

# Formalizing Push-Relabel Algorithms

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March 6, 2017

## Abstract

We present a formalization of push-relabel algorithms for computing the maximum flow in a network. We start with Goldberg’s et al. generic push-relabel algorithm, for which we show correctness and the time complexity bound of  $O(V^2E)$ . We then derive the relabel-to-front and FIFO implementation. Using stepwise refinement techniques, we derive an efficient verified implementation.

Our formal proof of the abstract algorithms closely follows a standard textbook proof, and is accessible even without being an expert in Isabelle/HOL— the interactive theorem prover used for the formalization.

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# 1 Introduction

Computing the maximum flow of a network is an important problem in graph theory. Many other problems, like maximum-bipartite-matching, edge-disjoint-paths, circulation-demand, as well as various scheduling and resource allocating problems can be reduced to it.

The practically most efficient algorithms to solve the maximum flow problem are push-relabel algorithms [3]. In this entry, we present a formalization of Goldberg's et al. generic push-relabel algorithm [5], and two instances: The relabel-to-front algorithm [4] and the FIFO push-relabel algorithm [5]. Using stepwise refinement techniques [9, 1, 2], we derive efficient verified implementations. Moreover, we show that the generic push-relabel algorithm has a time complexity of  $O(V^2E)$ .

This entry re-uses and extends theory developed for our formalization of the Edmonds-Karp maximum flow algorithm [6, 7].

While there exists another formalization of the Ford-Fulkerson method in Mizar [8], we are, to the best of our knowledge, the first that verify a polynomial maximum flow algorithm, prove a polynomial complexity bound, or provide a verified executable implementation.

## 2 Generic Push Relabel Algorithm

```
theory Generic-Push-Relabel
imports
  ../Flow-Networks/Ford-Fulkerson
begin
```

### 2.1 Labeling

The central idea of the push-relabel algorithm is to add natural number labels  $l : node \Rightarrow nat$  to each node, and maintain the invariant that for all edges  $(u,v)$  in the residual graph, we have  $l\ u \leq l\ v + 1$ .

```
type-synonym labeling = node  $\Rightarrow$  nat
```

```
locale Labeling = NPreflow +
  fixes  $l :: labeling$ 
  assumes valid:  $(u,v) \in cf.E \implies l(u) \leq l(v) + 1$ 
  assumes lab-src[simp]:  $l\ s = card\ V$ 
  assumes lab-sink[simp]:  $l\ t = 0$ 
begin
```

Generalizing validity to paths

```
lemma gen-valid:  $l(u) \leq l(x) + length\ p$  if cf.isPath  $u\ p\ x$ 
  using that by (induction  $p$  arbitrary:  $u$ ; fastforce dest: valid)
```

In a valid labeling, there cannot be an augmenting path [Cormen 26.17].

The proof works by contradiction, using the validity constraint to show that any augmenting path would be too long for a simple path.

**theorem** *no-augmenting-path*:  $\neg isAugmentingPath\ p$

**proof**

**assume** *isAugmentingPath*  $p$

**hence** *SP*: *cf.isSimplePath*  $s\ p\ t$  **unfolding** *isAugmentingPath-def* .

**hence** *cf.isPath*  $s\ p\ t$  **unfolding** *cf.isSimplePath-def* **by** *auto*

**from** *gen-valid[OF this]* **have** *length*  $p \geq card\ V$  **by** *auto*

**with** *cf.simplePath-length-less-V[OF - SP]* **show** *False* **by** *auto*

**qed**

The idea of push relabel algorithms is to maintain a valid labeling, and, ultimately, arrive at a valid flow, i.e., no nodes have excess flow. We then immediately get that the flow is maximal:

**corollary** *no-excess-imp-maxflow*:

**assumes**  $\forall u \in V - \{s, t\}. excess\ f\ u = 0$

**shows** *isMaxFlow*  $f$

**proof** –

**from** *assms* **interpret** *NFlow*

**apply** *unfold-locales*

**using** *no-deficient-nodes* **unfolding** *excess-def* **by** *auto*

**from** *noAugPath-iff-maxFlow no-augmenting-path* **show** *isMaxFlow*  $f$  **by** *auto*

**qed**

**end** — Labeling

## 2.2 Basic Operations

The operations of the push relabel algorithm are local operations on single nodes and edges.

### 2.2.1 Augmentation of Edges

**context** *Network*

**begin**

We define a function to augment a single edge in the residual graph.

**definition** *augment-edge* ::  $'capacity\ flow \Rightarrow -$

**where** *augment-edge*  $f \equiv \lambda(u, v). \Delta.$

*if*  $(u, v) \in E$  **then**  $f\ (u, v) := f\ (u, v) + \Delta$  )

*else if*  $(v, u) \in E$  **then**  $f\ (v, u) := f\ (v, u) - \Delta$  )

*else*  $f$

**lemma** *augment-edge-zero[simp]*: *augment-edge*  $f\ e\ 0 = f$

**unfolding** *augment-edge-def* **by** (*auto split: prod.split*)

**lemma** *augment-edge-same*[simp]:  $e \in E \implies \text{augment-edge } f \ e \ \Delta \ e = f \ e + \Delta$   
**unfolding** *augment-edge-def* **by** (*auto split!*: *prod.splits*)

**lemma** *augment-edge-other*[simp]:  $\llbracket e \in E; e' \neq e \rrbracket \implies \text{augment-edge } f \ e \ \Delta \ e' = f \ e'$   
**unfolding** *augment-edge-def* **by** (*auto split!*: *prod.splits*)

**lemma** *augment-edge-rev-same*[simp]:  
 $(v,u) \in E \implies \text{augment-edge } f \ (u,v) \ \Delta \ (v,u) = f \ (v,u) - \Delta$   
**using** *no-parallel-edge*  
**unfolding** *augment-edge-def* **by** (*auto split!*: *prod.splits*)

**lemma** *augment-edge-rev-other*[simp]:  
 $\llbracket (u,v) \notin E; e' \neq (v,u) \rrbracket \implies \text{augment-edge } f \ (u,v) \ \Delta \ e' = f \ e'$   
**unfolding** *augment-edge-def* **by** (*auto split!*: *prod.splits*)

**lemma** *augment-edge-cf*[simp]:  $(u,v) \in E \cup E^{-1} \implies$   
 $\text{cf-of } (\text{augment-edge } f \ (u,v) \ \Delta)$   
 $= (\text{cf-of } f)(u,v) := \text{cf-of } f \ (u,v) - \Delta, (v,u) := \text{cf-of } f \ (v,u) + \Delta$   
**apply** (*intro ext*; *cases*  $(u,v) \in E$ )  
**subgoal for**  $e'$   
**apply** (*cases*  $e' = (u,v)$ )  
**subgoal by** (*simp split!*: *if-splits add: no-self-loop residualGraph-def*)  
**apply** (*cases*  $e' = (v,u)$ )  
**subgoal by** (*simp split!*: *if-splits add: no-parallel-edge residualGraph-def*)  
**subgoal by** (*simp*  
*split!*: *if-splits prod.splits*  
*add: residualGraph-def augment-edge-def*)  
**done**  
**subgoal for**  $e'$   
**apply** (*cases*  $e' = (u,v)$ )  
**subgoal by** (*simp split!*: *if-splits add: no-self-loop residualGraph-def*)  
**apply** (*cases*  $e' = (v,u)$ )  
**subgoal by** (*simp split!*: *if-splits add: no-self-loop residualGraph-def*)  
**subgoal by** (*simp*  
*split!*: *if-splits prod.splits*  
*add: residualGraph-def augment-edge-def*)  
**done**  
**done**

**lemma** *augment-edge-cf'*:  $(u,v) \in \text{cf}E\text{-of } f \implies$   
 $\text{cf-of } (\text{augment-edge } f \ (u,v) \ \Delta)$   
 $= (\text{cf-of } f)(u,v) := \text{cf-of } f \ (u,v) - \Delta, (v,u) := \text{cf-of } f \ (v,u) + \Delta$   
**proof** –  
**assume**  $(u,v) \in \text{cf}E\text{-of } f$   
**hence**  $(u,v) \in E \cup E^{-1}$  **using** *cfE-of-ss-invE* ..  
**thus** *?thesis* **by** *simp*  
**qed**

The effect of augmenting an edge on the residual graph

**definition** (in  $-$ ) *augment-edge-cf* ::  $- \text{ flow} \Rightarrow -$  **where**  
*augment-edge-cf cf*  
 $\equiv \lambda(u,v) \Delta. (cf)(u,v) := cf(u,v) - \Delta, (v,u) := cf(v,u) + \Delta$

**lemma** *cf-of-augment-edge*:  
**assumes**  $A: (u,v) \in cfE\text{-of } f$   
**shows**  $cf\text{-of } (augment\text{-edge } f (u,v) \Delta) = augment\text{-edge-cf } (cf\text{-of } f) (u,v) \Delta$   
**proof**  $-$   
**show**  $cf\text{-of } (augment\text{-edge } f (u,v) \Delta) = augment\text{-edge-cf } (cf\text{-of } f) (u,v) \Delta$   
**by** (*simp add: augment-edge-cf-def A augment-edge-cf'*)  
**qed**

**lemma** *cfE-augment-ss*:  
**assumes**  $EDGE: (u,v) \in cfE\text{-of } f$   
**shows**  $cfE\text{-of } (augment\text{-edge } f (u,v) \Delta) \subseteq insert(v,u) (cfE\text{-of } f)$   
**using**  $EDGE$   
**apply** (*clarsimp simp: augment-edge-cf'*)  
**unfolding**  $Graph.E\text{-def}$   
**apply** (*auto split: if-splits*)  
**done**

**end** — Network

**context** *NPreflow* **begin**

Augmenting an edge  $(u,v)$  with a flow  $\Delta$  that does not exceed the available edge capacity, nor the available excess flow on the source node, preserves the preflow property.

**lemma** *augment-edge-preflow-preserve*:  $\llbracket 0 \leq \Delta; \Delta \leq cf(u,v); \Delta \leq excess\ f\ u \rrbracket$   
 $\implies Preflow\ c\ s\ t\ (augment\text{-edge } f (u,v) \Delta)$

**apply** *unfold-locales*

**subgoal**

**unfolding** *residualGraph-def augment-edge-def*

**using** *capacity-const*

**by** (*fastforce split!: if-splits*)

**subgoal**

**proof** (*intro ballI; clarsimp*)

**assume**  $0 \leq \Delta \quad \Delta \leq cf(u,v) \quad \Delta \leq excess\ f\ u$

**fix**  $v'$

**assume**  $V': v' \in V \quad v' \neq s \quad v' \neq t$

**show**  $sum\ (augment\text{-edge } f (u,v) \Delta)\ (outgoing\ v')$

$\leq sum\ (augment\text{-edge } f (u,v) \Delta)\ (incoming\ v')$

**proof** (*cases*)

**assume**  $\Delta = 0$

**with** *no-deficient-nodes* **show** *?thesis* **using**  $V'$  **by** *auto*



next

assume  $\Delta \neq 0$  with  $\langle 0 \leq \Delta \rangle$  have  $0 < \Delta$  by auto  
with  $\langle \Delta \leq cf(u,v) \rangle$  have  $(u,v) \in cf.E$  unfolding Graph.E-def by auto

show ?thesis

proof (cases)

assume [simp]:  $(u,v) \in E$

hence AE: augment-edge  $f(u,v) \Delta = f(u,v) := f(u,v) + \Delta$

unfolding augment-edge-def by auto

have 1:  $\forall e \in \text{outgoing } v'. \text{augment-edge } f(u,v) \Delta e = f e$  if  $v' \neq u$

using that unfolding outgoing-def AE by auto

have 2:  $\forall e \in \text{incoming } v'. \text{augment-edge } f(u,v) \Delta e = f e$  if  $v' \neq v$

using that unfolding incoming-def AE by auto

from  $\langle (u,v) \in E \rangle$  no-self-loop have  $u \neq v$  by blast

{  
  assume  $v' \neq u \quad v' \neq v$   
  with 1 2  $V'$  no-deficient-nodes have ?thesis by auto  
} moreover {  
  assume [simp]:  $v' = v$   
  have sum (augment-edge  $f(u,v) \Delta$ ) (outgoing  $v'$ )  
    = sum  $f$  (outgoing  $v$ )  
    using 1  $\langle u \neq v \rangle V'$  by auto  
  also have  $\dots \leq \text{sum } f$  (incoming  $v$ )  
    using  $V'$  no-deficient-nodes by auto  
  also have  $\dots \leq \text{sum}$  (augment-edge  $f(u,v) \Delta$ ) (incoming  $v$ )  
    apply (rule sum-mono)  
    using  $\langle 0 \leq \Delta \rangle$   
  by (auto simp: incoming-def augment-edge-def split!: if-split)  
  finally have ?thesis by simp  
} moreover {  
  assume [simp]:  $v' = u$   
  have A1: sum (augment-edge  $f(u,v) \Delta$ ) (incoming  $v'$ )  
    = sum  $f$  (incoming  $u$ )  
    using 2  $\langle u \neq v \rangle$  by auto  
  have  $(u,v) \in \text{outgoing } u$  using  $\langle (u,v) \in E \rangle$   
    by (auto simp: outgoing-def)  
  note AUX = sum.remove[OF - this, simplified]  
  have A2: sum (augment-edge  $f(u,v) \Delta$ ) (outgoing  $u$ )  
    = sum  $f$  (outgoing  $u$ ) +  $\Delta$   
    using AUX[of augment-edge  $f(u,v) \Delta$ ] AUX[of  $f$ ] by auto  
  from A1 A2  $\langle \Delta \leq \text{excess } f u \rangle$  no-deficient-nodes  $V'$  have ?thesis  
    unfolding excess-def by auto  
} ultimately show ?thesis by blast

next

assume [simp]:  $\langle (u,v) \notin E \rangle$

hence [simp]:  $(v,u) \in E$  using cfE-ss-invE  $\langle (u,v) \in cf.E \rangle$  by auto

from  $\langle (u,v) \notin E \rangle \langle (v,u) \in E \rangle$  have  $u \neq v$  by blast

```

have AE: augment-edge f (u,v) Δ = f ( (v,u) := f (v,u) - Δ )
  unfolding augment-edge-def by simp
have 1: ∀ e ∈ outgoing v'. augment-edge f (u,v) Δ e = f e if v' ≠ v
  using that unfolding outgoing-def AE by auto
have 2: ∀ e ∈ incoming v'. augment-edge f (u,v) Δ e = f e if v' ≠ u
  using that unfolding incoming-def AE by auto

{
  assume v' ≠ u v' ≠ v
  with 1 2 V' no-deficient-nodes have ?thesis by auto
} moreover {
  assume [simp]: v' = u
  have A1: sum (augment-edge f (u, v) Δ) (outgoing v')
    = sum f (outgoing u)
    using 1 ⟨u ≠ v⟩ V' by auto

  have (v,u) ∈ incoming u
    using ⟨(v,u) ∈ E⟩ by (auto simp: incoming-def)
  note AUX = sum.remove[OF - this, simplified]
  have A2: sum (augment-edge f (u,v) Δ) (incoming u)
    = sum f (incoming u) - Δ
    using AUX [of augment-edge f (u,v) Δ] AUX [of f] by auto

  from A1 A2 ⟨Δ ≤ excess f u⟩ no-deficient-nodes V' have ?thesis
    unfolding excess-def by auto
} moreover {
  assume [simp]: v' = v
  have sum (augment-edge f (u,v) Δ) (outgoing v')
    ≤ sum f (outgoing v')
    apply (rule sum-mono)
    using ⟨0 < Δ⟩
    by (auto simp: augment-edge-def)
  also have ... ≤ sum f (incoming v)
    using no-deficient-nodes V' by auto
  also have ... ≤ sum (augment-edge f (u,v) Δ) (incoming v')
    using 2 ⟨u ≠ v⟩ by auto
  finally have ?thesis by simp
} ultimately show ?thesis by blast
qed
qed
qed
done
end — Network with Preflow

```

## 2.2.2 Push Operation

```

context Network
begin

```

The push operation pushes as much flow as possible flow from an active node over an admissible edge.

A node is called *active* if it has positive excess, and an edge  $(u,v)$  of the residual graph is called admissible, if  $l\ u = l\ v + (1::'a)$ .

**definition** *push-precond* :: 'capacity flow  $\Rightarrow$  labeling  $\Rightarrow$  edge  $\Rightarrow$  bool  
**where** *push-precond*  $f\ l$   
 $\equiv \lambda(u,v). \text{excess } f\ u > 0 \wedge (u,v) \in \text{cfE-of } f \wedge l\ u = l\ v + 1$

The maximum possible flow is determined by the available excess flow at the source node and the available capacity of the edge.

**definition** *push-effect* :: 'capacity flow  $\Rightarrow$  edge  $\Rightarrow$  'capacity flow  
**where** *push-effect*  $f$   
 $\equiv \lambda(u,v). \text{augment-edge } f\ (u,v)\ (\min\ (\text{excess } f\ u)\ (\text{cf-of } f\ (u,v)))$

**lemma** *push-precondI*[intro?]:  
 $\llbracket \text{excess } f\ u > 0; (u,v) \in \text{cfE-of } f; l\ u = l\ v + 1 \rrbracket \Longrightarrow \text{push-precond } f\ l\ (u,v)$   
**unfolding** *push-precond-def* **by** *auto*

### 2.2.3 Relabel Operation

An active node (not the sink) without any outgoing admissible edges can be relabeled.

**definition** *relabel-precond* :: 'capacity flow  $\Rightarrow$  labeling  $\Rightarrow$  node  $\Rightarrow$  bool  
**where** *relabel-precond*  $f\ l\ u$   
 $\equiv u \neq t \wedge \text{excess } f\ u > 0 \wedge (\forall v. (u,v) \in \text{cfE-of } f \longrightarrow l\ u \neq l\ v + 1)$

The new label is computed from the neighbour's labels, to be the minimum value that will create an outgoing admissible edge.

**definition** *relabel-effect* :: 'capacity flow  $\Rightarrow$  labeling  $\Rightarrow$  node  $\Rightarrow$  labeling  
**where** *relabel-effect*  $f\ l\ u$   
 $\equiv l\ (u := \text{Min } \{ l\ v \mid v. (u,v) \in \text{cfE-of } f \} + 1)$

### 2.2.4 Initialization

The initial preflow exhausts all outgoing edges of the source node.

**definition** *pp-init-f*  $\equiv \lambda(u,v). \text{if } (u=s) \text{ then } c\ (u,v) \text{ else } 0$

The initial labeling labels the source with  $|V|$ , and all other nodes with 0.

**definition** *pp-init-l*  $\equiv (\lambda x. 0)(s := \text{card } V)$

**end** — Network

## 2.3 Abstract Correctness

We formalize the abstract correctness argument of the algorithm. It consists of two parts:

1. Execution of push and relabel operations maintain a valid labeling
2. If no push or relabel operations can be executed, the preflow is actually a flow.

This section corresponds to the proof of [Cormen 26.18].

### 2.3.1 Maintenance of Invariants

**context** *Network*

**begin**

**lemma** *pp-init-invar: Labeling c s t pp-init-f pp-init-l*

**apply** (*unfold-locales*;  
 ((*auto simp: pp-init-f-def pp-init-l-def cap-non-negative; fail*)  
 | (*intro ballI?*))

**proof** –

**fix** *v*

**assume**  $v \in V - \{s, t\}$

**hence**  $\forall e \in \text{outgoing } v. \text{pp-init-f } e = 0$

**by** (*auto simp: outgoing-def pp-init-f-def*)

**hence** [*simp*]:  $\text{sum pp-init-f (outgoing } v) = 0$  **by** *auto*

**have**  $0 \leq \text{pp-init-f } e$  **for** *e*

**by** (*auto simp: pp-init-f-def cap-non-negative split: prod.split*)

**from** *sum-bounded-below*[*of incoming v 0 pp-init-f, OF this*]

**have**  $0 \leq \text{sum pp-init-f (incoming } v)$  **by** *auto*

**thus**  $\text{sum pp-init-f (outgoing } v) \leq \text{sum pp-init-f (incoming } v)$

**by** *auto*

**next**

**fix** *u v*

**assume**  $(u, v) \in \text{Graph.E (residualGraph c pp-init-f)}$

**thus**  $\text{pp-init-l } u \leq \text{pp-init-l } v + 1$

**unfolding** *pp-init-l-def Graph.E-def pp-init-f-def residualGraph-def*

**by** (*auto split: if-splits*)

**qed**

**lemma** *pp-init-f-preflow: NPreflow c s t pp-init-f*

**proof** –

**from** *pp-init-invar* **interpret** *Labeling c s t pp-init-f pp-init-l* .

**show** *?thesis* **by** *unfold-locales*

**qed**

**end** — *Network*

**context** *Labeling*

**begin**

Push operations preserve a valid labeling [Cormen 26.16].

