp: isPath-append*)
from *IH[OF - - P1n P22'] E-IN-EDGES* **have**
min-dist s t < length psu + length p21' + length p22'
by *auto*
moreover note (*length p1 > min-dist s u*)

```

    ultimately show ?thesis by (auto simp: LEN-PSU)
  qed
  qed
} note aux=this

```

— Obtain first swapped edge on path

```

obtain  $p1' e p2'$  where [simp]:  $p'=p1'@e\#p2'$  and
   $E\text{-IN-EDGES}$ :  $e\in\text{prod.swap'edges}$  and
   $P1\text{-NO-EDGES}$ :  $\text{prod.swap'edges} \cap \text{set } p1' = \{\}$ 
apply (rule split-list-first-propE[of  $p' \lambda e. e\in\text{prod.swap'edges}$ ])
using  $\langle\text{prod.swap'edges} \cap \text{set } p' \neq \{\}\rangle$  apply auto []
apply (rprems, assumption)
apply auto
done
obtain  $u v$  where [simp]:  $e=(v,u)$  by (cases  $e$ )

```

— Split the new path accordingly

```

from  $\langle g'.isPath s p' t \rangle$  have
   $P1'$ :  $g'.isPath s p1' v$  and
   $P2'$ :  $g'.isPath u p2' t$ 
by (auto simp:  $g'.isPath\text{-append}$ )

```

— As we chose the first edge, the prefix of the path is also a path in the original graph

```

from
   $g'.transfer\text{-path}[OF - P1', \text{of } c]$ 
   $\langle g'.E \subseteq E \cup \text{prod.swap'edges} \rangle$ 
   $P1\text{-NO-EDGES}$ 
have  $P1$ :  $isPath s p1' v$  by auto

from  $aux[OF - P1 P2'] E\text{-IN-EDGES}$ 
have  $\text{min-dist } s t < \text{length } p1' + \text{length } p2'$ 
by auto
thus ?thesis using  $SP$ 
by (auto simp:  $isShortestPath\text{-min-dist-def}$ )
qed

```

end — Graph

We outsource the more specific lemmas to their own locale, to prevent name space pollution

```

locale  $ek\text{-analysis-defs} = \text{Graph} +$ 
  fixes  $s t :: \text{node}$ 

```

```

locale  $ek\text{-analysis} = ek\text{-analysis-defs} + \text{Finite-Graph}$ 
begin

```

```

definition (in  $ek\text{-analysis-defs}$ )
   $spEdges \equiv \{e. \exists p. e\in\text{set } p \wedge isShortestPath s p t\}$ 

```

lemma *spEdges-ss-E*: $spEdges \subseteq E$
using *isPath-edgeset* **unfolding** *spEdges-def isShortestPath-def* **by** *auto*

lemma *finite-spEdges*[*simp, intro*]: *finite* (*spEdges*)
using *finite-subset*[*OF spEdges-ss-E*]
by *blast*

definition (**in** *ek-analysis-defs*) $uE \equiv E \cup E^{-1}$

lemma *finite-uE*[*simp,intro*]: *finite* uE
by (*auto simp: uE-def*)

lemma *E-ss-uE*: $E \subseteq uE$
by (*auto simp: uE-def*)

lemma *card-spEdges-le*:
shows $card\ spEdges \leq card\ uE$
apply (*rule card-mono*)
apply (*auto simp: order-trans[OF spEdges-ss-E E-ss-uE]*)
done

lemma *card-spEdges-less*:
shows $card\ spEdges < card\ uE + 1$
using *card-spEdges-le*
by *auto*

definition (**in** *ek-analysis-defs*) $ekMeasure \equiv$
if (*connected s t*) *then*
 $(card\ V - min-dist\ s\ t) * (card\ uE + 1) + (card\ (spEdges))$
else 0

lemma *measure-decr*:
assumes *SV*: $s \in V$
assumes *SP*: *isShortestPath s p t*
assumes *SP-EDGES*: $edges \subseteq set\ p$
assumes *Ebounds*:
 $Graph.E\ c' \supseteq E - edges \cup prod.swap'edges$
 $Graph.E\ c' \subseteq E \cup prod.swap'edges$
shows *ek-analysis-defs.ekMeasure* $c'\ s\ t \leq ekMeasure$
and $edges - Graph.E\ c' \neq \{\}$
 $\implies ek-analysis-defs.ekMeasure\ c'\ s\ t < ekMeasure$

proof –
interpret g' : *ek-analysis-defs* $c'\ s\ t$.

interpret g' : *ek-analysis* $c'\ s\ t$
apply *intro-locales*
apply (*rule g'.Finite-Graph-EI*)

```

using finite-subset[OF Ebounds(2)] finite-subset[OF SP-EDGES]
by auto

from SP-EDGES SP have edges  $\subseteq E$ 
by (auto simp: spEdges-def isShortestPath-def dest: isPath-edgeset)
with Ebounds have Ve[simp]: Graph.V c' = V
by (force simp: Graph.V-def)

from Ebounds (edges  $\subseteq E$ ) have uE-eq[simp]: g'.uE = uE
by (force simp: ek-analysis-defs.uE-def)

from SP have LENP: length p = min-dist s t
by (auto simp: isShortestPath-min-dist-def)

from SP have CONN: connected s t
by (auto simp: isShortestPath-def connected-def)

{
  assume NCONN2:  $\neg g'.connected\ s\ t$ 
  hence s  $\neq t$  by auto
  with CONN NCONN2 have g'.ekMeasure < ekMeasure
    unfolding g'.ekMeasure-def ekMeasure-def
    using min-dist-less-V[OF SV]
    by auto
} moreover {
  assume SHORTER: g'.min-dist s t < min-dist s t
  assume CONN2: g'.connected s t

  — Obtain a shorter path in g'
  from g'.min-dist-is-dist[OF CONN2] obtain p' where
    P': g'.isPath s p' t and LENP': length p' = g'.min-dist s t
    by (auto simp: g'.dist-def)

  { — Case: It does not use prod.swap 'edges. Then it is also a path in g, which
    is shorter than the shortest path in g, yielding a contradiction.
    assume prod.swap'edges  $\cap set\ p' = \{\}$ 
    with g'.transfer-path[OF - P', of c] Ebounds have dist s (length p') t
      by (auto simp: dist-def)
    from LENP' SHORTER min-dist-minD[OF this] have False by auto
  } moreover {
    — So assume the path uses the edge prod.swap e.
    assume prod.swap'edges  $\cap set\ p' \neq \{\}$ 
    — Due to auxiliary lemma, those path must be longer
    from isShortestPath-flip-edges[OF - - SP SP-EDGES P' this] Ebounds
      have length p' > length p by auto
    with SHORTER LENP LENP' have False by auto
  } ultimately have False by auto
} moreover {
  assume LONGER: g'.min-dist s t > min-dist s t

```

```

assume CONN2: g'.connected s t
have g'.ekMeasure < ekMeasure
  unfolding g'.ekMeasure-def ekMeasure-def
  apply (simp only: Veq uE-eq CONN CONN2 if-True)
  apply (rule mlex-fst-decrI)
  using card-spEdges-less g'.card-spEdges-less
    and g'.min-dist-less-V[OF - CONN2] SV
    and LONGER
  apply auto
  done
} moreover {
assume EQ: g'.min-dist s t = min-dist s t
assume CONN2: g'.connected s t

{
  fix p'
  assume P': g'.isShortestPath s p' t
  have prod.swap'edges ∩ set p' = {}
  proof (rule ccontr)
    assume EIP': prod.swap'edges ∩ set p' ≠ {}
    from P' have
      P': g'.isPath s p' t and
      LENP': length p' = g'.min-dist s t
    by (auto simp: g'.isShortestPath-min-dist-def)
    from isShortestPath-flip-edges[OF - - SP SP-EDGES P' EIP'] Ebounds
    have length p + 2 ≤ length p' by auto
    with LENP LENP' EQ show False by auto
  qed
  with g'.transfer-path[of p' c s t] P' Ebounds have isShortestPath s p' t
    by (auto simp: Graph.isShortestPath-min-dist-def EQ)
} hence SS: g'.spEdges ⊆ spEdges by (auto simp: g'.spEdges-def spEdges-def)

{
  assume edges - Graph.E c' ≠ {}
  with g'.spEdges-ss-E SS SP SP-EDGES have g'.spEdges ⊂ spEdges
    unfolding g'.spEdges-def spEdges-def by fastforce
  hence g'.ekMeasure < ekMeasure
    unfolding g'.ekMeasure-def ekMeasure-def
    apply (simp only: Veq uE-eq EQ CONN CONN2 if-True)
    apply (rule mlex-snd-decrI)
    apply (simp add: EQ)
    apply (rule psubset-card-mono)
    apply simp
    by simp
} note G1 = this

have G2: g'.ekMeasure ≤ ekMeasure
  unfolding g'.ekMeasure-def ekMeasure-def
  apply (simp only: Veq uE-eq CONN CONN2 if-True)

```

```

apply (rule mlex-leI)
apply (simp add: EQ)
apply (rule card-mono)
apply simp
by fact
note G1 G2
} ultimately show
   $g'.ekMeasure \leq ekMeasure$ 
   $edges - Graph.E\ c' \neq \{\} \implies g'.ekMeasure < ekMeasure$ 
using less-linear[of  $g'.min-dist\ s\ t$   $min-dist\ s\ t$ ]
apply -
apply (fastforce)+
done

```

qed

end — Analysis locale

As a first step to the analysis setup, we characterize the effect of augmentation on the residual graph

context *Graph*
begin

definition *augment-cf edges cap* $\equiv \lambda e.$
if $e \in edges$ *then* $c\ e - cap$
else if *prod.swap* $e \in edges$ *then* $c\ e + cap$
else $c\ e$

lemma *augment-cf-empty*[*simp*]: *augment-cf* $\{\}$ *cap* = *c*
by (*auto simp: augment-cf-def*)

lemma *augment-cf-ss-V*: $\llbracket edges \subseteq E \rrbracket \implies Graph.V\ (augment-cf\ edges\ cap) \subseteq V$

unfolding *Graph.E-def Graph.V-def*
by (*auto simp add: augment-cf-def*) \square

lemma *augment-saturate*:
fixes *edges e*
defines $c' \equiv augment-cf\ edges\ (c\ e)$
assumes *EIE*: $e \in edges$
shows $e \notin Graph.E\ c'$
using *EIE* **unfolding** *c'-def augment-cf-def*
by (*auto simp: Graph.E-def*)

lemma *augment-cf-split*:
assumes $edges1 \cap edges2 = \{\}$ $edges1^{-1} \cap edges2 = \{\}$
shows $Graph.augment-cf\ c\ (edges1 \cup edges2)\ cap$
 $= Graph.augment-cf\ (Graph.augment-cf\ c\ edges1\ cap)\ edges2\ cap$


```

using assms
by (fastforce simp: Graph.augment-cf-def intro!: ext)

end — Graph

context NFlow begin

lemma augmenting-edge-no-swap: isAugmentingPath p  $\implies$  set p  $\cap$  (set p)-1 =
{}
using cf.isSPath-nt-parallel-pf
by (auto simp: isAugmentingPath-def)

lemma aug-flows-finite[simp, intro!]:
finite {cf e | e. e  $\in$  set p}
apply (rule finite-subset[where B=cf'set p])
by auto

lemma aug-flows-finite'[simp, intro!]:
finite {cf (u,v) | u v. (u,v)  $\in$  set p}
apply (rule finite-subset[where B=cf'set p])
by auto

lemma augment-alt:
assumes AUG: isAugmentingPath p
defines f'  $\equiv$  augment (augmentingFlow p)
defines cf'  $\equiv$  residualGraph c f'
shows cf' = Graph.augment-cf cf (set p) (resCap p)
proof —
{
  fix u v
  assume (u,v)  $\in$  set p
  hence resCap p  $\leq$  cf (u,v)
  unfolding resCap-def by (auto intro: Min-le)
} note bn-smallerI = this

{
  fix u v
  assume (u,v)  $\in$  set p
  hence (u,v)  $\in$  cf.E using AUG cf.isPath-edgeset
  by (auto simp: isAugmentingPath-def cf.isSimplePath-def)
  hence (u,v)  $\in$  E  $\vee$  (v,u)  $\in$  E using cfE-ss-invE by (auto)
} note edge-or-swap = this

show ?thesis
apply (rule ext)
unfolding cf.augment-cf-def
using augmenting-edge-no-swap[OF AUG]
apply (auto)
  simp: augment-def augmentingFlow-def cf'-def f'-def residualGraph-def

```

```

    split: prod.splits
    dest: edge-or-swap
  )
done
qed

```

```

lemma augmenting-path-contains-resCap:
  assumes isAugmentingPath p
  obtains e where  $e \in \text{set } p$   $cf\ e = \text{resCap } p$ 
proof –
  from assms have  $p \neq []$  by (auto simp: isAugmentingPath-def s-not-t)
  hence  $\{cf\ e \mid e. e \in \text{set } p\} \neq \{\}$  by (cases p) auto
  with Min-in[OF aug-flows-finite this, folded resCap-def]
  obtain e where  $e \in \text{set } p$   $cf\ e = \text{resCap } p$  by auto
  thus ?thesis by (blast intro: that)
qed

```

Finally, we show the main theorem used for termination and complexity analysis: Augmentation with a shortest path decreases the measure function.

```

theorem shortest-path-decr-ek-measure:
  fixes p
  assumes SP: Graph.isShortestPath cf s p t
  defines  $f' \equiv \text{augment } (\text{augmentingFlow } p)$ 
  defines  $cf' \equiv \text{residualGraph } c\ f'$ 
  shows  $\text{ek-analysis-defs.ekMeasure } cf'\ s\ t < \text{ek-analysis-defs.ekMeasure } cf\ s\ t$ 
proof –
  interpret cf: ek-analysis cf by unfold-locales
  interpret cf': ek-analysis-defs cf' .

  from SP have AUG: isAugmentingPath p
  unfolding isAugmentingPath-def cf.isShortestPath-alt by simp

  note  $\text{BNGZ} = \text{resCap-gzero}[OF\ AUG]$ 

  have  $cf'\text{-alt}: cf' = cf.\text{augment-cf } (\text{set } p)\ (\text{resCap } p)$ 
  using augment-alt[OF AUG] unfolding cf'-def f'-def by simp

  obtain e where
    EIP: e ∈ set p and EBN: cf e = resCap p
  by (rule augmenting-path-contains-resCap[OF AUG]) auto

  have ENIE': e ∉ cf'.E
  using cf.augment-saturate[OF EIP] EBN by (simp add: cf'-alt)

  { fix e
    have  $cf\ e + \text{resCap } p \neq 0$  using resE-nonNegative[of e] BNGZ by auto
  } note [simp] = this

```

```

{ fix e
  assume e ∈ set p
  hence e ∈ cf.E
    using cf.shortestPath-is-path[OF SP] cf.isPath-edgeset by blast
  hence cf e > 0 ∧ cf e ≠ 0 using resE-positive[of e] by auto
} note [simp] = this

show ?thesis
  apply (rule cf.measure-decr(2))
  apply (simp-all add: s-node)
  apply (rule SP)
  apply (rule order-refl)

  apply (rule conjI)
  apply (unfold Graph.E-def) []
  apply (auto simp: cf'-alt cf.augment-cf-def) []

  using augmenting-edge-no-swap[OF AUG]
  apply (fastforce
    simp: cf'-alt cf.augment-cf-def Graph.E-def
    simp del: cf.zero-cap-simp) []

  apply (unfold Graph.E-def) []
  apply (auto simp: cf'-alt cf.augment-cf-def) []
  using EIP ENIE' apply auto []
done
qed

end — Network with flow

```

3.2.1 Total Correctness

context *Network* **begin**

We specify the total correct version of Edmonds-Karp algorithm.

definition *edka* \equiv *do* {

let $f = (\lambda\cdot. 0)$;

$(f, \cdot) \leftarrow \text{while}_T \text{fofu-invar}$

$(\lambda(f, brk). \neg brk)$

$(\lambda(f, \cdot). \text{do}$ {

$p \leftarrow \text{find-shortest-augmenting-spec } f$;

case p of

$\text{None} \Rightarrow \text{return } (f, \text{True})$

| $\text{Some } p \Rightarrow \text{do}$ {

$\text{assert } (p \neq [])$;

$\text{assert } (\text{NPreflow.isAugmentingPath } c \ s \ t \ f \ p)$;

$\text{assert } (\text{Graph.isShortestPath } (\text{residualGraph } c \ f) \ s \ p \ t)$;

let $f = \text{NFlow.augment-with-path } c \ f \ p$;

```

    assert (NFlow c s t f);
    return (f, False)
  }
})
(f, False);
assert (NFlow c s t f);
return f
}

```

Based on the measure function, it is easy to obtain a well-founded relation that proves termination of the loop in the Edmonds-Karp algorithm:

definition *edka-wf-rel* \equiv *inv-image*
 (*less-than-bool* $\langle *lex* \rangle$ *measure* ($\lambda cf. ek\text{-analysis-defs}.ekMeasure\ cf\ s\ t$))
 ($\lambda(f, brk). (\neg brk, residualGraph\ c\ f)$)

lemma *edka-wf-rel-wf*[*simp*, *intro!*]: *wf edka-wf-rel*

unfolding *edka-wf-rel-def* **by** *auto*

The following theorem states that the total correct version of Edmonds-Karp algorithm refines the partial correct one.

theorem *edka-refine*[*refine*]: *edka* \leq $\Downarrow Id$ *edka-partial*

unfolding *edka-def edka-partial-def*

apply (*refine-rcg bind-refine'*

WHILEIT-refine-WHILEI[**where** $V = edka\text{-wf-rel}$])

apply (*refine-dref-type*)

apply (*simp; fail*)

subgoal

Unfortunately, the verification condition for introducing the variant requires a bit of manual massaging to be solved:

apply (*simp*)

apply (*erule bind-sim-select-rule*)

apply (*auto split: option.split*

simp: NFlow.augment-with-path-def

simp: assert-bind-spec-conv Let-def

simp: find-shortest-augmenting-spec-def

simp: edka-wf-rel-def NFlow.shortest-path-decr-ek-measure

; fail) \square

done

The other VCs are straightforward

apply (*vc-solve*)

done

3.2.2 Complexity Analysis

For the complexity analysis, we additionally show that the measure function is bounded by $O(VE)$. Note that our absolute bound is not as precise as possible, but clearly $O(VE)$.

```

lemma ekMeasure-upper-bound:
  ek-analysis-defs.ekMeasure (residualGraph c ( $\lambda$ -. 0)) s t
    < 2 * card V * card E + card V
proof -
  interpret NFlow c s t ( $\lambda$ -. 0)
    by unfold-locales (auto simp: s-node t-node cap-non-negative)

  interpret ek: ek-analysis cf
    by unfold-locales auto

  have cardV-positive: card V > 0 and cardE-positive: card E > 0
    using card-0-eq[OF finite-V] V-not-empty apply blast
    using card-0-eq[OF finite-E] E-not-empty apply blast
    done

  show ?thesis proof (cases cf.connected s t)
    case False hence ek.ekMeasure = 0 by (auto simp: ek.ekMeasure-def)
    with cardV-positive cardE-positive show ?thesis
      by auto
  next
    case True

    have cf.min-dist s t > 0
      apply (rule ccontr)
      apply (auto simp: Graph.min-dist-z-iff True s-not-t[symmetric])
      done

    have cf = c
      unfolding residualGraph-def E-def
      by auto
    hence ek.uE =  $E \cup E^{-1}$  unfolding ek.uE-def by simp

    from True have ek.ekMeasure
      = (card cf.V - cf.min-dist s t) * (card ek.uE + 1) + (card (ek.spEdges))
      unfolding ek.ekMeasure-def by simp
    also from
      mlex-bound[of card cf.V - cf.min-dist s t card V,
        OF - ek.card-spEdges-less]
    have ... < card V * (card ek.uE+1)
      using  $\langle$ cf.min-dist s t > 0 $\rangle$   $\langle$ card V > 0 $\rangle$ 
      by (auto simp: resV-netV)
    also have card ek.uE  $\leq$  2*card E unfolding  $\langle$ ek.uE =  $E \cup E^{-1}$  $\rangle$ 
      apply (rule order-trans)
      apply (rule card-Un-le)
      by auto
    finally show ?thesis by (auto simp: algebra-simps)
  qed
qed

```

Finally, we present a version of the Edmonds-Karp algorithm which is instru-

mented with a loop counter, and asserts that there are less than $2|V||E| + |V| = O(|V||E|)$ iterations.

Note that we only count the non-breaking loop iterations.

The refinement is achieved by a refinement relation, coupling the instrumented loop state with the uninstrumented one

definition *edkac-rel* $\equiv \{((f, brk, itc), (f, brk)) \mid f \text{ brk } itc.$
 $itc + ek\text{-analysis-defs.ekMeasure } (residualGraph \ c \ f) \ s \ t$
 $< 2 * card \ V * card \ E + card \ V$
 $\}$

definition *edka-complexity* $\equiv do \{$
 $let \ f = (\lambda -. \ 0);$
 $(f, -, itc) \leftarrow while_T$
 $(\lambda (f, brk, -). \ \neg brk)$
 $(\lambda (f, -, itc). \ do \{$
 $\ p \leftarrow find\text{-shortest-augmenting-spec } f;$
 $\ case \ p \ of$
 $\ \ \ None \Rightarrow return \ (f, True, itc)$
 $\ \ \ Some \ p \Rightarrow do \{$
 $\ \ \ \ let \ f = NFlow.augment\text{-with-path } c \ f \ p;$
 $\ \ \ \ return \ (f, False, itc + 1)$
 $\ \ \ }$
 $\ }$
 $(f, False, 0);$
 $assert \ (itc < 2 * card \ V * card \ E + card \ V);$
 $return \ f$
 $\}$

lemma *edka-complexity-refine*: *edka-complexity* $\leq \Downarrow Id \ edka$

proof –

have [*refine-dref-RELATES*]:
 $RELATES \ edkac\text{-rel}$
by (*auto simp: RELATES-def*)

show *?thesis*

unfolding *edka-complexity-def edka-def*
apply (*refine-rcg*)
apply (*refine-dref-type*)
apply (*vc-solve simp: edkac-rel-def NFlow.augment-with-path-def*)
subgoal using *ekMeasure-upper-bound* **by** *auto* []
subgoal by (*drule (1) NFlow.shortest-path-decr-ek-measure; auto*)
done

qed

We show that this algorithm never fails, and computes a maximum flow.

theorem *edka-complexity* $\leq (spec \ f. \ isMaxFlow \ f)$

```

proof –
  note edka-complexity-refine
  also note edka-refine
  also note edka-partial-refine
  also note fofu-partial-correct
  finally show ?thesis .
qed

```

```

end — Network
end — Theory

```

4 Breadth First Search

```

theory Augmenting-Path-BFS
imports
  ../Lib/Refine-Add-Fofu
  ../Flow-Networks/Graph-Impl
begin

```

In this theory, we present a verified breadth-first search with an efficient imperative implementation. It is parametric in the successor function.