**theorem** *push-pres-Labeling*:  
**assumes** *push-precond f l e*  
**shows** *Labeling c s t (push-effect f e) l*  
**unfolding** *push-effect-def*  
**proof** (*cases e; clarsimp*)  
**fix**  $u\ v$   
**assume** [*simp*]:  $e=(u,v)$   
**let**  $?f' = (\text{augment-edge } f\ (u, v)\ (\text{min } (\text{excess } f\ u)\ (cf\ (u, v))))$

**from** *assms* **have**  
 $ACTIVE: \text{excess } f\ u > 0$   
**and**  $EDGE: (u,v) \in cf.E$   
**and**  $ADM: l\ u = l\ v + 1$   
**unfolding** *push-precond-def* **by** *auto*

**interpret**  $cf'$ : *Preflow c s t ?f'*  
**apply** (*rule augment-edge-preflow-preserve*)  
**using**  $ACTIVE\ resE\ nonNegative$   
**by** *auto*  
**show** *Labeling c s t ?f' l*  
**apply** *unfold-locales* **using** *valid*  
**using**  $cfE\ augment\ ss[OF\ EDGE]\ ADM$   
**apply** (*fastforce*)  
**by** *auto*

**qed**

**lemma** *finite-min-cf-outgoing[*simp, intro!*]*: *finite*  $\{l\ v \mid v. (u, v) \in cf.E\}$

**proof** –  
**have**  $\{l\ v \mid v. (u, v) \in cf.E\} = l'snd'cf.outgoing\ u$   
**by** (*auto simp: cf.outgoing-def*)  
**moreover** **have** *finite* ( $l'snd'cf.outgoing\ u$ ) **by** *auto*  
**ultimately** **show** *?thesis* **by** *auto*

**qed**

Relabel operations preserve a valid labeling [Cormen 26.16]. Moreover, they increase the label of the relabeled node [Cormen 26.15].

**theorem**  
**assumes**  $PRE: \text{relabel-precond } f\ l\ u$   
**shows** *relabel-increase-u: relabel-effect f l u u > l u* (**is** *?G1*)  
**and** *relabel-pres-Labeling: Labeling c s t f (relabel-effect f l u)* (**is** *?G2*)  
**proof** –  
**from**  $PRE$  **have**  
 $NOT-SINK: u \neq t$   
**and**  $ACTIVE: \text{excess } f\ u > 0$   
**and**  $NO-ADM: \bigwedge v. (u,v) \in cf.E \implies l\ u \neq l\ v + 1$   
**unfolding** *relabel-precond-def* **by** *auto*

**from**  $ACTIVE$  **have** [*simp*]:  $s \neq u$  **using** *excess-s-non-pos* **by** *auto*

**from** *active-has-cf-outgoing*[*OF ACTIVE*] **have** [*simp*]:  $\exists v. (u, v) \in cf.E$   
**by** (*auto simp: cf.outgoing-def*)

**from** *NO-ADM valid* **have**  $l u < l v + 1$  **if**  $(u, v) \in cf.E$  **for**  $v$   
**by** (*simp add: nat-less-le that*)

**hence** *LU-INCR*:  $l u \leq \text{Min } \{ l v \mid v. (u, v) \in cf.E \}$   
**by** (*auto simp: less-Suc-eq-le*)

**with** *valid* **have**  $\forall u'. (u', u) \in cf.E \longrightarrow l u' \leq \text{Min } \{ l v \mid v. (u, v) \in cf.E \} + 1$

**by** (*smt ab-semigroup-add-class.add commute add-le-cancel-left le-trans*)

**moreover** **have**  $\forall v. (u, v) \in cf.E \longrightarrow \text{Min } \{ l v \mid v. (u, v) \in cf.E \} + 1 \leq l v + 1$   
**using** *Min-le* **by** *auto*

**ultimately** **show** ?*G1* ?*G2*  
**unfolding** *relabel-effect-def*  
**apply** (*clarsimp-all simp: PRE*)  
**subgoal** **using** *LU-INCR* **by** (*simp add: less-Suc-eq-le*)  
**apply** (*unfold-locale*)  
**subgoal** **for**  $u' v'$  **using** *valid* **by** *auto*  
**subgoal** **by** *auto*  
**subgoal** **using** *NOT-SINK* **by** *auto*  
**done**

**qed**

**lemma** *relabel-preserve-other*:  $u \neq v \implies \text{relabel-effect } f l u v = l v$   
**unfolding** *relabel-effect-def* **by** *auto*

### 2.3.2 Maxflow on Termination

If no push or relabel operations can be performed any more, we have arrived at a maximal flow.

**theorem** *push-relabel-term-imp-maxflow*:  
**assumes** *no-push*:  $\forall (u, v) \in cf.E. \neg \text{push-precond } f l (u, v)$   
**assumes** *no-relabel*:  $\forall u. \neg \text{relabel-precond } f l u$   
**shows** *isMaxFlow*  $f$

**proof** —

**from** *assms* **have**  $\forall u \in V - \{t\}. \text{excess } f u \leq 0$   
**unfolding** *push-precond-def relabel-precond-def*  
**by** *force*

**with** *excess-non-negative* **have**  $\forall u \in V - \{s, t\}. \text{excess } f u = 0$  **by** *force*  
**with** *no-excess-imp-maxflow* **show** ?*thesis* .

**qed**

**end** — Labeling

## 2.4 Convenience Lemmas

We define a locale to reflect the effect of a push operation

**locale** *push-effect-locale* = *Labeling* +

**fixes**  $u\ v$   
**assumes**  $PRE$ :  $push\text{-}precond\ f\ l\ (u,v)$   
**begin**  
**abbreviation**  $f' \equiv push\text{-}effect\ f\ (u,v)$   
**sublocale**  $l'$ :  $Labeling\ c\ s\ t\ f'\ l$   
**using**  $push\text{-}pres\text{-}Labeling[OF\ PRE]$  .

**lemma**  $uv\text{-}cf\text{-}edge[simp, intro!]$ :  $(u,v) \in cf.E$   
**using**  $PRE$  **unfolding**  $push\text{-}precond\text{-}def$  **by**  $auto$   
**lemma**  $excess\text{-}u\text{-}pos$ :  $excess\ f\ u > 0$   
**using**  $PRE$  **unfolding**  $push\text{-}precond\text{-}def$  **by**  $auto$   
**lemma**  $l\text{-}u\text{-}eq[simp]$ :  $l\ u = l\ v + 1$   
**using**  $PRE$  **unfolding**  $push\text{-}precond\text{-}def$  **by**  $auto$

**lemma**  $uv\text{-}edge\text{-}cases$ :  
**obtains** ( $par$ )  $(u,v) \in E \quad (v,u) \notin E$   
 $\quad | (rev)\ (v,u) \in E \quad (u,v) \notin E$   
**using**  $uv\text{-}cf\text{-}edge\ cfE\text{-}ss\text{-}invE\ no\text{-}parallel\text{-}edge$  **by**  $blast$

**lemma**  $uv\text{-}nodes[simp, intro!]$ :  $u \in V \quad v \in V$   
**using**  $E\text{-}ss\text{-}\forall xV\ cfE\text{-}ss\text{-}invE\ no\text{-}parallel\text{-}edge$  **by**  $auto$

**lemma**  $uv\text{-}not\text{-}eq[simp]$ :  $u \neq v \quad v \neq u$   
**using**  $E\text{-}ss\text{-}\forall xV\ cfE\text{-}ss\text{-}invE[THEN\ set\text{-}mp, OF\ uv\text{-}cf\text{-}edge]$   $no\text{-}parallel\text{-}edge$   
**by**  $auto$

**definition**  $\Delta = min\ (excess\ f\ u)\ (cf\text{-}of\ f\ (u,v))$

**lemma**  $\Delta\text{-}positive$ :  $\Delta > 0$   
**unfolding**  $\Delta\text{-}def$   
**using**  $excess\text{-}u\text{-}pos\ uv\text{-}cf\text{-}edge[unfolded\ cf.E\text{-}def]$   $resE\text{-}positive$   
**by**  $auto$

**lemma**  $f'\text{-}alt$ :  $f' = augment\text{-}edge\ f\ (u,v)\ \Delta$   
**unfolding**  $push\text{-}effect\text{-}def\ \Delta\text{-}def$  **by**  $auto$

**lemma**  $cf'\text{-}alt$ :  $l'.cf = augment\text{-}edge\text{-}cf\ cf\ (u,v)\ \Delta$   
**unfolding**  $push\text{-}effect\text{-}def\ \Delta\text{-}def\ augment\text{-}edge\text{-}cf\text{-}def$   
**by** ( $auto\ simp$ :  $augment\text{-}edge\text{-}cf'$ )

**lemma**  $excess'\text{-}u[simp]$ :  $excess\ f'\ u = excess\ f\ u - \Delta$   
**unfolding**  $excess\text{-}def[where\ f=f']$   
**proof** –  
**show**  $sum\ f'\ (incoming\ u) - sum\ f'\ (outgoing\ u) = excess\ f\ u - \Delta$   
**proof** ( $cases\ rule$ :  $uv\text{-}edge\text{-}cases$ )  
**case**  $[simp]$ :  $par$   
**hence**  $UV\text{-}ONI$ :  $(u,v) \in outgoing\ u - incoming\ u$   
**by** ( $auto\ simp$ :  $incoming\text{-}def\ outgoing\text{-}def\ no\text{-}self\text{-}loop$ )  
**have**  $1$ :  $sum\ f'\ (incoming\ u) = sum\ f\ (incoming\ u)$

```

apply (rule sum.cong[OF refl])
using UV-ONI unfolding f'-alt
apply (subst augment-edge-other)
by auto

have sum f' (outgoing u)
  = sum f (outgoing u) + ( $\sum x \in \text{outgoing } u. \text{ if } x = (u, v) \text{ then } \Delta \text{ else } 0$ )
by (auto
      simp: f'-alt augment-edge-def sum.distrib[symmetric]
      intro: sum.cong)
also have ... = sum f' (outgoing u) +  $\Delta$ 
using UV-ONI by (auto simp: sum.delta)
finally show ?thesis using 1 unfolding excess-def by simp
next
case [simp]: rev
have UV-INO:(v,u)∈incoming u – outgoing u
by (auto simp: incoming-def outgoing-def no-self-loop)
have 1: sum f' (outgoing u) = sum f (outgoing u)
apply (rule sum.cong[OF refl])
using UV-INO unfolding f'-alt
apply (subst augment-edge-rev-other)
by (auto)
have sum f' (incoming u)
  = sum f (incoming u) + ( $\sum x \in \text{incoming } u. \text{ if } x = (v, u) \text{ then } -\Delta \text{ else } 0$ )
by (auto
      simp: f'-alt sum.distrib[symmetric] augment-edge-def
      intro: sum.cong)
also have ... = sum f' (incoming u) –  $\Delta$ 
using UV-INO by (auto simp: sum.delta)
finally show ?thesis using 1 unfolding excess-def by auto
qed
qed

lemma excess'-v[simp]: excess f' v = excess f v +  $\Delta$ 
unfolding excess-def[where f=f']
proof –
show sum f' (incoming v) – sum f' (outgoing v) = excess f v +  $\Delta$ 
proof (cases rule: uv-edge-cases)
case [simp]: par
have UV-INO: (u,v)∈incoming v – outgoing v
unfolding incoming-def outgoing-def by (auto simp: no-self-loop)
have 1: sum f' (outgoing v) = sum f (outgoing v)
using UV-INO unfolding f'-alt
by (auto simp: augment-edge-def intro: sum.cong)

have sum f' (incoming v)
  = sum f (incoming v) + ( $\sum x \in \text{incoming } v. \text{ if } x=(u,v) \text{ then } \Delta \text{ else } 0$ )
using UV-INO unfolding f'-alt
by (auto simp: augment-edge-def sum.distrib[symmetric] intro: sum.cong)

```



**also have**  $\dots = \text{sum } f \text{ (incoming } v) + \Delta$   
**using** *UV-INO* **by** (*auto simp: sum.delta*)  
**finally show** *?thesis* **using** 1 **by** (*auto simp: excess-def*)  
**next**  
**case** [*simp*]: *rev*  
**have** *UV-INO*: $(v,u) \in \text{outgoing } v - \text{incoming } v$   
**by** (*auto simp: incoming-def outgoing-def no-self-loop*)  
  
**have** 1:  $\text{sum } f' \text{ (incoming } v) = \text{sum } f \text{ (incoming } v)$   
**using** *UV-INO* **unfolding** *f'-alt*  
**by** (*auto simp: augment-edge-def intro: sum.cong*)  
  
**have**  $\text{sum } f' \text{ (outgoing } v)$   
 $= \text{sum } f \text{ (outgoing } v) + (\sum x \in \text{outgoing } v. \text{ if } x=(v,u) \text{ then } -\Delta \text{ else } 0)$   
**using** *UV-INO* **unfolding** *f'-alt*  
**by** (*auto simp: augment-edge-def sum.distrib[symmetric] intro: sum.cong*)  
**also have**  $\dots = \text{sum } f \text{ (outgoing } v) - \Delta$   
**using** *UV-INO* **by** (*auto simp: sum.delta*)  
**finally show** *?thesis* **using** 1 **by** (*auto simp: excess-def*)  
**qed**  
**qed**

**lemma** *excess'-other*[*simp*]:  
**assumes**  $x \neq u \quad x \neq v$   
**shows**  $\text{excess } f' x = \text{excess } f x$   
**proof** –  
**have** *NE*:  $(u,v) \notin \text{incoming } x \quad (u,v) \notin \text{outgoing } x$   
 $(v,u) \notin \text{incoming } x \quad (v,u) \notin \text{outgoing } x$   
**using** *assms* **unfolding** *incoming-def outgoing-def* **by** *auto*  
**have**  
 $\text{sum } f' \text{ (outgoing } x) = \text{sum } f \text{ (outgoing } x)$   
 $\text{sum } f' \text{ (incoming } x) = \text{sum } f \text{ (incoming } x)$   
**by** (*auto*  
 $\text{simp: augment-edge-def } f'\text{-alt } NE$   
 $\text{split!: if-split}$   
 $\text{intro: sum.cong}$ )  
**thus** *?thesis*  
**unfolding** *excess-def* **by** *auto*  
**qed**

**lemma** *excess'-if*:  
 $\text{excess } f' x = ($   
 $\quad \text{if } x=u \text{ then } \text{excess } f u - \Delta$   
 $\quad \text{else if } x=v \text{ then } \text{excess } f v + \Delta$   
 $\quad \text{else } \text{excess } f x)$   
**by** *simp*

**end** — Push Effect Locale

## 2.5 Complexity

Next, we analyze the complexity of the generic push relabel algorithm. We will show that it has a complexity of  $O(V^2E)$  basic operations. Here, we often trade precise estimation of constant factors for simplicity of the proof.

### 2.5.1 Auxiliary Lemmas

**context** *Network*  
**begin**

**lemma** *cardE-nz-aux*[*simp, intro!*]:  
   $\text{card } E \neq 0 \quad \text{card } E \geq \text{Suc } 0 \quad \text{card } E > 0$   
**proof** –  
  **show**  $\text{card } E \neq 0$  **by** (*simp add: E-not-empty*)  
  **thus**  $\text{card } E \geq \text{Suc } 0$  **by** *linarith*  
  **thus**  $\text{card } E > 0$  **by** *auto*  
**qed**

The number of nodes can be estimated by the number of edges. This estimation is done in various places to get smoother bounds.

**lemma** *card-V-est-E*:  $\text{card } V \leq 2 * \text{card } E$   
**proof** –  
  **have**  $\text{card } V \leq \text{card } (\text{fst}'E) + \text{card } (\text{snd}'E)$   
  **by** (*auto simp: card-Un-le V-alt*)  
  **also note** *card-image-le*[*OF finite-E*]  
  **also note** *card-image-le*[*OF finite-E*]  
  **finally show**  $\text{card } V \leq 2 * \text{card } E$  **by** *auto*  
**qed**

**end**

### 2.5.2 Height Bound

A crucial idea of estimating the complexity is the insight that no label will exceed  $2|V|-1$  during the algorithm.

We define a locale that states this invariant, and show that the algorithm maintains it. The corresponds to the proof of [Cormen 26.20].

**locale** *Height-Bounded-Labeling* = *Labeling* +  
  **assumes** *height-bound*:  $\forall u \in V. l\ u \leq 2 * \text{card } V - 1$   
**begin**  
  **lemma** *height-bound'*:  $u \in V \implies l\ u \leq 2 * \text{card } V - 1$   
  **using** *height-bound* **by** *auto*  
**end**

**lemma** (**in** *Network*) *pp-init-height-bound*:

```

    Height-Bounded-Labeling c s t pp-init-f pp-init-l
  proof –
    interpret Labeling c s t pp-init-f pp-init-l by (rule pp-init-invar)
    show ?thesis by unfold-locales (auto simp: pp-init-l-def)
  qed

```

```

context Height-Bounded-Labeling
begin

```

As push does not change the labeling, it trivially preserves the height bound.

```

lemma push-pres-height-bound:
  assumes push-precond f l e
  shows Height-Bounded-Labeling c s t (push-effect f e) l
proof –
  from push-pres-Labeling[OF assms]
  interpret l': Labeling c s t push-effect f e l .
  show ?thesis using height-bound by unfold-locales
qed

```

In a valid labeling, any active node has a (simple) path to the source node in the residual graph [Cormen 26.19].

```

lemma (in Labeling) excess-imp-source-path:
  assumes excess f u > 0
  obtains p where cf.isSimplePath u p s
proof –
  obtain U where U-def: U = {v | p v. cf.isSimplePath u p v} by blast
  have fct1: U ⊆ V
  proof
    fix v
    assume v ∈ U
    then have (u, v) ∈ cf.E*
      using U-def cf.isSimplePath-def cf.isPath-rtc by auto
    then obtain u' where u = v ∨ ((u, u') ∈ cf.E* ∧ (u', v) ∈ cf.E)
      by (meson rtranclE)
    thus v ∈ V
  proof
    assume u = v
    thus ?thesis using excess-nodes-only[OF assms] by blast
  next
    assume (u, u') ∈ cf.E* ∧ (u', v) ∈ cf.E
    then have v ∈ cf.V unfolding cf.V-def by blast
    thus ?thesis by simp
  qed
  qed
  have s ∈ U
  proof(rule ccontr)
    assume s ∉ U
    obtain U' where U'-def: U' = V - U by blast

```

```

have (∑ u∈U. excess f u)
  = (∑ u∈U. (∑ v∈U'. f (v, u))) - (∑ u∈U. (∑ v∈U'. f (u, v)))
proof -
  have (∑ u∈U. excess f u)
    = (∑ u∈U. (∑ v∈incoming u. f v)) - (∑ u∈U. (∑ v∈outgoing u. f v))
    (is - = ?R1 - ?R2) unfolding excess-def by (simp add: sum-subtractf)
  also have ?R1 = (∑ u∈U. (∑ v∈V. f (v, u)))
    using sum-incoming-alt-flow fct1 by (meson subsetCE sum.cong)
  also have ... = (∑ u∈U. (∑ v∈U. f (v, u))) + (∑ u∈U. (∑ v∈U'. f (v,
u)))
proof -
  have (∑ v∈V. f (v, u)) = (∑ v∈U. f (v, u)) + (∑ v∈U'. f (v, u)) for u
    using U'-def fct1 finite-V
    by (metis ab-semigroup-add-class.add commute sum-subset-split)
  thus ?thesis by (simp add: sum.distrib)
qed
also have ?R2 = (∑ u∈U. (∑ v∈V. f (u, v)))
  using sum-outgoing-alt-flow fct1 by (meson subsetCE sum.cong)
also have ... = (∑ u∈U. (∑ v∈U. f (u, v))) + (∑ u∈U. (∑ v∈U'. f (u,
v)))
proof -
  have (∑ v∈V. f (u, v)) = (∑ v∈U. f (u, v)) + (∑ v∈U'. f (u, v)) for u
    using U'-def fct1 finite-V
    by (metis ab-semigroup-add-class.add commute sum-subset-split)
  thus ?thesis by (simp add: sum.distrib)
qed
also have (∑ u∈U. (∑ v∈U. f (u, v))) = (∑ u∈U. (∑ v∈U. f (v, u)))
proof -
  {
    fix A :: nat set
    assume finite A
    then have (∑ u∈A. (∑ v∈A. f (u, v))) = (∑ u∈A. (∑ v∈A. f (v, u)))
    proof (induction card A arbitrary: A)
      case 0
      then show ?case by auto
    next
      case (Suc x)
      then obtain A' a
        where o1:A = insert a A' and o2:x = card A' and o3:finite A'
        by (metis card-insert-disjoint card-le-Suc-iff le-refl nat.inject)
      then have lm:(∑ e∈A. g e) = (∑ e∈A'. g e) + g a
        for g :: nat ⇒ 'a
        using Suc.hyps(2)
        by (metis card-insert-if n-not-Suc-n
          semiring-normalization-rules(24) sum.insert)

      have (∑ u∈A. (∑ v∈A. f (u, v)))
        = (∑ u∈A'. (∑ v∈A. f (u, v))) + (∑ v∈A. f (a, v))
  }

```

(is - = ?R1 + ?R2) using *lm by auto*  
 also have ?R1 =  $(\sum u \in A'. (\sum v \in A'. f(u, v))) + (\sum u \in A'. f(u, a))$   
 (is - = ?R1-1 + ?R1-2) using *lm sum.distrib by force*  
 also note *add.assoc*  
 also have ?R1-2 + ?R2 =  $(\sum u \in A'. f(a, u)) + (\sum v \in A. f(v, a))$   
 (is - = ?R1-2' + ?R2') using *lm by auto*  
 also have ?R1-1 =  $(\sum u \in A'. (\sum v \in A'. f(v, u)))$   
 (is - = ?R1-1') using *Suc.hyps(1)[of A'] o2 o3 by auto*  
 also note *add.assoc[symmetric]*  
 also have ?R1-1' + ?R1-2' =  $(\sum u \in A'. (\sum v \in A. f(v, u)))$   
 by (*metis (no-types, lifting) lm sum.cong sum.distrib*)  
 finally show ?case using *lm[symmetric] by auto*  
 qed  
 } note *this[of U]*  
 thus ?thesis using *fct1 finite-V finite-subset by auto*  
 qed  
 finally show ?thesis by *arith*  
 qed  
 moreover have  $(\sum u \in U. excess\ f\ u) > 0$   
 proof -  
 have  $u \in U$  using *U-def by simp*  
 moreover have  $u \in U \implies excess\ f\ u \geq 0$  for  $u$   
 using *fct1 excess-non-negative' (s \notin U) by auto*  
 ultimately show ?thesis using *assms fct1 finite-V*  
 by (*metis Diff-cancel Diff-eq-empty-iff*  
*Diff-infinite-finite finite-Diff sum-pos2*)  
 qed  
 ultimately have  
*fct2*:  $(\sum u \in U. (\sum v \in U'. f(v, u))) - (\sum u \in U. (\sum v \in U'. f(u, v))) > 0$   
 by *simp*  
  
 have *fct3*:  $(\sum u \in U. (\sum v \in U'. f(v, u))) > 0$   
 proof -  
 have  $(\sum u \in U. (\sum v \in U'. f(v, u))) \geq 0$   
 using *capacity-const by (simp add: sum-nonneg)*  
 moreover have  $(\sum u \in U. (\sum v \in U'. f(u, v))) \geq 0$   
 using *capacity-const by (simp add: sum-nonneg)*  
 ultimately show ?thesis using *fct2 by simp*  
 qed  
  
 have  $\exists u' v'. (u' \in U \wedge v' \in U' \wedge f(v', u') > 0)$   
 proof(*rule ccontr*)  
 assume  $\neg (\exists u' v'. u' \in U \wedge v' \in U' \wedge f(v', u') > 0)$   
 then have  $(\forall u' v'. (u' \in U \wedge v' \in U' \longrightarrow f(v', u') = 0))$   
 using *capacity-const by (metis le-neq-trans)*  
 thus *False* using *fct3 by simp*  
 qed  
 then obtain  $u' v'$  where  $u' \in U$  and  $v' \in U'$  and  $f(v', u') > 0$   
 by *blast*

```

obtain  $p1$  where  $cf.isSimplePath\ u\ p1\ u'$  using  $U-def\ \langle u' \in U \rangle$  by  $auto$ 
moreover have  $(u', v') \in cf.E$ 
proof –
  have  $(v', u') \in E$ 
    using  $capacity-const\ \langle f\ (v', u') > 0 \rangle$ 
    by  $(metis\ not-less\ zero-flow-simp)$ 
  then have  $cf\ (u', v') > 0$  unfolding  $cf-def$ 
    using  $no-parallel-edge\ \langle f\ (v', u') > 0 \rangle$  by  $(auto\ split:\ if-split)$ 
  thus  $?thesis$  unfolding  $cf.E-def$  by  $simp$ 
qed
ultimately have  $cf.isPath\ u\ (p1\ @\ [(u', v')])\ v'$ 
  using  $Graph.isPath-append-edge\ Graph.isSimplePath-def$  by  $blast$ 
then obtain  $p2$  where  $cf.isSimplePath\ u\ p2\ v'$ 
  using  $cf.isSPath-pathLE$  by  $blast$ 
then have  $v' \in U$  using  $U-def$  by  $auto$ 
thus  $False$  using  $\langle v' \in U \rangle$  and  $U'-def$  by  $simp$ 
qed
then obtain  $p'$  where  $cf.isSimplePath\ u\ p'\ s$  using  $U-def$  by  $auto$ 
thus  $?thesis\ ..$ 
qed

```

Relabel operations preserve the height bound [Cormen 26.20].

**lemma** *relabel-pres-height-bound*:

**assumes** *relabel-precond*  $f\ l\ u$

**shows** *Height-Bounded-Labeling*  $c\ s\ t\ f\ (relabel-effect\ f\ l\ u)$

**proof** –

**let**  $?l' = relabel-effect\ f\ l\ u$

**from** *relabel-pres-Labeling*[*OF assms*]

**interpret**  $l': Labeling\ c\ s\ t\ f\ ?l'$ .

**from** *assms* **have**  $excess\ f\ u > 0$  **unfolding** *relabel-precond-def* **by**  $auto$

**with**  $l'.excess-imp-source-path$  **obtain**  $p$  **where**  $p-obt: cf.isSimplePath\ u\ p\ s$ .

**have**  $u \in V$  **using** *excess-nodes-only*  $\langle excess\ f\ u > 0 \rangle$ .

**then** **have**  $length\ p < card\ V$

**using**  $cf.simplePath-length-less-V[of\ u\ p]$   $p-obt$  **by**  $auto$

**moreover** **have**  $?l'\ u \leq ?l'\ s + length\ p$

**using**  $p-obt\ l'.gen-valid[of\ u\ p\ s]$   $p-obt$

**unfolding**  $cf.isSimplePath-def$  **by**  $auto$

**moreover** **have**  $?l'\ s = card\ V$

**using**  $l'.Labeling-axioms\ Labeling-def\ Labeling-axioms-def$  **by**  $auto$

**ultimately** **have**  $?l'\ u \leq 2 * card\ V - 1$  **by**  $auto$

**thus** *Height-Bounded-Labeling*  $c\ s\ t\ f\ ?l'$

**apply** *unfold-locales*

**using** *height-bound\ relabel-preserve-other*

**by** *metis*

**qed**

Thus, the total number of relabel operations is bounded by  $O(V^2)$  [Cormen 26.21].

We express this bound by defining a measure function, and show that it is decreased by relabel operations.

**definition** (in *Network*) *sum-heights-measure*  $l \equiv \sum_{v \in V}. 2 * \text{card } V - l v$

**corollary** *relabel-measure*:

**assumes** *relabel-precond*  $f l u$

**shows** *sum-heights-measure* (*relabel-effect*  $f l u$ ) < *sum-heights-measure*  $l$

**proof** –

**let**  $?l' = \text{relabel-effect } f l u$

**from** *relabel-pres-height-bound*[*OF assms*]

**interpret**  $l'$ : *Height-Bounded-Labeling*  $c s t f ?l'$ .

**from** *assms* **have**  $u \in V$

**by** (*simp add: excess-nodes-only relabel-precond-def*)

**hence** *V-split*:  $V = \text{insert } u V$  **by** *auto*

**show** *?thesis*

**using** *relabel-increase-u*[*OF assms*] *relabel-preserve-other*[*of u*]

**using**  $l'.\text{height-bound}$

**unfolding** *sum-heights-measure-def*

**apply** (*rewrite at*  $\sum - \in \mathbb{N}. - V\text{-split}$ )**+**

**apply** (*subst sum.insert-remove*[*OF finite-V*])**+**

**using**  $\langle u \in V \rangle$

**by** *auto*

**qed**

**end** — Height Bounded Labeling

**lemma** (in *Network*) *sum-height-measure-is-OV2*:

*sum-heights-measure*  $l \leq 2 * (\text{card } V)^2$

**unfolding** *sum-heights-measure-def*

**proof** –

**have**  $2 * \text{card } V - l v \leq 2 * \text{card } V$  **for**  $v$  **by** *auto*

**then have**  $(\sum_{v \in V}. 2 * \text{card } V - l v) \leq (\sum_{v \in V}. 2 * \text{card } V)$

**by** (*meson sum-mono*)

**also have**  $(\sum_{v \in V}. 2 * \text{card } V) = \text{card } V * (2 * \text{card } V)$

**using** *finite-V* **by** *auto*

**finally show**  $(\sum_{v \in V}. 2 * \text{card } V - l v) \leq 2 * (\text{card } V)^2$

**by** (*simp add: power2-eq-square*)

**qed**

### 2.5.3 Formulation of the Abstract Algorithm

We give a simple relational characterization of the abstract algorithm as a labeled transition system, where the labels indicate the type of operation (push or relabel) that have been executed.

**context** *Network*  
**begin**

**datatype** *pr-operation* = *is-PUSH: PUSH* | *is-RELABEL: RELABEL*  
**inductive-set** *pr-algo-lts*  
 :: (('capacity flow × labeling) × *pr-operation* × ('capacity flow × labeling)) *set*  
**where**  
*push*:  $\llbracket \text{push-precond } f \ l \ e \rrbracket$   
 $\implies ((f, l), \text{PUSH}, (\text{push-effect } f \ e, l)) \in \text{pr-algo-lts}$   
| *relabel*:  $\llbracket \text{relabel-precond } f \ l \ u \rrbracket$   
 $\implies ((f, l), \text{RELABEL}, (f, \text{relabel-effect } f \ l \ u)) \in \text{pr-algo-lts}$

**end** — *Network*

We show invariant maintenance and correctness on termination

**lemma** (in *Height-Bounded-Labeling*) *pr-algo-maintains-hb-labeling*:  
**assumes**  $((f, l), a, (f', l')) \in \text{pr-algo-lts}$   
**shows** *Height-Bounded-Labeling c s t f' l'*  
**using** *assms*  
**by cases** (*simp-all add: push-pres-height-bound relabel-pres-height-bound*)

**lemma** (in *Height-Bounded-Labeling*) *pr-algo-term-maxflow*:  
**assumes**  $(f, l) \notin \text{Domain } \text{pr-algo-lts}$   
**shows** *isMaxFlow f*

**proof** —

**from** *assms* **have**  $\nexists e. \text{push-precond } f \ l \ e$  **and**  $\nexists u. \text{relabel-precond } f \ l \ u$   
**by** (*auto simp: Domain-iff dest: pr-algo-lts.intros*)  
**with** *push-relabel-term-imp-maxflow* **show** *?thesis* **by blast**  
**qed**

## 2.5.4 Saturating and Non-Saturating Push Operations

**context** *Network*  
**begin**

For complexity estimation, it is distinguished whether a push operation saturates the edge or not.

**definition** *sat-push-precond* :: 'capacity flow  $\Rightarrow$  labeling  $\Rightarrow$  edge  $\Rightarrow$  bool  
**where** *sat-push-precond f l*  
 $\equiv \lambda(u, v). \text{excess } f \ u > 0$   
 $\wedge \text{excess } f \ u \geq \text{cf-of } f \ (u, v)$   
 $\wedge (u, v) \in \text{cfE-of } f$   
 $\wedge l \ u = l \ v + 1$

**definition** *nonsat-push-precond* :: 'capacity flow  $\Rightarrow$  labeling  $\Rightarrow$  edge  $\Rightarrow$  bool  
**where** *nonsat-push-precond f l*  
 $\equiv \lambda(u, v). \text{excess } f \ u > 0$   
 $\wedge \text{excess } f \ u < \text{cf-of } f \ (u, v)$



$$\begin{aligned} & \wedge (u,v) \in cfE\text{-of } f \\ & \wedge l\ u = l\ v + 1 \end{aligned}$$

**lemma** *push-precond-eq-sat-or-nonsat*:

*push-precond f l e*  $\longleftrightarrow$  *sat-push-precond f l e*  $\vee$  *nonsat-push-precond f l e*  
**unfolding** *push-precond-def sat-push-precond-def nonsat-push-precond-def*  
**by** *auto*

**lemma** *sat-nonsat-push-disj*:

*sat-push-precond f l e*  $\implies \neg$ *nonsat-push-precond f l e*  
*nonsat-push-precond f l e*  $\implies \neg$ *sat-push-precond f l e*  
**unfolding** *sat-push-precond-def nonsat-push-precond-def*  
**by** *auto*

**lemma** *sat-push-alt*: *sat-push-precond f l e*

$\implies$  *push-effect f e = augment-edge f e (cf-of f e)*  
**unfolding** *push-effect-def push-precond-eq-sat-or-nonsat sat-push-precond-def*  
**by** (*auto simp: min-absorb2*)

**lemma** *nonsat-push-alt*: *nonsat-push-precond f l (u,v)*

$\implies$  *push-effect f (u,v) = augment-edge f (u,v) (excess f u)*  
**unfolding** *push-effect-def push-precond-eq-sat-or-nonsat nonsat-push-precond-def*  
**by** (*auto simp: min-absorb1*)

**end** — Network

**context** *push-effect-locale*

**begin**

**lemma** *nonsat-push- $\Delta$* : *nonsat-push-precond f l (u,v)*  $\implies \Delta = excess\ f\ u$

**unfolding**  *$\Delta$ -def nonsat-push-precond-def* **by** *auto*

**lemma** *sat-push- $\Delta$* : *sat-push-precond f l (u,v)*  $\implies \Delta = cf\ (u,v)$

**unfolding**  *$\Delta$ -def sat-push-precond-def* **by** *auto*

**end**

## 2.5.5 Refined Labeled Transition System

**context** *Network*

**begin**

For simpler reasoning, we make explicit the different push operations, and integrate the invariant into the LTS

**datatype** *pr-operation'* =

*is-RELABEL'*: *RELABEL'*  
| *is-NONSAT-PUSH'*: *NONSAT-PUSH'*  
| *is-SAT-PUSH'*: *SAT-PUSH'* *edge*

**inductive-set** *pr-algo-lts'* **where**

*nonsat-push'*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{ nonsat-push-precond } f \ l \ e \rrbracket$   
 $\implies ((f, l), \text{NONSAT-PUSH}', (\text{push-effect } f \ e, l)) \in \text{pr-algo-lts}'$   
| *sat-push'*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{ sat-push-precond } f \ l \ e \rrbracket$   
 $\implies ((f, l), \text{SAT-PUSH}' \ e, (\text{push-effect } f \ e, l)) \in \text{pr-algo-lts}'$   
| *relabel'*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{ relabel-precond } f \ l \ u \rrbracket$   
 $\implies ((f, l), \text{RELABEL}', (f, \text{relabel-effect } f \ l \ u)) \in \text{pr-algo-lts}'$

**fun** *project-operation* **where**  
*project-operation* *RELABEL'* = *RELABEL*  
| *project-operation* *NONSAT-PUSH'* = *PUSH*  
| *project-operation* (*SAT-PUSH'* -) = *PUSH*

**lemma** *is-RELABEL-project-conv*[*simp*]:  
*is-RELABEL*  $\circ$  *project-operation* = *is-RELABEL'*  
**apply** (*clarsimp* *intro!*: *ext*) **subgoal** for *x* **by** (*cases* *x*) *auto* **done**

**lemma** *is-PUSH-project-conv*[*simp*]:  
*is-PUSH*  $\circ$  *project-operation* =  $(\lambda x. \text{is-SAT-PUSH}' \ x \vee \text{is-NONSAT-PUSH}' \ x)$   
**apply** (*clarsimp* *intro!*: *ext*) **subgoal** for *x* **by** (*cases* *x*) *auto* **done**

**end** — Network

**context** *Height-Bounded-Labeling*

**begin**

**lemma** (**in** *Height-Bounded-Labeling*) *xfer-run*:

**assumes**  $((f, l), p, (f', l')) \in \text{trcl } \text{pr-algo-lts}$   
**obtains** *p'* **where**  $((f, l), p', (f', l')) \in \text{trcl } \text{pr-algo-lts}'$   
**and**  $p = \text{map } \text{project-operation } p'$

**proof** —

**have**  $\exists p'$ .  
 $\text{Height-Bounded-Labeling } c \ s \ t \ f' \ l'$   
 $\wedge ((f, l), p', (f', l')) \in \text{trcl } \text{pr-algo-lts}'$   
 $\wedge p = \text{map } \text{project-operation } p'$   
**using** *assms*

**proof** (*induction* *p* *arbitrary*: *f' l'* *rule*: *rev-induct*)

**case** *Nil* **thus** ?*case* **using** *Height-Bounded-Labeling-axioms* **by** *simp*

**next**

**case** (*snoc* *a* *p*)  
**from** *snoc.prem*s **obtain** *fh* *lh*  
**where** *PP*:  $((f, l), p, fh, lh) \in \text{trcl } \text{pr-algo-lts}$   
**and** *LAST*:  $((fh, lh), a, (f', l')) \in \text{pr-algo-lts}$   
**by** (*auto* *dest!*: *trcl-rev-uncons*)

**from** *snoc.IH*[*OF* *PP*] **obtain** *p'*

**where** *HBL*: *Height-Bounded-Labeling* *c* *s* *t* *fh* *lh*  
**and** *PP'*:  $((f, l), p', fh, lh) \in \text{trcl } \text{pr-algo-lts}'$   
**and** [*simp*]:  $p = \text{map } \text{project-operation } p'$   
**by** *blast*

**from** *LAST* **obtain**  $a'$   
**where**  $LAST'$ :  $((fh, lh), a', (f', l')) \in pr\text{-algo-lts}'$   
**and**  $[simp]$ :  $a = project\text{-operation } a'$   
**apply** *cases*  
**by** (*auto*  
*simp*: *push-precond-eq-sat-or-nonsat*  
*dest*: *relabel'[OF HBL] nonsat-push'[OF HBL] sat-push'[OF HBL]*)

**note**  $HBL' =$   
*Height-Bounded-Labeling.pr-algo-maintains-hb-labeling[OF HBL LAST]*

**from**  $HBL'$  *trcl-rev-cons[OF PP' LAST']* **show** *?case* **by** *auto*  
**qed**  
**with** *assms that* **show** *?thesis* **by** *blast*  
**qed**

**lemma** *xfer-relabel-bound*:  
**assumes**  $BOUND$ :  $\forall p'. ((f, l), p', (f', l')) \in trcl\ pr\text{-algo-lts}'$   
 $\longrightarrow$   $length\ (filter\ is\ RELABEL'\ p') \leq B$   
**assumes**  $RUN$ :  $((f, l), p, (f', l')) \in trcl\ pr\text{-algo-lts}$   
**shows**  $length\ (filter\ is\ RELABEL\ p) \leq B$   
**proof** –  
**from** *xfer-run[OF RUN]* **obtain**  $p'$   
**where**  $RUN'$ :  $((f, l), p', (f', l')) \in trcl\ pr\text{-algo-lts}'$   
**and**  $[simp]$ :  $p = map\ project\text{-operation } p'$ .

**have**  $length\ (filter\ is\ RELABEL\ p) = length\ (filter\ is\ RELABEL'\ p')$   
**by** *simp*  
**also from**  $BOUND[rule-format, OF RUN']$   
**have**  $length\ (filter\ is\ RELABEL'\ p') \leq B$ .  
**finally show** *?thesis*.  
**qed**

**lemma** *xfer-push-bounds*:  
**assumes**  $BOUND\text{-SAT}$ :  $\forall p'. ((f, l), p', (f', l')) \in trcl\ pr\text{-algo-lts}'$   
 $\longrightarrow$   $length\ (filter\ is\ SAT\text{-PUSH}'\ p') \leq B1$   
**assumes**  $BOUND\text{-NONSAT}$ :  $\forall p'. ((f, l), p', (f', l')) \in trcl\ pr\text{-algo-lts}'$   
 $\longrightarrow$   $length\ (filter\ is\ NONSAT\text{-PUSH}'\ p') \leq B2$   
**assumes**  $RUN$ :  $((f, l), p, (f', l')) \in trcl\ pr\text{-algo-lts}$   
**shows**  $length\ (filter\ is\ PUSH\ p) \leq B1 + B2$   
**proof** –  
**from** *xfer-run[OF RUN]* **obtain**  $p'$   
**where**  $RUN'$ :  $((f, l), p', (f', l')) \in trcl\ pr\text{-algo-lts}'$   
**and**  $[simp]$ :  $p = map\ project\text{-operation } p'$ .

**have**  $[simp]$ :  $length\ [x \leftarrow p'. is\ SAT\text{-PUSH}'\ x \vee is\ NONSAT\text{-PUSH}'\ x]$   
 $= length\ (filter\ is\ SAT\text{-PUSH}'\ p') + length\ (filter\ is\ NONSAT\text{-PUSH}'\ p')$   
**by** (*induction p'*) *auto*

```

have length (filter is-PUSH p)
  = length (filter is-SAT-PUSH' p') + length (filter is-NONSAT-PUSH' p')
  by simp
also note BOUND-SAT[rule-format, OF RUN]
also note BOUND-NONSAT[rule-format, OF RUN]
finally show ?thesis by simp
qed

```

**end** — Height Bounded Labeling

## 2.5.6 Bounding the Relabel Operations

```

lemma (in Network) relabel-action-bound':
  assumes A: (fxl,p,fxl') ∈ trcl pr-algo-lts'
  shows length (filter (is-RELABEL') p) ≤ 2 * (card V)2
proof –
  from A have length (filter (is-RELABEL') p) ≤ sum-heights-measure (snd fxl)
  apply (induction rule: trcl.induct)
  apply (auto elim!: pr-algo-lts'.cases)
  apply (drule (1) Height-Bounded-Labeling.relabel-measure)
  apply auto
  done
also note sum-height-measure-is-OV2
finally show length (filter (is-RELABEL') p) ≤ 2 * (card V)2 .
qed

```

```

lemma (in Height-Bounded-Labeling) relabel-action-bound:
  assumes A: ((f,l),p,(f',l')) ∈ trcl pr-algo-lts
  shows length (filter (is-RELABEL) p) ≤ 2 * (card V)2
  using xfer-relabel-bound relabel-action-bound' A by meson

```

## 2.5.7 Bounding the Saturating Push Operations

```

context Network
begin

```

The basic idea is to estimate the saturating push operations per edge: After a saturating push, the edge disappears from the residual graph. It can only re-appear due to a push over the reverse edge, which requires relabeling of the nodes.