4.1 Algorithm

```

locale pre-bfs-invar = Graph +
  fixes src dst :: node
begin

```

```

  abbreviation ndist v  $\equiv$  min-dist src v

```

```

  definition Vd :: nat  $\Rightarrow$  node set

```

```

  where

```

```

     $\bigwedge d. Vd\ d \equiv \{v. \text{connected } src\ v \wedge \text{ndist } v = d\}$ 

```

```

  lemma Vd-disj:  $\bigwedge d\ d'. d \neq d' \implies Vd\ d \cap Vd\ d' = \{\}$ 

```

```

    by (auto simp: Vd-def)

```

```

  lemma src-Vd0[simp]:  $Vd\ 0 = \{src\}$ 

```

```

    by (auto simp: Vd-def)

```

```

  lemma in-Vd-conv:  $v \in Vd\ d \iff \text{connected } src\ v \wedge \text{ndist } v = d$ 

```

```

    by (auto simp: Vd-def)

```

```

  lemma Vd-succ:

```

```

    assumes  $u \in Vd\ d$ 

```

```

    assumes  $(u, v) \in E$ 

```

```

    assumes  $\forall i \leq d. v \notin Vd\ i$ 

```

```

    shows  $v \in Vd\ (Suc\ d)$ 

```

```

using assms
by (metis connected-append-edge in-Vd-conv le-SucE min-dist-succ)

end

locale valid-PRED = pre-bfs-invar +
  fixes PRED :: node  $\rightarrow$  node
  assumes SRC-IN-V[simp]: src  $\in$  V
  assumes FIN-V[simp, intro!]: finite V
  assumes PRED-src[simp]: PRED src = Some src
  assumes PRED-dist:  $\llbracket v \neq \text{src}; \text{PRED } v = \text{Some } u \rrbracket \implies \text{ndist } v = \text{Suc } (\text{ndist } u)$ 
  assumes PRED-E:  $\llbracket v \neq \text{src}; \text{PRED } v = \text{Some } u \rrbracket \implies (u, v) \in E$ 
  assumes PRED-closed:  $\llbracket \text{PRED } v = \text{Some } u \rrbracket \implies u \in \text{dom } \text{PRED}$ 
begin
  lemma FIN-E[simp, intro!]: finite E using E-ss-VxV by simp
  lemma FIN-succ[simp, intro!]: finite (E+{u})
    by (auto intro: finite-Image)
end

locale nf-invar' = valid-PRED c src dst PRED for c src dst
  and PRED :: node  $\rightarrow$  node
  and C N :: node set
  and d :: nat
  +
  assumes VIS-eq: dom PRED = N  $\cup$   $\{u. \exists i \leq d. u \in Vd\ i\}$ 
  assumes C-ss: C  $\subseteq$  Vd d
  assumes N-eq: N = Vd (d+1)  $\cap$  E+(Vd d - C)

  assumes dst-ne-VIS: dst  $\notin$  dom PRED

locale nf-invar = nf-invar' +
  assumes empty-assm: C =  $\{\}$   $\implies$  N =  $\{\}$ 

locale f-invar = valid-PRED c src dst PRED for c src dst
  and PRED :: node  $\rightarrow$  node
  and d :: nat
  +
  assumes dst-found: dst  $\in$  dom PRED  $\cap$  Vd d

context Graph begin

  abbreviation outer-loop-invar src dst  $\equiv$   $\lambda(f, \text{PRED}, C, N, d).$ 
    (f  $\longrightarrow$  f-invar c src dst PRED d)  $\wedge$ 
    ( $\neg f \longrightarrow$  nf-invar c src dst PRED C N d)

  abbreviation assn1 src dst  $\equiv$   $\lambda(f, \text{PRED}, C, N, d).$ 
     $\neg f \wedge$  nf-invar' c src dst PRED C N d

```


definition *add-succ-spec* $dst\ succ\ v\ PRED\ N \equiv ASSERT\ (N \subseteq dom\ PRED) \gg$

```

SPEC ( $\lambda(f, PRED', N')$ ).
  case f of
    False  $\Rightarrow dst \notin succ - dom\ PRED$ 
       $\wedge PRED' = map-mmupd\ PRED\ (succ - dom\ PRED)\ v$ 
       $\wedge N' = N \cup (succ - dom\ PRED)$ 
  | True  $\Rightarrow dst \in succ - dom\ PRED$ 
     $\wedge PRED \subseteq_m PRED'$ 
     $\wedge PRED' \subseteq_m map-mmupd\ PRED\ (succ - dom\ PRED)\ v$ 
     $\wedge dst \in dom\ PRED'$ 
  )

```

definition *pre-bfs* $:: node \Rightarrow node \Rightarrow (nat \times (node \rightarrow node))\ option\ nres$

```

where pre-bfs  $src\ dst \equiv do\ \{$ 
  ( $f, PRED, -, -, d$ )  $\leftarrow WHILEIT\ (outer-loop-invar\ src\ dst)$ 
  ( $\lambda(f, PRED, C, N, d). f = False \wedge C \neq \{\}$ )
  ( $\lambda(f, PRED, C, N, d). do\ \{$ 
     $v \leftarrow SPEC\ (\lambda v. v \in C); let\ C = C - \{v\};$ 
     $ASSERT\ (v \in V);$ 
     $let\ succ = (E''\ \{v\});$ 
     $ASSERT\ (finite\ succ);$ 
    ( $f, PRED, N$ )  $\leftarrow add-succ-spec\ dst\ succ\ v\ PRED\ N;$ 
    if  $f$  then
       $RETURN\ (f, PRED, C, N, d+1)$ 
    else do  $\{$ 
       $ASSERT\ (assn1\ src\ dst\ (f, PRED, C, N, d));$ 
      if  $(C = \{\})$  then do  $\{$ 
         $let\ C = N;$ 
         $let\ N = \{\};$ 
         $let\ d = d+1;$ 
         $RETURN\ (f, PRED, C, N, d)$ 
       $\}$  else  $RETURN\ (f, PRED, C, N, d)$ 
     $\}$ 
   $\}$ 
   $\}$ 
  ( $False, [src \mapsto src], \{src\}, \{\}, 0 :: nat$ );
  if  $f$  then  $RETURN\ (Some\ (d, PRED))$  else  $RETURN\ None$ 
 $\}$ 

```

4.2 Correctness Proof

lemma (*in nf-invar'*) *ndist-C[simp]*: $\llbracket v \in C \rrbracket \Longrightarrow ndist\ v = d$
using *C-ss* **by** (*auto simp: Vd-def*)

lemma (*in nf-invar*) *CVdI*: $\llbracket u \in C \rrbracket \Longrightarrow u \in Vd\ d$
using *C-ss* **by** (*auto*)

lemma (*in nf-invar*) *inPREDD*:
 $\llbracket PRED\ v = Some\ u \rrbracket \Longrightarrow v \in N \vee (\exists i \leq d. v \in Vd\ i)$
using *VIS-eq* **by** (*auto*)

lemma (in *nf-invar'*) *C-ss-VIS*: $\llbracket v \in C \rrbracket \implies v \in \text{dom } PRED$
using *C-ss VIS-eq* **by** *blast*

lemma (in *nf-invar*) *invar-succ-step*:
assumes $v \in C$
assumes $dst \notin E''\{v\} - \text{dom } PRED$
shows *nf-invar' c src dst*
 $(\text{map-mmupd } PRED (E''\{v\} - \text{dom } PRED) v)$
 $(C - \{v\})$
 $(N \cup (E''\{v\} - \text{dom } PRED))$
 d

proof –
from *C-ss-VIS[OF <v ∈ C>]* *dst-ne-VIS* **have** $v \neq dst$ **by** *auto*

show *?thesis*
using $\langle v \in C \rangle \langle v \neq dst \rangle$
apply *unfold-locales*
apply *simp*
apply *simp*
apply (*auto simp: map-mmupd-def*) \square

apply (*erule map-mmupdE*)
using *PRED-dist* **apply** *blast*
apply (*unfold VIS-eq*) \square
apply *clarify*
apply (*metis CVdI Vd-succ in-Vd-conv*)

using *PRED-E* **apply** (*auto elim!: map-mmupdE*) \square
using *PRED-closed* **apply** (*auto elim!: map-mmupdE dest: C-ss-VIS*) \square

using *VIS-eq* **apply** *auto* \square
using *C-ss* **apply** *auto* \square

apply (*unfold N-eq*) \square
apply (*frule CVdI*)
apply (*auto*) \square
apply (*erule (1) Vd-succ*)
using *VIS-eq* **apply** (*auto*) \square
apply (*auto dest!: inPREDD simp: N-eq in-Vd-conv*) \square

using *dst-ne-VIS assms(2)* **apply** *auto* \square
done

qed

lemma *invar-init*: $\llbracket src \neq dst; src \in V; \text{finite } V \rrbracket$
 $\implies \text{nf-invar } c \text{ src } dst \llbracket src \mapsto src \rrbracket \{\text{src}\} \{\} 0$
apply *unfold-locales*
apply (*auto*)

apply (*auto simp: pre-bfs-invar. Vd-def split: if-split-asm*)
done

lemma (**in** *nf-invar*) *invar-exit*:
assumes *dst ∈ C*
shows *f-invar c src dst PRED d*
apply *unfold-locales*
using *assms VIS-eq C-ss* **by** *auto*

lemma (**in** *nf-invar*) *invar-C-ss-V: u ∈ C ⇒ u ∈ V*
apply (*drule CVDI*)
apply (*auto simp: in-Vd-conv connected-inV-iff*)
done

lemma (**in** *nf-invar*) *invar-N-ss-Vis: u ∈ N ⇒ ∃ v. PRED u = Some v*
using *VIS-eq* **by** *auto*

lemma (**in** *pre-bfs-invar*) *Vdsucinter-conv[simp]*:
 $Vd (Suc d) \cap E \text{ “ } Vd d = Vd (Suc d)$
apply (*auto*)
by (*metis Image-iff in-Vd-conv min-dist-suc*)

lemma (**in** *nf-invar'*) *invar-shift*:
assumes [*simp*]: *C = {}*
shows *nf-invar c src dst PRED N {} (Suc d)*
apply *unfold-locales*
apply *vc-solve*
using *VIS-eq N-eq[simplified]* **apply** (*auto simp add: le-Suc-eq*) []
using *N-eq* **apply** *auto* []
using *N-eq[simplified]* **apply** *auto* []
using *dst-ne-VIS* **apply** *auto* []
done

lemma (**in** *nf-invar'*) *invar-restore*:
assumes [*simp*]: *C ≠ {}*
shows *nf-invar c src dst PRED C N d*
apply *unfold-locales* **by** *auto*

definition *bfs-spec src dst r* ≡ (
case r of None ⇒ ¬ connected src dst
| *Some (d, PRED) ⇒ connected src dst*
 \wedge *min-dist src dst = d*
 \wedge *valid-PRED c src PRED*
 \wedge *dst ∈ dom PRED*)

lemma (**in** *f-invar*) *invar-found*:
shows *bfs-spec src dst (Some (d, PRED))*
unfolding *bfs-spec-def*
apply *simp*

using *dst-found*
apply (*auto simp: in-Vd-conv*)
by *unfold-locales*

lemma (**in** *nf-invar*) *invar-not-found*:
assumes [*simp*]: $C = \{\}$
shows *bfs-spec src dst None*
unfolding *bfs-spec-def*
apply *simp*
proof (*rule notI*)
have [*simp*]: $N = \{\}$ **using** *empty-assm* **by** *simp*

assume C : *connected src dst*
then obtain d' **where** $dst d'$: $dst \in Vd\ d'$
by (*auto simp: in-Vd-conv*)

We make a case-distinction whether $d' \leq d$:

have $d' \leq d \vee Suc\ d \leq d'$ **by** *auto*
moreover {
assume $d' \leq d$
with *VIS-eq dst d'* **have** $dst \in dom\ PRED$ **by** *auto*
with *dst-ne-VIS* **have** *False* **by** *auto*
} **moreover** {
assume $Suc\ d \leq d'$

In the case $d+1 \leq d'$, we also obtain a node that has a shortest path of length $d+1$:

with *min-dist-le[OF C] dst d'* **obtain** v' **where** $v' \in Vd\ (Suc\ d)$
by (*auto simp: in-Vd-conv*)

However, the invariant states that such nodes are either in N or are successors of C . As N and C are both empty, we again get a contradiction.

with *N-eq* **have** *False* **by** *auto*
} **ultimately show** *False* **by** *blast*
qed

lemma *map-le-mp*: $\llbracket m \subseteq_m m'; m\ k = Some\ v \rrbracket \implies m'\ k = Some\ v$
by (*force simp: map-le-def*)

lemma (**in** *nf-invar*) *dst-notin-Vdd[intro, simp]*: $i \leq d \implies dst \notin Vd\ i$
using *VIS-eq dst-ne-VIS* **by** *auto*

lemma (**in** *nf-invar*) *invar-exit'*:
assumes $u \in C \quad (u, dst) \in E \quad dst \in dom\ PRED'$
assumes *SS1*: $PRED \subseteq_m PRED'$
and *SS2*: $PRED' \subseteq_m map\ mmupd\ PRED\ (E''\ \{u\} - dom\ PRED)\ u$
shows *f-invar c src dst PRED' (Suc\ d)*
apply *unfold-locales*
apply *simp-all*

```

using map-le-mp[OF SS1 PRED-src] apply simp

apply (drule map-le-mp[OF SS2])
apply (erule map-mmupdE)
using PRED-dist apply auto []
apply (unfold VIS-eq) []
apply clarify
using ⟨u∈C⟩
apply (metis CVdI Vd-succ in-Vd-conv)

apply (drule map-le-mp[OF SS2])
using PRED-E apply (auto elim!: map-mmupdE) []

apply (drule map-le-mp[OF SS2])
apply (erule map-mmupdE)
using map-le-implies-dom-le[OF SS1]
using PRED-closed apply (blast) []
using C-ss-VIS[OF ⟨u∈C⟩] map-le-implies-dom-le[OF SS1] apply blast
using ⟨dst ∈ dom PRED'⟩ apply simp

using ⟨u∈C⟩ CVdI[OF ⟨u∈C⟩] ⟨(u,dst)∈E⟩
apply (auto) []
apply (erule (1) Vd-succ)
using VIS-eq apply (auto) []
done

```

definition $max\text{-}dist\ src \equiv Max (min\text{-}dist\ src\ V)$

definition $outer\text{-}loop\text{-}rel\ src \equiv$
 $inv\text{-}image$ (
 $less\text{-}than\text{-}bool$
 $\langle *lex*\rangle\ greater\text{-}bounded (max\text{-}dist\ src + 1)$
 $\langle *lex*\rangle\ finite\text{-}psubset$
 $(\lambda(f,PRED,C,N,d). (\neg f,d,C))$)

lemma $outer\text{-}loop\text{-}rel\text{-}wf$:
assumes $finite\ V$
shows $wf (outer\text{-}loop\text{-}rel\ src)$
using $assms$
unfolding $outer\text{-}loop\text{-}rel\text{-}def$
by $auto$

lemma (in $nf\text{-}invar$) $C\text{-}ne\text{-}max\text{-}dist$:
assumes $C \neq \{\}$
shows $d \leq max\text{-}dist\ src$
proof –
from $assms$ **obtain** u **where** $u \in C$ **by** $auto$

with C - ss **have** $u \in Vd$ d **by** *auto*
hence $min\text{-}dist\ src\ u = d$ $u \in V$
by (*auto simp: in-Vd-conv connected-inV-iff*)
thus $d \leq max\text{-}dist\ src$
unfolding $max\text{-}dist\text{-}def$ **by** *auto*
qed

lemma (**in** $nf\text{-}invar$) $Vd\text{-}ss\text{-}V$: $Vd\ d \subseteq V$
by (*auto simp: Vd-def connected-inV-iff*)

lemma (**in** $nf\text{-}invar$) $finite\text{-}C[simp, intro!]$: $finite\ C$
using $C\text{-}ss\ FIN\text{-}V\ Vd\text{-}ss\text{-}V$ **by** (*blast intro: finite-subset*)

lemma (**in** $nf\text{-}invar$) $finite\text{-}succ$: $finite\ (E^{\{\!|\}u\})$
by *auto*

theorem $pre\text{-}bfs\text{-}correct$:
assumes [*simp*]: $src \in V$ $src \neq dst$
assumes [*simp*]: $finite\ V$
shows $pre\text{-}bfs\ src\ dst \leq SPEC\ (bfs\text{-}spec\ src\ dst)$
unfolding $pre\text{-}bfs\text{-}def\ add\text{-}succ\text{-}spec\text{-}def$
apply (*intro refine-vcg*)
apply (*rule outer-loop-rel-wf[where src=src]*)
apply (*vc-solve simp:*
invar-init
nf-invar.invar-exit'
nf-invar.invar-C-ss-V
nf-invar.invar-succ-step
nf-invar'.invar-shift
nf-invar'.invar-restore
f-invar.invar-found
nf-invar.invar-not-found
nf-invar.invar-N-ss-Vis
nf-invar.finite-succ
)
apply (*vc-solve*
simp: remove-subset outer-loop-rel-def
simp: nf-invar.C-ne-max-dist nf-invar.finite-C)
done

definition $bfs\text{-}core$:: $node \Rightarrow node \Rightarrow (nat \times (node \rightarrow node))\ option\ nres$
where $bfs\text{-}core\ src\ dst \equiv do\ \{$
 $(f, P, -, -, d) \leftarrow while_T\ (\lambda(f, P, C, N, d). f = False \wedge C \neq \{\})$
 $(\lambda(f, P, C, N, d). do\ \{$
 $v \leftarrow spec\ v. v \in C; let\ C = C - \{v\};$
 $let\ succ = (E^{\{\!|\}v\});$

```

(f,P,N) ← add-succ-spec dst succ v P N;
if f then
  return (f,P,C,N,d+1)
else do {
  if (C={}) then do {
    let C=N; let N={}; let d=d+1;
    return (f,P,C,N,d)
  } else return (f,P,C,N,d)
}
}
}
(False,[src→src],{src},{},0::nat);
if f then return (Some (d, P)) else return None
}

```

theorem

```

assumes src∈V  src≠dst  finite V
shows bfs-core src dst ≤ (spec p. bfs-spec src dst p)
apply (rule order-trans[OF - pre-bfs-correct])
apply (rule refine-IdD)
unfolding bfs-core-def pre-bfs-def
apply refine-req
apply refine-dref-type
apply (vc-solve simp: assms)
done

```

4.3 Extraction of Result Path

```

definition extract-rpath src dst PRED ≡ do {
  (-,p) ← WHILEIT
  (λ(v,p).
    v∈dom PRED
    ∧ isPath v p dst
    ∧ distinct (pathVertices v p)
    ∧ (∀ v'∈set (pathVertices v p).
      pre-bfs-invar.ndist c src v ≤ pre-bfs-invar.ndist c src v')
    ∧ pre-bfs-invar.ndist c src v + length p
    = pre-bfs-invar.ndist c src dst)
  (λ(v,p). v≠src) (λ(v,p). do {
    ASSERT (v∈dom PRED);
    let u=the (PRED v);
    let p = (u,v)#p;
    let v=u;
    RETURN (v,p)
  }) (dst,[]);
  RETURN p
}

```

end

```

context valid-PRED begin
  lemma extract-rpath-correct:
    assumes  $dst \in \text{dom } PRED$ 
    shows extract-rpath src dst PRED
       $\leq SPEC (\lambda p. \text{isSimplePath } src \ p \ dst \wedge \text{length } p = \text{ndist } dst)$ 
    using assms unfolding extract-rpath-def isSimplePath-def
    apply (refine-vcg wf-measure [where  $f = \lambda(d, -). \text{ndist } d$ ])
    apply (vc-solve simp: PRED-closed [THEN domD] PRED-E PRED-dist)
    apply auto
  done

```

end

context *Graph* **begin**

```

definition bfs src dst  $\equiv$  do {
  if src=dst then RETURN (Some [])
  else do {
     $br \leftarrow \text{pre-bfs } src \ dst;$ 
    case br of
      None  $\Rightarrow RETURN None$ 
    | Some (d, PRED)  $\Rightarrow do$  {
       $p \leftarrow \text{extract-rpath } src \ dst \ PRED;$ 
      RETURN (Some p)
    }
  }
}

```

```

lemma bfs-correct:
  assumes  $src \in V \quad \text{finite } V$ 
  shows bfs src dst
     $\leq SPEC (\lambda$ 
      None  $\Rightarrow \neg \text{connected } src \ dst$ 
    | Some p  $\Rightarrow \text{isShortestPath } src \ p \ dst)$ 
  unfolding bfs-def
  apply (refine-vcg
    pre-bfs-correct [THEN order-trans]
    valid-PRED.extract-rpath-correct [THEN order-trans]
  )
  using assms
  apply (vc-solve
    simp: bfs-spec-def isShortestPath-min-dist-def isSimplePath-def)
  done

```

end

context *Finite-Graph* **begin**

```

interpretation Refine-Monadic-Syntax .
theorem

```



```

assumes  $src \in V$ 
shows  $bfs\ src\ dst \leq (spec\ p.\ case\ p\ of$ 
   $None \Rightarrow \neg connected\ src\ dst$ 
   $| Some\ p \Rightarrow isShortestPath\ src\ p\ dst)$ 
unfolding  $bfs-def$ 
apply ( $refine-vcg$ 
   $pre-bfs-correct[THEN\ order-trans]$ 
   $valid-PRED.extract-rpath-correct[THEN\ order-trans]$ 
)
using  $assms$ 
apply ( $vc-solve$ 
   $simp: bfs-spec-def\ isShortestPath-min-dist-def\ isSimplePath-def$ )
done

```

end

4.4 Inserting inner Loop and Successor Function

context $Graph$ **begin**

definition $inner-loop\ dst\ succ\ u\ PRED\ N \equiv FOREACHci$
 $(\lambda it\ (f, PRED', N')$
 $PRED' = map-mmupd\ PRED\ ((succ - it) - dom\ PRED)\ u$
 $\wedge N' = N \cup ((succ - it) - dom\ PRED)$
 $\wedge f = (dst \in (succ - it) - dom\ PRED)$
 $)$
 $(succ)$
 $(\lambda(f, PRED, N). \neg f)$
 $(\lambda v\ (f, PRED, N). do\ \{$
 $if\ v \in dom\ PRED\ then\ RETURN\ (f, PRED, N)$
 $else\ do\ \{$
 $let\ PRED = PRED(v \mapsto u);$
 $ASSERT\ (v \notin N);$
 $let\ N = insert\ v\ N;$
 $RETURN\ (v = dst, PRED, N)$
 $\}$
 $\})$
 $(False, PRED, N)$

lemma $inner-loop-refine[refine]:$

```

assumes [ $simp$ ]:  $finite\ succ$ 
assumes [ $simplified, simp$ ]:
   $(succ_i, succ) \in Id\ (u_i, u) \in Id\ (PRED_i, PRED) \in Id\ (N_i, N) \in Id$ 
shows  $inner-loop\ dst\ succ_i\ u_i\ PRED_i\ N_i$ 
   $\leq \Downarrow Id\ (add-succ-spec\ dst\ succ\ u\ PRED\ N)$ 
unfolding  $inner-loop-def\ add-succ-spec-def$ 
apply  $refine-vcg$ 

```

```

apply (auto simp: it-step-insert-iff; fail) +
apply (auto simp: it-step-insert-iff fun-neq-ext-iff map-mmupd-def
  split: if-split-asm) []
apply (auto simp: it-step-insert-iff split: bool.split; fail)
apply (auto simp: it-step-insert-iff split: bool.split; fail)
apply (auto simp: it-step-insert-iff split: bool.split; fail)
apply (auto simp: it-step-insert-iff intro: map-mmupd-update-less
  split: bool.split; fail)
done

```

definition *inner-loop2 dst succl u PRED N* \equiv *nfoldli*
 (*succl*) ($\lambda(f,-,-). \neg f$) ($\lambda v (f,PRED,N). do \{$
 if *PRED* *v* $\neq None$ then *RETURN* (*f*,*PRED*,*N*)
 else do {
 let *PRED* = *PRED*(*v* \mapsto *u*);
ASSERT (*v* $\notin N$);
 let *N* = *insert* *v* *N*;
RETURN ((*v*=*dst*),*PRED*,*N*)
 }
 }) (*False*,*PRED*,*N*)

lemma *inner-loop2-refine*:
assumes *SR*: (*succl*,*succ*) $\in \langle Id \rangle list-set-rel$
shows *inner-loop2 dst succl u PRED N* $\leq \Downarrow Id$ (*inner-loop dst succ u PRED*
N)
using *assms*
unfolding *inner-loop2-def inner-loop-def*
apply (*refine-rcg LFOci-refine SR*)
apply (*vc-solve*)
apply *auto*
done

thm *conc-trans*[*OF inner-loop2-refine inner-loop-refine, no-vars*]

lemma *inner-loop2-correct*:
assumes (*succl*, *succ*) $\in \langle Id \rangle list-set-rel$
assumes [*simplified*, *simp*]:
 (*dsti*,*dst*) $\in Id$ (*ui*, *u*) $\in Id$ (*PREDi*, *PRED*) $\in Id$ (*Ni*, *N*) $\in Id$
shows *inner-loop2 dsti succl ui PREDi Ni*
 $\leq \Downarrow Id$ (*add-succ-spec dst succ u PRED N*)
apply *simp*
apply (*rule conc-trans*[*OF inner-loop2-refine inner-loop-refine, simplified*])
using *assms*(1-2)
apply (*simp-all*)
done

type-synonym $\text{bfs-state} = \text{bool} \times (\text{node} \rightarrow \text{node}) \times \text{node set} \times \text{node set} \times \text{nat}$

context

fixes $\text{succ} :: \text{node} \Rightarrow \text{node list nres}$

begin

definition $\text{init-state} :: \text{node} \Rightarrow \text{bfs-state nres}$

where

$\text{init-state src} \equiv \text{RETURN} (\text{False}, [\text{src} \mapsto \text{src}], \{\text{src}\}, \{\}, 0 :: \text{nat})$

definition $\text{pre-bfs2} :: \text{node} \Rightarrow \text{node} \Rightarrow (\text{nat} \times (\text{node} \rightarrow \text{node})) \text{ option nres}$

where $\text{pre-bfs2 src dst} \equiv \text{do} \{$

$s \leftarrow \text{init-state src};$

$(f, \text{PRED}, -, -, d) \leftarrow \text{WHILET} (\lambda(f, \text{PRED}, C, N, d). f = \text{False} \wedge C \neq \{\})$