The estimation in [Cormen 26.22] uses the same idea. However, it invests some extra work in getting a more precise constant factor by counting the pushes for an edge and its reverse edge together.

```

lemma labels-path-increasing:
  assumes ((f,l),p,(f',l')) ∈ trcl pr-algo-lts'
  shows l u ≤ l' u

```

```

using assms
proof (induction p arbitrary: f l)
  case Nil thus ?case by simp
next
  case (Cons a p)
  then obtain fh lh
    where FIRST:  $((f,l),a,(fh,lh)) \in pr\text{-algo-lts}'$ 
    and PP:  $((fh,lh),p,(f',l')): trcl\ pr\text{-algo-lts}'$ 
    by (auto simp: trcl-conv)

from FIRST interpret Height-Bounded-Labeling c s t f l
  by cases auto

from FIRST Cons.IH[OF PP] show ?case
  apply (auto elim!: pr-algo-lts'.cases)
  using relabel-increase-u relabel-preserve-other
  by (metis le-trans nat-le-linear not-less)
qed

lemma edge-reappears-at-increased-labeling:
  assumes  $((f,l),p,(f',l')) \in trcl\ pr\text{-algo-lts}'$ 
  assumes  $l\ u \geq l\ v + 1$ 
  assumes  $(u,v) \notin cfE\text{-of}\ f$ 
  assumes  $E': (u,v) \in cfE\text{-of}\ f'$ 
  shows  $l\ v < l'\ v$ 
  using assms(1-3)
proof (induction p arbitrary: f l)
  case Nil thus ?case using  $E'$  by auto
next
  case (Cons a p)
  then obtain fh lh
    where FIRST:  $((f,l),a,(fh,lh)) \in pr\text{-algo-lts}'$ 
    and PP:  $((fh,lh),p,(f',l')): trcl\ pr\text{-algo-lts}'$ 
    by (auto simp: trcl-conv)

from FIRST interpret Height-Bounded-Labeling c s t f l
  by cases auto

consider
  (push)  $u'\ v'$ 
  where push-precond f l (u',v')  $fh = push\text{-effect}\ f\ (u',v')$   $lh=l$ 
| (relabel)  $u'$ 
  where relabel-precond f l u'  $fh=f$   $lh=relabel\text{-effect}\ f\ l\ u'$ 
using FIRST
by (auto elim!: pr-algo-lts'.cases simp: push-precond-eq-sat-or-nonsat)
then show ?case proof cases
  case push
  note [simp] = push(2,3)

```

The push operation cannot go on edge  $(u,v)$  or  $(v,u)$

```

from push(1) have  $(u',v') \neq (u,v) \quad (u',v') \neq (v,u) \quad (u',v') \in cf.E$ 
  using  $\langle l\ u \geq l\ v + 1 \rangle \langle (u,v) \notin cf.E \rangle$ 
  by (auto simp: push-precond-def)
hence  $NE'$ :  $(u,v) \notin cfE\text{-of}\ fh$  using  $\langle (u,v) \notin cf.E \rangle$ 
  using cfE-augment-ss[of  $u'\ v'\ f$ ]
  by (auto simp: push-effect-def)
from Cons.IH[OF PP - NE']  $\langle l\ u \geq l\ v + 1 \rangle$  show ?thesis by simp
next
case relabel
note [simp] = relabel(2)

show ?thesis
proof (cases  $u'=v$ )
  case False
  from False relabel(3) relabel-preserve-other have [simp]:  $lh\ v = l\ v$ 
  by auto
  from False relabel(3)
    relabel-preserve-other relabel-increase-u[OF relabel(1)]
  have  $lh\ u \geq l\ u$  by (cases  $u'=u$ ) auto
  with  $\langle l\ u \geq l\ v + 1 \rangle$  have LHG:  $lh\ u \geq lh\ v + 1$  by auto

  from Cons.IH[OF PP LHG]  $\langle (u,v) \notin cf.E \rangle$  show ?thesis by simp
next
case True
note [simp] = relabel(3)
from True relabel-increase-u[OF relabel(1)]
have  $l\ v < lh\ v$  by simp
also note labels-path-increasing[OF PP, of  $v$ ]
finally show ?thesis by simp
qed
qed
qed

lemma sat-push-edge-action-bound':
  assumes  $((f,l),p,(f',l')) \in trcl\ pr\ algo\ lts'$ 
  shows  $length\ (filter\ (op = (SAT-PUSH'\ e))\ p) \leq 2 * card\ V$ 
proof –
  obtain  $u\ v$  where [simp]:  $e=(u,v)$  by (cases  $e$ )

  have  $length\ (filter\ (op = (SAT-PUSH'\ (u,v)))\ p) \leq 2 * card\ V - l\ v$ 
  if  $((f,l),p,(f',l')) \in trcl\ pr\ algo\ lts'$  for  $p$ 
  using that
proof (induction  $p$  arbitrary: f l rule: length-induct)
  case (1  $p$ ) thus ?case
  proof (cases  $p$ )
    case Nil thus ?thesis by auto
  next
  case [simp]: (Cons  $a\ p'$ )
  from 1.prems obtain  $fh\ lh$ 

```

where *FIRST*:  $((f,l),a,(fh,lh)) \in pr\text{-algo-lts}'$   
and *PP*:  $((fh,lh),p',(f',l')) \in trcl\ pr\text{-algo-lts}'$   
by (*auto dest!*: *trcl-uncons*)

from *FIRST* interpret *Height-Bounded-Labeling c s t f l*  
by *cases auto*

show *?thesis*

proof (*cases a = SAT-PUSH' (u,v)*)

case [*simp*]: *False*

from *1.IH PP* have

$length\ (filter\ (op = (SAT-PUSH'\ (u, v)))\ p^\wedge)$   
 $\leq 2 * card\ V - lh\ v$

by *auto*

with *FIRST* show *?thesis*

apply (*cases; clarsimp*)

proof –

fix *ua* :: *nat*

assume *a1*:  $length\ (filter\ (op = (SAT-PUSH'\ (u, v)))\ p^\wedge)$   
 $\leq 2 * card\ V - relabel\ effect\ f\ l\ ua\ v$

assume *a2*: *relabel-precond f l ua*

have  $2 * card\ V - relabel\ effect\ f\ l\ ua\ v \leq 2 * card\ V - l\ v$

$\longrightarrow length\ (filter\ (op = (SAT-PUSH'\ (u, v)))\ p^\wedge) \leq 2 * card\ V - l\ v$

using *a1 order-trans* by *blast*

then show  $length\ (filter\ (op = (SAT-PUSH'\ (u, v)))\ p^\wedge)$   
 $\leq 2 * card\ V - l\ v$

using *a2 a1* by (*metis (no-types) Labeling.relabel-increase-u*  
*Labeling-axioms diff-le-mono2 nat-less-le*  
*relabel-preserve-other*)

qed

next

case [*simp*]: *True*

from *FIRST* have

[*simp*]:  $fh = push\ effect\ f\ (u, v)\ \quad lh = l$

and *PRE*: *sat-push-precond f l (u,v)*

by (*auto elim !: pr-algo-lts'.cases*)

from *PRE* have  $(u,v) \in cf.E\ \quad l\ u = l\ v + 1$

unfolding *sat-push-precond-def* by *auto*

hence  $u \in V\ \quad v \in V\ \quad u \neq v$  using *cfE-ss-invE E-ss-VxV* by *auto*

have *UVNEH*:  $(u,v) \notin cfE\text{-of}\ fh$

using  $(u \neq v)$

apply (*simp*)

*add: sat-push-alt[OF PRE] augment-edge-cf'[OF <(u,v) ∈ cf.E>]*

unfolding *Graph.E-def* by *simp*

```

show ?thesis
proof (cases SAT-PUSH' (u,v) ∈ set p')
  case False
  hence [simp]: filter (op = (SAT-PUSH' (u,v))) p' = []
    by (induction p') auto
  show ?thesis
    using bspec[OF height-bound ⟨u∈V⟩]
    using bspec[OF height-bound ⟨v∈V⟩]
    using card-V-ge2
    by simp
next
case True
then obtain p1 p2
  where [simp]: p'=p1@SAT-PUSH' (u,v)#p2
    and NP1: SAT-PUSH' (u,v) ∉ set p1
    using in-set-conv-decomp-first[of - p'] by auto

from NP1 have [simp]: filter (op = (SAT-PUSH' (u,v))) p1 = []
  by (induction p1) auto

from PP obtain f2 l2 f3 l3
  where P1: ((fh,lh),p1,(f2,l2)) ∈ trcl pr-algo-lts'
    and S: ((f2,l2),SAT-PUSH' (u,v),(f3,l3)) ∈ pr-algo-lts'
    and P2: ((f3,l3),p2,(f',l')) ∈ trcl pr-algo-lts'
    by (auto simp: trcl-conv)
from S have (u,v) ∈ cfE-of f2 and [simp]: l3=l2
  by (auto elim!: pr-algo-lts'.cases simp: sat-push-precond-def)
with edge-reappears-at-increased-labeling[OF P1 - UVNEH]
  ⟨l u = l v + 1⟩
have AUX1: l v < l2 v by auto

from S interpret l2: Height-Bounded-Labeling c s t f2 l2
  by (auto elim!: pr-algo-lts'.cases)

from spec[OF 1.IH, of SAT-PUSH' (u,v)#p2] S P2 have
  Suc (length (filter (op = (SAT-PUSH' (u, v))) p2))
  ≤ 2 * card V - l2 v
  by (auto simp: trcl-conv)
also have ... + 1 ≤ 2*card V - l v
  using AUX1
  using bspec[OF l2.height-bound ⟨u∈V⟩]
  using bspec[OF l2.height-bound ⟨v∈V⟩]
  by auto
finally show ?thesis
  by simp
qed
qed
qed
qed

```



thus *?thesis using assms by fastforce*  
**qed**

**lemma** *sat-push-action-bound'*:

**assumes**  $A: ((f,l),p,(f',l')) \in \text{trcl } \text{pr-algo-lts}'$

**shows**  $\text{length } (\text{filter } \text{is-SAT-PUSH}' p) \leq 4 * \text{card } V * \text{card } E$

**proof** –

**from**  $A$  **have**  $\text{IN-E}: e \in E \cup E^{-1}$  **if**  $\text{SAT-PUSH}' e \in \text{set } p$  **for**  $e$

**using** *that cfE-of-ss-invE*

**apply** (*induction p arbitrary: f l*)

**apply** (*auto*

*simp: trcl-conv sat-push-precond-def*

*elim!: pr-algo-lts'.cases*

*; blast*)+

**done**

**have**  $\text{AUX}: \text{length } (\text{filter } (\lambda a. \exists e \in S. a = \text{SAT-PUSH}' e) p)$

$= (\sum e \in S. \text{length } (\text{filter } (\text{op} = (\text{SAT-PUSH}' e)) p))$  **if** *finite S for S*

**using** *that*

**apply** *induction*

**apply** *simp*

**apply** *clarsimp*

**apply** (*subst length-filter-disj-or-conv; clarsimp*)

**apply** (*fo-rule arg-cong*)

**subgoal premises by** (*induction p*) *auto*

**done**

**have**  $\text{is-SAT-PUSH}' a = (\exists e \in E \cup E^{-1}. a = \text{SAT-PUSH}' e)$  **if**  $a \in \text{set } p$  **for**  $a$

**using**  $\text{IN-E}$  **that by** (*cases a*) *auto*

**hence**  $\text{length } (\text{filter } \text{is-SAT-PUSH}' p)$

$= \text{length } (\text{filter } (\lambda a. \exists e \in E \cup E^{-1}. a = \text{SAT-PUSH}' e) p)$

**by** (*auto cong: filter-cong*)

**also have**  $\dots = (\sum e \in E \cup E^{-1}. \text{length } (\text{filter } (\text{op} = (\text{SAT-PUSH}' e)) p))$

**by** (*auto simp: AUX*)

**also have**  $\dots \leq (\sum i \in E \cup E^{-1}. 2 * \text{card } V)$

**using** *sum-mono[OF sat-push-edge-action-bound'[OF A], where K=E∪E<sup>-1</sup>]*.

**also have**  $\dots \leq 4 * \text{card } V * \text{card } E$  **using** *card-Un-le[of E E<sup>-1</sup>]* **by** *simp*

**finally show**  $\text{length } (\text{filter } \text{is-SAT-PUSH}' p) \leq 4 * \text{card } V * \text{card } E$ .

**qed**

**end** — Network

## 2.5.8 Bounding the Non-Saturating Push Operations

For estimating the number of non-saturating push operations, we define a potential function that is the sum of the labels of all active nodes, and examine the effect of the operations on this potential:

- A non-saturating push deactivates the source node and may activate

the target node. As the source node's label is higher, the potential decreases.

- A saturating push may activate a node, thus increasing the potential by  $O(V)$ .
- A relabel operation may increase the potential by  $O(V)$ .

As there are at most  $O(V^2)$  relabel and  $O(VE)$  saturating push operations, the above bounds suffice to yield an  $O(V^2E)$  bound for the non-saturating push operations.

This argumentation corresponds to [Cormen 26.23].

Sum of heights of all active nodes

**definition** (in *Network*) *nonsat-potential*  $fl \equiv \text{sum } l \{v \in V. \text{excess } f v > 0\}$

**context** *Height-Bounded-Labeling*

**begin**

The potential does not exceed  $O(V^2)$ .

**lemma** *nonsat-potential-bound*:

**shows** *nonsat-potential*  $fl \leq 2 * (\text{card } V) ^2$

**proof** –

**have** *nonsat-potential*  $fl = (\sum v \in \{v \in V. 0 < \text{excess } f v\}. l v)$

**unfolding** *nonsat-potential-def* **by** *auto*

**also have**  $\dots \leq (\sum v \in V. l v)$

**proof** –

**have**  $f1:\{v \in V. 0 < \text{excess } f v\} \subseteq V$  **by** *auto*

**thus** *?thesis* **using** *sum.subset-diff[OF f1 finite-V, of l]* **by** *auto*

**qed**

**also have**  $\dots \leq (\sum v \in V. 2 * \text{card } V - 1)$

**using** *height-bound* **by** (*meson sum-mono*)

**also have**  $\dots = \text{card } V * (2 * \text{card } V - 1)$  **by** *auto*

**also have**  $\text{card } V * (2 * \text{card } V - 1) \leq 2 * \text{card } V * \text{card } V$  **by** *auto*

**finally show** *?thesis* **by** (*simp add: power2-eq-square*)

**qed**

A non-saturating push decreases the potential.

**lemma** *nonsat-push-decr-nonsat-potential*:

**assumes** *nonsat-push-precond*  $fl e$

**shows** *nonsat-potential* (*push-effect*  $f e$ )  $l < \text{nonsat-potential } fl$

**proof** (*cases e*)

**case** [*simp*]: (*Pair u v*)

**show** *?thesis*

**proof** *simp*

**interpret** *push-effect-locale*  $c s t f l u v$

**apply** *unfold-locales* **using** *assms*

by (*simp add: push-precond-eq-sat-or-nonsat*)

**note** [*simp*] = *nonsat-push- $\Delta$ [OF assms[simplified]]*

**define** *S* **where**  $S = \{x \in V. x \neq u \wedge x \neq v \wedge 0 < \text{excess } f \ x\}$   
**have** *S-alt*:  $S = \{x \in V. x \neq u \wedge x \neq v \wedge 0 < \text{excess } f' \ x\}$   
**unfolding** *S-def* **by** *auto*

**have** *NES*:  $s \notin S \quad u \notin S \quad v \notin S$   
**and** [*simp, intro!*]: *finite S*  
**unfolding** *S-def* **using** *excess-s-non-pos*  
**by** *auto*

**have** *1*:  $\{v \in V. 0 < \text{excess } f' \ v\} = (\text{if } s=v \text{ then } S \text{ else insert } v \ S)$   
**unfolding** *S-alt*  
**using** *excess-u-pos excess-non-negative' l'.excess-s-non-pos*  
**by** (*auto intro!: add-nonneg-pos*)

**have** *2*:  $\{v \in V. 0 < \text{excess } f \ v\}$   
 $= \text{insert } u \ S \cup (\text{if } \text{excess } f \ v > 0 \text{ then } \{v\} \text{ else } \{\})$   
**unfolding** *S-def* **using** *excess-u-pos* **by** *auto*

**show** *nonsat-potential f' l < nonsat-potential f l*  
**unfolding** *nonsat-potential-def 1 2*  
**by** (*cases s=v; cases 0 < excess f v; auto simp: NES*)

**qed**  
**qed**

A saturating push increases the potential by  $O(V)$ .

**lemma** *sat-push-nonsat-potential*:  
**assumes** *PRE: sat-push-precond f l e*  
**shows** *nonsat-potential (push-effect f e) l*  
 $\leq \text{nonsat-potential } f \ l + 2 * \text{card } V$   
**proof** –  
**obtain** *u v* **where** [*simp*]:  $e = (u, v)$  **by** (*cases e*) *auto*

**interpret** *push-effect-locale c s t f l u v*  
**using** *PRE*  
**by** *unfold-locales (simp add: push-precond-eq-sat-or-nonsat)*

**have** [*simp, intro!*]: *finite*  $\{v \in V. \text{excess } f \ v > 0\}$   
**by** *auto*

Only target node may get activated

**have**  $\{v \in V. \text{excess } f' \ v > 0\} \subseteq \text{insert } v \ \{v \in V. \text{excess } f \ v > 0\}$   
**using**  *$\Delta$ -positive*  
**by** (*auto simp: excess'-if*)

Thus, potential increases by at most  $l \ v$

**with** *sum-mono2*[*OF - this, of l*]  
**have** *nonsat-potential*  $f' l \leq \text{nonsat-potential } f l + l v$   
**unfolding** *nonsat-potential-def*  
**by** (*auto simp: sum.insert-if split: if-splits*)

Which is bounded by  $O(V)$

**also note** *height-bound'*[*of v*]  
**finally show** *?thesis* **by** *simp*  
**qed**

A relabeling increases the potential by at most  $O(V)$

**lemma** *relabel-nonsat-potential*:

**assumes** *PRE: relabel-precond*  $f l u$   
**shows** *nonsat-potential*  $f$  (*relabel-effect*  $f l u$ )  
 $\leq \text{nonsat-potential } f l + 2 * \text{card } V$

**proof** –

**have** [*simp, intro!*]: *finite*  $\{v \in V. \text{excess } f v > 0\}$   
**by** *auto*

**let**  $?l' = \text{relabel-effect } f l u$

**interpret**  $l'$ : *Height-Bounded-Labeling*  $c s t f ?l'$   
**using** *relabel-pres-height-bound*[*OF assms*] .

**from** *PRE* **have** *U-ACTIVE*:  $u \in \{v \in V. \text{excess } f v > 0\}$  **and** [*simp*]:  $u \in V$   
**unfolding** *relabel-precond-def* **using** *excess-nodes-only*  
**by** *auto*

**have** *nonsat-potential*  $f ?l'$   
 $= \text{sum } ?l' (\{v \in V. 0 < \text{excess } f v\} - \{u\}) + ?l' u$   
**unfolding** *nonsat-potential-def*  
**using** *U-ACTIVE* **by** (*auto intro: sum-arb*)

**also have**  $\text{sum } ?l' (\{v \in V. 0 < \text{excess } f v\} - \{u\})$   
 $= \text{sum } l (\{v \in V. 0 < \text{excess } f v\} - \{u\})$   
**using** *relabel-preserve-other* **by** *auto*

**also have**  $?l' u \leq l u + 2 * \text{card } V$   
**using**  $l'.\text{height-bound}'$ [*OF*  $\langle u \in V \rangle$ ] **by** *auto*

**finally have** *nonsat-potential*  $f ?l'$   
 $\leq \text{sum } l (\{v \in V. 0 < \text{excess } f v\} - \{u\}) + l u + 2 * \text{card } V$   
**by** *auto*

**also have**  $\text{sum } l (\{v \in V. 0 < \text{excess } f v\} - \{u\}) + l u$   
 $= \text{nonsat-potential } f l$

**unfolding** *nonsat-potential-def*  
**using** *U-ACTIVE* **by** (*auto intro: sum-arb[symmetric]*)

**finally show** *?thesis* .

**qed**

**end** — Height Bounded Labeling

```

context Network
begin

lemma nonsat-push-action-bound':
  assumes A:  $((f,l),p,(f',l')) \in \text{trcl } pr\text{-algo-lts}'$ 
  shows  $\text{length } (\text{filter } is\text{-NONSAT-PUSH } p) \leq 18 * (\text{card } V)^2 * \text{card } E$ 
proof –
  have B1:  $\text{length } (\text{filter } is\text{-NONSAT-PUSH } p)$ 
     $\leq \text{nonsat-potential } f l$ 
     $+ 2 * \text{card } V * (\text{length } (\text{filter } is\text{-SAT-PUSH } p))$ 
     $+ 2 * \text{card } V * (\text{length } (\text{filter } is\text{-RELABEL } p))$ 
  using A
proof (induction p arbitrary: f l)
  case Nil thus ?case by auto
next
  case [simp]: (Cons a p)
  then obtain fh lh
    where FIRST:  $((f,l),a,(fh,lh)) \in pr\text{-algo-lts}'$ 
    and PP:  $((fh,lh),p,(f',l')) \in \text{trcl } pr\text{-algo-lts}'$ 
    by (auto simp: trcl-conv)
  note IH = Cons.IH[OF PP]

from FIRST interpret Height-Bounded-Labeling c s t f l
  by cases auto

show ?case using FIRST IH
  apply (cases a)
  apply (auto
    elim!: pr-algo-lts'.cases
    dest!: relabel-nonsat-potential nonsat-push-decr-nonsat-potential
    dest!: sat-push-nonsat-potential
  )
  done
qed

show ?thesis proof (cases p)
  case Nil thus ?thesis by simp
next
  case (Cons a' p')
  then interpret Height-Bounded-Labeling c s t f l using A
  by (auto simp: trcl-conv elim!: pr-algo-lts'.cases)
  note B1
  also note nonsat-potential-bound
  also note sat-push-action-bound'[OF A]
  also note relabel-action-bound'[OF A]
  finally have  $\text{length } (\text{filter } is\text{-NONSAT-PUSH } p)$ 

```

```

    ≤ 2 * (card V)2 + 8 * (card V)2 * card E + 4 * (card V)3
    by (simp add: power2-eq-square power3-eq-cube)
  also have (card V)3 ≤ 2 * (card V)2 * card E
    by (simp add: card-V-est-E power2-eq-square power3-eq-cube)
  finally have length (filter is-NONSAT-PUSH' p)
    ≤ 2 * (card V)2 + 16 * (card V)2 * card E
    by linarith
  also have 2 * (card V)2 ≤ 2 * (card V)2 * card E by auto
  finally show length (filter is-NONSAT-PUSH' p) ≤ 18 * (card V)2 * card E
    by linarith
qed
qed

end — Network