$(\lambda(f, \text{PRED}, C, N, d). \text{do} \{$

$\text{ASSERT } (C \neq \{\});$

$v \leftarrow \text{op-set-pick } C; \text{ let } C = C - \{v\};$

$\text{ASSERT } (v \in V);$

$sl \leftarrow \text{succ } v;$

$(f, \text{PRED}, N) \leftarrow \text{inner-loop2 dst sl v PRED } N;$

$\text{if } f \text{ then}$

$\text{RETURN } (f, \text{PRED}, C, N, d+1)$

$\text{else do} \{$

$\text{ASSERT } (\text{assn1 src dst } (f, \text{PRED}, C, N, d));$

$\text{if } (C = \{\}) \text{ then do} \{$

$\text{let } C = N;$

$\text{let } N = \{\};$

$\text{let } d = d+1;$

$\text{RETURN } (f, \text{PRED}, C, N, d)$

$\} \text{ else RETURN } (f, \text{PRED}, C, N, d)$

$\}$

$\})$

$s;$

$\text{if } f \text{ then RETURN } (\text{Some } (d, \text{PRED})) \text{ else RETURN None}$

$\}$

lemma $\text{pre-bfs2-refine}:$

assumes $\text{succ-impl}: \bigwedge ui u. \llbracket (ui, u) \in \text{Id}; u \in V \rrbracket$

$\impl \text{succ } ui \leq \text{SPEC } (\lambda l. (l, E^{\text{''}\{u\}}) \in \langle \text{Id} \rangle \text{list-set-rel})$

shows $\text{pre-bfs2 src dst} \leq \Downarrow \text{Id } (\text{pre-bfs src dst})$

unfolding $\text{pre-bfs-def pre-bfs2-def init-state-def}$

apply $(\text{subst nres-monad1})$

apply $(\text{refine-rcg inner-loop2-correct succ-impl})$

apply refine-dref-type

apply vc-solve

done

end

```

definition bfs2 succ src dst  $\equiv$  do {
  if src=dst then
    RETURN (Some [])
  else do {
    br  $\leftarrow$  pre-bfs2 succ src dst;
    case br of
      None  $\Rightarrow$  RETURN None
    | Some (d,PRED)  $\Rightarrow$  do {
      p  $\leftarrow$  extract-rpath src dst PRED;
      RETURN (Some p)
    }
  }
}

```

```

lemma bfs2-refine:
  assumes succ-impl:  $\bigwedge ui u. \llbracket (ui,u) \in Id; u \in V \rrbracket$ 
     $\Rightarrow$  succ ui  $\leq$  SPEC ( $\lambda l. (l, E^{\{u\}}) \in \langle Id \rangle list\text{-}set\text{-}rel$ )
  shows bfs2 succ src dst  $\leq$   $\Downarrow Id$  (bfs src dst)
  unfolding bfs-def bfs2-def
  apply (refine-vcg pre-bfs2-refine)
  apply refine-dref-type
  using assms
  apply (vc-solve)
  done

```

end

```

lemma bfs2-refine-succ:
  assumes [refine]:  $\bigwedge ui u. \llbracket (ui,u) \in Id; u \in Graph.V c \rrbracket$ 
     $\Rightarrow$  succi ui  $\leq$   $\Downarrow Id$  (succ u)
  assumes [simplified, simp]: (si,s)  $\in Id$  (ti,t)  $\in Id$  (ci,c)  $\in Id$ 
  shows Graph.bfs2 ci succi si ti  $\leq$   $\Downarrow Id$  (Graph.bfs2 c succ s t)
  unfolding Graph.bfs2-def Graph.pre-bfs2-def
  apply (refine-rcg
    param-nfoldli[param-fo, THEN nres-relD] nres-relI fun-relI)
  apply refine-dref-type
  apply vc-solve
  done

```

4.5 Imperative Implementation

context Impl-Succ begin

```

definition op-bfs :: 'ga  $\Rightarrow$  node  $\Rightarrow$  node  $\Rightarrow$  path option nres
  where [simp]: op-bfs c s t  $\equiv$  Graph.bfs2 (absG c) (succ c) s t

```

```

lemma pat-op-dfs [pat-rules]:
  Graph.bfs2 $(absG $c) $(succ $c) $s $t  $\equiv$  UNPROTECT op-bfs $c $s $t by simp

```

sepref-register *PR-CONST op-bfs*
 :: 'ig ⇒ node ⇒ node ⇒ path option nres

type-synonym *ibfs-state*
 = bool × (node,node) i-map × node set × node set × nat

sepref-register *Graph.init-state* :: node ⇒ *ibfs-state* nres

schematic-goal *init-state-impl*:

fixes *src* :: nat
notes [*id-rules*] =
 itypeI[Pure.of *src* TYPE(*nat*)]
shows *hn-refine* (*hn-val nat-rel src src*)
 (?*c*::?'*c* Heap) ?*Γ*' ?*R* (*Graph.init-state src*)
using [[*id-debug, goals-limit* = 1]]
unfolding *Graph.init-state-def*
apply (*rewrite in [src↔src] iam.fold-custom-empty*)
apply (*subst ls.fold-custom-empty*)
apply (*subst ls.fold-custom-empty*)
apply (*rewrite in insert src - fold-set-insert-dj*)
apply (*rewrite in -(□↔src) fold-COPY*)
apply *sepref*
done

concrete-definition (*in -*) *init-state-impl* **uses** *Impl-Succ.init-state-impl*

lemmas [*sepref-fr-rules*] = *init-state-impl.refine[OF this-loc,to-hfref]*

schematic-goal *bfs-impl*:

notes [*sepref-opt-simps*] = *heap-WHILET-def*
fixes *s t* :: nat
notes [*id-rules*] =
 itypeI[Pure.of *s* TYPE(*nat*)]
 itypeI[Pure.of *t* TYPE(*nat*)]
 itypeI[Pure.of *c* TYPE('ig)]
 — Declare parameters to operation identification
shows *hn-refine* (
hn-ctxt (isG) c ci
 * *hn-val nat-rel s si*
 * *hn-val nat-rel t ti*) (?*c*::?'*c* Heap) ?*Γ*' ?*R* (*PR-CONST op-bfs c s t*)
unfolding *op-bfs-def PR-CONST-def*
unfolding *Graph.bfs2-def Graph.pre-bfs2-def*
Graph.inner-loop2-def Graph.extract-rpath-def
unfolding *nres-monad-laws*
apply (*rewrite in nfoldli - - □ - fold-set-insert-dj*)
apply (*subst HOL-list.fold-custom-empty*)+
apply (*rewrite in let N={ } in - ls.fold-custom-empty*)
using [[*id-debug, goals-limit* = 1]]
apply *sepref*
done

```

concrete-definition (in -) bfs-impl uses Impl-Succ.bfs-impl
  — Extract generated implementation into constant
prepare-code-thms (in -) bfs-impl-def

lemmas bfs-impl-fr-rule = bfs-impl.refine[OF this-loc,to-hfref]

end

export-code bfs-impl checking SML-imp

end

```

5 Implementation of the Edmonds-Karp Algorithm

```

theory EdmondsKarp-Impl
imports
  EdmondsKarp-Algo
  Augmenting-Path-BFS
  $AFP/Refine-Imperative-HOL/IICF/IICF
begin

```

We now implement the Edmonds-Karp algorithm. Note that, during the implementation, we explicitly write down the whole refined algorithm several times. As refinement is modular, most of these copies could be avoided— we inserted them deliberately for documentation purposes.

5.1 Refinement to Residual Graph

As a first step towards implementation, we refine the algorithm to work directly on residual graphs. For this, we first have to establish a relation between flows in a network and residual graphs.

5.1.1 Refinement of Operations

```

context Network
begin

```

We define the relation between residual graphs and flows

```

definition cfi-rel  $\equiv$  br flow-of-cf (RGraph c s t)

```

It can also be characterized the other way round, i.e., mapping flows to residual graphs:

```

lemma cfi-rel-alt: cfi-rel =  $\{(cf,f). cf = residualGraph\ c\ f \wedge NFlow\ c\ s\ t\ f\}$ 
unfolding cfi-rel-def br-def
by (auto
  simp: NFlow.is-RGraph RGraph.is-NFlow
  simp: RPreGraph.rg-fo-inv[OF RGraph.this-loc-rpg])

```

simp: $N\text{Preflow.fo-rg-inv}[OF\ N\text{Flow.axioms}(1)]$

Initially, the residual graph for the zero flow equals the original network

lemma *residualGraph-zero-flow*: $\text{residualGraph } c \ (\lambda-. \ 0) = c$
unfolding *residualGraph-def* **by** (*auto intro!*: *ext*)
lemma *flow-of-c*: $\text{flow-of-cf } c = (\lambda-. \ 0)$
by (*auto simp add*: *flow-of-cf-def[abs-def]*)

The residual capacity is naturally defined on residual graphs

definition *resCap-cf* $\text{cf } p \equiv \text{Min } \{cf \ e \mid e. \ e \in \text{set } p\}$
lemma (*in NFlow*) *resCap-cf-refine*: $\text{resCap-cf } cf \ p = \text{resCap } p$
unfolding *resCap-cf-def resCap-def* ..

Augmentation can be done by *Graph.augment-cf*.

lemma (*in NFlow*) *augment-cf-refine-aux*:
assumes *AUG*: *isAugmentingPath* *p*
shows $\text{residualGraph } c \ (\text{augment } (\text{augmentingFlow } p)) \ (u, v) =$
if $(u, v) \in \text{set } p$ *then* $(\text{residualGraph } c \ f \ (u, v) - \text{resCap } p)$
else if $(v, u) \in \text{set } p$ *then* $(\text{residualGraph } c \ f \ (u, v) + \text{resCap } p)$
else $\text{residualGraph } c \ f \ (u, v)$
using *augment-alt[OF AUG]* **by** (*auto simp*: *Graph.augment-cf-def*)

lemma *augment-cf-refine*:
assumes *R*: $(cf, f) \in \text{cfi-rel}$
assumes *AUG*: $N\text{Preflow.isAugmentingPath } c \ s \ t \ f \ p$
shows $(\text{Graph.augment-cf } cf \ (\text{set } p) \ (\text{resCap-cf } cf \ p),$
 $N\text{Flow.augment-with-path } c \ f \ p) \in \text{cfi-rel}$

proof –
from *R* **have** [*simp*]: $cf = \text{residualGraph } c \ f$ **and** $N\text{Flow } c \ s \ t \ f$
by (*auto simp*: *cfi-rel-alt br-def*)
then interpret *f*: $N\text{Flow } c \ s \ t \ f$ **by** *simp*

show *?thesis*
unfolding *f.augment-with-path-def*
proof (*simp add*: *cfi-rel-alt*; *safe intro!*: *ext*)
fix *u v*
show $\text{Graph.augment-cf } f \ .cf \ (\text{set } p) \ (\text{resCap-cf } f \ .cf \ p) \ (u, v)$
 $= \text{residualGraph } c \ (f.\text{augment } (f.\text{augmentingFlow } p)) \ (u, v)$
unfolding *f.augment-cf-refine-aux[OF AUG]*
unfolding *f.cf.augment-cf-def*
by (*auto simp*: *f.resCap-cf-refine*)
qed (*rule f.augment-pres-nflow[OF AUG]*)

qed

We rephrase the specification of shortest augmenting path to take a residual graph as parameter

definition *find-shortest-augmenting-spec-cf* $cf \equiv$
assert $(R\text{Graph } c \ s \ t \ cf) \gg$

SPEC (λ
None $\Rightarrow \neg \text{Graph.connected } cf \ s \ t$
 \mid *Some* $p \Rightarrow \text{Graph.isShortestPath } cf \ s \ p \ t$)

lemma (*in* *RGraph*) *find-shortest-augmenting-spec-cf-refine*:

find-shortest-augmenting-spec-cf
 \leq *find-shortest-augmenting-spec* (*flow-of-cf* *cf*)
unfolding *f-def*[*symmetric*]
unfolding *find-shortest-augmenting-spec-cf-def*
and *find-shortest-augmenting-spec-def*
by (*auto*
simp: *pw-le-iff refine-pw-simps*
simp: *this-loc rg-is-cf*
simp: *f.isAugmentingPath-def Graph.connected-def Graph.isSimplePath-def*
dest: *cf.shortestPath-is-path*
split: *option.split*)

This leads to the following refined algorithm

definition *edka2* \equiv *do* {
let *cf* = *c*;

(*cf*,-) \leftarrow *while*_T
($\lambda(cf,brk). \neg brk$)
($\lambda(cf,-). \text{do}$ {
assert (*RGraph* *c* *s* *t* *cf*);
p \leftarrow *find-shortest-augmenting-spec-cf* *cf*;
case *p* *of*
None \Rightarrow *return* (*cf*, *True*)
 \mid *Some* $p \Rightarrow$ *do* {
assert ($p \neq []$);
assert (*Graph.isShortestPath* *cf* *s* *p* *t*);
let *cf* = *Graph.augment-cf* *cf* (*set* *p*) (*resCap-cf* *cf* *p*);
assert (*RGraph* *c* *s* *t* *cf*);
return (*cf*, *False*)
} }
})
(*cf*, *False*);
assert (*RGraph* *c* *s* *t* *cf*);
let *f* = *flow-of-cf* *cf*;
return *f*
}

lemma *edka2-refine*: *edka2* \leq \Downarrow *Id* *edka*

proof –

have [*refine-dref-RELATES*]: *RELATES* *cfi-rel* **by** (*simp* *add*: *RELATES-def*)

show *?thesis*

unfolding *edka2-def* *edka-def*


```

apply (refine-rcg)
apply refine-dref-type
apply vc-solve

— Solve some left-over verification conditions one by one
apply (drule NFlow.is-RGraph;
      auto simp: cfi-rel-def br-def residualGraph-zero-flow flow-of-c;
      fail)
apply (auto simp: cfi-rel-def br-def; fail)
using RGraph.find-shortest-augmenting-spec-cf-refine
apply (auto simp: cfi-rel-def br-def; fail)
apply (auto simp: cfi-rel-def br-def simp: RPreGraph.rg-fo-inv[OF RGraph.this-loc-rpg];
fail)
apply (drule (1) augment-cf-refine; simp add: cfi-rel-def br-def; fail)
apply (simp add: augment-cf-refine; fail)
apply (auto simp: cfi-rel-def br-def; fail)
apply (auto simp: cfi-rel-def br-def; fail)
done

```

qed

5.2 Implementation of Bottleneck Computation and Augmentation

We will access the capacities in the residual graph only by a get-operation, which asserts that the edges are valid

abbreviation (*input*) *valid-edge* :: *edge* \Rightarrow *bool* **where**
valid-edge $\equiv \lambda(u,v). u \in V \wedge v \in V$

definition *cf-get*

:: *'capacity graph* \Rightarrow *edge* \Rightarrow *'capacity nres*

where *cf-get cf e* \equiv *ASSERT (valid-edge e) \gg RETURN (cf e)*

definition *cf-set*

:: *'capacity graph* \Rightarrow *edge* \Rightarrow *'capacity* \Rightarrow *'capacity graph nres*

where *cf-set cf e cap* \equiv *ASSERT (valid-edge e) \gg RETURN (cf (e:=cap))*

definition *resCap-cf-impl* :: *'capacity graph* \Rightarrow *path* \Rightarrow *'capacity nres*

where *resCap-cf-impl cf p* \equiv

case p of

$\square \Rightarrow$ *RETURN (0::'capacity)*

| (*e#p*) \Rightarrow *do* {

cap \leftarrow *cf-get cf e*;

ASSERT (distinct p);

ifoldli

p ($\lambda.$ *True*)

(λe *cap. do* {

cape \leftarrow *cf-get cf e*;

RETURN (min cape cap)

```

    })
  cap
}

```

lemma (in *RGraph*) *resCap-cf-impl-refine*:
assumes *AUG*: *cf.isSimplePath s p t*
shows *resCap-cf-impl cf p ≤ SPEC (λr. r = resCap-cf cf p)*
proof –

```

note [simp del] = Min-insert
note [simp] = Min-insert[symmetric]
from AUG[THEN cf.isSPath-distinct]
have distinct p .
moreover from AUG cf.isPath-edgeset have set p ⊆ cf.E
  by (auto simp: cf.isSimplePath-def)
hence set p ⊆ Collect valid-edge
  using cf.E-ss-VxV by simp
moreover from AUG have p ≠ [] by (auto simp: s-not-t)
  then obtain e p' where p = e # p' by (auto simp: neq-Nil-conv)
ultimately show ?thesis
  unfolding resCap-cf-impl-def resCap-cf-def cf-get-def
  apply (simp only: list.case)
  apply (refine-vcg nfoldli-rule[where
    I = λl l' cap.
      cap = Min (cf.insert e (set l))
      ∧ set (l@l') ⊆ Collect valid-edge])
  apply (auto intro!: arg-cong[where f=Min])
  done

```

qed

definition (in *Graph*)
augment-edge e cap \equiv (*c*
 e := c e - cap,
 prod.swap e := c (prod.swap e) + cap))

lemma (in *Graph*) *augment-cf-inductive*:
fixes *e cap*
defines *c' ≡ augment-edge e cap*
assumes *P*: *isSimplePath s (e # p) t*
shows *augment-cf (insert e (set p)) cap = Graph.augment-cf c' (set p) cap*
and $\exists s'. \text{Graph.isSimplePath } c' s' p t$

proof –

```

obtain u v where [simp]: e = (u, v) by (cases e)

```

```

from isSPath-no-selfloop[OF P] have [simp]:  $\bigwedge u. (u, u) \notin \text{set } p \quad u \neq v$  by auto

```

```

from isSPath-nt-parallel[OF P] have [simp]:  $(v, u) \notin \text{set } p$  by auto

```

```

from isSPath-distinct[OF P] have [simp]:  $(u,v) \notin \text{set } p$  by auto

show augment-cf (insert e (set p)) cap = Graph.augment-cf c' (set p) cap
apply (rule ext)
unfolding Graph.augment-cf-def c'-def Graph.augment-edge-def
by auto

have Graph.isSimplePath c' v p t
unfolding Graph.isSimplePath-def
apply rule
apply (rule transfer-path)
unfolding Graph.E-def
apply (auto simp: c'-def Graph.augment-edge-def) []
using P apply (auto simp: isSimplePath-def) []
using P apply (auto simp: isSimplePath-def) []
done
thus  $\exists s'. \text{Graph.isSimplePath } c' s' p t ..$ 

```

qed

```

definition augment-edge-impl cf e cap  $\equiv$  do {
  v  $\leftarrow$  cf-get cf e; cf  $\leftarrow$  cf-set cf e (v-cap);
  let e = prod.swap e;
  v  $\leftarrow$  cf-get cf e; cf  $\leftarrow$  cf-set cf e (v+cap);
  RETURN cf
}

```

```

lemma augment-edge-impl-refine:
assumes valid-edge e  $\forall u. e \neq (u,u)$ 
shows augment-edge-impl cf e cap
   $\leq$  (spec r. r = Graph.augment-edge cf e cap)
using assms
unfolding augment-edge-impl-def Graph.augment-edge-def
unfolding cf-get-def cf-set-def
apply refine-vcg
apply auto
done

```

```

definition augment-cf-impl
 $:: 'capacity \text{ graph} \Rightarrow \text{path} \Rightarrow 'capacity \Rightarrow 'capacity \text{ graph nres}$ 
where
augment-cf-impl cf p x  $\equiv$  do {
  (recT D. λ
    ( $[], cf$ )  $\Rightarrow$  return cf
  | (e#p, cf)  $\Rightarrow$  do {
    cf  $\leftarrow$  augment-edge-impl cf e x;
    D (p, cf)
  }
  ) (p, cf)

```

}

Deriving the corresponding recursion equations

```

lemma augment-cf-impl-simps[simp]:
  augment-cf-impl cf [] x = return cf
  augment-cf-impl cf (e#p) x = do {
    cf ← augment-edge-impl cf e x;
    augment-cf-impl cf p x}
apply (simp add: augment-cf-impl-def)
apply (subst RECT-unfold, refine-mono)
apply simp

```

```

apply (simp add: augment-cf-impl-def)
apply (subst RECT-unfold, refine-mono)
apply simp
done

```

```

lemma augment-cf-impl-aux:
  assumes  $\forall e \in \text{set } p. \text{valid-edge } e$ 
  assumes  $\exists s. \text{Graph.isSimplePath } cf \ s \ p \ t$ 
  shows augment-cf-impl cf p x  $\leq$  RETURN (Graph.augment-cf cf (set p) x)
  using assms
  apply (induction p arbitrary: cf)
  apply (simp add: Graph.augment-cf-empty)

```

```

apply clarsimp
apply (subst Graph.augment-cf-inductive, assumption)

```

```

apply (refine-vcg augment-edge-impl-refine[THEN order-trans])
apply simp
apply simp
apply (auto dest: Graph.isSPath-no-selfloop) []
apply (rule order-trans, rprems)
  apply (drule Graph.augment-cf-inductive(2)[where cap=x]; simp)
  apply simp
done

```

```

lemma (in RGraph) augment-cf-impl-refine:
  assumes Graph.isSimplePath cf s p t
  shows augment-cf-impl cf p x  $\leq$  RETURN (Graph.augment-cf cf (set p) x)
  apply (rule augment-cf-impl-aux)
  using assms cf.E-ss-VxV apply (auto simp: cf.isSimplePath-def dest!:
cf.isPath-edgeset) []
  using assms by blast

```

Finally, we arrive at the algorithm where augmentation is implemented algorithmically:

```

definition edka3  $\equiv$  do {
  let cf = c;

```

```

(cf,-) ← whileT
  (λ(cf,brk). ¬brk)
  (λ(cf,-). do {
    assert (RGraph c s t cf);
    p ← find-shortest-augmenting-spec-cf cf;
    case p of
      None ⇒ return (cf,True)
    | Some p ⇒ do {
      assert (p≠[]);
      assert (Graph.isShortestPath cf s p t);
      bn ← resCap-cf-impl cf p;
      cf ← augment-cf-impl cf p bn;
      assert (RGraph c s t cf);
      return (cf, False)
    }
  })
  (cf,False);
assert (RGraph c s t cf);
let f = flow-of-cf cf;
return f
}

```

lemma *edka3-refine*: $edka3 \leq \Downarrow Id\ edka2$

```

unfolding edka3-def edka2-def
apply (rewrite in let cf = Graph.augment-cf - - - in - Let-def)
apply refine-rcg
apply refine-dref-type
apply (vc-solve)
apply (drule Graph.shortestPath-is-simple)
apply (frule (1) RGraph.resCap-cf-impl-refine)
apply (frule (1) RGraph.augment-cf-impl-refine)
apply (auto simp: pw-le-iff refine-pw-simps)
done

```

5.3 Refinement to use BFS

We refine the Edmonds-Karp algorithm to use breadth first search (BFS)

```

definition edka4 ≡ do {
  let cf = c;

  (cf,-) ← whileT
    (λ(cf,brk). ¬brk)
    (λ(cf,-). do {
      assert (RGraph c s t cf);
      p ← Graph.bfs cf s t;
      case p of
        None ⇒ return (cf,True)
      | Some p ⇒ do {

```

```

    assert (p≠[]);
    assert (Graph.isShortestPath cf s p t);
    bn ← resCap-cf-impl cf p;
    cf ← augment-cf-impl cf p bn;
    assert (RGraph c s t cf);
    return (cf, False)
  }
})
(cf, False);
assert (RGraph c s t cf);
let f = flow-of-cf cf;
return f
}

```

A shortest path can be obtained by BFS

```

lemma bfs-refines-shortest-augmenting-spec:
  Graph.bfs cf s t ≤ find-shortest-augmenting-spec-cf cf
unfolding find-shortest-augmenting-spec-cf-def
apply (rule le-ASSERTI)
apply (rule order-trans)
apply (rule Graph.bfs-correct)
apply (simp add: RPreGraph.resV-netV[OF RGraph.this-loc-rpg] s-node)
apply (simp add: RPreGraph.resV-netV[OF RGraph.this-loc-rpg])
apply (simp)
done

lemma edka4-refine: edka4 ≤ ↓Id edka3
unfolding edka4-def edka3-def
apply refine-rcg
apply refine-dref-type
apply (vc-solve simp: bfs-refines-shortest-augmenting-spec)
done

```

5.4 Implementing the Successor Function for BFS

We implement the successor function in two steps. The first step shows how to obtain the successor function by filtering the list of adjacent nodes. This step contains the idea of the implementation. The second step is purely technical, and makes explicit the recursion of the filter function as a recursion combinator in the monad. This is required for the Sepref tool.