```

### 2.5.9 Assembling the Final Theorem

We combine the bounds for saturating and non-saturating push operations.

```

lemma (in Height-Bounded-Labeling) push-action-bound:
  assumes A: ((f,l),p,(f',l')) ∈ trcl pr-algo-lts
  shows length (filter (is-PUSH) p) ≤ 22 * (card V)2 * card E
  apply (rule order-trans[OF xfer-push-bounds[OF - - A]]; (intro allI impI)?)
    apply (erule sat-push-action-bound'; fail)
    apply (erule nonsat-push-action-bound'; fail)
    apply (auto simp: power2-eq-square)
  done

```

We estimate the cost of a push by  $O(1)$ , and of a relabel operation by  $O(V)$

```

fun (in Network) cost-estimate :: pr-operation ⇒ nat where
  cost-estimate RELABEL = card V
| cost-estimate PUSH = 1

```

We show the complexity bound of  $O(V^2E)$  when starting from any valid labeling [Cormen 26.24].

```

theorem (in Height-Bounded-Labeling) pr-algo-cost-bound:
  assumes A: ((f,l),p,(f',l')) ∈ trcl pr-algo-lts
  shows (∑ a←p. cost-estimate a) ≤ 26 * (card V)2 * card E
proof —
  have (∑ a←p. cost-estimate a)
    = card V * length (filter is-RELABEL p) + length (filter is-PUSH p)
  proof (induction p)
    case Nil
    then show ?case by simp
  next
    case (Cons a p)
    then show ?case by (cases a) auto
  qed
  also have card V * length (filter is-RELABEL p) ≤ 2 * (card V)3

```

```

    using relabel-action-bound[OF A]
    by (auto simp: power2-eq-square power3-eq-cube)
  also note push-action-bound[OF A]
  finally have sum-list (map cost-estimate p)
    ≤ 2 * card V ^ 3 + 22 * (card V)^2 * card E
    by simp
  also have (card V)^3 ≤ 2 * (card V)^2 * card E
    by (simp add: card-V-est-E power2-eq-square power3-eq-cube)
  finally show ?thesis by linarith
qed

```

## 2.6 Main Theorem: Correctness and Complexity

Finally, we state the main theorem of this section: If the algorithm executes some steps from the beginning, then

1. If no further steps are possible from the reached state, we have computed a maximum flow [Cormen 26.18].
2. The cost of these steps is bounded by  $O(V^2E)$  [Cormen 26.24]. Note that this also implies termination.

```

theorem (in Network) generic-preflow-push-OV2E-and-correct:
  assumes A: ((pp-init-f, pp-init-l), p, (f, l)) ∈ trcl pr-algo-lts
  shows (∑ x←p. cost-estimate x) ≤ 26 * (card V)^2 * card E (is ?G1)
  and (f,l)∉Domain pr-algo-lts → isMaxFlow f (is ?G2)
proof –
  show ?G1
    using pp-init-height-bound Height-Bounded-Labeling.pr-algo-cost-bound A
    by blast

  show ?G2
    proof –
    from A interpret Height-Bounded-Labeling c s t f l
    apply (induction p arbitrary: f l rule: rev-induct)
    apply (auto
      simp: pp-init-height-bound trcl-conv
      intro: Height-Bounded-Labeling.pr-algo-maintains-hb-labeling)
    done
    from pr-algo-term-maxflow show ?G2 by simp
  qed
qed

```

## 2.7 Convenience Tools for Implementation

```

context Network
begin

```

In order to show termination of the algorithm, we only need a well-founded relation over push and relabel steps

**inductive-set** *pr-algo-rel* **where**

*push*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{ push-precond } f \ l \ e \rrbracket$   
 $\implies ((\text{push-effect } f \ e, l), (f, l)) \in \text{pr-algo-rel}$   
*relabel*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{ relabel-precond } f \ l \ u \rrbracket$   
 $\implies ((f, \text{relabel-effect } f \ l \ u), (f, l)) \in \text{pr-algo-rel}$

**lemma** *pr-algo-rel-alt*: *pr-algo-rel* =

$\{ ((\text{push-effect } f \ e, l), (f, l)) \mid f \ e \ l. \text{Height-Bounded-Labeling } c \ s \ t \ f \ l \wedge \text{push-precond } f \ l \ e \}$   
 $\cup \{ ((f, \text{relabel-effect } f \ l \ u), (f, l)) \mid f \ u \ l. \text{Height-Bounded-Labeling } c \ s \ t \ f \ l \wedge \text{relabel-precond } f \ l \ u \}$   
**by** (*auto elim!*: *pr-algo-rel.cases* *intro*: *pr-algo-rel.intros*)

**definition** *pr-algo-len-bound*  $\equiv 2 * (\text{card } V)^2 + 22 * (\text{card } V)^2 * \text{card } E$

**lemma** (**in** *Height-Bounded-Labeling*) *pr-algo-lts-length-bound*:

**assumes** *A*:  $((f, l), p, (f', l')) \in \text{trcl } \text{pr-algo-lts}$   
**shows**  $\text{length } p \leq \text{pr-algo-len-bound}$

**proof** –

**have**  $\text{length } p = \text{length } (\text{filter is-PUSH } p) + \text{length } (\text{filter is-RELABEL } p)$

**proof** (*induction p*)

**case Nil** **then show** *?case* **by** *simp*

**next**

**case (Cons a p)** **then show** *?case* **by** (*cases a*) *auto*

**qed**

**also note** *push-action-bound*[*OF A*]

**also note** *relabel-action-bound*[*OF A*]

**finally show** *?thesis* **unfolding** *pr-algo-len-bound-def* **by** *simp*

**qed**

**lemma** (**in** *Height-Bounded-Labeling*) *path-set-finite*:

*finite*  $\{p. \exists f' l'. ((f, l), p, (f', l')) \in \text{trcl } \text{pr-algo-lts}\}$

**proof** –

**have** *FIN-OPS*: *finite* (*UNIV*::*pr-operation set*)

**apply** (*rule finite-subset*[**where** *B*={*PUSH*,*RELABEL*}])

**using** *pr-operation.exhaust* **by** *auto*

**have**  $\{p. \exists f' l'. ((f, l), p, (f', l')) \in \text{trcl } \text{pr-algo-lts}\}$

$\subseteq \{p. \text{length } p \leq \text{pr-algo-len-bound}\}$

**by** (*auto simp*: *pr-algo-lts-length-bound*)

**also note** *finite-lists-length-le*[*OF FIN-OPS*, *simplified*]

**finally** (*finite-subset*) **show** *?thesis* .

**qed**

**definition** *pr-algo-measure*

$\equiv \lambda(f, l). \text{Max } \{\text{length } p \mid p. \exists aa \ ba. ((f, l), p, aa, ba) \in \text{trcl } \text{pr-algo-lts}\}$



```

lemma pr-algo-measure:
  assumes  $(f', fl) \in \text{pr-algo-rel}$ 
  shows  $\text{pr-algo-measure } f' < \text{pr-algo-measure } fl$ 
  using assms
proof (cases  $f'$ ; cases  $fl$ ; simp)
  fix  $f l f' l'$ 
  assume  $A: ((f', l'), (f, l)) \in \text{pr-algo-rel}$ 
  then obtain  $a$  where  $LTS-STEP: ((f, l), a, (f', l')) \in \text{pr-algo-lts}$ 
    by cases (auto intro: pr-algo-lts.intros)

  from  $A$  interpret Height-Bounded-Labeling c s t f l by cases auto
  from pr-algo-maintains-hb-labeling[OF LTS-STEP]
  interpret  $f'$ : Height-Bounded-Labeling c s t f' l' .

  let  $?S1 = \{ \text{length } p \mid p. \exists fx lx. ((f, l), p, fx, lx) \in \text{trcl } \text{pr-algo-lts} \}$ 
  let  $?S2 = \{ \text{length } p \mid p. \exists fx lx. ((f', l'), p, fx, lx) \in \text{trcl } \text{pr-algo-lts} \}$ 

  have finite  $?S1$  using finite-image-set path-set-finite by blast
  moreover have  $?S1 \neq \{ \}$  by (auto intro: exI[where x=])
  ultimately obtain  $p fx lx$  where
     $\text{length } p = \text{Max } ?S1$ 
     $((f, l), p, fx, lx) \in \text{trcl } \text{pr-algo-lts}$ 
  apply -
  apply (drule (1) Max-in)
  by auto

  have finite  $?S2$  using finite-image-set f'.path-set-finite by blast
  have  $?S2 \neq \{ \}$  by (auto intro: exI[where x=])
  {
    assume  $MG: \text{Max } ?S2 \geq \text{Max } ?S1$ 

    from Max-in[OF <finite ?S2> <?S2≠{>] obtain  $p fx lx$  where
       $\text{length } p = \text{Max } ?S2$ 
       $((f', l'), p, fx, lx) \in \text{trcl } \text{pr-algo-lts}$ 
    by auto
    with  $MG$  LTS-STEP have
       $LEN: \text{length } (a\#p) > \text{Max } ?S1$ 
      and  $P: ((f, l), a\#p, (fx, lx)) \in \text{trcl } \text{pr-algo-lts}$ 
      by (auto simp: trcl-conv)
    from  $P$  have  $\text{length } (a\#p) \in ?S1$  by blast
    from Max-ge[OF <finite ?S1> this] LEN have False by simp
  } thus  $\text{pr-algo-measure } (f', l') < \text{pr-algo-measure } (f, l)$ 
  unfolding pr-algo-measure-def by (rule ccontr) auto
qed

lemma wf-pr-algo-rel[simp, intro!]: wf pr-algo-rel
  apply (rule wf-subset)
  apply (rule wf-measure[where f=pr-algo-measure])

```

by (*auto simp: pr-algo-measure*)

end — Network

## 2.8 Gap Heuristics

context *Network*

begin

If we find a label value  $k$  that is assigned to no node, we may relabel all nodes  $v$  with  $k < l v < \text{card } V$  to  $\text{card } V + 1$ .

**definition** *gap-precond*  $l k \equiv \forall v \in V. l v \neq k$

**definition** *gap-effect*  $l k$

$\equiv \lambda v. \text{if } k < l v \wedge l v < \text{card } V \text{ then } \text{card } V + 1 \text{ else } l v$

The gap heuristics preserves a valid labeling.

**lemma** (in *Labeling*) *gap-pres-Labeling*:

assumes *PRE*: *gap-precond*  $l k$

defines  $l' \equiv \text{gap-effect } l k$

shows *Labeling*  $c s t f l'$

**proof**

from *lab-src* show  $l' s = \text{card } V$  **unfolding**  $l'$ -def *gap-effect-def* **by** *auto*

from *lab-sink* show  $l' t = 0$  **unfolding**  $l'$ -def *gap-effect-def* **by** *auto*

have  $l'$ -incr:  $l' v \geq l v$  for  $v$  **unfolding**  $l'$ -def *gap-effect-def* **by** *auto*

**fix**  $u v$

**assume**  $A: (u, v) \in cf.E$

**hence**  $u \in V \quad v \in V$  **using** *cfE-ss-invE E-ss-VxV* **by** *auto*

**thus**  $l' u \leq l' v + 1$

**unfolding**  $l'$ -def *gap-effect-def*

**using** *valid[OF A] PRE*

**unfolding** *gap-precond-def*

**by** *auto*

qed

The gap heuristics also preserves the height bounds.

**lemma** (in *Height-Bounded-Labeling*) *gap-pres-hb-labeling*:

assumes *PRE*: *gap-precond*  $l k$

defines  $l' \equiv \text{gap-effect } l k$

shows *Height-Bounded-Labeling*  $c s t f l'$

**proof** —

from *gap-pres-Labeling*[*OF PRE*] **interpret** *Labeling*  $c s t f l'$

**unfolding**  $l'$ -def .

**show** *?thesis*

**apply** *unfold-locales*

**unfolding**  $l'$ -def *gap-effect-def* **using** *height-bound* **by** *auto*

qed

We combine the regular relabel operation with the gap heuristics: If relabeling results in a gap, the gap heuristics is applied immediately.

**definition** *gap-relabel-effect*  $f l u \equiv \text{let } l' = \text{relabel-effect } f l u \text{ in}$   
*if* (*gap-precond*  $l' (l u)$ ) *then* *gap-effect*  $l' (l u)$  *else*  $l'$

The combined gap-relabel operation preserves a valid labeling.

**lemma** (in *Labeling*) *gap-relabel-pres-Labeling*:  
**assumes** *PRE*: *relabel-precond*  $f l u$   
**defines**  $l' \equiv \text{gap-relabel-effect } f l u$   
**shows** *Labeling* *c s t f l'*  
**unfolding**  $l'$ -*def* *gap-relabel-effect-def*  
**using** *relabel-pres-Labeling*[*OF PRE*] *Labeling.gap-pres-Labeling*  
**by** (*fastforce simp*: *Let-def*)

The combined gap-relabel operation preserves the height-bound.

**lemma** (in *Height-Bounded-Labeling*) *gap-relabel-pres-hb-labeling*:  
**assumes** *PRE*: *relabel-precond*  $f l u$   
**defines**  $l' \equiv \text{gap-relabel-effect } f l u$   
**shows** *Height-Bounded-Labeling* *c s t f l'*  
**unfolding**  $l'$ -*def* *gap-relabel-effect-def*  
**using** *relabel-pres-height-bound*[*OF PRE*] *Height-Bounded-Labeling.gap-pres-hb-labeling*  
**by** (*fastforce simp*: *Let-def*)

### 2.8.1 Termination with Gap Heuristics

Intuitively, the algorithm with the gap heuristics terminates because relabeling according to the gap heuristics preserves the invariant and increases some labels towards their upper bound.

Formally, the simplest way is to combine a heights measure function with the already established measure for the standard algorithm:

**lemma** (in *Height-Bounded-Labeling*) *gap-measure*:  
**assumes** *gap-precond*  $l k$   
**shows** *sum-heights-measure* (*gap-effect*  $l k$ )  $\leq$  *sum-heights-measure*  $l$   
**unfolding** *gap-effect-def* *sum-heights-measure-def*  
**by** (*auto intro!*: *sum-mono*)

**lemma** (in *Height-Bounded-Labeling*) *gap-relabel-measure*:  
**assumes** *PRE*: *relabel-precond*  $f l u$   
**shows** *sum-heights-measure* (*gap-relabel-effect*  $f l u$ )  $<$  *sum-heights-measure*  $l$   
**unfolding** *gap-relabel-effect-def*  
**using** *relabel-measure*[*OF PRE*] *relabel-pres-height-bound*[*OF PRE*] *Height-Bounded-Labeling.gap-measure*  
**by** (*fastforce simp*: *Let-def*)

Analogously to *pr-algo-rel*, we provide a well-founded relation that over-approximates the steps of a push-relabel algorithm with gap heuristics.

**inductive-set** *gap-algo-rel* **where**  
*push*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{push-precond } f \ l \ e \rrbracket$   
 $\implies ((\text{push-effect } f \ e, l), (f, l)) \in \text{gap-algo-rel}$   
| *relabel*:  $\llbracket \text{Height-Bounded-Labeling } c \ s \ t \ f \ l; \text{relabel-precond } f \ l \ u \rrbracket$   
 $\implies (f, \text{gap-relabel-effect } f \ l \ u), (f, l) \in \text{gap-algo-rel}$

**lemma** *wf-gap-algo-rel*[*simp, intro!*]: *wf gap-algo-rel*

**proof** –

**have** *gap-algo-rel*  $\subseteq \text{inv-image } (\text{less-than } \langle * \text{lex} * \rangle \text{ less-than}) (\lambda(f, l). (\text{sum-heights-measure } l, \text{pr-algo-measure } (f, l)))$

**using** *pr-algo-measure*

**using** *Height-Bounded-Labeling.gap-relabel-measure*

**by** (*fastforce elim!*: *gap-algo-rel.cases intro: pr-algo-rel.intros* )

**thus** *?thesis*

**by** (*rule-tac wf-subset; auto*)

**qed**

**end** — Network

**end**

**theory** *Prpu-Common-Inst*

**imports**

*../Lib/Refine-Add-Fofu*

*Generic-Push-Relabel*

**begin**

**context** *Network*

**begin**

**definition** *relabel f l u*  $\equiv \text{do } \{$

*assert* (*Height-Bounded-Labeling c s t f l*);

*assert* (*relabel-precond f l u*);

*assert* ( $u \in V - \{s, t\}$ );

*return* (*relabel-effect f l u*)

$\}$

**definition** *gap-relabel f l u*  $\equiv \text{do } \{$

*assert* ( $u \in V - \{s, t\}$ );

*assert* (*Height-Bounded-Labeling c s t f l*);

*assert* (*relabel-precond f l u*);

*assert* ( $l \ u < 2 * \text{card } V \wedge \text{relabel-effect } f \ l \ u \ u < 2 * \text{card } V$ );

*return* (*gap-relabel-effect f l u*)

$\}$

**definition** *push f l*  $\equiv \lambda(u, v). \text{do } \{$

*assert* (*push-precond f l (u, v)*);

*assert* (*Labeling c s t f l*);

*return* (*push-effect f (u, v)*)

$\}$

end

end

### 3 Relabel-to-Front Algorithm

```
theory Relabel-To-Front
imports
  ../Lib/Refine-Add-Fofu
  Prpu-Common-Inst
  ../Lib/Graph-Topological-Ordering
begin
```

As an example for an implementation, Cormen et al. discuss the relabel-to-front algorithm. It iterates over a queue of nodes, discharging each node, and putting a node to the front of the queue if it has been relabeled.

#### 3.1 Admissible Network

The admissible network consists of those edges over which we can push flow.

```
context Network
begin
  definition adm-edges :: 'capacity flow  $\Rightarrow$  (nat $\Rightarrow$ nat)  $\Rightarrow$  -
    where adm-edges f l  $\equiv$   $\{(u,v) \in cfE\text{-of } f. l\ u = l\ v + 1\}$ 

  lemma adm-edges-inv-disj: adm-edges f l  $\cap$  (adm-edges f l)-1 = {}
    unfolding adm-edges-def by auto

  lemma finite-adm-edges[simp, intro!]: finite (adm-edges f l)
    apply (rule finite-subset[of - cfE-of f])
    by (auto simp: adm-edges-def)
end
```

end — Network

The edge of a push operation is admissible.

```
lemma (in push-effect-locale) uv-adm: (u,v)  $\in$  adm-edges f l
  unfolding adm-edges-def by auto
```

A push operation will not create new admissible edges, but the edge that we pushed over may become inadmissible [Cormen 26.27].

```
lemma (in Labeling) push-adm-edges:
  assumes push-precond f l e
  shows adm-edges f l - {e}  $\subseteq$  adm-edges (push-effect f e) l (is ?G1)
  and adm-edges (push-effect f e) l  $\subseteq$  adm-edges f l (is ?G2)
```

**proof** –  
**from** *assms* **consider** (*sat*) *sat-push-precond f l e*  
| (*nonsat*) *nonsat-push-precond f l e*  
**by** (*auto simp: push-precond-eq-sat-or-nonsat*)  
**hence** ?*G1*  $\wedge$  ?*G2*  
**proof** *cases*  
**case** *sat* **have** *adm-edges (push-effect f e) l = adm-edges f l - {e}*  
**unfolding** *sat-push-alt[OF sat]*  
**proof** –  
  
**let** ?*f'*=(*augment-edge f e (cf e)*)  
**interpret** *l'*: *Labeling c s t ?f' l*  
**using** *push-pres-Labeling[OF assms]*  
**unfolding** *sat-push-alt[OF sat]* .  
  