Note: We use *filter-rev* here, as it is tail-recursive, and we are not interested in the order of successors.

```

definition rg-succ am cf u ≡
  filter-rev (λv. cf (u,v) > 0) (am u)

```

```

lemma (in RGraph) rg-succ-ref1: [[is-adj-map am]]
  ⇒ (rg-succ am cf u, Graph.E cf“{u}) ∈ ⟨Id⟩list-set-rel

```

```

unfolding Graph.E-def
apply (clarsimp simp: list-set-rel-def br-def rg-succ-def filter-rev-alt;
  intro conjI)
using cfE-ss-invE resE-nonNegative
apply (auto
  simp: is-adj-map-def less-le Graph.E-def
  simp del: cf.zero-cap-simp zero-cap-simp) []
apply (auto simp: is-adj-map-def) []
done

```

```

definition ps-get-op :: -  $\Rightarrow$  node  $\Rightarrow$  node list nres
  where ps-get-op am u  $\equiv$  assert (u  $\in$  V)  $\gg$  return (am u)

```

```

definition monadic-filter-rev-aux
  :: 'a list  $\Rightarrow$  ('a  $\Rightarrow$  bool nres)  $\Rightarrow$  'a list  $\Rightarrow$  'a list nres
where
  monadic-filter-rev-aux a P l  $\equiv$  (recT D. ( $\lambda$ (l,a). case l of
    []  $\Rightarrow$  return a
  | (v#l)  $\Rightarrow$  do {
    c  $\leftarrow$  P v;
    let a = (if c then v#a else a);
    D (l,a)
  }
  )) (l,a)

```

```

lemma monadic-filter-rev-aux-rule:
  assumes  $\bigwedge x. x \in \text{set } l \implies P x \leq \text{SPEC } (\lambda r. r=Q x)$ 
  shows monadic-filter-rev-aux a P l  $\leq$  SPEC ( $\lambda r. r=\text{filter-rev-aux } a Q l$ )
  using assms
  apply (induction l arbitrary: a)

```

```

apply (unfold monadic-filter-rev-aux-def) []
apply (subst RECT-unfold, refine-mono)
apply (fold monadic-filter-rev-aux-def) []
apply simp

```

```

apply (unfold monadic-filter-rev-aux-def) []
apply (subst RECT-unfold, refine-mono)
apply (fold monadic-filter-rev-aux-def) []
apply (auto simp: pw-le-iff refine-pw-simps)
done

```

```

definition monadic-filter-rev = monadic-filter-rev-aux []

```

```

lemma monadic-filter-rev-rule:
  assumes  $\bigwedge x. x \in \text{set } l \implies P x \leq (\text{spec } r. r=Q x)$ 
  shows monadic-filter-rev P l  $\leq$  (spec r. r=filter-rev Q l)
  using monadic-filter-rev-aux-rule[where a=[]] assms
  by (auto simp: monadic-filter-rev-def filter-rev-def)

```

definition *rg-succ2 am cf u* \equiv *do* {
 $l \leftarrow$ *ps-get-op am u*;
monadic-filter-rev ($\lambda v.$ *do* {
 $x \leftarrow$ *cf-get cf (u,v)*;
return ($x > 0$)
}) *l*
}

lemma (*in RGraph*) *rg-succ-ref2*:
assumes *PS*: *is-adj-map am* **and** $V: u \in V$
shows *rg-succ2 am cf u* \leq *return (rg-succ am cf u)*
proof –
have $\forall v \in \text{set } (am\ u).$ *valid-edge (u,v)*
using *PS V*
by (*auto simp: is-adj-map-def Graph.V-def*)

thus *?thesis*
unfolding *rg-succ2-def rg-succ-def ps-get-op-def cf-get-def*
apply (*refine-vcg monadic-filter-rev-rule* [
where $Q = (\lambda v. 0 < cf\ (u, v)),$ *THEN order-trans*])
by (*vc-solve simp: V*)
qed

lemma (*in RGraph*) *rg-succ-ref*:
assumes *A*: *is-adj-map am*
assumes *B*: $u \in V$
shows *rg-succ2 am cf u* \leq *SPEC* ($\lambda l. (l, cf.E^{\{u\}}) \in \langle Id \rangle \text{list-set-rel}$)
using *rg-succ-ref1* [*OF A, of u*] *rg-succ-ref2* [*OF A B*]
by (*auto simp: pw-le-iff refine-pw-simps*)

5.5 Adding Tabulation of Input

Next, we add functions that will be refined to tabulate the input of the algorithm, i.e., the network’s capacity matrix and adjacency map, into efficient representations. The capacity matrix is tabulated to give the initial residual graph, and the adjacency map is tabulated for faster access.

Note, on the abstract level, the tabulation functions are just identity, and merely serve as marker constants for implementation.

definition *init-cf* $::$ *'capacity graph nres*
– Initialization of residual graph from network
where *init-cf* \equiv *RETURN c*

definition *init-ps* $::$ (*node* \Rightarrow *node list*) \Rightarrow -
– Initialization of adjacency map
where *init-ps am* \equiv *ASSERT (is-adj-map am)* \gg *RETURN am*

definition *compute-rflow* $::$ *'capacity graph* \Rightarrow *'capacity flow nres*
– Extraction of result flow from residual graph

where

$compute\text{-}rflow\ cf \equiv ASSERT\ (RGraph\ c\ s\ t\ cf) \gg RETURN\ (flow\text{-}of\text{-}cf\ cf)$

definition $bfs2\text{-}op\ am\ cf \equiv Graph.bfs2\ cf\ (rg\text{-}succ2\ am\ cf)\ s\ t$

We split the algorithm into a tabulation function, and the running of the actual algorithm:

definition $edka5\text{-}tabulate\ am \equiv do\ \{\$
 $cf \leftarrow init\text{-}cf;$
 $am \leftarrow init\text{-}ps\ am;$
 $return\ (cf, am)$
 $\}$

definition $edka5\text{-}run\ cf\ am \equiv do\ \{\$
 $(cf, -) \leftarrow while_T$
 $(\lambda(cf, brk). \neg brk)$
 $(\lambda(cf, -). do\ \{\$
 $assert\ (RGraph\ c\ s\ t\ cf);$
 $p \leftarrow bfs2\text{-}op\ am\ cf;$
 $case\ p\ of$
 $None \Rightarrow return\ (cf, True)$
 $| Some\ p \Rightarrow do\ \{\$
 $assert\ (p \neq []);$
 $assert\ (Graph.isShortestPath\ cf\ s\ p\ t);$
 $bn \leftarrow resCap\text{-}cf\text{-}impl\ cf\ p;$
 $cf \leftarrow augment\text{-}cf\text{-}impl\ cf\ p\ bn;$
 $assert\ (RGraph\ c\ s\ t\ cf);$
 $return\ (cf, False)$
 $\}$
 $\})$
 $(cf, False);$
 $f \leftarrow compute\text{-}rflow\ cf;$
 $return\ f$
 $\}$

definition $edka5\ am \equiv do\ \{\$
 $(cf, am) \leftarrow edka5\text{-}tabulate\ am;$
 $edka5\text{-}run\ cf\ am$
 $\}$

lemma $edka5\text{-}refine: \llbracket is\text{-}adj\text{-}map\ am \rrbracket \implies edka5\ am \leq \Downarrow Id\ edka4$

unfolding $edka5\text{-}def\ edka5\text{-}tabulate\text{-}def\ edka5\text{-}run\text{-}def$

$edka4\text{-}def\ init\text{-}cf\text{-}def\ compute\text{-}rflow\text{-}def$

$init\text{-}ps\text{-}def\ Let\text{-}def\ nres\text{-}monad\text{-}laws\ bfs2\text{-}op\text{-}def$

apply $refine\text{-}rcg$

apply $refine\text{-}dref\text{-}type$

apply $(vc\text{-}solve\ simp:)$

apply $(rule\ refine\text{-}IdD)$

apply $(rule\ Graph.bfs2\text{-}refine)$

```

apply (simp add: RPreGraph.resV-netV[OF RGraph.this-loc-rpg])
apply (simp add: RGraph.rg-succ-ref)
done

```

end

5.6 Imperative Implementation

In this section we provide an efficient imperative implementation, using the Sepref tool. It is mostly technical, setting up the mappings from abstract to concrete data structures, and then refining the algorithm, function by function.

This is also the point where we have to choose the implementation of capacities. Up to here, they have been a polymorphic type with a typeclass constraint of being a linearly ordered integral domain. Here, we switch to *capacity-impl* (*capacity-impl*).

```

locale Network-Impl = Network c s t for c :: capacity-impl graph and s t

```

Moreover, we assume that the nodes are natural numbers less than some number N , which will become an additional parameter of our algorithm.

```

locale Edka-Impl = Network-Impl +
fixes N :: nat
assumes V-ss:  $V \subseteq \{0..<N\}$ 

```

begin

```

lemma this-loc: Edka-Impl c s t N by unfold-locales

```

```

lemma E-ss:  $E \subseteq \{0..<N\} \times \{0..<N\}$  using E-ss-VxV V-ss by auto

```

```

lemma mtx-nonzero-iff[simp]: mtx-nonzero c = E unfolding E-def by (auto simp: mtx-nonzero-def)

```

```

lemma mtx-nonzeroN: mtx-nonzero c  $\subseteq \{0..<N\} \times \{0..<N\}$  using E-ss by simp

```

```

lemma [simp]:  $v \in V \implies v < N$  using V-ss by auto

```

Declare some variables to Sepref.

```

lemmas [id-rules] =
  itypeI[Pure.of N TYPE(nat)]
  itypeI[Pure.of s TYPE(node)]
  itypeI[Pure.of t TYPE(node)]
  itypeI[Pure.of c TYPE(capacity-impl graph)]

```

Instruct Sepref to not refine these parameters. This is expressed by using identity as refinement relation.

```

lemmas [sepref-import-param] =

```

$IdI[of\ N]$
 $IdI[of\ s]$
 $IdI[of\ t]$

lemma [sepref-fr-rules]: $(uncurry0\ (return\ c), uncurry0\ (return\ c)) \in unit-assn^k$
 $\rightarrow_a\ pure\ (nat-rel \times_r\ nat-rel \rightarrow int-rel)$
apply sepref-to-hoare by sep-auto

5.6.1 Implementation of Adjacency Map by Array

definition *is-am am psi*
 $\equiv \exists_A l. psi \mapsto_a l$
 $* \uparrow(\text{length } l = N \wedge (\forall i < N. l!i = am\ i)$
 $\wedge (\forall i \geq N. am\ i = []))$

lemma *is-am-precise*[safe-constraint-rules]: *precise (is-am)*
apply rule
unfolding *is-am-def*
apply *clarsimp*
apply $(rename-tac\ l\ l')$
apply *prec-extract-eqs*
apply $(rule\ ext)$
apply $(rename-tac\ i)$
apply $(case-tac\ i < length\ l')$
apply *fastforce+*
done

sepref-decl-intf *i-ps is nat* \Rightarrow *nat list*

definition $(in\ -)\ ps-get-imp\ psi\ u \equiv Array.nth\ psi\ u$

lemma [def-pat-rules]: $Network.ps-get-op\$c \equiv UNPROTECT\ ps-get-op$ by *simp*
sepref-register *PR-CONST ps-get-op* :: *i-ps* \Rightarrow *node* \Rightarrow *node list nres*

lemma *ps-get-op-refine*[sepref-fr-rules]:
 $(uncurry\ ps-get-imp,\ uncurry\ (PR-CONST\ ps-get-op))$
 $\in is-am^k * _a (pure\ Id)^k \rightarrow_a list-assn (pure\ Id)$
unfolding *list-assn-pure-conv*
apply *sepref-to-hoare*
using *V-ss*
by $(sep-auto$
 $simp: is-am-def\ pure-def\ ps-get-imp-def$
 $simp: ps-get-op-def\ refine-pw-simps)$

lemma *is-pred-succ-no-node*: $[is-adj-map\ a; u \notin V] \Longrightarrow a\ u = []$
unfolding *is-adj-map-def V-def*
by *auto*

lemma [sepref-fr-rules]: (*Array.make* N , *PR-CONST* *init-ps*)
 \in (*pure Id*) ^{k} \rightarrow_a *is-am*
apply *sepref-to-hoare*
using *V-ss*
by (*sep-auto simp: init-ps-def refine-pw-simps is-am-def pure-def*
intro: is-pred-succ-no-node)

lemma [def-pat-rules]: *Network.init-ps* $\$c \equiv$ *UNPROTECT* *init-ps* **by** *simp*
sepref-register *PR-CONST* *init-ps* :: (*node* \Rightarrow *node list*) \Rightarrow *i-ps nres*

5.6.2 Implementation of Capacity Matrix by Array

lemma [def-pat-rules]: *Network.cf-get* $\$c \equiv$ *UNPROTECT* *cf-get* **by** *simp*
lemma [def-pat-rules]: *Network.cf-set* $\$c \equiv$ *UNPROTECT* *cf-set* **by** *simp*

sepref-register
PR-CONST *cf-get* :: *capacity-impl i-mtx* \Rightarrow *edge* \Rightarrow *capacity-impl nres*
sepref-register
PR-CONST *cf-set* :: *capacity-impl i-mtx* \Rightarrow *edge* \Rightarrow *capacity-impl*
 \Rightarrow *capacity-impl i-mtx nres*

We have to link the matrix implementation, which encodes the bound, to the abstract assertion of the bound

sepref-definition *cf-get-impl* **is** *uncurry* (*PR-CONST* *cf-get*) :: (*asmtx-assn* N *id-assn*) ^{k} \ast_a (*prod-assn id-assn id-assn*) ^{k} \rightarrow_a *id-assn*
unfolding *PR-CONST-def* *cf-get-def*[*abs-def*]
by *sepref*
lemmas [sepref-fr-rules] = *cf-get-impl.refine*
lemmas [sepref-opt-simps] = *cf-get-impl-def*

sepref-definition *cf-set-impl* **is** *uncurry2* (*PR-CONST* *cf-set*) :: (*asmtx-assn* N *id-assn*) ^{d} \ast_a (*prod-assn id-assn id-assn*) ^{k} \ast_a *id-assn* ^{k} \rightarrow_a *asmtx-assn* N *id-assn*
unfolding *PR-CONST-def* *cf-set-def*[*abs-def*]
by *sepref*
lemmas [sepref-fr-rules] = *cf-set-impl.refine*
lemmas [sepref-opt-simps] = *cf-set-impl-def*

sepref-thm *init-cf-impl* **is** *uncurry0* (*PR-CONST* *init-cf*) :: *unit-assn* ^{k} \rightarrow_a *asmtx-assn* N *id-assn*
unfolding *PR-CONST-def* *init-cf-def*
using *E-ss*
apply (*rewrite op-mtx-new-def*[*of c, symmetric*])
apply (*rewrite amtx-fold-custom-new*[*of N N*])
by *sepref*

concrete-definition (**in** $-$) *init-cf-impl* **uses** *Edka-Impl.init-cf-impl.refine-raw*
is (*uncurry0* *?f,-*) \in -
prepare-code-thms (**in** $-$) *init-cf-impl-def*

lemmas [sepref-fr-rules] = *init-cf-impl.refine[OF this-loc]*

lemma *amtx-cnv: amtx-assn N M id-assn = IICF-Array-Matrix.is-amtx N M*
by (*simp add: amtx-assn-def*)

lemma [def-pat-rules]: *Network.init-cf\$c ≡ UNPROTECT init-cf* **by** *simp*
sepref-register *PR-CONST init-cf :: capacity-impl i-mtx nres*

5.6.3 Representing Result Flow as Residual Graph

definition (*in Network-Impl*) *is-rflow N f cfi*
 $\equiv \exists_A cf. \text{asmtx-assn } N \text{ id-assn } cf \text{ cfi} * \uparrow(\text{RGraph } c \text{ s } t \text{ cf} \wedge f = \text{flow-of-cf } cf)$
lemma *is-rflow-precise[safe-constraint-rules]: precise (is-rflow N)*
apply *rule*
unfolding *is-rflow-def*
apply (*clarsimp simp: amtx-assn-def*)
apply *prec-extract-eqs*
apply *simp*
done

sepref-decl-intf *i-rflow is nat×nat ⇒ int*

lemma [sepref-fr-rules]:
 $(\lambda cfi. \text{return } cfi, \text{PR-CONST compute-rflow}) \in (\text{asmtx-assn } N \text{ id-assn})^d \rightarrow_a$
is-rflow N
unfolding *amtx-cnv*
apply *sepref-to-hoare*
apply (*sep-auto simp: amtx-cnv compute-rflow-def is-rflow-def refine-pw-simps*
hn-ctxt-def)
done

lemma [def-pat-rules]:
 $\text{Network.compute-rflow}\$c\$s\$t \equiv \text{UNPROTECT compute-rflow}$ **by** *simp*
sepref-register
PR-CONST compute-rflow :: capacity-impl i-mtx ⇒ i-rflow nres

5.6.4 Implementation of Functions

schematic-goal *rg-succ2-impl:*
fixes *am :: node ⇒ node list* **and** *cf :: capacity-impl graph*
notes [i-rules] =
itypeI[Pure.of u TYPE(node)]
itypeI[Pure.of am TYPE(i-ps)]
itypeI[Pure.of cf TYPE(capacity-impl i-mtx)]
notes [sepref-import-param] = *IdI[of N]*
notes [sepref-fr-rules] = *HOL-list-empty-hnr*

shows *hn-refine* (*hn-ctxt is-am am psi * hn-ctxt (asmtx-assn N id-assn) cf cfi*
** hn-val nat-rel u ui*) (*?c::?'c Heap*) *?Γ ?R (rg-succ2 am cf u)*
unfolding *rg-succ2-def APP-def monadic-filter-rev-def monadic-filter-rev-aux-def*

using *[[id-debug, goals-limit = 1]]*

by *sepref*

concrete-definition (**in** *-*) *succ-imp* **uses** *Edka-Impl. rg-succ2-impl*

prepare-code-thms (**in** *-*) *succ-imp-def*

lemma *succ-imp-refine*[*sepref-fr-rules*]:

(*uncurry2 (succ-imp N)*, *uncurry2 (PR-CONST rg-succ2)*)

∈ *is-am*^{*k*} *_{*a*} (*asmtx-assn N id-assn*)^{*k*} *_{*a*} (*pure Id*)^{*k*} →_{*a*} *list-assn (pure Id)*

apply *rule*

using *succ-imp.refine*[*OF this-loc*]

by (*auto simp: hn-ctxt-def mult-ac split: prod.split*)

lemma [*def-pat-rules*]: *Network. rg-succ2*\$*c* ≡ *UNPROTECT rg-succ2* **by** *simp*

sepref-register

PR-CONST rg-succ2 :: *i-ps* ⇒ *capacity-impl i-mtx* ⇒ *node* ⇒ *node list nres*

lemma [*sepref-import-param*]: (*min, min*) ∈ *Id* → *Id* → *Id* **by** *simp*

abbreviation *is-path* ≡ *list-assn (prod-assn (pure Id) (pure Id))*

schematic-goal *resCap-imp-impl*:

fixes *am* :: *node* ⇒ *node list* **and** *cf* :: *capacity-impl graph* **and** *p pi*

notes [*id-rules*] =

itypeI[*Pure.of p TYPE(edge list)*]

itypeI[*Pure.of cf TYPE(capacity-impl i-mtx)*]

notes [*sepref-import-param*] = *IdI*[*of N*]

shows *hn-refine*

(*hn-ctxt (asmtx-assn N id-assn) cf cfi * hn-ctxt is-path p pi*)

(*?c::?'c Heap*) *?Γ ?R*

(*resCap-cf-impl cf p*)

unfolding *resCap-cf-impl-def APP-def*

using *[[id-debug, goals-limit = 1]]*

by *sepref*

concrete-definition (**in** *-*) *resCap-imp* **uses** *Edka-Impl.resCap-imp-impl*

prepare-code-thms (**in** *-*) *resCap-imp-def*

lemma *resCap-impl-refine*[*sepref-fr-rules*]:

(*uncurry (resCap-imp N)*, *uncurry (PR-CONST resCap-cf-impl)*)

∈ (*asmtx-assn N id-assn*)^{*k*} *_{*a*} (*is-path*)^{*k*} →_{*a*} (*pure Id*)

apply *sepref-to-hnr*

apply (*rule hn-refine-preI*)

apply (*clarsimp*)

simp: uncurry-def list-assn-pure-conv hn-ctxt-def

```

    split: prod.split)
  apply (clarsimp simp: pure-def)
  apply (rule hn-refine-cons[OF - resCap-imp.refine[OF this-loc] -])
  apply (simp add: list-assn-pure-conv hn-ctxt-def)
  apply (simp add: pure-def)
  apply (sep-auto simp add: hn-ctxt-def pure-def intro!: enttI)
  apply (simp add: pure-def)
done

```

```

lemma [def-pat-rules]:
  Network.resCap-cf-impl$c ≡ UNPROTECT resCap-cf-impl
  by simp
sepref-register PR-CONST resCap-cf-impl
  :: capacity-impl i-mtx ⇒ path ⇒ capacity-impl nres

```

```

sepref-thm augment-imp is uncurry2 (PR-CONST augment-cf-impl) :: ((asmtx-assn
N id-assn)d *a (is-path)k *a (pure Id)k →a asmtx-assn N id-assn)
  unfolding augment-cf-impl-def[abs-def] augment-edge-impl-def PR-CONST-def
  using [[id-debug, goals-limit = 1]]
  by sepref
concrete-definition (in -) augment-imp uses Edka-Impl.augment-imp.refine-raw
is (uncurry2 ?f,-)∈-
  prepare-code-thms (in -) augment-imp-def
  lemma augment-impl-refine[sepref-fr-rules]:
    (uncurry2 (augment-imp N), uncurry2 (PR-CONST augment-cf-impl))
    ∈ (asmtx-assn N id-assn)d *a (is-path)k *a (pure Id)k →a asmtx-assn N
id-assn
  using augment-imp.refine[OF this-loc] .