**from** *sat* **have** *G1: e ∈ adm-edges f l*  
**unfolding** *sat-push-precond-def adm-edges-def* **by** *auto*  
  
**have** *l'.cf.E ⊆ insert (prod.swap e) cf.E - {e}*    *l'.cf.E ⊇ cf.E - {e}*  
**unfolding** *l'.cf-def cf-def*  
**unfolding** *augment-edge-def residualGraph-def Graph.E-def*  
**by** (*auto split!: if-splits prod.splits*)  
**hence** *l'.cf.E = insert (prod.swap e) cf.E - {e} ∨ l'.cf.E = cf.E - {e}*  
**by** *auto*  
**thus** *adm-edges ?f' l = adm-edges f l - {e}*  
**proof** (*cases rule: disjE[consumes 1]*)  
**case** 1  
**from** *sat* **have** *e ∈ adm-edges f l* **unfolding** *sat-push-precond-def adm-edges-def*  
**by** *auto*  
**with** *adm-edges-inv-disj* **have** *prod.swap e ∉ adm-edges f l* **by** (*auto simp:*  
*swap-in-iff-inv*)  
**thus** *adm-edges ?f' l = adm-edges f l - {e}* **using** *G1*  
**unfolding** *adm-edges-def 1*  
**by** *auto*  
**next**  
**case** 2  
**thus** *adm-edges ?f' l = adm-edges f l - {e}*  
**unfolding** *adm-edges-def 2*  
**by** *auto*  
**qed**  
**qed**  
**thus** ?*thesis* **by** *auto*  
**next**  
**case** *nonsat*  
**hence** *adm-edges (push-effect f e) l = adm-edges f l*  
**proof** (*cases e; simp add: nonsat-push-alt*)  
**fix** *u v* **assume** [*simp*]: *e=(u,v)*  
  
**let** ?*f'*=(*augment-edge f (u,v) (excess f u)*)

```

interpret  $l'$ : Labeling c s t ?f' l
  using push-pres-Labeling[OF assms] nonsat-push-alt nonsat
  by auto

from nonsat have  $e \in \text{adm-edges } f l$ 
  unfolding nonsat-push-precond-def adm-edges-def by auto
with adm-edges-inv-disj have  $AUX: \text{prod.swap } e \notin \text{adm-edges } f l$ 
  by (auto simp: swap-in-iff-inv)

from nonsat have
   $\text{excess } f u < \text{cf } (u,v) \quad 0 < \text{excess } f u$ 
  and [simp]:  $l u = l v + 1$ 
  unfolding nonsat-push-precond-def by auto
hence  $l'.\text{cf}.E \subseteq \text{insert } (\text{prod.swap } e) \text{cf}.E \quad l'.\text{cf}.E \supseteq \text{cf}.E$ 
  unfolding l'.cf-def cf-def
  unfolding augment-edge-def residualGraph-def Graph.E-def
  apply (safe)
  apply (simp split: if-splits)
  apply (simp split: if-splits)
  subgoal
    by (metis (full-types) capacity-const diff-0-right
      diff-strict-left-mono not-less)
  subgoal
    by (metis add-le-same-cancel1 f-non-negative linorder-not-le)
  done
hence  $l'.\text{cf}.E = \text{insert } (\text{prod.swap } e) \text{cf}.E \vee l'.\text{cf}.E = \text{cf}.E$ 
  by auto
thus  $\text{adm-edges } ?f' l = \text{adm-edges } f l$  using  $AUX$ 
  unfolding adm-edges-def
  by auto
qed
thus ?thesis by auto
qed
thus ?G1 ?G2 by auto
qed

```

After a relabel operation, there is at least one admissible edge leaving the relabeled node, but no admissible edges do enter the relabeled node [Cormen 26.28]. Moreover, the part of the admissible network not adjacent to the relabeled node does not change.

```

lemma (in Labeling) relabel-adm-edges:
  assumes  $PRE: \text{relabel-precond } f l u$ 
  defines  $l' \equiv \text{relabel-effect } f l u$ 
  shows  $\text{adm-edges } f l' \cap \text{cf.outgoing } u \neq \{\}$  (is ?G1)
  and  $\text{adm-edges } f l' \cap \text{cf.incoming } u = \{\}$  (is ?G2)
  and  $\text{adm-edges } f l' - \text{cf.adjacent } u = \text{adm-edges } f l - \text{cf.adjacent } u$  (is ?G3)
proof –
  from  $PRE$  have
     $NOT-SINK: u \neq t$ 

```

**and** *ACTIVE*:  $\text{excess } f \ u > 0$   
**and** *NO-ADM*:  $\bigwedge v. (u,v) \in cf.E \implies l \ u \neq l \ v + 1$   
**unfolding** *relabel-precond-def* **by** *auto*

**have** *NE*:  $\{l \ v \mid v. (u, v) \in cf.E\} \neq \{\}$   
**using** *active-has-cf-outgoing*[*OF ACTIVE*] *cf.outgoing-def* **by** *blast*  
**obtain** *v*  
**where** *VUE*:  $(u,v) \in cf.E$  **and** [*simp*]:  $l \ v = \text{Min } \{l \ v \mid v. (u, v) \in cf.E\}$   
**using** *Min-in*[*OF finite-min-cf-outgoing*[*of u*] *NE*] **by** *auto*  
**hence**  $(u,v) \in \text{adm-edges } f \ l' \cap cf.outgoing \ u$   
**unfolding** *l'-def relabel-effect-def adm-edges-def cf.outgoing-def*  
**by** (*auto simp: cf-no-self-loop*)  
**thus** *?G1* **by** *blast*

{  
  **fix** *uh*  
  **assume**  $(uh,u) \in \text{adm-edges } f \ l'$   
  **hence**  $1: l' \ uh = l' \ u + 1$  **and** *UHUE*:  $(uh,u) \in cf.E$   
  **unfolding** *adm-edges-def* **by** *auto*  
  **hence**  $uh \neq u$  **using** *cf-no-self-loop* **by** *auto*  
  **hence** [*simp*]:  $l' \ uh = l \ uh$  **unfolding** *l'-def relabel-effect-def* **by** *simp*  
  **from**  $1$  *relabel-increase-u*[*OF PRE, folded l'-def*] **have**  $l \ uh > l \ u + 1$   
  **by** *simp*  
  **with** *valid*[*OF UHUE*] **have** *False* **by** *auto*  
}  
**thus** *?G2* **by** (*auto simp: cf.incoming-def*)

**show** *?G3*  
  **unfolding** *adm-edges-def*  
  **by** (*auto*  
    *simp: l'-def relabel-effect-def cf.adjacent-def*  
    *simp: cf.incoming-def cf.outgoing-def*  
    *split: if-splits*)

qed

### 3.2 Neighbor Lists

For each node, the algorithm will cycle through the adjacent edges when discharging. This cycling takes place across the boundaries of discharge operations, i.e. when a node is discharged, discharging will start at the edge where the last discharge operation stopped.

The crucial invariant for the neighbor lists is that already visited edges are not admissible.

Formally, we maintain a function  $n :: \text{node} \Rightarrow \text{node set}$  from each node to the set of target nodes of not yet visited edges.

**locale** *neighbor-invar* = *Height-Bounded-Labeling* +



```

fixes  $n :: \text{node} \Rightarrow \text{node set}$ 
assumes  $\text{neighbors-adm}: \llbracket v \in \text{adjacent-nodes } u - n \ u \rrbracket \implies (u,v) \notin \text{adm-edges } f$ 
 $l$ 
assumes  $\text{neighbors-adj}: n \ u \subseteq \text{adjacent-nodes } u$ 
assumes  $\text{neighbors-finite}[\text{simp}, \text{intro!}]: \text{finite } (n \ u)$ 
begin

lemma  $\text{nbr-is-hbl}: \text{Height-Bounded-Labeling } c \ s \ t \ f \ l$  by  $\text{unfold-locales}$ 

lemma  $\text{push-pres-nbr-invar}$ :
assumes  $\text{PRE}: \text{push-precond } f \ l \ e$ 
shows  $\text{neighbor-invar } c \ s \ t \ (\text{push-effect } f \ e) \ l \ n$ 
proof ( $\text{cases } e$ )
case  $[\text{simp}]: (\text{Pair } u \ v)$ 
show  $?thesis$  proof  $\text{simp}$ 
from  $\text{PRE}$  interpret  $\text{push-effect-locale } c \ s \ t \ f \ l \ u \ v$ 
by  $\text{unfold-locales } \text{simp}$ 
from  $\text{push-pres-height-bound}[\text{OF } \text{PRE}]$ 
interpret  $l': \text{Height-Bounded-Labeling } c \ s \ t \ f' \ l$  .

show  $\text{neighbor-invar } c \ s \ t \ f' \ l \ n$ 
apply  $\text{unfold-locales}$ 
using  $\text{push-adm-edges}[\text{OF } \text{PRE}] \ \text{neighbors-adm} \ \text{neighbors-adj}$ 
by  $\text{auto}$ 
qed
qed

lemma  $\text{relabel-pres-nbr-invar}$ :
assumes  $\text{PRE}: \text{relabel-precond } f \ l \ u$ 
shows  $\text{neighbor-invar } c \ s \ t \ f \ (\text{relabel-effect } f \ l \ u) \ (n(u := \text{adjacent-nodes } u))$ 
proof  $-$ 
let  $?l' = \text{relabel-effect } f \ l \ u$ 
from  $\text{relabel-pres-height-bound}[\text{OF } \text{PRE}]$ 
interpret  $l': \text{Height-Bounded-Labeling } c \ s \ t \ f \ ?l'$  .

show  $?thesis$ 
using  $\text{neighbors-adj}$ 
proof ( $\text{unfold-locales}; \text{clarsimp } \text{split}: \text{if-splits}$ )
fix  $a \ b$ 
assume  $A: a \neq u \quad b \in \text{adjacent-nodes } a \quad b \notin n \ a \quad (a,b) \in \text{adm-edges } f \ ?l'$ 
hence  $(a,b) \in \text{cf}.E$  unfolding  $\text{adm-edges-def}$  by  $\text{auto}$ 
with  $A$   $\text{relabel-adm-edges}(2,3)[\text{OF } \text{PRE}] \ \text{neighbors-adm}$ 
show  $\text{False}$ 
apply ( $\text{auto}$ )
by ( $\text{smt } \text{DiffD2 } \text{Diff-triv } \text{adm-edges-def } \text{cf.incoming-def}$ 
 $\text{mem-Collect-eq } \text{prod.simps}(2) \ \text{relabel-preserve-other}$ )
qed
qed

```

**lemma** *excess-nz-iff-gz*:  $\llbracket u \in V; u \neq s \rrbracket \implies \text{excess } f \ u \neq 0 \iff \text{excess } f \ u > 0$   
**using** *excess-non-negative'* **by force**

**lemma** *no-neighbors-relabel-precond*:  
**assumes**  $n \ u = \{\}$   $u \neq t$   $u \neq s$   $u \in V$   $\text{excess } f \ u \neq 0$   
**shows** *relabel-precond*  $f \ l \ u$   
**using** *assms neighbors-adm cfE-ss-invE*  
**unfolding** *relabel-precond-def adm-edges-def*  
**by** (*auto simp: adjacent-nodes-def excess-nz-iff-gz*)

**lemma** *remove-neighbor-pres-nbr-invar*:  $(u,v) \notin \text{adm-edges } f \ l$   
 $\implies \text{neighbor-invar } c \ s \ t \ f \ l \ (n \ (u := n \ u - \{v\}))$   
**apply** *unfold-locales*  
**using** *neighbors-adm neighbors-adj*  
**by** (*auto split: if-splits*)

**end**

### 3.3 Discharge Operation

**context** *Network*  
**begin**

The discharge operation performs push and relabel operations on a node until it becomes inactive. The lemmas in this section are based on the ideas described in the proof of [Cormen 26.29].

**definition** *discharge*  $f \ l \ n \ u \equiv \text{do } \{$   
 $\text{assert } (u \in V - \{s,t\});$   
 $\text{while}_T (\lambda(f,l,n). \text{excess } f \ u \neq 0) (\lambda(f,l,n). \text{do } \{$   
 $\text{v} \leftarrow \text{selectp } v. v \in n \ u;$   
 $\text{case } v \text{ of}$   
 $\text{None} \Rightarrow \text{do } \{$   
 $\text{l} \leftarrow \text{relabel } f \ l \ u;$   
 $\text{return } (f,l,n(u := \text{adjacent-nodes } u))$   
 $\}$   
 $| \text{Some } v \Rightarrow \text{do } \{$   
 $\text{assert } (v \in V \wedge (u,v) \in E \cup E^{-1});$   
 $\text{if } ((u,v) \in \text{cfE-of } f \wedge l \ u = l \ v + 1) \text{ then do } \{$   
 $\text{f} \leftarrow \text{push } f \ l \ (u,v);$   
 $\text{return } (f,l,n)$   
 $\}$  **else do**  $\{$   
 $\text{assert } ((u,v) \notin \text{adm-edges } f \ l);$   
 $\text{return } (f,l,n(u := n \ u - \{v\}))$   
 $\}$   
 $\}$   
 $\}) (f,l,n)$   
 $\}$

**end** — Network

Invariant for the discharge loop

**locale** *discharge-invar* =  
     *neighbor-invar c s t f l n*  
 + *lo: neighbor-invar c s t fo lo no*  
**for** *c s t* **and** *u :: node* **and** *fo lo no f l n* +  
**assumes** *lu-incr: lo u ≤ l u*  
**assumes** *u-node: u ∈ V - {s,t}*  
**assumes** *no-relabel-adm-edges: lo u = l u ⇒ adm-edges f l ⊆ adm-edges fo lo*  
**assumes** *no-relabel-excess:*  
      $\llbracket lo\ u = l\ u; u \neq v; excess\ fo\ v \neq excess\ f\ v \rrbracket \Rightarrow (u,v) \in adm\ edges\ fo\ lo$   
**assumes** *adm-edges-leaving-u: (u',v) ∈ adm-edges f l - adm-edges fo lo ⇒ u' = u*  
**assumes** *relabel-u-no-incoming-adm: lo u ≠ l u ⇒ (v,u) ∉ adm-edges f l*  
**assumes** *algo-rel: ((f,l),(fo,lo)) ∈ pr-algo-rel\**  
**begin**

**lemma** *u-node-simp1[simp]: u ≠ s    u ≠ t    s ≠ u    t ≠ u using u-node by auto*

**lemma** *u-node-simp2[simp, intro!]: u ∈ V using u-node by auto*

**lemma** *dis-is-lbl: Labeling c s t f l by unfold-locales*

**lemma** *dis-is-hbl: Height-Bounded-Labeling c s t f l by unfold-locales*

**lemma** *dis-is-nbr: neighbor-invar c s t f l n by unfold-locales*

**lemma** *new-adm-imp-relabel:*

*(u',v) ∈ adm-edges f l - adm-edges fo lo ⇒ lo u ≠ l u*

**using** *no-relabel-adm-edges adm-edges-leaving-u by auto*

**lemma** *push-pres-dis-invar:*

**assumes** *PRE: push-precond f l (u,v)*

**shows** *discharge-invar c s t u fo lo no (push-effect f (u,v)) l n*

**proof** –

**from** *PRE interpret push-effect-locale by unfold-locales*

**from** *push-pres-nbr-invar[OF PRE] interpret neighbor-invar c s t f' l n .*

**show** *discharge-invar c s t u fo lo no f' l n*

**apply** *unfold-locales*

**subgoal using** *lu-incr by auto*

**subgoal by** *auto*

**subgoal using** *no-relabel-adm-edges push-adm-edges(2)[OF PRE] by auto*

**subgoal for** *v'* **proof** –

**assume** *LOU: lo u = l u*

**assume** *EXNE: excess fo v' ≠ excess f' v'*

**assume** *UNV': u ≠ v'*

{

**assume** *excess fo v' ≠ excess f v'*

**from** *no-relabel-excess[OF LOU UNV' this] have ?thesis .*

} **moreover** {

**assume** *excess fo v' = excess f v'*

**with** *EXNE have excess f v' ≠ excess f' v' by simp*

```

    hence  $v'=v$  using UNV' by (auto simp: excess'-if split: if-splits)
    hence ?thesis using no-relabel-adm-edges[OF LOU] uv-adm by auto
  } ultimately show ?thesis by blast
qed
subgoal
  by (meson Diff-iff push-adm-edges(2)[OF PRE] adm-edges-leaving-u sub-
setCE)
subgoal
  using push-adm-edges(2)[OF PRE] relabel-u-no-incoming-adm by blast
subgoal
  using converse-rtrancl-into-rtrancl[
    OF pr-algo-rel.push[OF dis-is-hbl PRE] algo-rel]
  .
done
qed

```

**lemma** *relabel-pres-dis-invar*:  
**assumes** *PRE*: *relabel-precond f l u*  
**shows** *discharge-invar c s t u fo lo no f*  
*(relabel-effect f l u) (n(u := adjacent-nodes u))*

**proof** –  
**let**  $?l' = \text{relabel-effect } f \ l \ u$   
**let**  $?n' = n(u := \text{adjacent-nodes } u)$   
**from** *relabel-pres-nbr-invar*[OF *PRE*]  
**interpret**  $l'$ : *neighbor-invar c s t f ?l' ?n'* .

**note** *lu-incr*  
**also note** *relabel-increase-u*[OF *PRE*]  
**finally have** *INCR*:  $lo \ u < ?l' \ u$  .

**show** ?thesis  
**apply** *unfold-locales*  
**using** *INCR*  
**apply** *simp-all*  
**subgoal for**  $u' \ v$   
**proof** *clarsimp*  
**assume** *IN'*:  $(u', v) \in \text{adm-edges } f \ ?l'$   
**and** *NOT-INO*:  $(u', v) \notin \text{adm-edges } fo \ lo$   
{  
**assume** *IN*:  $(u', v) \in \text{adm-edges } f \ l$   
**with** *adm-edges-leaving-u NOT-INO* **have**  $u'=u$  **by** *auto*  
} **moreover** {  
**assume** *NOT-IN*:  $(u', v) \notin \text{adm-edges } f \ l$   
**with** *IN'* *relabel-adm-edges*[OF *PRE*] **have**  $u'=u$   
**unfolding** *cf.incoming-def cf.outgoing-def cf.adjacent-def*  
**by** *auto*  
} **ultimately show** ?thesis **by** *blast*  
qed  
**subgoal**

```

using relabel-adm-edges(2)[OF PRE]
unfolding adm-edges-def cf.incoming-def
by fastforce
subgoal
  using converse-rtrancl-into-rtrancl[
    OF pr-algo-rel.relabel[OF dis-is-hbl PRE] algo-rel]
  .
done
qed

lemma push-precondI-nz:
   $\llbracket \text{excess } f \ u \neq 0; (u,v) \in cfE\text{-of } f; l \ u = l \ v + 1 \rrbracket \implies \text{push-precond } f \ l \ (u,v)$ 
  unfolding push-precond-def by (auto simp: excess-nz-iff-gz)

lemma remove-neighbor-pres-dis-invar:
  assumes PRE:  $(u,v) \notin \text{adm-edges } f \ l$ 
  defines  $n' \equiv n \ (u := n \ u - \{v\})$ 
  shows discharge-invar c s t u fo lo no f l n'
proof –
  from remove-neighbor-pres-nbr-invar[OF PRE]
  interpret neighbor-invar c s t f l n' unfolding n'-def .
  show ?thesis
  apply unfold-locales
  using lu-incr no-relabel-adm-edges no-relabel-excess adm-edges-leaving-u
    relabel-u-no-incoming-adm algo-rel
  by auto
qed

lemma neighbors-in-V:  $v \in n \ u \implies v \in V$ 
  using neighbors-adj[of u] E-ss-VxV unfolding adjacent-nodes-def by auto

lemma neighbors-in-E:  $v \in n \ u \implies (u,v) \in E \cup E^{-1}$ 
  using neighbors-adj[of u] E-ss-VxV unfolding adjacent-nodes-def by auto

lemma relabeled-node-has-outgoing:
  assumes relabel-precond f l u
  shows  $\exists v. (u,v) \in cfE\text{-of } f$ 
  using assms unfolding relabel-precond-def
  using active-has-cf-outgoing unfolding cf.outgoing-def by auto

end

lemma (in neighbor-invar) discharge-invar-init:
  assumes  $u \in V - \{s, t\}$ 
  shows discharge-invar c s t u f l n f l n
  using assms

```

by *unfold-locales auto*

**context** *Network* **begin**

The discharge operation preserves the invariant, and discharges the node.

**lemma** *discharge-correct*[*THEN order-trans, refine-vcg*]:

**assumes** *DINV*: *neighbor-invar c s t f l n*

**assumes** *NOT-ST*:  $u \neq t \quad u \neq s$  **and** *UIV*:  $u \in V$

**shows** *discharge f l n u*

$\leq \text{SPEC } (\lambda(f',l',n'). \text{discharge-invar } c \ s \ t \ u \ f \ l \ n \ f' \ l' \ n' \wedge \text{excess } f' \ u = 0)$

**unfolding** *discharge-def push-def relabel-def*

**apply** (*refine-vcg WHILET-rule*[**where**

$I = \lambda(f',l',n'). \text{discharge-invar } c \ s \ t \ u \ f \ l \ n \ f' \ l' \ n'$

**and**  $R = \text{inv-image } (\text{pr-algo-rel } \langle * \text{lex} * \rangle \ \text{finite-psubset})$

$(\lambda(f',l',n'). ((f',l'),n' \ u))$

)

**apply** (*vc-solve*

*solve*: *wf-lex-prod DINV*

*solve*: *neighbor-invar.discharge-invar-init*[*OF DINV*]

*solve*: *neighbor-invar.no-neighbors-relabel-precond*

*solve*: *discharge-invar.relabel-pres-dis-invar*

*solve*: *discharge-invar.push-pres-dis-invar*

*solve*: *discharge-invar.push-precondI-nz pr-algo-rel.relabel*

*solve*: *pr-algo-rel.push*[*OF discharge-invar.dis-is-hbl*]

*solve*: *discharge-invar.remove-neighbor-pres-dis-invar*

*solve*: *discharge-invar.neighbors-in-V*

*solve*: *discharge-invar.relabeled-node-has-outgoing*

*solve*: *discharge-invar.dis-is-hbl*

*intro*: *discharge-invar.dis-is-nbr*

*solve*: *discharge-invar.dis-is-lbl*

*simp*: *NOT-ST*

*simp*: *neighbor-invar.neighbors-finite*[*OF discharge-invar.dis-is-nbr*] *UIV*)

**subgoal by** (*auto dest: discharge-invar.neighbors-in-E*)

**subgoal unfolding** *adm-edges-def* **by** *auto*

**subgoal by** (*auto*)

**done**

**end** — *Network*

### 3.4 Main Algorithm

We state the main algorithm and prove its termination and correctness

**context** *Network*

**begin**

Initially, all edges are unprocessed.