```

```

lemma [def-pat-rules]:
  Network.augment-cf-impl$c ≡ UNPROTECT augment-cf-impl
  by simp
sepref-register PR-CONST augment-cf-impl
  :: capacity-impl i-mtx ⇒ path ⇒ capacity-impl ⇒ capacity-impl i-mtx nres

```

```

sublocale bfs: Impl-Succ
  snd
  TYPE(i-ps × capacity-impl i-mtx)
  PR-CONST (λ(am,cf). rg-succ2 am cf)
  prod-assn is-am (asmtx-assn N id-assn)
  λ(am,cf). succ-imp N am cf
  unfolding APP-def
  apply unfold-locales
  apply (simp add: fold-partial-uncurry)
  apply (rule hfref-cons[OF succ-imp-refine[unfolded PR-CONST-def]])
  by auto

```

```

definition (in -) bfsi' N s t psi cfi
  ≡ bfs-impl (λ(am, cf). succ-imp N am cf) (psi,cfi) s t

```

```

lemma [sepref-fr-rules]:
  (uncurry (bfsi' N s t), uncurry (PR-CONST bfs2-op))
  ∈ is-amk *a (asmtx-assn N id-assn)k →a option-assn is-path
  unfolding bfsi'-def[abs-def] bfs2-op-def[abs-def]
  using bfs.bfs-impl-fr-rule unfolding bfs.op-bfs-def[abs-def]
  apply (clarsimp simp: hfref-def all-to-meta)
  apply (rule hn-refine-cons[rotated])
  apply rprems
  apply (sep-auto simp: pure-def intro!: enttI)
  apply (sep-auto simp: pure-def)
  apply (sep-auto simp: pure-def)
  done

```

lemma [def-pat-rules]: $Network.bfs2-op\$c\$s\$t \equiv UNPROTECT\ bfs2-op$ by *simp*

```

sepref-register PR-CONST bfs2-op
  :: i-ps ⇒ capacity-impl i-mtx ⇒ path option nres

```

```

schematic-goal edka-imp-tabulate-impl:
  notes [sepref-opt-simps] = heap-WHILET-def
  fixes am :: node ⇒ node list and cf :: capacity-impl graph
  notes [id-rules] =
    itypeI[Pure.of am TYPE(node ⇒ node list)]
  notes [sepref-import-param] = IdI[of am]
  shows hn-refine (emp) (?c::?'c Heap) ?Γ ?R (edka5-tabulate am)
  unfolding edka5-tabulate-def
  using [[id-debug, goals-limit = 1]]
  by sepref

```

```

concrete-definition (in -) edka-imp-tabulate
  uses Edka-Impl.edka-imp-tabulate-impl
prepare-code-thms (in -) edka-imp-tabulate-def

```

```

lemma edka-imp-tabulate-refine[sepref-fr-rules]:
  (edka-imp-tabulate c N, PR-CONST edka5-tabulate)
  ∈ (pure Id)k →a prod-assn (asmtx-assn N id-assn) is-am
  apply (rule)
  apply (rule hn-refine-preI)
  apply (clarsimp
    simp: uncurry-def list-assn-pure-conv hn-ctxt-def
    split: prod.split)
  apply (rule hn-refine-cons[OF - edka-imp-tabulate.refine[OF this-loc]])
  apply (sep-auto simp: hn-ctxt-def pure-def)+
  done

```

lemma [def-pat-rules]: $Network.edka5-tabulate\$c \equiv UNPROTECT\ edka5-tabulate$

by *simp*
sepref-register *PR-CONST edka5-tabulate*
 :: (node \Rightarrow node list) \Rightarrow (capacity-impl i-mtx \times i-ps) nres

schematic-goal *edka-imp-run-impl*:
notes [*sepref-opt-simps*] = *heap-WHILET-def*
fixes *am* :: node \Rightarrow node list **and** *cf* :: capacity-impl graph
notes [*id-rules*] =
 itypeI[*Pure.of cf TYPE(capacity-impl i-mtx)*]
 itypeI[*Pure.of am TYPE(i-ps)*]
shows *hn-refine*
 (hn-ctxt (asmix-assn *N id-assn*) *cf cfi* * *hn-ctxt is-am am psi*)
 (?c::?'c *Heap*) ? Γ ?*R*
 (*edka5-run cf am*)
unfolding *edka5-run-def*
using [[*id-debug, goals-limit = 1*]]
by *sepref*

concrete-definition (in -) *edka-imp-run* **uses** *Edka-Impl.edka-imp-run-impl*
prepare-code-thms (in -) *edka-imp-run-def*

thm *edka-imp-run-def*
lemma *edka-imp-run-refine*[*sepref-fr-rules*]:
 (uncurry (*edka-imp-run s t N*), uncurry (*PR-CONST edka5-run*))
 \in (asmix-assn *N id-assn*)^{*d*} *_{*a*} (*is-am*)^{*k*} \rightarrow_a *is-rflow N*
apply *rule*
apply (*clarsimp*
 simp: uncurry-def list-assn-pure-conv hn-ctxt-def
 split: prod.split)
apply (*rule hn-refine-cons*[*OF - edka-imp-run.refine*[*OF this-loc*] -])
apply (*sep-auto simp: hn-ctxt-def*)
done

lemma [*def-pat-rules*]:
Network.edka5-run $\$c\$s\$t \equiv UNPROTECT$ *edka5-run*
by *simp*
sepref-register *PR-CONST edka5-run*
 :: capacity-impl i-mtx \Rightarrow i-ps \Rightarrow i-rflow nres

schematic-goal *edka-imp-impl*:
notes [*sepref-opt-simps*] = *heap-WHILET-def*
fixes *am* :: node \Rightarrow node list **and** *cf* :: capacity-impl graph
notes [*id-rules*] =
 itypeI[*Pure.of am TYPE(node \Rightarrow node list)*]
notes [*sepref-import-param*] = *IdI*[*of am*]
shows *hn-refine (emp)* (?c::?'c *Heap*) ? Γ ?*R* (*edka5 am*)
unfolding *edka5-def*

```

using [[id-debug, goals-limit = 1]]
by sepref

concrete-definition (in -) edka-imp uses Edka-Impl.edka-imp-impl
prepare-code-thms (in -) edka-imp-def
lemmas edka-imp-refine = edka-imp.refine[OF this-loc]

thm pat-rules TrueI def-pat-rules

end

```

5.7 Correctness Theorem for Implementation

We combine all refinement steps to derive a correctness theorem for the implementation

```

context Network-Impl begin
theorem edka-imp-correct:
  assumes VN: Graph.V c  $\subseteq$   $\{0..<N\}$ 
  assumes ABS-PS: is-adj-map am
  shows
    <emp>
    edka-imp c s t N am
    < $\lambda fi. \exists Af. is-rflow N f fi * \uparrow(isMaxFlow f)$ >t
proof -
  interpret Edka-Impl by unfold-locales fact

  note edka5-refine[OF ABS-PS]
  also note edka4-refine
  also note edka3-refine
  also note edka2-refine
  also note edka-refine
  also note edka-partial-refine
  also note fofu-partial-correct
  finally have edka5 am  $\leq$  SPEC isMaxFlow .
  from hn-refine-ref[OF this edka-imp-refine]
  show ?thesis
    by (simp add: hn-refine-def)
qed
end
end

```

6 Checking for Valid Network

```

theory NetCheck
imports
  ../Lib/Refine-Add-Fofu
  ../Flow-Networks/Network

```

```

../Flow-Networks/Graph-Impl
$AFP/DFS-Framework/Examples/Reachable-Nodes
begin

```

This theory contains code to read a network from an edge list, and verify that the network is a valid input for the Edmonds Karp Algorithm.

6.1 Graphs as Lists of Edges

Graphs can be represented as lists of edges, each edge being a triple of start node, end node, and capacity. Capacities must be positive, and there must not be multiple edges with the same start and end node.

```

type-synonym edge-list = (node × node × capacity-impl) list

```

```

definition ln-invar :: edge-list ⇒ bool where
  ln-invar el ≡
    distinct (map (λ(u, v, -). (u,v)) el)
    ∧ (∀ (u,v,c)∈set el. c>0)

```

```

definition ln-α :: edge-list ⇒ capacity-impl graph where
  ln-α el ≡ λ(u,v).
    if ∃ c. (u, v, c) ∈ set el ∧ c ≠ 0 then
      SOME c. (u, v, c) ∈ set el ∧ c ≠ 0
    else 0

```

```

definition ln-rel ≡ br ln-α ln-invar

```

```

lemma ln-equivalence: (el, c') ∈ ln-rel ⟷ ln-invar el ∧ c' = ln-α el
unfolding ln-rel-def br-def by auto

```

```

definition ln-N :: (node×node×-) list ⇒ nat
  — Maximum node number plus one. I.e. the size of an array to be indexed by
  nodes.
  where ln-N el ≡ Max ((fst'set el) ∪ ((fst o snd)'set el)) + 1

```

```

lemma ln-α-imp-in-set: ⟦ln-α el (u,v)≠(0)⟧ ⟹ (u,v,ln-α el (u,v))∈set el
apply (auto simp: ln-α-def split: if-split-asm)
apply (metis (mono-tags, lifting) someI-ex)
done

```

```

lemma ln-N-correct: Graph.V (ln-α el) ⊆ {0..<ln-N el}
apply (clarsimp simp: Graph.V-def Graph.E-def)
apply (safe dest!: ln-α-imp-in-set)
apply (fastforce simp: ln-N-def less-Suc-eq-le intro: Max-ge)
apply (force simp: ln-N-def less-Suc-eq-le intro: Max-ge)
done

```

6.2 Pre-Networks

This data structure is used to convert an edge-list to a network and check validity. It maintains additional information, like a adjacency maps.

```

record pre-network =
  pn-c :: capacity-impl graph
  pn-V :: nat set
  pn-succ :: nat ⇒ nat list
  pn-pred :: nat ⇒ nat list
  pn-adjmap :: nat ⇒ nat list
  pn-s-node :: bool
  pn-t-node :: bool

fun read :: edge-list ⇒ nat ⇒ nat ⇒ pre-network option
  — Read a pre-network from an edge list, and source/sink node numbers.
where
  read [] - - = Some ()
  pn-c = (λ -. 0),
  pn-V = {},
  pn-succ = (λ -. []),
  pn-pred = (λ -. []),
  pn-adjmap = (λ -. []),
  pn-s-node = False,
  pn-t-node = False
)
| read ((u, v, c) # es) s t = ((case (read es s t) of
  Some x ⇒
    (if (pn-c x) (u, v) = 0 ∧ (pn-c x) (v, u) = 0 ∧ c > 0 then
      (if u = v ∨ v = s ∨ u = t then
        None
      else
        Some (x()
          pn-c := (pn-c x) ((u, v) := c),
          pn-V := insert u (insert v (pn-V x)),
          pn-succ := (pn-succ x) (u := v # ((pn-succ x) u)),
          pn-pred := (pn-pred x) (v := u # ((pn-pred x) v)),
          pn-adjmap := (pn-adjmap x) (
            u := v # (pn-adjmap x) u,
            v := u # (pn-adjmap x) v),
          pn-s-node := pn-s-node x ∨ u = s,
          pn-t-node := pn-t-node x ∨ v = t
          )))
    else
      None)
  | None ⇒ None))

```

lemma read-correct1: read es s t = Some (pn-c = c, pn-V = V, pn-succ = succ,

$pn\text{-pred} = \text{pred} , pn\text{-adjmap} = \text{adjmap} , pn\text{-s-node} = s\text{-n} , pn\text{-t-node} = t\text{-n}) \implies$

$(es, c) \in \text{ln-rel} \wedge \text{Graph.V } c = V \wedge \text{finite } V \wedge$
 $(s\text{-n} \longrightarrow s \in V) \wedge (t\text{-n} \longrightarrow t \in V) \wedge (\neg s\text{-n} \longrightarrow s \notin V) \wedge (\neg t\text{-n} \longrightarrow t \notin V) \wedge$
 $(\forall u v. c(u, v) \geq 0) \wedge$
 $(\forall u. c(u, u) = 0) \wedge (\forall u. c(u, s) = 0) \wedge (\forall u. c(t, u) = 0) \wedge$
 $(\forall u v. c(u, v) \neq 0 \longrightarrow c(v, u) = 0) \wedge$
 $(\forall u. \text{set } (\text{succ } u) = \text{Graph.E } c^{-1}\{u\} \wedge \text{distinct } (\text{succ } u)) \wedge$
 $(\forall u. \text{set } (\text{pred } u) = (\text{Graph.E } c)^{-1}\{u\} \wedge \text{distinct } (\text{pred } u)) \wedge$
 $(\forall u. \text{set } (\text{adjmap } u) = \text{Graph.E } c^{-1}\{u\} \cup (\text{Graph.E } c)^{-1}\{u\}$
 $\wedge \text{distinct } (\text{adjmap } u))$

proof (*induction es arbitrary: c V succ pred adjmap s-n t-n*)

case *Nil*

thus *?case*

unfolding *Graph.V-def Graph.E-def ln-rel-def br-def*

ln- α -def ln-invar-def

by *auto*

next

case (*Cons e es*)

obtain *u1 v1 c1* **where** *obt1: e = (u1, v1, c1)* **by** (*meson prod-cases3*)

obtain *x* **where** *obt2: read es s t = Some x*

using *Cons.prem s obt1* **by** (*auto split: option.splits*)

have *fct0: (pn-c x) (u1, v1) = 0 \wedge (pn-c x) (v1, u1) = 0 \wedge c1 > 0*

using *Cons.prem s obt1 obt2* **by** (*auto split: option.splits if-splits*)

have *fct1: c1 > 0 \wedge u1 \neq v1 \wedge v1 \neq s \wedge u1 \neq t*

using *Cons.prem s obt1 obt2* **by** (*auto split: option.splits if-splits*)

obtain *c' V' sc' ps' pd' s-n' t-n'* **where** *obt3:*

x = (pn-c = c', pn-V = V',

pn-succ = sc', pn-pred = pd', pn-adjmap = ps',

pn-s-node = s-n', pn-t-node = t-n')

apply (*cases x*) **by** *auto*

then have *read es s t = Some (pn-c = c', pn-V = V',*

pn-succ = sc', pn-pred = pd',

pn-adjmap = ps', pn-s-node = s-n', pn-t-node = t-n')

using *obt2* **by** *blast*

note *fct = Cons.IH[OF this]*

have *fct2: s-n = (s-n' \vee u1 = s)*

using *fct0 fct1 Cons.prem s obt1 obt2 obt3* **by** *simp*

have *fct3: t-n = (t-n' \vee v1 = t)*

using *fct0 fct1 Cons.prem s obt1 obt2 obt3* **by** *simp*

have *fct4: c = c' ((u1, v1) := c1)*

using *fct0 fct1 Cons.prem s obt1 obt2 obt3* **by** *simp*

have *fct5: V = V' \cup {u1, v1}*

using *fct0 fct1 Cons.prem s obt1 obt2 obt3* **by** *simp*

have *fct6: succ = sc' (u1 := v1 # sc' u1)*

using *fct0 fct1 Cons.prem s obt1 obt2 obt3* **by** *simp*

have *fct7: pred = pd' (v1 := u1 # pd' v1)*

using *fct0 fct1 Cons.prem s obt1 obt2 obt3* **by** *simp*

```

have fct8: adjmap = (ps' (u1 := v1 # ps' u1)) (v1 := u1 # ps' v1)
  using fct0 fct1 Cons.prem1 obt1 obt2 obt3 by simp

{
  have (es, c') ∈ ln-rel using fct by blast
  then have ln-invar es and c' = ln-α es
    unfolding ln-rel-def br-def by auto

  have ln-invar (e # es)
  proof (rule ccontr)
    assume ¬ ?thesis
    have f1: ∀ (u, v, c) ∈ set (e # es). c > 0
      using ⟨ln-invar es⟩ fct0 obt1
      unfolding ln-invar-def by auto
    have f2: distinct (map (λ(u, v, -). (u,v)) es)
      using ⟨ln-invar es⟩
      unfolding ln-invar-def by auto

    have ∃ c1'. (u1, v1, c1') ∈ (set es) ∧ c1' ≠ 0
    proof (rule ccontr)
      assume ¬ ?thesis
      then have ∀ c1'. (u1, v1, c1') ∉ (set es) ∨ c1' = 0 by blast
      then have distinct (map (λ(u, v, -). (u,v)) (e # es))
        using obt1 f2 f1 by auto
      then have ln-invar (e # es)
        unfolding ln-invar-def using f1 by simp
      thus False using ⟨¬ ln-invar (e # es)⟩ by blast
    qed
    then obtain c1' where (u1, v1, c1') ∈ (set es) ∧ c1' ≠ 0
      by blast
    then have c' (u1, v1) = (SOME c. (u1, v1, c) ∈ set es ∧ c ≠ 0)
      using ⟨c' = ln-α es⟩ unfolding ln-α-def by auto
    then have c' (u1, v1) ≠ 0
      using ⟨(u1, v1, c1') ∈ (set es) ∧ c1' ≠ 0⟩ f1
      by (metis (mono-tags, lifting) tfl-some)
    thus False using fct0 obt3 by simp
  qed
  moreover {
    {
      fix a
      have f1: distinct (map (λ(u, v, -). (u,v)) (e # es))
        and f2: ∀ u v. (u, v, 0) ∉ set (e # es)
        using ⟨ln-invar (e # es)⟩ unfolding ln-invar-def by auto
      have c a = ln-α (e # es) a
      proof (cases a = (u1, v1))
        case True
          have c a = c1 using fct4 True by simp
      moreover {

```

```

have (ln- $\alpha$  (e # es)) a
  = (SOME c. (u1, v1, c)  $\in$  set (e # es)  $\wedge$  c  $\neq$  0)
  (is ?L = ?R)
  unfolding ln- $\alpha$ -def using obt1 fct0 True by auto
moreover have ?R = c1
proof (rule ccontr)
  assume  $\neg$  ?thesis
  then obtain c1' where
    (u1, v1, c1')  $\in$  set (e # es)  $\wedge$  c1'  $\neq$  0  $\wedge$  c1'  $\neq$  c1
  using fct0 obt1 by auto
  then have
     $\neg$ distinct (map ( $\lambda$ (u, v, -). (u,v)) (e # es))
  using obt1
  by (metis (mono-tags, lifting) Pair-inject
    distinct-map-eq list.set-intros(1) split-conv)
  thus False using f1 by blast
qed
ultimately have ?L = c1 by blast
}
ultimately show ?thesis by simp
next
case False
  have f1:
     $\forall$  u1' v1' c1'. u1'  $\neq$  u1  $\vee$  v1'  $\neq$  v1
     $\longrightarrow$  ((u1', v1', c1')  $\in$  set (e # es))
     $\longleftrightarrow$  (u1', v1', c1')  $\in$  set es
  using obt1 by auto
  obtain u1' v1' where a = (u1', v1') by (cases a)
  {
    have (ln- $\alpha$  (e # es)) (u1', v1') = (ln- $\alpha$  es) (u1', v1')
    proof (cases)
       $\exists$  c1'. (u1', v1', c1')  $\in$  set (e # es)  $\wedge$  c1'  $\neq$  0
    case True
      thus ?thesis unfolding ln- $\alpha$ -def
        using f1 False True  $\langle$ a = (u1', v1') $\rangle$  by auto
    next
      case False
        thus ?thesis unfolding ln- $\alpha$ -def by auto
    qed
  }
  then have (ln- $\alpha$  (e # es)) a = (ln- $\alpha$  es) a
  using  $\langle$ a = (u1', v1') $\rangle$  by simp
}
moreover have c a = c' a using False fct4 by simp
moreover have c' a = ln- $\alpha$  es a using  $\langle$ c' = ln- $\alpha$  es $\rangle$ 
  by simp
ultimately show ?thesis by simp
qed
}
then have c = ln- $\alpha$  (e # es) by auto

```

```

}
ultimately have  $(e \# es, c) \in \text{ln-rel}$  unfolding ln-rel-def br-def
  by simp
}
moreover {
  have  $\text{Graph.V } c = \text{Graph.V } c' \cup \{u1, v1\}$ 
    unfolding Graph.V-def Graph.E-def using fst0 fst4 by auto
  moreover have  $\text{Graph.V } c' = V'$  using fst by blast
  ultimately have  $\text{Graph.V } c = V$  using fst5 by auto
}
moreover {
  have finite  $V'$  using fst by blast
  then have finite  $V$  using fst5 by auto
}
moreover {
  assume s-n
  then have  $s-n' \vee u1 = s$  using fst2 by blast
  then have  $s \in V$ 
    proof
      assume s-n'
      thus ?thesis using fst fst5 by auto
    next
      assume  $u1 = s$ 
      thus ?thesis using fst5 by simp
    qed
}
moreover {
  assume t-n
  then have  $t-n' \vee v1 = t$  using fst3 by blast
  then have  $t \in V$ 
    proof
      assume t-n'
      thus ?thesis using fst fst5 by auto
    next
      assume  $v1 = t$ 
      thus ?thesis using fst5 by simp
    qed
}
moreover {
  assume  $\neg s-n$ 
  then have  $\neg s-n' \wedge u1 \neq s$  using fst2 by blast
  then have  $s \notin V$  using fst fst5 fst1 by auto
}
moreover {
  assume  $\neg t-n$ 
  then have  $\neg t-n' \wedge v1 \neq t$  using fst3 by blast
  then have  $t \notin V$  using fst fst5 fst1 by auto
}
moreover have  $\forall u v. (c(u, v) \geq 0)$  using fst fst4 fst1 fst0 by auto

```



```

moreover have  $\forall u. c(u, u) = 0$  using fct fct4 fct1 fct0 by auto
moreover have  $\forall u. c(u, s) = 0$  using fct fct4 fct1 fct0 by auto
moreover have  $\forall u. c(t, u) = 0$  using fct fct4 fct1 fct0 by auto
moreover {
  fix a b
  assume  $c(a, b) \neq 0$ 
  then have  $a \neq b$  using  $\langle \forall u. c(u, u) = 0 \rangle$  by auto
  have  $c(b, a) = 0$ 
  proof (cases  $(a, b) = (u1, v1)$ )
    case True
      then have  $c(b, a) = c'(v1, u1)$  using fct4  $\langle a \neq b \rangle$  by auto
      moreover have  $c'(v1, u1) = 0$  using fct0 obt3 by auto
      ultimately show ?thesis by simp
    next
      case False
        thus ?thesis
        proof (cases  $(b, a) = (u1, v1)$ )
          case True
            then have  $c(a, b) = c'(v1, u1)$  using fct4  $\langle a \neq b \rangle$ 
              by auto
            moreover have  $c'(v1, u1) = 0$  using fct0 obt3 by auto
            ultimately show ?thesis using  $\langle c(a, b) \neq 0 \rangle$  by simp
          next
            case False
              then have  $c(b, a) = c'(b, a)$  using fct4 by auto
              moreover have  $c(a, b) = c'(a, b)$ 
                using False  $\langle (a, b) \neq (u1, v1) \rangle$  fct4 by auto
              ultimately show ?thesis using fct  $\langle c(a, b) \neq 0 \rangle$  by auto
            qed
          qed
        }
  } note n-fct = this
moreover {
  {
    fix a
    assume  $a \neq u1$ 
    then have  $\text{succ } a = \text{sc}' a$  using fct6 by simp
    moreover have  $\text{set}(\text{sc}' a) = \text{Graph.E } c' \{a\} \wedge \text{distinct}(\text{sc}' a)$ 
      using fct by blast
    ultimately have  $\text{set}(\text{succ } a) = \text{Graph.E } c' \{a\} \wedge \text{distinct}(\text{succ } a)$ 
      unfolding Graph.E-def using fct4  $\langle a \neq u1 \rangle$  by auto
    }
  }
moreover {
  fix a
  assume  $a = u1$ 
  have  $\text{set}(\text{succ } a) = \text{Graph.E } c' \{a\} \wedge \text{distinct}(\text{succ } a)$ 
    proof (cases  $c'(u1, v1) = 0$ )
      case True
        have fct:  $\text{set}(\text{sc}' a) = \text{Graph.E } c' \{a\} \wedge \text{distinct}(\text{sc}' a)$ 
          using fct by blast

```

```

    have  $\text{succ } a = v1 \# \text{sc}' a$  using  $\langle a = u1 \rangle \text{fct6 True}$  by simp
    moreover have  $\text{Graph.E } c = \text{Graph.E } c' \cup \{(u1, v1)\}$ 
      unfolding  $\text{Graph.E-def}$  using  $\text{fct4 fct0}$  by auto
    moreover have  $v1 \notin \text{set } (\text{sc}' a)$ 
    proof (rule ccontr)
      assume  $\neg ?thesis$ 
      then have  $c'(a, v1) \neq 0$ 
        using  $\text{fct}$  unfolding  $\text{Graph.E-def}$  by auto
      thus  $\text{False}$  using  $\text{True } \langle a = u1 \rangle$  by simp
    qed
    ultimately show  $?thesis$  using  $\langle a = u1 \rangle \text{fct}$  by auto
  next
    case  $\text{False}$ 
    thus  $?thesis$  using  $\text{fct0 obt3}$  by auto
  qed
}
ultimately have
   $\forall u. \text{set } (\text{succ } u) = \text{Graph.E } c \text{ `` } \{u\} \wedge \text{distinct } (\text{succ } u)$ 
  by metis
}
moreover {
  {
    fix  $a$ 
    assume  $a \neq v1$ 
    then have  $\text{pred } a = \text{pd}' a$  using  $\text{fct7}$  by simp
    moreover have
       $\text{set } (\text{pd}' a) = (\text{Graph.E } c')^{-1} \text{ `` } \{a\} \wedge \text{distinct } (\text{pd}' a)$ 
      using  $\text{fct}$  by blast
    ultimately have
       $\text{set } (\text{pred } a) = (\text{Graph.E } c)^{-1} \text{ `` } \{a\} \wedge \text{distinct } (\text{pred } a)$ 
      unfolding  $\text{Graph.E-def}$  using  $\text{fct4 } \langle a \neq v1 \rangle$  by auto
  }
  moreover {
    fix  $a$ 
    assume  $a = v1$ 
    have  $\text{set } (\text{pred } a) = (\text{Graph.E } c)^{-1} \text{ `` } \{a\} \wedge \text{distinct } (\text{pred } a)$ 
    proof (cases  $c'(u1, v1) = 0$ )
      case  $\text{True}$ 
      have  $\text{fct}$ :
         $\text{set } (\text{pd}' a) = (\text{Graph.E } c')^{-1} \text{ `` } \{a\} \wedge \text{distinct } (\text{pd}' a)$ 
        using  $\text{fct}$  by blast

      have  $\text{pred } a = u1 \# \text{pd}' a$  using  $\langle a = v1 \rangle \text{fct7 True}$  by simp
      moreover have  $\text{Graph.E } c = \text{Graph.E } c' \cup \{(u1, v1)\}$ 
        unfolding  $\text{Graph.E-def}$  using  $\text{fct4 fct0}$  by auto
      moreover have  $u1 \notin \text{set } (\text{pd}' a)$ 
      proof (rule ccontr)
        assume  $\neg ?thesis$ 

```

```

    then have  $c'(u1, a) \neq 0$ 
      using fct unfolding Graph.E-def by auto
    thus False using True ⟨a = v1⟩ by simp
  qed
  ultimately show ?thesis using ⟨a = v1⟩ fct by auto
next
case False
  thus ?thesis using fct0 obt3 by auto
qed
}
ultimately have
   $\forall u. \text{set } (\text{pred } u) = (\text{Graph.E } c)^{-1} \{u\} \wedge \text{distinct } (\text{pred } u)$ 
  by metis
}
moreover {
  {
    fix a
    assume  $a \neq u1 \wedge a \neq v1$ 
    then have adjmap a = ps' a using fct8 by simp
    moreover have set (ps' a) =
       $\text{Graph.E } c^{-1} \{a\} \cup (\text{Graph.E } c)^{-1} \{a\} \wedge \text{distinct } (\text{ps' } a)$ 
      using fct by blast
    ultimately have
       $\text{set } (\text{adjmap } a) = \text{Graph.E } c^{-1} \{a\} \cup (\text{Graph.E } c)^{-1} \{a\}$ 
       $\wedge \text{distinct } (\text{adjmap } a)$ 
      unfolding Graph.E-def using fct4 ⟨a ≠ u1 ∧ a ≠ v1⟩ by auto
    }
  moreover {
    fix a
    assume  $a = u1 \vee a = v1$ 
    then have
       $\text{set } (\text{adjmap } a) = \text{Graph.E } c^{-1} \{a\} \cup (\text{Graph.E } c)^{-1} \{a\}$ 
       $\wedge \text{distinct } (\text{adjmap } a)$ 
    proof
      assume  $a = u1$ 
      show ?thesis
      proof (cases c' (u1, v1) = 0 ∧ c' (v1, u1) = 0)
      case True
        have fct:
           $\text{set } (\text{ps' } a) = \text{Graph.E } c^{-1} \{a\} \cup (\text{Graph.E } c)^{-1} \{a\}$ 
           $\wedge \text{distinct } (\text{ps' } a)$ 
          using fct by blast

        have adjmap a = v1 # ps' a
          using  $\langle a = u1 \rangle$  fct8 True by simp
        moreover have  $\text{Graph.E } c = \text{Graph.E } c' \cup \{(u1, v1)\}$ 
          unfolding Graph.E-def using fct4 fct0 by auto
        moreover have  $v1 \notin \text{set } (\text{ps' } a)$ 
          proof (rule ccontr)

```

```

      assume  $\neg$  ?thesis
      then have  $c'(a, v1) \neq 0 \vee c'(v1, a) \neq 0$ 
        using fct unfolding Graph.E-def by auto
      thus False using True  $\langle a = u1 \rangle$  by simp
    qed
  ultimately show ?thesis using  $\langle a = u1 \rangle$  fct by auto
next
case False
  thus ?thesis using fct0 obt3 by auto
qed
next
assume  $a = v1$ 
show ?thesis
proof (cases  $c'(u1, v1) = 0 \wedge c'(v1, u1) = 0$ )
case True
  have fct:
    set (ps' a) = Graph.E c' “ {a}  $\cup$  (Graph.E c')-1 “ {a}
     $\wedge$  distinct (ps' a)
    using fct by blast

  have adjmap  $a = u1 \# ps' a$ 
    using  $\langle a = v1 \rangle$  fct8 True by simp
  moreover have Graph.E c = Graph.E c'  $\cup$  {(u1, v1)}
    unfolding Graph.E-def using fct4 fct0 by auto
  moreover have  $u1 \notin$  set (ps' a)
    proof (rule ccontr)
      assume  $\neg$  ?thesis
      then have  $c'(u1, a) \neq 0 \vee c'(a, u1) \neq 0$ 
        using fct unfolding Graph.E-def by auto
      thus False using True  $\langle a = v1 \rangle$  by simp
    qed
  ultimately show ?thesis using  $\langle a = v1 \rangle$  fct by auto
next
case False
  thus ?thesis using fct0 obt3 by auto
qed
qed
}
ultimately have
 $\forall u. \text{set}(\text{adjmap } u) = \text{Graph.E } c \text{ “ } \{u\} \cup (\text{Graph.E } c)^{-1} \text{ “ } \{u\}$ 
 $\wedge$  distinct (adjmap u)
  by metis
}
ultimately show ?case by simp
qed

```

lemma read-correct2: $\text{read } el \ s \ t = \text{None} \implies \neg \text{ln-invar } el$
 $\vee (\exists u \ v \ c. (u, v, c) \in \text{set } el \wedge \neg(c > 0))$
 $\vee (\exists u \ c. (u, u, c) \in \text{set } el \wedge c \neq 0) \vee$

```

( $\exists u c. (u, s, c) \in \text{set } el \wedge c \neq 0$ )  $\vee$  ( $\exists u c. (t, u, c) \in \text{set } el \wedge c \neq 0$ )  $\vee$ 
( $\exists u v c1 c2. (u, v, c1) \in \text{set } el \wedge (v, u, c2) \in \text{set } el \wedge c1 \neq 0 \wedge c2 \neq 0$ )
proof (induction el)
  case Nil
    thus ?case by auto
next
case (Cons e el)
  thus ?case
  proof (cases read el s t = None)
    case True
      note Cons.IH[OF this]
      thus ?thesis
      proof
        assume  $\neg \text{ln-invar } el$ 
        then have  $\neg \text{distinct } (\text{map } (\lambda(u, v, -). (u, v)) (e \# el)) \vee$ 
          ( $\exists (u, v, c) \in \text{set } (e \# el). \neg(c > 0)$ )
          unfolding ln-invar-def by fastforce
        thus ?thesis unfolding ln-invar-def by fastforce
      next
        assume
          ( $\exists u v c. (u, v, c) \in \text{set } (el) \wedge \neg(c > 0)$ )
           $\vee$  ( $\exists u c. (u, u, c) \in \text{set } el \wedge c \neq 0$ )
           $\vee$  ( $\exists u c. (u, s, c) \in \text{set } el \wedge c \neq 0$ )
           $\vee$  ( $\exists u c. (t, u, c) \in \text{set } el \wedge c \neq 0$ )
           $\vee$  ( $\exists u v c1 c2. (u, v, c1) \in \text{set } el \wedge (v, u, c2) \in \text{set } el$ 
             $\wedge c1 \neq 0 \wedge c2 \neq 0$ )
        moreover {
          assume ( $\exists u v c. (u, v, c) \in \text{set } el \wedge \neg(c > 0)$ )
          then have ( $\exists u v c. (u, v, c) \in \text{set } (e \# el) \wedge \neg(c > 0)$ )
          by auto
        }
        moreover {
          assume ( $\exists u c. (u, u, c) \in \text{set } el \wedge c \neq 0$ )
          then have ( $\exists u c. (u, u, c) \in \text{set } (e \# el) \wedge c \neq 0$ )
          by auto
        }
        moreover {
          assume ( $\exists u c. (u, s, c) \in \text{set } el \wedge c \neq 0$ )
          then have ( $\exists u c. (u, s, c) \in \text{set } (e \# el) \wedge c \neq 0$ )
          by auto
        }
        moreover {
          assume ( $\exists u c. (t, u, c) \in \text{set } el \wedge c \neq 0$ )
          then have ( $\exists u c. (t, u, c) \in \text{set } (e \# el) \wedge c \neq 0$ )
          by auto
        }
        moreover {
          assume ( $\exists u v c1 c2. (u, v, c1) \in \text{set } el \wedge (v, u, c2) \in \text{set } el$ 
             $\wedge c1 \neq 0 \wedge c2 \neq 0$ )
        }

```

```

      (u, v, c1) ∈ set el ∧ (v, u, c2) ∈ set el
      ∧ c1 ≠ 0 ∧ c2 ≠ 0)
    then have (∃ u v c1 c2. (u, v, c1) ∈ set (e # el) ∧
      (v, u, c2) ∈ set (e # el) ∧ c1 ≠ 0 ∧ c2 ≠ 0)
      by auto
  }
  ultimately show ?thesis by blast
qed
next
case False
then obtain x where obt1: read el s t = Some x by auto
obtain u1 v1 c1 where obt2: e = (u1, v1, c1)
  apply (cases e) by auto
obtain c' V' sc' pd' ps' s-n' t-n' where obt3: x =
  (
    pn-c = c', pn-V = V', pn-succ = sc',
    pn-pred = pd', pn-adjmap = ps',
    pn-s-node = s-n', pn-t-node = t-n'
  )
  apply (cases x) by auto
then have (el, c') ∈ ln-rel using obt1 read-correct1[of el s t]
  by simp
then have c' = ln-α el unfolding ln-rel-def br-def by simp

have (c' (u1, v1) ≠ 0 ∨ c' (v1, u1) ≠ 0 ∨ c1 ≤ 0) ∨
  (c1 > 0 ∧ (u1 = v1 ∨ v1 = s ∨ u1 = t))
  using obt1 obt2 obt3 False Cons.prem
  by (auto split:option.splits if-splits)
moreover {
  assume c1 ≤ 0
  then have ¬ ln-invar (e # el)
    unfolding ln-invar-def using obt2 by auto
}
moreover {
  assume c1 > 0 ∧ u1 = v1
  then have (∃ u c. (u, u, c) ∈ set (e # el) ∧ c > 0)
    using obt2 by auto
}
moreover {
  assume c1 > 0 ∧ v1 = s
  then have (∃ u c. (u, s, c) ∈ set (e # el) ∧ c > 0)
    using obt2 by auto
}
moreover {
  assume c1 > 0 ∧ u1 = t
  then have (∃ u c. (t, u, c) ∈ set (e # el) ∧ c > 0)
    using obt2 by auto
}
}

```

```

moreover {
  assume  $c' (u1, v1) \neq 0$ 
  then have  $\exists c1'. (u1, v1, c1') \in \text{set } el$ 
    using  $\langle c' = \text{ln-}\alpha \text{ } el \rangle$  unfolding  $\text{ln-}\alpha\text{-def}$ 
    by  $(\text{auto split:if-splits})$ 
  then have  $\neg \text{distinct } (\text{map } (\lambda(u, v, -). (u, v)) (e \# el))$ 
    using  $\text{obt2}$  by force
  then have  $\neg \text{ln-invar } (e \# el)$  unfolding  $\text{ln-invar-def}$  by auto
}
moreover {
  assume  $c' (v1, u1) \neq 0$ 
  then have  $\exists c1'. (v1, u1, c1') \in \text{set } el \wedge c1' \neq 0$ 
    using  $\langle c' = \text{ln-}\alpha \text{ } el \rangle$  unfolding  $\text{ln-}\alpha\text{-def}$ 
    by  $(\text{auto split:if-splits})$ 
  then have  $\neg \text{ln-invar } (e \# el) \vee ($ 
     $\exists u \ v \ c1 \ c2.$ 
     $(u, v, c1) \in \text{set } (e \# el) \wedge (v, u, c2) \in \text{set } (e \# el)$ 
     $\wedge c1 \neq 0 \wedge c2 \neq 0)$ 
  proof  $(\text{cases } c1 \neq 0)$ 
    case True
      thus  $?thesis$ 
      using  $\langle \exists c1'. (v1, u1, c1') \in \text{set } el \wedge c1' \neq 0 \rangle$   $\text{obt2}$ 
      by auto
    next
      case False
      then have  $\neg \text{ln-invar } (e \# el)$ 
      unfolding  $\text{ln-invar-def}$  using  $\text{obt2}$  by auto
      thus  $?thesis$  by blast
    qed
  }
  ultimately show  $?thesis$  by blast
qed

```

6.3 Implementation of Pre-Networks

```

record  $\text{'capacity::linordered-idom pre-network'}$  =
   $\text{pn-c' :: (nat*nat,'capacity) ArrayHashMap.ahm}$ 
   $\text{pn-V' :: nat ahs}$ 
   $\text{pn-succ' :: (nat,nat list) ArrayHashMap.ahm}$ 
   $\text{pn-pred' :: (nat,nat list) ArrayHashMap.ahm}$ 
   $\text{pn-adjmap' :: (nat,nat list) ArrayHashMap.ahm}$ 
   $\text{pn-s-node' :: bool}$ 
   $\text{pn-t-node' :: bool}$ 

```

```

definition  $\text{pnet-}\alpha \text{ } pn' \equiv ($ 
   $\text{pn-c} = \text{the-default } 0 \text{ } o \text{ } (\text{ahm.}\alpha \text{ } (\text{pn-c' } pn')),$ 
   $\text{pn-V} = \text{ahs-}\alpha \text{ } (\text{pn-V' } pn'),$ 

```

```

    pn-succ = the-default [] o (ahm.α (pn-succ' pn')),
    pn-pred = the-default [] o (ahm.α (pn-pred' pn')),
    pn-adjmap = the-default [] o (ahm.α (pn-adjmap' pn')),
    pn-s-node = pn-s-node' pn',
    pn-t-node = pn-t-node' pn'
  )

```

definition *pnet-rel* \equiv *br pnet-α* (λ -. *True*)

definition *ahm-ld a ahm k* \equiv *the-default a* (*ahm.lookup k ahm*)

abbreviation *cap-lookup* \equiv *ahm-ld 0*

abbreviation *succ-lookup* \equiv *ahm-ld []*

fun *read'* :: (*nat* \times *nat* \times '*capacity::linordered-idom*) *list* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow
'*capacity pre-network' option* **where**

```

read' [] -- = Some ()
    pn-c' = ahm.empty (),
    pn-V' = ahs.empty (),
    pn-succ' = ahm.empty (),
    pn-pred' = ahm.empty (),
    pn-adjmap' = ahm.empty (),
    pn-s-node' = False,
    pn-t-node' = False
  )

```

| *read'* ((*u*, *v*, *c*) # *es*) *s t* = ((*case* (*read' es s t*) of
Some x \Rightarrow

```

    (if
      cap-lookup (pn-c' x) (u, v) = 0
       $\wedge$  cap-lookup (pn-c' x) (v, u) = 0  $\wedge$  c > 0
    then
```

```

      (if u = v  $\vee$  v = s  $\vee$  u = t then
```

```

        None
```

```

      else
```

```

        Some (x()
```

```

          pn-c' := ahm.update (u, v) c (pn-c' x),
```

```

          pn-V' := ahs.ins u (ahs.ins v (pn-V' x)),
```

```

          pn-succ' :=
```

```

            ahm.update u (v # (succ-lookup (pn-succ' x) u)) (pn-succ' x),
```

```

          pn-pred' :=
```

```

            ahm.update v (u # (succ-lookup (pn-pred' x) v)) (pn-pred' x),
```

```

          pn-adjmap' := ahm.update
```

```

            u (v # (succ-lookup (pn-adjmap' x) u)) (ahm.update
```

```

              v (u # (succ-lookup (pn-adjmap' x) v))
```

```

              (pn-adjmap' x)),
```

```

          pn-s-node' := pn-s-node' x  $\vee$  u = s,
```

```

          pn-t-node' := pn-t-node' x  $\vee$  v = t
```

```

        )))
```

```

    else
```



```

    None)
  | None  $\Rightarrow$  None))

```

```

lemma read'-correct: read el s t = map-option pnet- $\alpha$  (read' el s t)
apply (induction el s t rule: read.induct)
by (auto
    simp: pnet- $\alpha$ -def o-def ahm.correct ahs.correct ahm-ld-def
    split: option.splits)

```

```

lemma read'-correct-alt: (read' el s t, read el s t)  $\in$   $\langle$ pnet-rel $\rangle$ option-rel
unfolding pnet-rel-def br-def
apply (simp add: option-rel-def read'-correct)
using domIff by force

```

```

export-code read checking SML

```

6.4 Usefulness Check

We have to check that every node in the network is useful, i.e., lays on a path from source to sink.

```

definition reachable-spec c s  $\equiv$  RETURN (((Graph.E c)*) $\{\{s\}$ )

```

```

definition reaching-spec c t  $\equiv$  RETURN (((Graph.E c) $^{-1}$ )* $\{\{t\}$ )

```

```

definition checkNet cc s t  $\equiv$  do {
  if s = t then
    RETURN None
  else do {
    let rd = read cc s t;
    case rd of
      None  $\Rightarrow$  RETURN None
    | Some x  $\Rightarrow$  do {
      if pn-s-node x  $\wedge$  pn-t-node x then
        do {
          ASSERT(finite ((Graph.E (pn-c x))* $\{\{s\}$ ));
          ASSERT(finite (((Graph.E (pn-c x)) $^{-1}$ )* $\{\{t\}$ ));
          ASSERT( $\forall$  u. set ((pn-succ x) u) = Graph.E (pn-c x)  $\{\{u\}$ 
             $\wedge$  distinct ((pn-succ x) u));
          ASSERT( $\forall$  u. set ((pn-pred x) u) = (Graph.E (pn-c x)) $^{-1}$   $\{\{u\}$ 
             $\wedge$  distinct ((pn-pred x) u));

          succ-s  $\leftarrow$  reachable-spec (pn-c x) s;
          pred-t  $\leftarrow$  reaching-spec (pn-c x) t;
          if (pn-V x) = succ-s  $\wedge$  (pn-V x) = pred-t then
            RETURN (Some (pn-c x, pn-adjmap x))
          else
            RETURN None
        }
      else
        RETURN None
    }
  }
  RETURN None

```

```

}
}
}

```

```

lemma checkNet-pre-correct1 : checkNet el s t ≤
  SPEC (λ r. r = Some (c, adjmap) → (el, c) ∈ ln-rel ∧ Network c s t ∧
  (∀ u. set (adjmap u) = Graph.E c “{u} ∪ (Graph.E c)-1 “{u}
  ∧ distinct (adjmap u)))
unfolding checkNet-def reachable-spec-def reaching-spec-def
apply (refine-vcg)
apply clarsimp-all
proof –
  {
  fix x
  assume asm1: s ≠ t
  assume asm2: read el s t = Some x
  assume asm3: pn-s-node x
  assume asm4: pn-t-node x
  obtain c V sc pd adjmap where obt: x =
    (
    pn-c = c, pn-V = V,
    pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
    pn-s-node = True, pn-t-node = True
    )
  apply (cases x) using asm3 asm4 by auto
  then have read el s t = Some (
    pn-c = c, pn-V = V, pn-succ = sc, pn-pred = pd,
    pn-adjmap = adjmap, pn-s-node = True, pn-t-node = True)
  using asm2 by simp
  note fct = read-correct1[OF this]

  then have [simp, intro!]: finite (Graph.V c) by blast
  have Graph.E c ⊆ (Graph.V c) × (Graph.V c)
  unfolding Graph.V-def by auto
  from finite-subset[OF this] have finite (Graph.E (pn-c x))
  by (simp add: obt)
  then show finite ((Graph.E (pn-c x))* “{s})
  and finite (((Graph.E (pn-c x))-1)* “{t})
  by (auto simp add: finite-rtrancl-Image)
  }
  {
  fix x
  assume asm1: s ≠ t
  assume asm2: read el s t = Some x
  assume asm3: finite ((Graph.E (pn-c x))* “{s})
  assume asm4: finite (((Graph.E (pn-c x))-1)* “{t})
  assume asm5: pn-s-node x
  assume asm6: pn-t-node x
  obtain c V sc pd adjmap where obt: x = (pn-c = c, pn-V = V,

```

```

    pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
    pn-s-node = True, pn-t-node = True)
  apply (cases x) using asm5 asm6 by auto
then have read el s t = Some (|pn-c = c, pn-V = V,
  pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
  pn-s-node = True, pn-t-node = True)
  using asm2 by simp
note fct = read-correct1[OF this]

have  $\bigwedge u. \text{set } ((pn\text{-succ } x) u)
  = \text{Graph.E } (pn\text{-c } x) \text{ `` } \{u\} \wedge \text{distinct } ((pn\text{-succ } x) u)
  \text{ using fct obt by simp}$ 
moreover have  $\bigwedge u. \text{set } ((pn\text{-pred } x) u) = (\text{Graph.E } (pn\text{-c } x))^{-1} \text{ `` } \{u\}$ 
 $\wedge$ 
  distinct ((pn-pred x) u) using fct obt by simp
ultimately show  $\bigwedge u. \text{set } ((pn\text{-succ } x) u) = \text{Graph.E } (pn\text{-c } x) \text{ `` } \{u\}$ 
  and  $\bigwedge u. \text{distinct } ((pn\text{-succ } x) u)$ 
  and  $\bigwedge u. \text{set } ((pn\text{-pred } x) u) = (\text{Graph.E } (pn\text{-c } x))^{-1} \text{ `` } \{u\}$ 
  and  $\bigwedge u. \text{distinct } ((pn\text{-pred } x) u)$ 
  by auto
}
{
  fix x
  assume asm1:  $s \neq t$ 
  assume asm2: read el s t = Some x
  assume asm3: pn-s-node x
  assume asm4: pn-t-node x
  assume asm5:
     $((\text{Graph.E } (pn\text{-c } x))^{-1})^* \text{ `` } \{t\} = (\text{Graph.E } (pn\text{-c } x))^* \text{ `` } \{s\}$ 
  assume asm6:  $pn\text{-V } x = (\text{Graph.E } (pn\text{-c } x))^* \text{ `` } \{s\}$ 
  assume asm7:  $c = pn\text{-c } x$ 
  assume asm8:  $adjmap = pn\text{-adjmap } x$ 
  obtain V sc pd where obt:  $x = (|pn\text{-c} = c, pn\text{-V} = V,$ 
     $pn\text{-succ} = sc, pn\text{-pred} = pd, pn\text{-adjmap} = adjmap,$ 
     $pn\text{-s-node} = \text{True}, pn\text{-t-node} = \text{True})$ 
  apply (cases x) using asm3 asm4 asm7 asm8 by auto
then have read el s t = Some (|pn-c = c, pn-V = V,
  pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
  pn-s-node = True, pn-t-node = True)
  using asm2 by simp
note fct = read-correct1[OF this]

have  $\bigwedge u. \text{set } ((pn\text{-succ } x) u) = \text{Graph.E } (pn\text{-c } x) \text{ `` } \{u\}$ 
   $\wedge \text{distinct } ((pn\text{-succ } x) u)$ 
  using fct obt by simp
moreover have  $\bigwedge u. \text{set } ((pn\text{-pred } x) u) = (\text{Graph.E } (pn\text{-c } x))^{-1} \text{ `` } \{u\}$ 
 $\wedge$ 
  distinct ((pn-pred x) u) using fct obt by simp
moreover have  $(el, pn\text{-c } x) \in \text{ln-rel}$  using fct asm7 by simp

```

```

moreover {
  {
    {
      have  $Graph.V\ c \subseteq ((Graph.E\ c))^* \ \{s\}$ 
      using asm6 obt fct by simp
      then have  $\forall v \in (Graph.V\ c). Graph.isReachable\ c\ s\ v$ 
      unfolding Graph.connected-def using Graph.rtc-isPath[of\ s - c]
      by auto
    }
    moreover {
      have  $Graph.V\ c \subseteq ((Graph.E\ c)^{-1})^* \ \{t\}$ 
      using asm5 asm6 obt fct by simp
      then have  $\forall v \in (Graph.V\ c). Graph.isReachable\ c\ v\ t$ 
      unfolding Graph.connected-def using Graph.rtc-isPath
      by fastforce
    }
    ultimately have
       $\forall v \in (Graph.V\ c). Graph.isReachable\ c\ s\ v$ 
       $\wedge Graph.isReachable\ c\ v\ t$ 
      by simp
  }
  moreover {
    have finite (Graph.V\ c) and s \in (Graph.V\ c)
    using fct obt by auto
    note Graph.reachable-ss-V[OF (s \in (Graph.V\ c))]
    note finite-subset[OF this (finite (Graph.V\ c))]
  }
  ultimately have Network (pn-c\ x)\ s\ t
  unfolding Network-def using asm1 fct asm7
  by (simp add: Graph.E-def)
}
moreover have  $\forall u. (set\ (pn-adjmap\ x\ u) =$ 
   $Graph.E\ (pn-c\ x) \ \{u\} \cup (Graph.E\ (pn-c\ x))^{-1} \ \{u\})$ 
using fct obt by simp
moreover have  $\forall u. distinct\ (pn-adjmap\ x\ u)$  using fct obt by simp
ultimately show  $(el,\ pn-c\ x) \in ln-rel$  and Network (pn-c\ x)\ s\ t and
 $\wedge u. set\ (pn-adjmap\ x\ u)$ 
   $= Graph.E\ (pn-c\ x) \ \{u\} \cup (Graph.E\ (pn-c\ x))^{-1} \ \{u\}$ 
and  $\wedge u. distinct\ (pn-adjmap\ x\ u)$  by auto
}
qed

```

lemma *checkNet-pre-correct2-aux:*

```

assumes asm1: s \neq t
assumes asm2: read\ el\ s\ t = Some\ x
assumes asm3:
   $\forall u. set\ (pn-succ\ x\ u) = Graph.E\ (pn-c\ x) \ \{u\} \wedge distinct\ (pn-succ\ x\ u)$ 
assumes asm4: \forall u. set\ (pn-pred\ x\ u) = (Graph.E\ (pn-c\ x))^{-1} \ \{u\}
   $\wedge distinct\ (pn-pred\ x\ u)$ 