**definition** *rtf-init-n u*  $\equiv$  *if*  $u \in V - \{s, t\}$  *then adjacent-nodes*  $u$  *else*  $\{\}$

```

lemma rtf-init-n-finite[simp, intro!]: finite (rtf-init-n u)
  unfolding rtf-init-n-def
  by auto

lemma init-no-adm-edges[simp]: adm-edges pp-init-f pp-init-l = {}
  unfolding adm-edges-def pp-init-l-def
  using card-V-ge2
  by auto

lemma rtf-init-neighbor-invar:
  neighbor-invar c s t pp-init-f pp-init-l rtf-init-n
proof –
  from pp-init-height-bound
  interpret Height-Bounded-Labeling c s t pp-init-f pp-init-l .

  have [simp]: rtf-init-n u  $\subseteq$  adjacent-nodes u for u
    by (auto simp: rtf-init-n-def)

  show ?thesis by unfold-locales auto
qed

```

```

definition relabel-to-front  $\equiv$  do {
  let f = pp-init-f;
  let l = pp-init-l;
  let n = rtf-init-n;

  let L-left = [];
  L-right  $\leftarrow$  spec l. distinct l  $\wedge$  set l = V - {s,t};

  (f,l,n,L-left,L-right)  $\leftarrow$  whileT
    ( $\lambda(f,l,n,L-left,L-right). L-right \neq []$ )
    ( $\lambda(f,l,n,L-left,L-right). do$  {
      let u = hd L-right;
      assert (u  $\in$  V);
      let old-lu = l u;

      (f,l,n)  $\leftarrow$  discharge f l n u;

      if (l u  $\neq$  old-lu) then do {
        (* Move u to front of l, and restart scanning L *)
        let (L-left,L-right) = ([u],L-left @ tl L-right);
        return (f,l,n,L-left,L-right)
      } else do {
        (* Goto next node in l *)
        let (L-left,L-right) = (L-left@[u], tl L-right);
        return (f,l,n,L-left,L-right)
      }
    })

```

```

    }) (f,l,n,L-left,L-right);

    assert (neighbor-invar c s t f l n);

    return f
  }

```

**end** — Network

Invariant for the main algorithm:

1. Nodes in the queue left of the current node are not active
2. The queue is a topological sort of the admissible network
3. All nodes except source and sink are on the queue

```

locale rtf-invar = neighbor-invar +
  fixes L-left L-right :: node list
  assumes left-no-excess:  $\forall u \in \text{set } (L\text{-left}). \text{excess } f \ u = 0$ 
  assumes L-sorted: is-top-sorted (adm-edges f l) (L-left @ L-right)
  assumes L-set: set L-left  $\cup$  set L-right =  $V - \{s,t\}$ 
begin
  lemma rtf-is-nbr: neighbor-invar c s t f l n by unfold-locales

  lemma L-distinct: distinct (L-left @ L-right)
    using is-top-sorted-distinct[OF L-sorted] .

  lemma terminated-imp-maxflow:
    assumes [simp]: L-right = []
    shows isMaxFlow f
  proof —
    from L-set left-no-excess have  $\forall u \in V - \{s,t\}. \text{excess } f \ u = 0$  by auto
    with no-excess-imp-maxflow show ?thesis .
  qed

```

**end**

**context** Network **begin**

```

lemma rtf-init-invar:
  assumes DIS: distinct L-left and L-set: set L-left =  $V - \{s,t\}$ 
  shows rtf-invar c s t pp-init-f pp-init-l rtf-init-n [] L-left
proof —
  from rtf-init-neighbor-invar
  interpret neighbor-invar c s t pp-init-f pp-init-l rtf-init-n .
  show ?thesis using DIS L-set by unfold-locales auto

```



qed

**theorem** *relabel-to-front-correct*:

*relabel-to-front*  $\leq$  *SPEC isMaxFlow*

**unfolding** *relabel-to-front-def*

**apply** (*rewrite in while<sub>T</sub> -  $\sqsupset$  vcg-intro-frame*)

**apply** (*refine-vcg*

*WHILET-rule*[**where**

$I = \lambda(f, l, n, L\text{-left}, L\text{-right}). \text{rtf-invar } c \ s \ t \ f \ l \ n \ L\text{-left} \ L\text{-right}$

**and**  $R = \text{inv-image}$

      (*pr-algo-rel*<sup>+</sup>  $\langle *lex* \rangle$  *less-than*)

      ( $\lambda(f, l, n, L\text{-left}, L\text{-right}). ((f, l), \text{length } L\text{-right}))$ )

  ]

)

**apply** (*vc-solve simp: rtf-init-invar rtf-invar.rtf-is-nbr*)

**subgoal by** (*blast intro: wf-lex-prod wf-trancl*)

**subgoal for**  $- \ f \ l \ n \ L\text{-left} \ L\text{-right}$  **proof**  $-$

**assume** *rtf-invar*  $c \ s \ t \ f \ l \ n \ L\text{-left} \ L\text{-right}$

**then interpret** *rtf-invar*  $c \ s \ t \ f \ l \ n \ L\text{-left} \ L\text{-right}$  .

**assume**  $L\text{-right} \neq []$  **then obtain**  $u \ L\text{-right}'$

**where** [*simp*]:  $L\text{-right} = u \# L\text{-right}'$  **by** (*cases*  $L\text{-right}$ ) *auto*

**from**  $L\text{-set}$  **have** [*simp*]:  $u \in V \quad u \neq s \quad u \neq t \quad s \neq u \quad t \neq u$  **by** *auto*

**from**  $L\text{-distinct}$  **have** [*simp*]:  $u \notin \text{set } L\text{-left} \quad u \notin \text{set } L\text{-right}'$  **by** *auto*

**show** *?thesis*

**apply** (*rule vcg-rem-frame*)

**apply** (*rewrite in do*  $\{(-, -, -) \leftarrow \text{discharge } - - - -; \sqsupset\}$  *vcg-intro-frame*)

**apply** *refine-vcg*

**apply** (*vc-solve simp: rtf-is-nbr split del: if-split*)

**subgoal for**  $f' \ l' \ n'$  **proof**  $-$

**assume** *discharge-invar*  $c \ s \ t \ u \ f \ l \ n \ f' \ l' \ n'$

**then interpret**  $l'$ : *discharge-invar*  $c \ s \ t \ u \ f \ l \ n \ f' \ l' \ n'$  .

**assume** [*simp*]: *excess*  $f' \ u = 0$

**show** *?thesis*

**apply** (*rule vcg-rem-frame*)

**apply** *refine-vcg*

**apply** (*vc-solve*)

**subgoal proof**  $-$

**assume** *RELABEL*:  $l' \ u \neq l \ u$

**have** *AUX1*:  $x = u$  **if**  $(x, u) \in (\text{adm-edges } f' \ l')^*$  **for**  $x$

**using** *that*  $l'.\text{relabel-u-no-incoming-adm}$ [*OF* *RELABEL*[*symmetric*]]

**by** (*auto elim: rtranclE*)

```

have TS1: is-top-sorted (adm-edges f l) (L-left @ L-right')
  using L-sorted by (auto intro: is-top-sorted-remove-elem)

from l'.adm-edges-leaving-u
  and l'.relabel-u-no-incoming-adm[OF RELABEL[symmetric]]
have adm-edges f' l'  $\subseteq$  adm-edges f l  $\cup$  {u}  $\times$  UNIV
  and adm-edges f' l'  $\cap$  UNIV  $\times$  {u} = {} by auto
from is-top-sorted-isolated-constraint[OF this - TS1]
have AUX2: is-top-sorted (adm-edges f' l') (L-left @ L-right')
  by simp

show rtf-invar c s t f' l' n' [u] (L-left @ L-right')
  apply unfold-locales
  subgoal by simp
  subgoal using AUX2 by (auto simp: is-top-sorted-cons dest!: AUX1)
  subgoal using L-set by auto
  done
qed
subgoal using l'.algo-rel by (auto dest: rtranclD)
subgoal proof -
  assume NO-RELABEL[simp]: l' u = l u

  have AUX: excess f' v = 0 if v  $\in$  set L-left for v
  proof (rule ccontr)
    from that (u  $\notin$  set L-left) have u  $\neq$  v by blast
    moreover assume excess f' v  $\neq$  0
    moreover from that left-no-excess have excess f v = 0 by auto
    ultimately have (u,v)  $\in$  adm-edges f l
      using l'.no-relabel-excess[OF NO-RELABEL[symmetric]]
      by auto

  with L-sorted that show False
    by (auto simp: is-top-sorted-append is-top-sorted-cons)
  qed
show rtf-invar c s t f' l' n' (L-left @ [u]) L-right'
  apply unfold-locales
  subgoal by (auto simp: AUX)
  subgoal
    apply (rule is-top-sorted-antimono[
      OF l'.no-relabel-adm-edges[OF NO-RELABEL[symmetric]]])
    using L-sorted by simp
  subgoal using L-set by auto
  done
qed
subgoal using l'.algo-rel by (auto dest: rtranclD)
done
qed
done

```

```

qed
subgoal by (auto intro: rtf-invar.terminated-imp-maxflow)
done

end — Network

end

```

## 4 FIFO Push Relabel Algorithm

```

theory Fifo-Push-Relabel
imports
  ../Lib/Refine-Add-Fofu
  Generic-Push-Relabel
begin

```

The FIFO push-relabel algorithm maintains a first-in-first-out queue of active nodes. As long as the queue is not empty, it discharges the first node of the queue.

Discharging repeatedly applied push operations from the node. If no more push operations are possible, and the node is still active, it is relabeled and enqueued.

Moreover, we implement the gap heuristics, which may accelerate relabeling if there is a gap in the label values, i.e., a label value that is assigned to no node.

### 4.1 Implementing the Discharge Operation

```

context Network
begin

```

First, we implement push and relabel operations that maintain a queue of all active nodes.

```

definition fifo-push  $f\ l\ Q \equiv \lambda(u,v). \text{do } \{$ 
   $\text{assert } (\text{push-precond } f\ l\ (u,v));$ 
   $\text{assert } (\text{Labeling } c\ s\ t\ f\ l);$ 
   $\text{let } Q = (\text{if } v \neq s \wedge v \neq t \wedge \text{excess } f\ v = 0 \text{ then } Q@[v] \text{ else } Q);$ 
   $\text{return } (\text{push-effect } f\ (u,v), Q)$ 
 $\}$ 

```

For the relabel operation, we assume that only active nodes are relabeled, and enqueue the relabeled node.

```

definition fifo-gap-relabel  $f\ l\ Q\ u \equiv \text{do } \{$ 
   $\text{assert } (u \in V - \{s, t\});$ 
   $\text{assert } (\text{Height-Bounded-Labeling } c\ s\ t\ f\ l);$ 
   $\text{let } Q = Q@[u];$ 

```

```

    assert (relabel-precond f l u);
    assert (l u < 2*card V ∧ relabel-effect f l u u < 2*card V);
    let l = gap-relabel-effect f l u;
    return (l, Q)
}

```

The discharge operation iterates over the edges, and pushes flow, as long as then node is active. If the node is still active after all edges have been saturated, the node is relabeled.

**definition** *fifo-discharge*  $f_0 l Q \equiv do \{$   
   *assert* ( $Q \neq []$ );  
   let  $u = hd Q$ ; let  $Q = tl Q$ ;  
   *assert* ( $u \in V \wedge u \neq s \wedge u \neq t$ );  
  
    $(f, l, Q) \leftarrow FOREACHc \{v \cdot (u, v) \in cfE\text{-of } f_0\} (\lambda(f, l, Q). excess f u \neq 0) (\lambda v$   
 $(f, l, Q). do \{$   
     if  $(l u = l v + 1)$  then do  $\{$   
        $(f', Q) \leftarrow fifo\text{-push } f l Q (u, v)$ ;  
       *assert* ( $\forall v'. v' \neq v \longrightarrow cf\text{-of } f' (u, v') = cf\text{-of } f (u, v')$ );  
       return  $(f', l, Q)$   
      $\}$  else return  $(f, l, Q)$   
    $\}) (f_0, l, Q)$ ;  
  
   if  $excess f u \neq 0$  then do  $\{$   
      $(l, Q) \leftarrow fifo\text{-gap-relabel } f l Q u$ ;  
     return  $(f, l, Q)$   
    $\}$  else do  $\{$   
     return  $(f, l, Q)$   
    $\}$   
 $\}$

We will show that the discharge operation maintains the invariant that the queue is disjoint and contains exactly the active nodes:

**definition** *Q-invar*  $f Q \equiv distinct Q \wedge set Q = \{v \in V - \{s, t\}. excess f v \neq 0\}$

Inside the loop of the discharge operation, we will use the following version of the invariant:

**definition** *QD-invar*  $u f Q \equiv u \in V - \{s, t\} \wedge distinct Q \wedge set Q = \{v \in V - \{s, t, u\}. excess f v \neq 0\}$

**lemma** *Q-invar-when-discharged1*:  $\llbracket QD\text{-invar } u f Q; excess f u = 0 \rrbracket \implies Q\text{-invar } f Q$

**unfolding** *Q-invar-def QD-invar-def by auto*

**lemma** *Q-invar-when-discharged2*:  $\llbracket QD\text{-invar } u f Q; excess f u \neq 0 \rrbracket \implies Q\text{-invar } f (Q@[u])$

**unfolding** *Q-invar-def QD-invar-def*

by *auto*

**lemma** (in *Labeling*) *push-no-activate-pres-QD-invar*:

**fixes**  $v$

**assumes**  $INV$ : *QD-invar*  $u f Q$

**assumes**  $PRE$ : *push-precond*  $f l (u, v)$

**assumes**  $VC$ :  $s=v \vee t=v \vee excess f v \neq 0$

**shows** *QD-invar*  $u (push-effect f (u, v)) Q$

**proof** –

**interpret** *push-effect-locale*  $c s t f l u v$

**using**  $PRE$  **by** *unfold-locales*

**from** *excess-non-negative*  $\Delta$ -*positive* **have**  $excess f v + \Delta \neq 0$  **if**  $v \notin \{s, t\}$

**using** *that* **by force**

**thus** *?thesis*

**using**  $VC INV$

**unfolding** *QD-invar-def*

**by** (*auto simp: excess'-if split!: if-splits*)

**qed**

**lemma** (in *Labeling*) *push-activate-pres-QD-invar*:

**fixes**  $v$

**assumes**  $INV$ : *QD-invar*  $u f Q$

**assumes**  $PRE$ : *push-precond*  $f l (u, v)$

**assumes**  $VC$ :  $s \neq v \quad t \neq v$  **and** [*simp*]:  $excess f v = 0$

**shows** *QD-invar*  $u (push-effect f (u, v)) (Q@[v])$

**proof** –

**interpret** *push-effect-locale*  $c s t f l u v$

**using**  $PRE$  **by** *unfold-locales*

**show** *?thesis*

**using**  $VC INV \Delta$ -*positive*

**unfolding** *QD-invar-def*

**by** (*auto simp: excess'-if split!: if-splits*)

**qed**

Main theorem for the discharge operation: It maintains a height bounded labeling, the invariant for the FIFO queue, and only performs valid steps due to the generic push-relabel algorithm with gap-heuristics.

**theorem** *fifo-discharge-correct*[*THEN order-trans, refine-vcg*]:

**assumes**  $DINV$ : *Height-Bounded-Labeling*  $c s t f l$

**assumes**  $QINV$ : *Q-invar*  $f Q$  **and**  $QNE$ :  $Q \neq []$

**shows** *fifo-discharge*  $f l Q \leq SPEC (\lambda(f', l', Q').$

*Height-Bounded-Labeling*  $c s t f' l'$

$\wedge$  *Q-invar*  $f' Q'$

$\wedge ((f', l'), (f, l)) \in gap\text{-algo-rel}^+$

)

**proof** –

**from**  $QNE$  **obtain**  $u Qr$  **where** [*simp*]:  $Q = u \# Qr$  **by** (*cases Q*) *auto*

```

from QINV have  $U: u \in V - \{s, t\}$    QD-invar  $u f Qr$  and XU-orig: excess  $f u \neq 0$ 
  by (auto simp: Q-invar-def QD-invar-def)

have [simp, intro!]: finite  $\{v. (u, v) \in cfE\text{-of } f\}$ 
  apply (rule finite-subset[where B=V])
  using cfE-of-ss-VxV
  by auto

show ?thesis
  using  $U$ 
  unfolding fifo-discharge-def fifo-push-def fifo-gap-relabel-def
  apply (simp only: split nres-monad-laws)
  apply (rewrite in FOREACHc - -  $\sqsupset$  - vcg-intro-frame)
  apply (rewrite in if excess - -  $\neq 0$  then  $\sqsupset$  else - vcg-intro-frame)
  apply (refine-vcg FOREACHc-rule[where
     $I = \lambda it (f', l', Q').$ 
    Height-Bounded-Labeling  $c s t f' l'$ 
     $\wedge$  QD-invar  $u f' Q'$ 
     $\wedge ((f', l'), (f, l)) \in \text{gap-algo-rel}^*$ 
     $\wedge it \subseteq \{v. (u, v) \in cfE\text{-of } f'\}$ 
     $\wedge (\text{excess } f' u \neq 0 \longrightarrow (\forall v \in \{v. (u, v) \in cfE\text{-of } f'\} - it. l' u \neq l' v + 1))$ 
  )
  )
  apply (vc-solve simp: DINV QINV it-step-insert-iff split del: if-split)
  subgoal for  $v$  it  $f' l' Q'$  proof -
    assume HBL: Height-Bounded-Labeling  $c s t f' l'$ 
    then interpret  $l'$ : Height-Bounded-Labeling  $c s t f' l'$  .

    assume  $X: \text{excess } f' u \neq 0$  and  $UI: u \in V \quad u \neq s \quad u \neq t$ 
      and QDI: QD-invar  $u f' Q'$ 

    assume  $v \in it$  and ITSS: it  $\subseteq \{v. (u, v) \in l'.cf.E\}$ 
    hence UVE: (u,v) ∈ l'.cf.E by auto

    assume REL: ((f', l'), f, l) ∈ gap-algo-rel*

    assume SAT-EDGES:  $\forall v \in \{v. (u, v) \in cfE\text{-of } f'\} - it. l' u \neq \text{Suc } (l' v)$ 

    from  $X UI l'.\text{excess-non-negative}$  have  $X': \text{excess } f' u > 0$  by force

    have PP: push-precond  $f' l' (u, v)$  if  $l' u = l' v + 1$ 
      unfolding push-precond-def using that UVE X' by auto

    show ?thesis
    apply (rule vcg-rem-frame)
    apply (rewrite in if - then (assert -  $\gg$   $\sqsupset$ ) else - vcg-intro-frame)

```

```

apply refine-vcg
apply (vc-solve simp: REL solve: PP l'.push-pres-height-bound HBL QDI
split del: if-split)
subgoal proof –
  assume [simp]: l' u = Suc (l' v)
  assume PRE: push-precond f' l' (u, v)
  then interpret pe: push-effect-locale c s t f' l' u v by unfold-locales

have UVNE': l'.cf (u, v) ≠ 0
  using l'.resE-positive by fastforce

show ?thesis
apply (rule vcg-rem-frame)
apply refine-vcg
apply (vc-solve simp: l'.push-pres-height-bound[OF PRE])
subgoal by unfold-locales
subgoal by (auto simp: pe.cf'-alt augment-edge-cf-def)
subgoal
  using l'.push-activate-pres-QD-invar[OF QDI PRE]
  using l'.push-no-activate-pres-QD-invar[OF QDI PRE]
  by auto
subgoal
  by (meson gap-algo-rel.push REL PRE converse-rtrancl-into-rtrancl
HBL)

subgoal for x proof –
  assume x∈it x≠v
  with ITSS have (u,x)∈l'.cf.E by auto
  thus ?thesis
    using ⟨x≠v⟩
    unfolding pe.f'-alt
    apply (simp add: augment-edge-cf')
    unfolding Graph.E-def
    by (auto)
qed
subgoal for v' proof –
  assume excess f' u ≠ pe.Δ
  hence PED: pe.Δ = l'.cf (u,v)
    unfolding pe.Δ-def by auto
  hence E'SS: pe.l'.cf.E ⊆ (l'.cf.E ∪ {(v,u)}) – {(u,v)}
    unfolding pe.f'-alt
    apply (simp add: augment-edge-cf')
    unfolding Graph.E-def
    by auto

  assume v' ∈ it → v' = v and UV'E: (u, v') ∈ pe.l'.cf.E and
LUSLV': l' v = l' v'
  with E'SS have v'∉it by auto
  moreover from UV'E E'SS ⟨v≠u⟩ have (u,v')∈l'.cf.E by auto
  ultimately have l' u ≠ Suc (l' v') using SAT-EDGES by auto

```

```

        with LUSLV' show False by simp
      qed
    done
  qed
  subgoal using ITSS by auto
  subgoal using SAT-EDGES by auto
  done
qed
subgoal premises prems for f' l' Q' proof -
  from prems interpret l': Height-Bounded-Labeling c s t f' l' by simp
  from prems have UI:  $u \in V \quad u \neq s \quad u \neq t$ 
    and X:  $\text{excess } f' \ u \neq 0$ 
    and QDI:  $QD\text{-invar } u \ f' \ Q'$ 
    and REL:  $((f', l'), f, l) \in \text{gap-algo-rel}^*$ 
    and NO-ADM:  $\forall v. (u, v) \in l'.cf.E \longrightarrow l' \ u \neq \text{Suc } (l' \ v)$ 
  by simp-all

  from X have X':  $\text{excess } f' \ u > 0$  using l'.excess-non-negative UI by force

  from X' UI NO-ADM have PRE:  $\text{relabel-precond } f' \ l' \ u$ 
    unfolding relabel-precond-def by auto

  from l'.height-bound  $\langle u \in V \rangle \text{ card-}V\text{-ge2}$  have [simp]:  $l' \ u < 2 * \text{card } V$  by
  auto

  from l'.relabel-pres-height-bound[OF PRE]
  interpret l'': Height-Bounded-Labeling c s t f' relabel-effect f' l' u .

  from l''.height-bound  $\langle u \in V \rangle \text{ card-}V\text{-ge2}$  have [simp]:  $\text{relabel-effect } f' \ l' \ u \ u$ 
  <  $2 * \text{card } V$  by auto

  show ?thesis
  apply (rule vcg-rem-frame)
  apply refine-vcg
  apply (vc-solve
    simp: UI PRE
    simp: l'.gap-relabel-pres-hb-labeling[OF PRE]
    simp: Q-invar-when-discharged2[OF QDI X])
  subgoal by unfold-locales
  subgoal
  by (meson PRE REL gap-algo-rel.relabel l'.Height-Bounded-Labeling-axioms
  rtrancl-into-trancl2)
  done
  qed
  subgoal by (auto simp: Q-invar-when-discharged1 Q-invar-when-discharged2)
  subgoal using XU-orig by (metis Pair-inject rtranclD)
  subgoal by (auto simp: Q-invar-when-discharged1)
  subgoal using XU-orig by (metis Pair-inject rtranclD)

```



**done**  
**qed**  
**end** — Network

## 4.2 Main Algorithm

**context** *Network*  
**begin**

The main algorithm initializes the flow, labeling, and the queue, and then applies the discharge operation until the queue is empty:

**definition** *fifo-push-relabel*  $\equiv$  *do* {  
   *let*  $f = pp\text{-}init\text{-}f$ ;  
   *let*  $l = pp\text{-}init\text{-}l$ ;  
  
    $Q \leftarrow spec\ l.\ distinct\ l \wedge set\ l = \{v \in V - \{s, t\}. excess\ f\ v \neq 0\}$ ; (\* *TODO: This is exactly*  $E^{\{\{s\} - \{t\}!\}$  \*)  
  
    $(f, l, -) \leftarrow while_T (\lambda(f, l, Q). Q \neq []) (\lambda(f, l, Q). do \{$   
     *fifo-discharge*  $f\ l\ Q$   
    $\}) (f, l, Q)$ ;  
  
   *assert* (*Height-Bounded-Labeling*  $c\ s\ t\ f\ l$ );  
   *return*  $f$   
 }

Having proved correctness of the discharge operation, the correctness theorem of the main algorithm is straightforward: As the discharge operation implements the generic algorithm, the loop will terminate after finitely many steps. Upon termination, the queue that contains exactly the active nodes is empty. Thus, all nodes are inactive, and the resulting preflow is actually a maximal flow.