```

assumes *asm5*: $pn-V\ x = (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\}$
 $\rightarrow (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\} \neq ((Graph.E\ (pn-c\ x))^{-1})^* \text{ `` } \{t\}$
assumes *asm6*: $pn-s\text{-node}\ x$
assumes *asm7*: $pn-t\text{-node}\ x$
assumes *asm8*: $ln\text{-invar}\ el$
assumes *asm9*: $Network\ (ln\text{-}\alpha\ el)\ s\ t$
shows *False*
proof –
obtain $c\ V\ sc\ pd\ ps$ **where** *obt*: $x = (pn-c = c, pn-V = V,$
 $pn-succ = sc, pn-pred = pd, pn-adjmap = ps,$
 $pn-s-node = True, pn-t-node = True)$
apply (*cases* x) **using** *asm3 asm4 asm6 asm7* **by** *auto*
then have $read\ el\ s\ t = Some\ (pn-c = c, pn-V = V,$
 $pn-succ = sc, pn-pred = pd, pn-adjmap = ps,$
 $pn-s-node = True, pn-t-node = True)$
using *asm2* **by** *simp*
note *fct* = *read-correct1*[*OF* *this*]

have $pn-V\ x \neq (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\}$
 $\vee (pn-V\ x = (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\}$
 $\wedge ((Graph.E\ (pn-c\ x))^{-1})^* \text{ `` } \{t\} \neq (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\})$
using *asm5* **by** *blast*
thus *False*
proof
assume $pn-V\ x = (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\} \wedge$
 $((Graph.E\ (pn-c\ x))^{-1})^* \text{ `` } \{t\} \neq (Graph.E\ (pn-c\ x))^* \text{ `` } \{s\}$
then have $\neg(Graph.V\ c \subseteq ((Graph.E\ c)^{-1})^* \text{ `` } \{t\})$
 $\vee \neg(((Graph.E\ c)^{-1})^* \text{ `` } \{t\} \subseteq Graph.V\ c)$
using *asm5 obt fct* **by** *simp*
then have $\exists v \in (Graph.V\ c). \neg Graph.isReachable\ c\ v\ t$
proof
assume $\neg(((Graph.E\ c)^{-1})^* \text{ `` } \{t\} \subseteq Graph.V\ c)$
then obtain x **where**
 $o1: x \in ((Graph.E\ c)^{-1})^* \text{ `` } \{t\} \wedge x \notin Graph.V\ c$
by *blast*
then have $\exists p. Graph.isPath\ c\ x\ p\ t$
using *Graph.rtc-isPath* **by** *auto*
then obtain p **where** $Graph.isPath\ c\ x\ p\ t$ **by** *blast*
then have $x \in Graph.V\ c$
proof (*cases* $p = []$)
case *True*
then have $x = t$
using $(Graph.isPath\ c\ x\ p\ t)\ Graph.isPath.simps(1)$
by *auto*
thus *?thesis* **using** *fct* **by** *auto*
next
case *False*
then obtain $p1\ ps$ **where** $p = p1 \# ps$
by (*meson neq-Nil-conv*)

```

    then have  $Graph.isPath\ c\ x\ (p1\ \# \ ps)\ t$ 
      using  $\langle Graph.isPath\ c\ x\ p\ t \rangle$  by auto
    then have  $fst\ p1 = x \wedge c\ p1 \neq 0$ 
      using  $Graph.isPath-head[of\ c\ x\ p1\ ps\ t]$ 
      by  $(auto\ simp:\ Graph.E-def)$ 
    then have  $\exists v.\ c\ (x,\ v) \neq 0$  by  $(metis\ prod.collapse)$ 
    then have  $x \in Graph.V\ c$ 
      unfolding  $Graph.V-def\ Graph.E-def$  by auto
    thus  $?thesis$  by simp
  qed
  thus  $?thesis$  using  $o1$  by auto
next
  assume  $\neg(Graph.V\ c \subseteq ((Graph.E\ c)^{-1})^* \ \{t\})$ 
  then obtain  $x$  where
     $o1: x \notin ((Graph.E\ c)^{-1})^* \ \{t\} \wedge x \in Graph.V\ c$ 
    by blast
  then have  $(x,\ t) \notin (Graph.E\ c)^*$ 
    by  $(meson\ Image-singleton-iff\ rtrancl-converseI)$ 
  have  $\forall p.\ \neg Graph.isPath\ c\ x\ p\ t$ 
  proof  $(rule\ ccontr)$ 
    assume  $\neg?thesis$ 
    then obtain  $p$  where  $Graph.isPath\ c\ x\ p\ t$  by blast
    thus  $False$  using  $Graph.isPath-rtc\ \langle (x,\ t) \notin (Graph.E\ c)^* \rangle$ 
    by auto
  qed
  then have  $\neg Graph.isReachable\ c\ x\ t$ 
    unfolding  $Graph.connected-def$  by auto
  thus  $?thesis$  using  $o1$  by auto
  qed
  moreover {
    have  $(el,\ c) \in ln-rel$  using  $fct\ obt$  by simp
    then have  $c = ln-\alpha\ el$  unfolding  $ln-rel-def\ br-def$  by auto
  }
  ultimately have  $\neg Network\ (ln-\alpha\ el)\ s\ t$ 
    unfolding  $Network-def$  by auto
  thus  $?thesis$  using  $asm9$  by blast
next
  assume  $pn-V\ x \neq (Graph.E\ (pn-c\ x))^* \ \{s\}$ 

  then have  $\neg(Graph.V\ c \subseteq (Graph.E\ c)^* \ \{s\})$ 
     $\vee \neg((Graph.E\ c)^* \ \{s\} \subseteq Graph.V\ c)$ 
    using  $asm5\ obt\ fct$  by simp
  then have  $\exists v \in (Graph.V\ c).\ \neg Graph.isReachable\ c\ s\ v$ 
  proof
    assume  $\neg((Graph.E\ c)^* \ \{s\} \subseteq Graph.V\ c)$ 
    then obtain  $x$  where  $o1: x \in (Graph.E\ c)^* \ \{s\} \wedge x \notin Graph.V\ c$ 
    by blast
    then have  $\exists p.\ Graph.isPath\ c\ s\ p\ x$ 
      using  $Graph rtc-isPath$  by auto
  
```

```

then obtain  $p$  where  $\text{Graph.isPath } c \ s \ p \ x$  by blast
then have  $x \in \text{Graph.V } c$ 
proof (cases  $p = []$ )
  case True
    then have  $x = s$ 
      using  $\langle \text{Graph.isPath } c \ s \ p \ x \rangle$ 
      by (auto simp:  $\text{Graph.isPath.simps}(1)$ )
    thus ?thesis using fct by auto
  next
  case False
    then obtain  $p1 \ ps$  where  $p = ps @ [p1]$ 
      by (metis append-butlast-last-id)
    then have  $\text{Graph.isPath } c \ s \ (ps @ [p1]) \ x$ 
      using  $\langle \text{Graph.isPath } c \ s \ p \ x \rangle$  by auto
    then have  $\text{snd } p1 = x \wedge c \ p1 \neq 0$ 
      using  $\text{Graph.isPath-tail}[of \ c \ s \ ps \ p1 \ x]$ 
      by (auto simp:  $\text{Graph.E-def}$ )
    then have  $\exists v. c \ (v, x) \neq 0$  by (metis prod.collapse)
    then have  $x \in \text{Graph.V } c$ 
      unfolding  $\text{Graph.V-def } \text{Graph.E-def}$  by auto
    thus ?thesis by simp
qed
thus ?thesis using o1 by auto
next
assume  $\neg(\text{Graph.V } c \subseteq (\text{Graph.E } c)^* \ \{s\})$ 
then obtain  $x$  where  $o1: x \notin (\text{Graph.E } c)^* \ \{s\} \wedge x \in \text{Graph.V } c$ 
  by blast
then have  $(s, x) \notin (\text{Graph.E } c)^*$ 
  by (meson Image-singleton-iff rtrancl-converseI)
have  $\forall p. \neg \text{Graph.isPath } c \ s \ p \ x$ 
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  then obtain  $p$  where  $\text{Graph.isPath } c \ s \ p \ x$  by blast
  thus False using  $\text{Graph.isPath-rtc} \ \langle (s, x) \notin (\text{Graph.E } c)^* \rangle$ 
    by auto
qed
then have  $\neg \text{Graph.isReachable } c \ s \ x$ 
  unfolding  $\text{Graph.connected-def}$  by auto
thus ?thesis using o1 by auto
qed
moreover {
  have  $(el, c) \in \text{ln-rel}$  using fct obt by simp
  then have  $c = \text{ln-}\alpha \ el$  unfolding  $\text{ln-rel-def } \text{br-def}$  by auto
}
ultimately have  $\neg \text{Network } (\text{ln-}\alpha \ el) \ s \ t$ 
  unfolding  $\text{Network-def}$  by auto
thus ?thesis using asm9 by blast
qed
qed

```

```

lemma checkNet-pre-correct2:
  checkNet el s t
  ≤ SPEC ( $\lambda r. r = \text{None} \longrightarrow \neg \text{ln-invar } el \vee \neg \text{Network } (\text{ln-}\alpha \text{ } el) s t$ )
  unfolding checkNet-def reachable-spec-def reaching-spec-def
  apply (refine-vcg)
  apply (clarsimp-all)
  proof –
  {
    assume  $s = t$  and ln-invar el and Network (ln-α el) t t
    thus False using Network-def by auto
  }
  next {
    assume  $s \neq t$  and read el s t = None and ln-invar el
    and Network (ln-α el) s t
    note read-correct2[OF (read el s t = None)]
    thus False
    proof
      assume  $\neg \text{ln-invar } el$ 
      thus ?thesis using (ln-invar el) by blast
    next
      assume asm:
        ( $\exists u v c. (u, v, c) \in \text{set } el \wedge \neg(c > 0)$ )
         $\vee (\exists u c. (u, u, c) \in \text{set } el \wedge c \neq 0)$ 
         $\vee (\exists u c. (u, s, c) \in \text{set } el \wedge c \neq 0)$ 
         $\vee (\exists u c. (t, u, c) \in \text{set } el \wedge c \neq 0)$ 
         $\vee (\exists u v c1 c2. (u, v, c1) \in \text{set } el$ 
           $\wedge (v, u, c2) \in \text{set } el \wedge c1 \neq 0 \wedge c2 \neq 0)$ 

      moreover {
        assume A: ( $\exists u v c. (u, v, c) \in \text{set } el \wedge \neg(c > 0)$ )
        then have  $\neg \text{ln-invar } el$ 
        using not-less by (fastforce simp: ln-invar-def)
        with (ln-invar el) have False by simp
      }
      moreover {
        assume ( $\exists u c. (u, u, c) \in \text{set } el \wedge c \neq 0$ )
        then have  $\exists u. \text{ln-}\alpha \text{ } el (u, u) \neq 0$ 
        unfolding ln-α-def apply (auto split:if-splits)
        by (metis (mono-tags, lifting) tfl-some)
        then have False
        using (Network (ln-α el) s t)
        unfolding Network-def by (auto simp: Graph.E-def)
      }
      moreover {
        assume ( $\exists u c. (u, s, c) \in \text{set } el \wedge c \neq 0$ )
        then have  $\exists u. \text{ln-}\alpha \text{ } el (u, s) \neq 0$ 
        unfolding ln-α-def
        by (clarsimp) (metis (mono-tags, lifting) tfl-some)
      }
  }

```



```

    then have False
      using ⟨Network (ln-α el) s t⟩ unfolding Network-def
      by (auto simp: Graph.E-def)
  }
  moreover {
    assume (∃ u c. (t, u, c) ∈ set el ∧ c ≠ 0)
    then have ∃ u. ln-α el (t, u) ≠ 0
    unfolding ln-α-def
      by (clarsimp) (metis (mono-tags, lifting) tfl-some)
    then have False
      using ⟨Network (ln-α el) s t⟩ unfolding Network-def
      by (auto simp: Graph.E-def)
  }
  moreover {
    assume (∃ u v c1 c2.
      (u, v, c1) ∈ set el ∧ (v, u, c2) ∈ set el ∧ c1 ≠ 0 ∧ c2 ≠ 0)
    then obtain u v c1 c2 where
      o1: (u, v, c1) ∈ set el ∧ (v, u, c2) ∈ set el
        ∧ c1 ≠ 0 ∧ c2 ≠ 0
      by blast
    then have ln-α el (u, v) ≠ 0 unfolding ln-α-def
      by (clarsimp) (metis (mono-tags, lifting) tfl-some)
    moreover have ln-α el (v, u) ≠ 0 unfolding ln-α-def using o1
      by (clarsimp) (metis (mono-tags, lifting) tfl-some)
    ultimately have
      ¬ (∀ u v. (ln-α el) (u, v) ≠ 0 → (ln-α el) (v, u) = 0)
      by auto
    then have False
      using ⟨Network (ln-α el) s t⟩ unfolding Network-def
      by (auto simp: Graph.E-def)
  }
  ultimately show ?thesis by force
qed
}
next {
  fix x
  assume asm1: s ≠ t
  assume asm2: read el s t = Some x
  assume asm3: pn-s-node x
  assume asm4: pn-t-node x
  obtain c V sc pd adjmap where obt: x = (|pn-c = c, pn-V = V,
    pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
    pn-s-node = True, pn-t-node = True)
  apply (cases x) using asm3 asm4 by auto
  then have read el s t = Some (|pn-c = c, pn-V = V,
    pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
    pn-s-node = True, pn-t-node = True)
    using asm2 by simp
  note fct = read-correct1[OF this]

```

```

then have [simp]: finite (Graph.V c) by blast
have Graph.E c ⊆ (Graph.V c) × (Graph.V c)
  unfolding Graph.V-def by auto
from finite-subset[OF this] have finite (Graph.E (pn-c x))
  by (auto simp: obt)
then show finite ((Graph.E (pn-c x))* “ {s}”)
  and finite (((Graph.E (pn-c x))-1)* “ {t}”)
  by (auto simp add: finite-rtrancl-Image)
}
{
fix x
assume asm1: s ≠ t
assume asm2: read el s t = Some x
assume asm3: finite ((Graph.E (pn-c x))* “ {s}”)
assume asm4: finite (((Graph.E (pn-c x))-1)* “ {t}”)
assume asm5: pn-s-node x
assume asm6: pn-t-node x
obtain c V sc pd adjmap where obt: x = (pn-c = c, pn-V = V,
  pn-succ = sc, pn-pred = pd, pn-adjmap = adjmap,
  pn-s-node = True, pn-t-node = True)
  apply (cases x) using asm5 asm6 by auto
then have read el s t = Some (pn-c = c, pn-V = V, pn-succ = sc,
  pn-pred = pd, pn-adjmap = adjmap, pn-s-node = True, pn-t-node = True)

  using asm2 by simp
note fct = read-correct1[OF this]

have ∧u. set ((pn-succ x) u) = Graph.E (pn-c x) “ {u}
  ∧ distinct ((pn-succ x) u)
  using fct obt by simp
moreover have ∧u. set ((pn-pred x) u) = (Graph.E (pn-c x))-1 “ {u} ∧
  distinct ((pn-pred x) u)
  using fct obt by simp
ultimately show ∧u. set ((pn-succ x) u) = Graph.E (pn-c x) “ {u}
  and ∧u. distinct ((pn-succ x) u)
  and ∧u. set ((pn-pred x) u) = (Graph.E (pn-c x))-1 “ {u}
  and ∧u. distinct ((pn-pred x) u)
  by auto
}
next {
fix x
assume asm1: s ≠ t
assume asm2: read el s t = Some x
assume asm3: pn-s-node x → ¬pn-t-node x
assume asm4: ln-invar el
assume asm5: Network (ln-α el) s t
obtain c V sc pd ps s-node t-node where
  obt: x = (pn-c = c, pn-V = V, pn-succ = sc, pn-pred = pd,

```

```

    pn-adjmap = ps, pn-s-node = s-node, pn-t-node = t-node)
  by (cases x)
then have read el s t = Some (pn-c = c, pn-V = V, pn-succ = sc,
  pn-pred = pd, pn-adjmap = ps, pn-s-node = s-node, pn-t-node = t-node)
  using asm2 by simp
note fct = read-correct1[OF this]

have (el, c) ∈ ln-rel using fct obt by simp
then have c = ln-α el unfolding ln-rel-def br-def by auto

have ¬pn-s-node x ∨ ¬pn-t-node x using asm3 by auto
then show False
  proof
    assume ¬pn-s-node x
    then have ¬s-node using obt fct by auto
    then have s ∉ Graph.V c using fct by auto
    thus ?thesis using ⟨c = ln-α el⟩ asm5 Network-def by auto
  next
    assume ¬pn-t-node x
    then have ¬t-node using obt fct by auto
    then have t ∉ Graph.V c using fct by auto
    thus ?thesis using ⟨c = ln-α el⟩ asm5 Network-def by auto
  qed
}
qed (blast dest: checkNet-pre-correct2-aux)

```

lemma *checkNet-correct'* : *checkNet el s t ≤ SPEC (λ r. case r of*
Some (c, adjmap) ⇒
(el, c) ∈ ln-rel ∧ Network c s t
∧ (∀ u. set (adjmap u) = Graph.E c “{u} ∪ (Graph.E c)⁻¹ “{u}
∧ distinct (adjmap u))
| None ⇒ ¬ln-invar el ∨ ¬Network (ln-α el) s t)
using *checkNet-pre-correct1[of el s t] checkNet-pre-correct2[of el s t]*
by (*auto split: option.splits simp: pw-le-iff refine-pw-simps*)

lemma *checkNet-correct* : *checkNet el s t ≤ SPEC (λ r. case r of*
Some (c, adjmap) ⇒ (el, c) ∈ ln-rel ∧ Network c s t
∧ Graph.is-adj-map c adjmap
| None ⇒ ¬ln-invar el ∨ ¬Network (ln-α el) s t)
using *checkNet-pre-correct1[of el s t] checkNet-pre-correct2[of el s t]*
by (*auto*
split: option.splits
simp: Graph.is-adj-map-def pw-le-iff refine-pw-simps)

6.5 Implementation of Usefulness Check

We use the DFS framework to implement the usefulness check. We have to convert between our graph representation and the CAVA automata library’s graph representation used by the DFS framework.

definition *graph-of pn s* \equiv (
 $g-V = UNIV,$
 $g-E = Graph.E (pn-c pn),$
 $g-V0 = \{s\}$
 $)$

definition *rev-graph-of pn s* \equiv (
 $g-V = UNIV,$
 $g-E = (Graph.E (pn-c pn))^{-1},$
 $g-V0 = \{s\}$
 $)$

definition *checkNet2 cc s t* \equiv *do* {
 if $s = t$ then
 RETURN None
 else *do* {
 let $rd = read\ cc\ s\ t;$
 case rd of
 None \Rightarrow RETURN None
 | Some $x \Rightarrow$ *do* {
 if $pn-s-node\ x \wedge pn-t-node\ x$ then
 do {
 ASSERT(*finite* ((*Graph.E* ($pn-c\ x$))* “ $\{s\}$ ”));
 ASSERT(*finite* (((*Graph.E* ($pn-c\ x$))⁻¹)* “ $\{t\}$ ”));
 ASSERT($\forall u. set\ ((pn-succ\ x)\ u) = Graph.E\ (pn-c\ x)\ \{\u\}$
 $\wedge\ distinct\ ((pn-succ\ x)\ u);$
 ASSERT($\forall u. set\ ((pn-pred\ x)\ u) = (Graph.E\ (pn-c\ x))^{-1}\ \{\u\}$
 $\wedge\ distinct\ ((pn-pred\ x)\ u);$

 let $succ-s = (op-reachable\ (graph-of\ x\ s));$
 let $pred-t = (op-reachable\ (rev-graph-of\ x\ t));$
 if $(pn-V\ x) = succ-s \wedge (pn-V\ x) = pred-t$ then
 RETURN (*Some* ($pn-c\ x, pn-adjmap\ x$))
 else
 RETURN None
 }
 }
 }
 }
}

lemma *checkNet2-correct*: $checkNet2\ c\ s\ t \leq checkNet\ c\ s\ t$
apply (*rule refine-IdD*)
unfolding *checkNet-def checkNet2-def graph-of-def rev-graph-of-def*
reachable-spec-def reaching-spec-def
apply (*refine-rcg*)
apply *refine-dref-type*

apply *auto*
done

definition *graph-of-impl* $pn' s \equiv \langle \langle$
 $gi-V = \lambda-. True,$
 $gi-E = succ-lookup (pn-succ' pn'),$
 $gi-V0 = [s]$
 $\rangle \rangle$

definition *rev-graph-of-impl* $pn' t \equiv \langle \langle$
 $gi-V = \lambda-. True,$
 $gi-E = succ-lookup (pn-pred' pn'),$
 $gi-V0 = [t]$
 $\rangle \rangle$

definition *well-formed-pn* $x \equiv$
 $(\forall u. set ((pn-succ x) u) = Graph.E (pn-c x) \text{ `` } \{u\}$
 $\wedge distinct ((pn-succ x) u))$

definition *rev-well-formed-pn* $x \equiv$
 $(\forall u. set ((pn-pred x) u) = (Graph.E (pn-c x))^{-1} \text{ `` } \{u\}$
 $\wedge distinct ((pn-pred x) u))$

lemma *id-slg-rel-alt-a*: $\langle Id \rangle slg-rel$
 $= \{ (s, E). \forall u. distinct (s u) \wedge set (s u) = E \text{ `` } \{u\} \}$
by (*auto simp add: slg-rel-def br-def list-set-rel-def dest: fun-relD*)

lemma *graph-of-impl-correct*: $well-formed-pn pn \implies (pn', pn) \in pnet-rel \implies$
 $(graph-of-impl pn' s, graph-of pn s) \in \langle unit-rel, Id \rangle g-impl-rel-ext$
unfolding *pnet-rel-def graph-of-impl-def graph-of-def*
 $g-impl-rel-ext-def gen-g-impl-rel-ext-def$
apply (*auto simp: fun-set-rel-def br-def list-set-rel-def*
 $id-slg-rel-alt-a ahm-ld-def$)
apply (*auto simp: well-formed-pn-def Graph.E-def*
 $pnet-\alpha-def o-def ahm-correct$)
done

lemma *rev-graph-of-impl-correct*: $[[rev-well-formed-pn pn; (pn', pn) \in pnet-rel]]$
 \implies
 $(rev-graph-of-impl pn' s, rev-graph-of pn s) \in \langle unit-rel, Id \rangle g-impl-rel-ext$
unfolding *pnet-rel-def rev-graph-of-impl-def rev-graph-of-def*
 $g-impl-rel-ext-def gen-g-impl-rel-ext-def$
apply (*auto simp: fun-set-rel-def br-def list-set-rel-def*
 $id-slg-rel-alt-a ahm-ld-def$)
apply (*auto simp: rev-well-formed-pn-def Graph.E-def pnet-\alpha-def*
 $o-def ahm-correct$)
done

schematic-goal *reachable-impl*:

assumes [*simp*]: *finite* ((*g-E G*)^{*} “ *g-V0 G*) *graph G*
assumes [*autoref-rules*]: (*Gi, G*) ∈ ⟨*unit-rel, nat-rel*⟩ *g-impl-rel-ext*
shows *RETURN* (?*c*::?*'c*) ≤ ↓?*R* (*RETURN* (*op-reachable G*))
by *autoref-monadic*
concrete-definition *reachable-impl uses reachable-impl*
thm *reachable-impl.refine*

context begin
interpretation *autoref-syn* .

schematic-goal *sets-eq-impl*:
fixes *a b* :: *nat set*
assumes [*autoref-rules*]: (*ai, a*) ∈ ⟨*nat-rel*⟩ *ahs-rel*
assumes [*autoref-rules*]: (*bi, b*) ∈ ⟨*nat-rel*⟩ *dflt-ahs-rel*
shows (?*c*, (*a* :: ⟨*nat-rel*⟩ *ahs-rel*) = (*b* :: ⟨*nat-rel*⟩ *dflt-ahs-rel*))
 ∈ *bool-rel*
apply (*autoref*)
done
concrete-definition *sets-eq-impl uses sets-eq-impl*

end

definition *net-α* ≡ (λ(*ci, adjmapi*) .
 ((*the-default 0 o (ahm.α ci)*), (*the-default [] o (ahm.α adjmapi)*)))

lemma [*code*]: *net-α* (*ci, adjmapi*) = (
 cap-lookup ci, succ-lookup adjmapi
)
unfolding *net-α-def*
by (*auto split: option.splits simp: ahm.correct ahm-ld-def*)

definition *checkNet3 cc s t* ≡ *do* {
 if s = t then
 RETURN None
 else do {
 let rd = read' cc s t;
 case rd of
 None ⇒ RETURN None
 | *Some x ⇒ do* {
 if pn-s-node' x ∧ pn-t-node' x then
 do {
 ASSERT(finite ((Graph.E (pn-c (pnet-α x)))^{} “ {s}));*
 ASSERT(finite (((Graph.E (pn-c (pnet-α x)))⁻¹)^{} “ {t}));*
 ASSERT(∀ u. set ((pn-succ (pnet-α x)) u) =
 Graph.E (pn-c (pnet-α x)) “ {u}
 ∧ distinct ((pn-succ (pnet-α x)) u));
 ASSERT(∀ u. set ((pn-pred (pnet-α x)) u) =
 (Graph.E (pn-c (pnet-α x)))⁻¹ “ {u}
 ∧ distinct ((pn-pred (pnet-α x)) u));
 }
 }
 }
 }

```

    let succ-s = (reachable-impl (graph-of-impl x s));
    let pred-t = (reachable-impl (rev-graph-of-impl x t));
    if (sets-eq-impl (pn-V' x) succ-s)
      ^ (sets-eq-impl (pn-V' x) pred-t)
    then
      RETURN (Some (net-α (pn-c' x, pn-adjmap' x)))
    else
      RETURN None
  }
else
  RETURN None
}
}
}

```

lemma *aux1*: $(x', x) \in \text{pnet-rel} \implies (\text{pn-V}' x', \text{pn-V } x) \in \text{br ahs.}\alpha \text{ ahs.invar}$
unfolding *pnet-rel-def br-def pnet-α-def* **by** *auto*

lemma [*simp*]: *graph (graph-of pn s)*
apply *unfold-locales*
unfolding *graph-of-def*
by *auto*

lemma [*simp*]: *graph (rev-graph-of pn s)*
apply *unfold-locales*
unfolding *rev-graph-of-def*
by *auto*

context begin

private lemma *sets-eq-impl-correct-aux1*:

assumes *A*: $(\text{pn}', \text{pn}) \in \text{pnet-rel}$

assumes *WF*: *well-formed-pn pn*

assumes *F*: *finite ((Graph.E (pn-c (pnet-α pn')))* “ {s}*

shows *sets-eq-impl (pn-V' pn') (reachable-impl (graph-of-impl pn' s))*

$\longleftrightarrow \text{pn-V } \text{pn} = (\text{g-E } (\text{graph-of } \text{pn } \text{s}))^* \text{ “ g-V0 } (\text{graph-of } \text{pn } \text{s})$

proof –

from *A* **have** *S1i*: $(\text{pn-V}' \text{pn}', \text{pn-V } \text{pn}) \in \text{br ahs.}\alpha \text{ ahs.invar}$

unfolding *pnet-rel-def br-def pnet-α-def* **by** *auto*

note *GI* = *graph-of-impl-correct[OF WF A]*

have *G*: *graph (graph-of pn s)* **by** *simp*

have *F'*: *finite ((g-E (graph-of pn s))* “ g-V0 (graph-of pn s))*

using *F A* **by** (*simp add: graph-of-def pnet-α-def pnet-rel-def br-def*)

note *S2i* = *reachable-impl.refine[simplified, OF F' G GI]*

from *sets-eq-impl.refine[simplified, OF S1i S2i]* **show** *?thesis* .
qed

private lemma *sets-eq-impl-correct-aux2*:

assumes *A*: $(pn', pn) \in pnet\text{-rel}$

assumes *WF*: *rev-well-formed-pn pn*

assumes *F*: *finite* $((Graph.E (pn\text{-c} (pnet\text{-}\alpha\ pn')))^{-1})^* \text{ `` } \{s\}$

shows *sets-eq-impl* $(pn\text{-}V'\ pn')$ $(reachable\text{-impl} (rev\text{-graph-of-impl}\ pn'\ s))$

$\longleftrightarrow pn\text{-}V\ pn = (g\text{-}E (rev\text{-graph-of}\ pn\ s))^* \text{ `` } g\text{-}V0 (rev\text{-graph-of}\ pn\ s)$

proof –

from *A* **have** *S1i*: $(pn\text{-}V'\ pn', pn\text{-}V\ pn) \in br\ ahs.\alpha\ ahs.invar$

unfolding *pnet-rel-def br-def pnet- α -def* **by** *auto*

note *GI* = *rev-graph-of-impl-correct[OF WF A]*

have *G*: *graph* $(rev\text{-graph-of}\ pn\ s)$ **by** *simp*

have *F'*: *finite* $((g\text{-}E (rev\text{-graph-of}\ pn\ s))^* \text{ `` } g\text{-}V0 (rev\text{-graph-of}\ pn\ s))$

using *F A* **by** $(simp\ add: rev\text{-graph-of-def}\ pnet\text{-}\alpha\text{-def}\ pnet\text{-rel-def}\ br\text{-def})$

note *S2i* = *reachable-impl.refine[simplified, OF F' G GI]*

from *sets-eq-impl.refine[simplified, OF S1i S2i]* **show** *?thesis* .
qed

lemma *checkNet3-correct*: $checkNet3\ el\ s\ t \leq checkNet2\ el\ s\ t$

unfolding *checkNet3-def checkNet2-def*

apply $(rule\ refine\text{-}IdD)$

apply $(refine\text{-}rcg)$

apply *clarsimp-all*

apply $(rule\ introR[\mathbf{where}\ R = \langle pnet\text{-rel} \rangle option\text{-rel}])$

apply $(simp\ add: read'\text{-correct-alt}; fail)$

apply $((simp\ add: pnet\text{-rel-def}\ br\text{-def}\ pnet\text{-}\alpha\text{-def})+) [7]$

apply $(subst\ sets\text{-eq-impl-correct-aux1}; assumption?)$

apply $(simp\ add: well\text{-formed-pn-def})$

apply $(subst\ sets\text{-eq-impl-correct-aux2}; assumption?)$

apply $(simp\ add: rev\text{-well-formed-pn-def})$

apply *simp*

apply $(simp\ add: net\text{-}\alpha\text{-def}\ o\text{-def}\ pnet\text{-}\alpha\text{-def}\ pnet\text{-rel-def}\ br\text{-def})$

done

end

schematic-goal *checkNet4*: RETURN ?c ≤ *checkNet3* el s t
unfolding *checkNet3-def*
by (*refine-transfer*)
concrete-definition *checkNet4* for el s t uses *checkNet4*

lemma *checkNet4-correct*: case *checkNet4* el s t of
 Some (c, *adjmap*) ⇒ (el, c) ∈ *ln-rel*
 ∧ *Network* c s t ∧ *Graph.is-adj-map* c *adjmap*
| *None* ⇒ ¬*ln-invar* el ∨ ¬*Network* (*ln-α* el) s t
proof –
note *checkNet4.refine*
also note *checkNet3-correct*
also note *checkNet2-correct*
also note *checkNet-correct*
finally show ?*thesis* by *simp*
qed

6.6 Executable Network Checker

definition *prepareNet* :: *edge-list* ⇒ *node* ⇒ *node*
⇒ (*capacity-impl graph* × (*node*⇒*node list*) × *nat*) *option*
— Read an edge list and a source/sink node, and return a network graph, an adjacency map, and the maximum node number plus one. If the edge list or network is invalid, return *NONE*.

where
prepareNet el s t ≡ *do* {
 (c, *adjmap*) ← *checkNet4* el s t;
 let N = *ln-N* el;
 Some (c, *adjmap*, N)
}

export-code *prepareNet* **checking** *SML*

theorem *prepareNet-correct*: case (*prepareNet* el s t) of
 Some (c, *adjmap*, N) ⇒ (el, c) ∈ *ln-rel* ∧ *Network* c s t
 ∧ *Graph.is-adj-map* c *adjmap* ∧ *Graph.V* c ⊆ {0..*N*}
| *None* ⇒ ¬*ln-invar* el ∨ ¬*Network* (*ln-α* el) s t
using *checkNet4-correct*[of el s t] *ln-N-correct*[of el]
unfolding *prepareNet-def*
by (*auto split: Option.bind-split simp: ln-rel-def br-def*)

end

7 Combination with Network Checker

theory *Edka-Checked-Impl*
imports ../*Net-Check/NetCheck* *EdmondsKarp-Impl*

begin

In this theory, we combine the Edmonds-Karp implementation with the network checker.

7.1 Adding Statistic Counters

We first add some statistic counters, that we use for profiling

definition *stat-outer-c* :: unit Heap **where** *stat-outer-c* = return ()

lemma *insert-stat-outer-c*: $m = \text{stat-outer-c} \gg m$

unfolding *stat-outer-c-def* **by** *simp*

definition *stat-inner-c* :: unit Heap **where** *stat-inner-c* = return ()

lemma *insert-stat-inner-c*: $m = \text{stat-inner-c} \gg m$

unfolding *stat-inner-c-def* **by** *simp*

code-printing

code-module *stat* \rightarrow (SML) (\langle

structure stat = struct

val outer-c = ref 0;

fun outer-c-incr () = (outer-c := !outer-c + 1; ())

val inner-c = ref 0;

fun inner-c-incr () = (inner-c := !inner-c + 1; ())

end

\rangle

| **constant** *stat-outer-c* \rightarrow (SML) *stat.outer'-c'-incr*

| **constant** *stat-inner-c* \rightarrow (SML) *stat.inner'-c'-incr*

schematic-goal [*code*]: *edka-imp-run-0 s t N f brk = ?foo*

apply (*subst edka-imp-run.code*)

apply (*rewrite in* \sqsupset *insert-stat-outer-c*)

by (*rule refl*)

thm *bfs-impl.code*

schematic-goal [*code*]: *bfs-impl-0 succ-impl ci ti x = ?foo*

apply (*subst bfs-impl.code*)

apply (*rewrite in* *imp-nfoldli - -* \sqsupset *insert-stat-inner-c*)

by (*rule refl*)

7.2 Combined Algorithm

definition *edmonds-karp el s t* \equiv do {

case prepareNet el s t of

None \Rightarrow *return None*

| *Some (c,am,N)* \Rightarrow do {

f \leftarrow *edka-imp c s t N am* ;

return (Some (c,am,N,f))

}

}

export-code *edmonds-karp checking SML*

lemma *network-is-impl: Network c s t \impl Network-Impl c s t* **by** *intro-locales*

theorem *edmonds-karp-correct:*

<emp> edmonds-karp el s t < λ
None $\impl \uparrow(\neg \text{ln-invar } el \vee \neg \text{Network } (ln-\alpha \text{ } el) \text{ } s \text{ } t)$
| Some (c,am,N,fi) \impl
 $\exists_{Af}. \text{Network-Impl.is-rflow } c \text{ } s \text{ } t \text{ } N \text{ } f \text{ } fi$
** $\uparrow(\text{ln-}\alpha \text{ } el = c \wedge \text{Graph.is-adj-map } c \text{ } am$*
 $\wedge \text{Network.isMaxFlow } c \text{ } s \text{ } t \text{ } f$
 $\wedge \text{ln-invar } el \wedge \text{Network } c \text{ } s \text{ } t \wedge \text{Graph.V } c \subseteq \{0..\<N\}$)

>_t

unfolding *edmonds-karp-def*

using *prepareNet-correct[of el s t]*

by (*sep-auto*

split: option.splits

heap: Network-Impl.edka-imp-correct

simp: ln-rel-def br-def network-is-impl)

context

begin

private definition *is-rflow \equiv Network-Impl.is-rflow* **theorem**

fixes *el* **defines** *c \equiv ln- α el*

shows

<emp>

edmonds-karp el s t

< λ None $\impl \uparrow(\neg \text{ln-invar } el \vee \neg \text{Network } c \text{ } s \text{ } t)$

| Some (-,-,N,cf) \impl

$\uparrow(\text{ln-invar } el \wedge \text{Network } c \text{ } s \text{ } t \wedge \text{Graph.V } c \subseteq \{0..\<N\})$

** ($\exists_{Af}. \text{is-rflow } c \text{ } s \text{ } t \text{ } N \text{ } f \text{ } cf$ * $\uparrow(\text{Network.isMaxFlow } c \text{ } s \text{ } t \text{ } f)$)*

>_t unfolding c-def is-rflow-def

by (*sep-auto heap: edmonds-karp-correct[of el s t] split: option.split)*

end

7.3 Usage Example: Computing Maxflow Value

We implement a function to compute the value of the maximum flow.

lemma (**in** *Network*) *am-s-is-incoming:*

assumes *is-adj-map am*

shows *$E^{\{\}}\{s\} = \text{set } (am \text{ } s)$*

using *assms no-incoming-s*

unfolding *is-adj-map-def*

by *auto*

context *RGraph* **begin**

lemma *val-by-adj-map:*

```

assumes is-adj-map am
shows  $f.val = (\sum v \in set (am\ s). c (s,v) - cf (s,v))$ 
proof -
  have  $f.val = (\sum v \in E''\{s\}. c (s,v) - cf (s,v))$ 
    unfolding f.val-alt
    by (simp add: sum-outgoing-pointwise f-def flow-of-cf-def)
  also have  $\dots = (\sum v \in set (am\ s). c (s,v) - cf (s,v))$ 
    by (simp add: am-s-is-incoming[OF assms])
  finally show ?thesis .
qed

end

context Network
begin

definition get-cap e  $\equiv c\ e$ 
definition (in  $-$ ) get-am  $:: (node \Rightarrow node\ list) \Rightarrow node \Rightarrow node\ list$ 
  where get-am am v  $\equiv am\ v$ 

definition compute-flow-val am cf  $\equiv do \{$ 
  let succs = get-am am s;
  setsum-impl
  ( $\lambda v. do \{$ 
    let csv = get-cap (s,v);
    cfsv  $\leftarrow$  cf-get cf (s,v);
    return (csv - cfsv)
  }) (set succs)
}

lemma (in RGraph) compute-flow-val-correct:
assumes is-adj-map am
shows  $compute-flow-val\ am\ cf \leq (spec\ v. v = f.val)$ 
unfolding val-by-adj-map[OF assms]
unfolding compute-flow-val-def cf-get-def get-cap-def get-am-def
apply (refine-vcg setsum-imp-correct)
apply (vc-solve simp: s-node)
unfolding am-s-is-incoming[symmetric, OF assms]
by (auto simp: V-def)

```

For technical reasons (poor foreach-support of Sepref tool), we have to add another refinement step:

```

definition compute-flow-val2 am cf  $\equiv (do \{$ 
  let succs = get-am am s;
  ifoldli succs (\lambda-. True)
  ( $\lambda x\ a. do \{$ 
    b  $\leftarrow$  do \{
      let csv = get-cap (s, x);

```

```

    cfsv ← cf-get cf (s, x);
    return (csv - cfsv)
  };
  return (a + b)
})
0
})

```

lemma (in *RGraph*) *compute-flow-val2-correct*:
assumes *is-adj-map am*
shows *compute-flow-val2 am cf ≤ (spec v. v = f.val)*
proof –
have [*refine-dref-RELATES*]: *RELATES (⟨Id⟩list-set-rel)*
by (*simp add: RELATES-def*)
show *?thesis*
apply (*rule order-trans[OF - compute-flow-val-correct[OF assms]]*)
unfolding *compute-flow-val2-def compute-flow-val-def setsum-impl-def*
apply (*rule refine-IdD*)
apply (*refine-rcg LFO-refine bind-refine*^)
apply *refine-dref-type*
apply *vc-solve*
using *assms*
by (*auto*
simp: list-set-rel-def br-def get-am-def is-adj-map-def
simp: refine-pw-simps)
qed

end

context *Edka-Impl* **begin**

term *is-am*

lemma [*sepref-import-param*]: $(c, PR-CONST \text{ get-cap}) \in Id \times_r Id \rightarrow Id$
by (*auto simp: get-cap-def*)

lemma [*def-pat-rules*]:

Network.get-cap\$c \equiv UNPROTECT \text{ get-cap } \mathbf{by} \text{ simp}

sepref-register

PR-CONST get-cap :: *node* × *node* ⇒ *capacity-impl*

lemma [*sepref-import-param*]: $(\text{get-am}, \text{get-am}) \in Id \rightarrow Id \rightarrow \langle Id \rangle \text{list-rel}$
by (*auto simp: get-am-def intro!: ext*)

schematic-goal *compute-flow-val-imp*:

fixes *am* :: *node* ⇒ *node list* **and** *cf* :: *capacity-impl graph*

notes [*id-rules*] =

itypeI[*Pure.of am TYPE(node ⇒ node list)*]

itypeI[*Pure.of cf TYPE(capacity-impl i-mtx)*]

```

notes [sepref-import-param] = IdI[of N] IdI[of am]
shows hn-refine
  (hn-ctxt (asmtx-assn N id-assn) cf cfi)
  (?c::?'d Heap) ? $\Gamma$  ?R (compute-flow-val2 am cf)
unfolding compute-flow-val2-def
using [[id-debug, goals-limit = 1]]
by sepref
concrete-definition (in -) compute-flow-val-imp for c s N am cfi
uses Edka-Impl.compute-flow-val-imp
prepare-code-thms (in -) compute-flow-val-imp-def
end

```

```

context Network-Impl begin

```

```

lemma compute-flow-val-imp-correct-aux:
assumes VN: Graph.V c  $\subseteq$  {0..N}
assumes ABS-PS: is-adj-map am
assumes RG: RGraph c s t cf
shows
  <asmtx-assn N id-assn cf cfi>
  compute-flow-val-imp c s N am cfi
  < $\lambda v. \text{asmtx-assn } N \text{ id-assn } cf \text{ cfi} * \uparrow(v = \text{Flow.val } c \text{ s } (\text{flow-of-cf } cf))$ >t
proof -
interpret rg: RGraph c s t cf by fact

```

```

have EI: Edka-Impl c s t N by unfold-locales fact
from hn-refine-ref[OF
  rg.compute-flow-val2-correct[OF ABS-PS]
  compute-flow-val-imp.refine[OF EI], of cfi]
show ?thesis
apply (simp add: hn-ctxt-def pure-def hn-refine-def rg.f-def)
apply (erule cons-post-rule)
apply sep-auto
done

```

```

qed

```

```

lemma compute-flow-val-imp-correct:
assumes VN: Graph.V c  $\subseteq$  {0..N}
assumes ABS-PS: Graph.is-adj-map c am
shows
  <is-rflow N f cfi>
  compute-flow-val-imp c s N am cfi
  < $\lambda v. \text{is-rflow } N \text{ f } cfi * \uparrow(v = \text{Flow.val } c \text{ s } f)$ >t
apply (rule hoare-triple-preI)
apply (clarsimp simp: is-rflow-def)
apply vcg
apply (rule cons-rule[OF - - compute-flow-val-imp-correct-aux][where cfi=cfi])
apply (sep-auto simp: VN ABS-PS)
done

```

end

definition *edmonds-karp-val el s t* \equiv do {
 r \leftarrow *edmonds-karp el s t*;
 case *r* of
 None \Rightarrow return None
 | Some (*c,am,N,cfi*) \Rightarrow do {
 v \leftarrow *compute-flow-val-imp c s N am cfi*;
 return (Some *v*)
 }
}

theorem *edmonds-karp-val-correct*:
 $\langle emp \rangle$ *edmonds-karp-val el s t* $< \lambda$
 None $\Rightarrow \uparrow(\neg ln-invar\ el \vee \neg Network\ (ln-\alpha\ el)\ s\ t)$
 | Some *v* $\Rightarrow \uparrow(\exists f\ N.$
 $ln-invar\ el \wedge Network\ (ln-\alpha\ el)\ s\ t$
 $\wedge Graph.V\ (ln-\alpha\ el) \subseteq \{0..<N\}$
 $\wedge Network.isMaxFlow\ (ln-\alpha\ el)\ s\ t\ f$
 $\wedge v = Flow.val\ (ln-\alpha\ el)\ s\ f)$
 $>_t$
 unfolding *edmonds-karp-val-def*
 by (*sep-auto*
 intro: network-is-impl
 heap: edmonds-karp-correct Network-Impl.compute-flow-val-imp-correct)

end

8 Conclusion

We have presented a verification of the Edmonds-Karp algorithm, using a stepwise refinement approach. Starting with a proof of the Ford-Fulkerson theorem, we have verified the generic Ford-Fulkerson method, specialized it to the Edmonds-Karp algorithm, and proved the upper bound $O(VE)$ for the number of outer loop iterations. We then conducted several refinement steps to derive an efficiently executable implementation of the algorithm, including a verified breadth first search algorithm to obtain shortest augmenting paths. Finally, we added a verified algorithm to check whether the input is a valid network, and generated executable code in SML. The runtime of our verified implementation compares well to that of an unverified reference implementation in Java. Our formalization has combined several techniques to achieve an elegant and accessible formalization: Using the Isar proof language [24], we were able to provide a completely rigorous but

still accessible proof of the Ford-Fulkerson theorem. The Isabelle Refinement Framework [17, 12] and the Sepref tool [14, 15] allowed us to present the Ford-Fulkerson method on a level of abstraction that closely resembles pseudocode presentations found in textbooks, and then formally link this presentation to an efficient implementation. Moreover, modularity of refinement allowed us to develop the breadth first search algorithm independently, and later link it to the main algorithm. The BFS algorithm can be reused as building block for other algorithms. The data structures are re-usable, too: although we had to implement the array representation of (capacity) matrices for this project, it will be added to the growing library of verified imperative data structures supported by the Sepref tool, such that it can be re-used for future formalizations. During this project, we have learned some lessons on verified algorithm development:

- It is important to keep the levels of abstraction strictly separated. For example, when implementing the capacity function with arrays, one needs to show that it is only applied to valid nodes. However, proving that, e.g., augmenting paths only contain valid nodes is hard at this low level. Instead, one can protect the application of the capacity function by an assertion— already on a high abstraction level where it can be easily discharged. On refinement, this assertion is passed down, and ultimately available for the implementation. Optimally, one wraps the function together with an assertion of its precondition into a new constant, which is then refined independently.
- Profiling has helped a lot in identifying candidates for optimization. For example, based on profiling data, we decided to delay a possible deforestation optimization on augmenting paths, and to first refine the algorithm to operate on residual graphs directly.
- “Efficiency bugs” are as easy to introduce as for unverified software. For example, out of convenience, we implemented the successor list computation by *filter*. Profiling then indicated a hot-spot on this function. As the order of successors does not matter, we invested a bit more work to make the computation tail recursive and gained a significant speed-up. Moreover, we realized only lately that we had accidentally implemented and verified matrices with column major ordering, which have a poor cache locality for our algorithm. Changing the order resulted in another significant speed-up.

We conclude with some statistics: The formalization consists of roughly 8000 lines of proof text, where the graph theory up to the Ford-Fulkerson algorithm requires 3000 lines. The abstract Edmonds-Karp algorithm and its complexity analysis contribute 800 lines, and its implementation (including BFS) another 1700 lines. The remaining lines are contributed by the

network checker and some auxiliary theories. The development of the theories required roughly 3 man month, a significant amount of this time going into a first, purely functional version of the implementation, which was later dropped in favor of the faster imperative version.

8.1 Related Work

We are only aware of one other formalization of the Ford-Fulkerson method conducted in Mizar [20] by Lee. Unfortunately, there seems to be no publication on this formalization except [18], which provides a Mizar proof script without any additional comments except that it “defines and proves correctness of Ford/Fulkerson’s Maximum Network-Flow algorithm at the level of graph manipulations”. Moreover, in Lee et al. [19], which is about graph representation in Mizar, the formalization is shortly mentioned, and it is clarified that it does not provide any implementation or data structure formalization. As far as we understood the Mizar proof script, it formalizes an algorithm roughly equivalent to our abstract version of the Ford-Fulkerson method. Termination is only proved for integer valued capacities. Apart from our own work [13, 22], there are several other verifications of graph algorithms and their implementations, using different techniques and proof assistants. Noschinski [23] verifies a checker for (non-)planarity certificates using a bottom-up approach. Starting at a C implementation, the AutoCorres tool [10, 11] generates a monadic representation of the program in Isabelle. Further abstractions are applied to hide low-level details like pointer manipulations and fixed size integers. Finally, a verification condition generator is used to prove the abstracted program correct. Note that their approach takes the opposite direction than ours: While they start at a concrete version of the algorithm and use abstraction steps to eliminate implementation details, we start at an abstract version, and use concretization steps to introduce implementation details.

Charguéraud [4] also uses a bottom-up approach to verify imperative programs written in a subset of OCaml, amongst them a version of Dijkstra’s algorithm: A verification condition generator generates a *characteristic formula*, which reflects the semantics of the program in the logic of the Coq proof assistant [3].

8.2 Future Work

Future work includes the optimization of our implementation, and the formalization of more advanced maximum flow algorithms, like Dinic’s algorithm [6] or push-relabel algorithms [9]. We expect both formalizing the abstract theory and developing efficient implementations to be challenging but realistic tasks.

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