**theorem** *fifo-push-relabel-correct*:  
*fifo-push-relabel*  $\leq SPEC\ isMaxFlow$   
**unfolding** *fifo-push-relabel-def*  
**apply** (*refine-vcg*  
   *WHILET-rule*[**where**  
      $I = \lambda(f, l, Q). Height\text{-}Bounded\text{-}Labeling\ c\ s\ t\ f\ l \wedge Q\text{-}invar\ f\ Q$   
     **and**  $R = inv\text{-}image\ (gap\text{-}algo\text{-}rel^+) (\lambda(f, l, Q). ((f, l)))$   
   ]  
 )  
**apply** (*vc-solve solve: pp-init-height-bound*)  
**subgoal by** (*blast intro: wf-lex-prod wf-trancl*)  
**subgoal unfolding** *Q-invar-def* **by** *auto*  
**subgoal for** *initQ fl* **proof** —  
   **assume** *Height-Bounded-Labeling*  $c\ s\ t\ f\ l$   
   **then interpret** *Height-Bounded-Labeling*  $c\ s\ t\ f\ l$  .

```

    assume Q-invar f []
    hence  $\forall u \in V - \{s, t\}. \text{excess } f \ u = 0$  unfolding Q-invar-def by auto
    thus isMaxFlow f by (rule no-excess-imp-maxflow)
  qed
done

end — Network

end

```

## 5 Tools for Implementing Push-Relabel Algorithms

```

theory Prpu-Common-Impl
imports
  Prpu-Common-Inst
  ../Flow-Networks/Network-Impl
  ../Net-Check/NetCheck
begin

```

### 5.1 Basic Operations

```

type-synonym excess-impl = node  $\Rightarrow$  capacity-impl

```

```

context Network-Impl
begin

```

#### 5.1.1 Excess Map

Obtain an excess map with all nodes mapped to zero.

```

definition x-init :: excess-impl nres where x-init  $\equiv$  return ( $\lambda \cdot 0$ )

```

Get the excess of a node.

```

definition x-get :: excess-impl  $\Rightarrow$  node  $\Rightarrow$  capacity-impl nres
  where x-get x u  $\equiv$  do {
    assert ( $u \in V$ );
    return (x u)
  }

```

Add a capacity to the excess of a node.

```

definition x-add :: excess-impl  $\Rightarrow$  node  $\Rightarrow$  capacity-impl  $\Rightarrow$  excess-impl nres
  where x-add x u  $\Delta$   $\equiv$  do {
    assert ( $u \in V$ );
    return ( $x(u := x \ u + \Delta)$ )
  }

```

### 5.1.2 Labeling

Obtain the initial labeling: All nodes are zero, except the source which is labeled by  $|V|$ . The exact cardinality of  $V$  is passed as a parameter.

**definition** *l-init* ::  $nat \Rightarrow (node \Rightarrow nat) nres$   
**where** *l-init*  $C \equiv return ((\lambda-. 0)(s := C))$

Get the label of a node.

**definition** *l-get* ::  $(node \Rightarrow nat) \Rightarrow node \Rightarrow nat nres$   
**where** *l-get*  $l u \equiv do \{$   
    *assert*  $(u \in V);$   
    *return*  $(l u)$   
 $\}$

Set the label of a node.

**definition** *l-set* ::  $(node \Rightarrow nat) \Rightarrow node \Rightarrow nat \Rightarrow (node \Rightarrow nat) nres$   
**where** *l-set*  $l u a \equiv do \{$   
    *assert*  $(u \in V);$   
    *assert*  $(a < 2 * card V);$   
    *return*  $(l(u := a))$   
 $\}$

### 5.1.3 Label Frequency Counts for Gap Heuristics

Obtain the frequency counts for the initial labeling. Again, the cardinality of  $|V|$ , which is required to determine the label of the source node, is passed as an explicit parameter.

**definition** *cnt-init* ::  $nat \Rightarrow (nat \Rightarrow nat) nres$   
**where** *cnt-init*  $C \equiv do \{$   
    *assert*  $(C < 2 * N);$   
    *return*  $((\lambda-. 0)(0 := C - 1, C := 1))$   
 $\}$

Get the count for a label value.

**definition** *cnt-get* ::  $(nat \Rightarrow nat) \Rightarrow nat \Rightarrow nat nres$   
**where** *cnt-get*  $cnt lv \equiv do \{$   
    *assert*  $(lv < 2 * N);$   
    *return*  $(cnt lv)$   
 $\}$

Increment the count for a label value by one.

**definition** *cnt-incr* ::  $(nat \Rightarrow nat) \Rightarrow nat \Rightarrow (nat \Rightarrow nat) nres$   
**where** *cnt-incr*  $cnt lv \equiv do \{$   
    *assert*  $(lv < 2 * N);$   
    *return*  $(cnt (lv := cnt lv + 1))$   
 $\}$

Decrement the count for a label value by one.

```

definition cnt-decr :: (nat ⇒ nat) ⇒ nat ⇒ (nat ⇒ nat) nres
  where cnt-decr cnt lv ≡ do {
    assert (lv < 2*N ∧ cnt lv > 0);
    return (cnt ( lv := cnt lv - 1 ))
  }

```

**end** — Network Implementation Locale

## 5.2 Refinements to Basic Operations

```

context Network-Impl
begin

```

In this section, we refine the algorithm to actually use the basic operations.

### 5.2.1 Explicit Computation of the Excess

```

definition xf-rel ≡ { ((excess f, cf-of f), f) | f. True }
lemma xf-rel-RELATES[refine-dref-RELATES]: RELATES xf-rel
  by (auto simp: RELATES-def)

```

```

definition pp-init-x
  ≡ λu. (if u=s then (∑ (u,v)∈outgoing s. - c(u,v)) else c (s,u))

```

```

lemma excess-pp-init-f[simp]: excess pp-init-f = pp-init-x
apply (intro ext)
subgoal for u
  unfolding excess-def pp-init-f-def pp-init-x-def
  apply (cases u=s)
  subgoal
    unfolding outgoing-def incoming-def
    by (auto intro: sum.cong simp: sum-negf)
  subgoal proof -
    assume [simp]: u≠s
    have [simp]:
      (case e of (u, v) ⇒ if u = s then c (u, v) else 0) = 0
    if e∈outgoing u for e
    using that by (auto simp: outgoing-def)
    have [simp]: (case e of (u, v) ⇒ if u = s then c (u, v) else 0)
      = (if e = (s,u) then c (s,u) else 0)
    if e∈incoming u for e
    using that by (auto simp: incoming-def split: if-splits)
    show ?thesis by (simp add: sum.delta) (simp add: incoming-def)
  qed
done
done

```

```

definition pp-init-cf
  ≡ λ(u,v). if (v=s) then c (v,u) else if u=s then 0 else c (u,v)

```

**lemma** *cf-of-pp-init-f[simp]*:  $cf\text{-of}\ pp\text{-init}\text{-f} = pp\text{-init}\text{-cf}$   
**apply** (*intro ext*)  
**unfolding** *pp-init-cf-def pp-init-f-def residualGraph-def*  
**using** *no-parallel-edge*  
**by** *auto*

**lemma** *pp-init-x-rel*:  $((pp\text{-init}\text{-x}, pp\text{-init}\text{-cf}), pp\text{-init}\text{-f}) \in xf\text{-rel}$   
**unfolding** *xf-rel-def* **by** *auto*

## 5.2.2 Algorithm to Compute Initial Excess and Flow

**definition** *pp-init-xcf2-aux*  $\equiv do$  {  
*let*  $x = (\lambda -. 0)$ ;  
*let*  $cf = c$ ;  
  
*foreach* (*adjacent-nodes*  $s$ ) ( $\lambda v (x, cf)$ ). *do* {  
*assert*  $((s, v) \in E)$ ;  
*assert*  $(s \neq v)$ ;  
*let*  $a = cf (s, v)$ ;  
*assert*  $(x\ v = 0)$ ;  
*let*  $x = x (s := x\ s - a, v := a)$ ;  
*let*  $cf = cf ( (s, v) := 0, (v, s) := a)$ ;  
*return*  $(x, cf)$   
 })  $(x, cf)$   
}

**lemma** *pp-init-xcf2-aux-spec*:  
**shows** *pp-init-xcf2-aux*  $\leq SPEC (\lambda(x, cf). x = pp\text{-init}\text{-x} \wedge cf = pp\text{-init}\text{-cf})$

**proof** –  
**have** *ADJ-S-AUX*: *adjacent-nodes*  $s = \{v . (s, v) \in E\}$   
**unfolding** *adjacent-nodes-def* **using** *no-incoming-s* **by** *auto*

**have** *CSU-AUX*:  $c (s, u) = 0$  **if**  $u \notin \text{adjacent-nodes } s$  **for**  $u$   
**using** *that* **unfolding** *adjacent-nodes-def* **by** *auto*

**show** *?thesis*

**unfolding** *pp-init-xcf2-aux-def*  
**apply** (*refine-vcg FOREACH-rule*[**where**  $I = \text{lit } (x, cf)$ ].  
 $x\ s = (\sum v \in \text{adjacent-nodes } s - it. - c(s, v))$   
 $\wedge (\forall v \in \text{adjacent-nodes } s. x\ v = (\text{if } v \in it \text{ then } 0 \text{ else } c (s, v)))$   
 $\wedge (\forall v \in -\text{insert } s (\text{adjacent-nodes } s). x\ v = 0)$   
 $\wedge (\forall v \in \text{adjacent-nodes } s.$   
 $\quad \text{if } v \notin it \text{ then } cf (s, v) = 0 \wedge cf (v, s) = c (s, v)$   
 $\quad \text{else } cf (s, v) = c (s, v) \wedge cf (v, s) = c (v, s))$   
 $\wedge (\forall u\ v. u \neq s \wedge v \neq s \longrightarrow cf (u, v) = c (u, v))$   
 $\wedge (\forall u. u \notin \text{adjacent-nodes } s \longrightarrow cf (u, s) = 0 \wedge cf (s, u) = 0)$   
 ])  
**apply** (*vc-solve simp: it-step-insert-iff simp: CSU-AUX*)

```

subgoal for  $v$  it by (auto simp: ADJ-S-AUX)
subgoal for  $u$  it -  $v$  by (auto split: if-splits)
subgoal by (auto simp: ADJ-S-AUX)
subgoal by (auto simp: ADJ-S-AUX)
subgoal by (auto split: if-splits)

subgoal for  $x$ 
  unfolding pp-init-x-def
  apply (intro ext)
  subgoal for  $u$ 
    apply (clarsimp simp: ADJ-S-AUX outgoing-def; intro conjI)
    applyS (auto intro!: sum.reindex-cong[where l=snd] intro: inj-onI)
    applyS (metis (mono-tags, lifting) Compl-iff Graph.zero-cap-simp insertE
mem-Collect-eq)
    done
  done
  subgoal for  $x$  cf
    unfolding pp-init-cf-def
    apply (intro ext)
    apply (clarsimp; auto simp: CSU-AUX)
    done
  done
qed

```

```

definition pp-init-xf2 am  $\equiv$  do {
   $x \leftarrow x\text{-init}$ ;
   $cf \leftarrow cf\text{-init}$ ;

  assert ( $s \in V$ );
   $adj \leftarrow am\text{-get } am \ s$ ;
  nfoldli  $adj$  ( $\lambda\cdot$ . True) ( $\lambda v$  ( $x, cf$ )). do {
    assert ( $(s, v) \in E$ );
    assert ( $s \neq v$ );
     $a \leftarrow cf\text{-get } cf \ (s, v)$ ;
     $x \leftarrow x\text{-add } x \ s \ (-a)$ ;
     $x \leftarrow x\text{-add } x \ v \ a$ ;
     $cf \leftarrow cf\text{-set } cf \ (s, v) \ 0$ ;
     $cf \leftarrow cf\text{-set } cf \ (v, s) \ a$ ;
    return ( $x, cf$ )
  } ( $x, cf$ )
}

```

```

lemma pp-init-xf2-refine-aux:
  assumes  $AM$ : is-adj-map am
  shows  $pp\text{-init-xf2 } am \leq \Downarrow Id$  (pp-init-xf2-aux)
  unfolding pp-init-xf2-def pp-init-xf2-aux-def
  unfolding x-init-def cf-init-def am-get-def cf-get-def cf-set-def x-add-def
  apply (simp only: nres-monad-laws)

```

**supply** *LFO-refine*[*OF am-to-adj-nodes-refine*[*OF AM*], *refine*]  
**apply** *refine-rcg*  
**using** *E-ss-VxV*  
**by** *auto*

**lemma** *pp-init-xcf2-refine*[*refine2*]:  
**assumes** *AM: is-adj-map am*  
**shows** *pp-init-xcf2 am ≤<sub>↓</sub>xf-rel (RETURN pp-init-f)*  
**using** *pp-init-xcf2-refine-aux*[*OF AM*] *pp-init-xcf2-aux-spec* *pp-init-x-rel*  
**by** (*auto simp: pw-le-iff refine-pw-simps*)

### 5.2.3 Computing the Minimal Adjacent Label

**definition** (**in** *Network*) *min-adj-label-aux* *cf l u*  $\equiv$  *do* {  
*assert* (*u* ∈ *V*);  
*x* ← *foreach* (*adjacent-nodes u*) ( $\lambda v x.$  *do* {  
*assert* (*(u,v)* ∈ *E* ∪ *E*<sup>-1</sup>);  
*assert* (*v* ∈ *V*);  
*if* (*cf (u,v) ≠ 0*) *then*  
*case* *x* *of*  
*None* ⇒ *return* (*Some (l v)*)  
| *Some xx* ⇒ *return* (*Some (min (l v) (xx))*)  
*else*  
*return* *x*  
}) *None*;  
*assert* (*x* ≠ *None*);  
*return* (*the x*)  
}

**lemma** (**in** *-*) *set-filter-xform-aux*:  
{ *f x* | *x. (x = a* ∨ *x* ∈ *S* ∧ *x* ∉ *it*) ∧ *P x* }  
= (*if P a then* { *f a* } *else* { }) ∪ { *f x* | *x. x* ∈ *S-it* ∧ *P x* }  
**by** *auto*

**lemma** (**in** *Labeling*) *min-adj-label-aux-spec*:  
**assumes** *PRE: relabel-precond f l u*  
**shows** *min-adj-label-aux cf l u ≤ SPEC (λx. x = Min { l v | v. (u,v) ∈ cf.E })*

**proof** –

**have** *AUX: cf (u,v) ≠ 0* ↔ (*u,v*) ∈ *cf.E* **for** *v* **unfolding** *cf.E-def* **by** *auto*

**have** *EQ: { l v | v. (u,v) ∈ cf.E }*  
= { *l v* | *v. v* ∈ *adjacent-nodes u* ∧ *cf (u,v) ≠ 0* }  
**unfolding** *AUX*  
**using** *cfE-ss-invE*  
**by** (*auto simp: adjacent-nodes-def*)

```

define Min-option :: nat set  $\rightarrow$  nat
  where Min-option X  $\equiv$  if X={ } then None else Some (Min X) for X

from PRE active-has-cf-outgoing have cf.outgoing u  $\neq$  { }
  unfolding relabel-precond-def by auto
hence [simp]: u  $\in$  V unfolding cf.outgoing-def using cfE-of-ss-VxV by auto
from  $\langle$ cf.outgoing u  $\neq$  { } $\rangle$ 
have AUX2:  $\exists v. v \in$  adjacent-nodes u  $\wedge$  cf (u, v)  $\neq$  0
  by (smt AUX Collect-empty-eq Image-singleton-iff UnCI adjacent-nodes-def
    cf.outgoing-def cf-def converse-iff prod.simps(2))

show ?thesis unfolding min-adj-label-aux-def EQ
  apply (refine-vcg
    FOREACH-rule[where
      I= $\lambda$ it x. x = Min-option
        { l v | v. v  $\in$  adjacent-nodes u - it  $\wedge$  cf (u,v)  $\neq$  0 }
    )
  )
  apply (vc-solve
    simp: Min-option-def it-step-insert-iff set-filter-xform-aux
    split: if-splits)
  subgoal unfolding adjacent-nodes-def by auto
  subgoal unfolding adjacent-nodes-def by auto
  subgoal using adjacent-nodes-ss-V by auto
  subgoal using adjacent-nodes-ss-V by auto
  subgoal by (auto simp: Min.insert-remove)
  subgoal using AUX2 by auto
  done
qed

definition min-adj-label am cf l u  $\equiv$  do {
  assert (u  $\in$  V);
  adj  $\leftarrow$  am-get am u;
  x  $\leftarrow$  nfoldli adj ( $\lambda$ -. True) ( $\lambda$ v x. do {
    assert ((u,v)  $\in$  E  $\cup$  E-1);
    assert (v  $\in$  V);
    cfuv  $\leftarrow$  cf-get cf (u,v);
    if (cfuv  $\neq$  0) then do {
      lv  $\leftarrow$  l-get l v;
      case x of
        None  $\Rightarrow$  return (Some lv)
      | Some xx  $\Rightarrow$  return (Some (min lv xx))
    } else
      return x
  }) None;

  assert (x  $\neq$  None);
  return (the x)
}

```



**lemma** *min-adj-label-refine*[*THEN order-trans, refine-vcg*]:  
**assumes** *Height-Bounded-Labeling c s t f l*  
**assumes** *AM: (am,adjacent-nodes) ∈ nat-rel → ⟨nat-rel⟩list-set-rel*  
**assumes** *PRE: relabel-precond f l u*  
**assumes** [*simp*]: *cf = cf-of f*  
**shows** *min-adj-label am cf l u ≤ SPEC (λx. x*  
     *= Min { l v | v. (u,v) ∈ cfE-of f })*

**proof** –

**interpret** *Height-Bounded-Labeling c s t f l* **by fact**

**have** *min-adj-label am (cf-of f) l u ≤ ↓Id (min-adj-label-aux (cf-of f) l u)*

**unfolding** *min-adj-label-def min-adj-label-aux-def Let-def*

**unfolding** *am-get-def cf-get-def l-get-def*

**apply** (*simp only: nres-monad-laws*)

**supply** *LFO-refine[OF fun-relD[OF AM IdI] - IdI, refine]*

**apply** (*refine-rcg*)

**by auto**

**also note** *min-adj-label-aux-spec[OF PRE]*

**finally show** *?thesis* **by simp**

**qed**

## 5.2.4 Refinement of Relabel

Utilities to Implement Relabel Operations

**definition** *relabel2 am cf l u ≡ do {*  
     *assert (u ∈ V - {s,t});*  
     *nl ← min-adj-label am cf l u;*  
     *l ← l-set l u (nl+1);*  
     *return l*  
*}*

**lemma** *relabel2-refine[refine]*:

**assumes** *((x,cf),f) ∈ xf-rel*

**assumes** *AM: (am,adjacent-nodes) ∈ nat-rel → ⟨nat-rel⟩list-set-rel*

**assumes** [*simplified,simp*]: *(li,l) ∈ Id (ui,u) ∈ Id*

**shows** *relabel2 am cf li ui ≤ ↓Id (relabel f l u)*

**proof** –

**have** [*simp*]: *{l v | v. v ∈ V ∧ cf-of f (u, v) ≠ 0} = {l v | v. cf-of f (u, v) ≠ 0}*

**using** *cfE-of-ss-VxV[of f]*

**by** (*auto simp: Graph.E-def*)

**show** *?thesis*

**using** *assms*

**unfolding** *relabel2-def relabel-def*

**unfolding** *l-set-def*

**apply** (*refine-vcg AM*)

**apply** (*vc-solve (nopre) simp: xf-rel-def relabel-effect-def solve: asm-rl*)

**subgoal premises** *prems* **for a proof** –

**from** *prems* **interpret** *Height-Bounded-Labeling c s t f l* **by simp**

```

interpret  $l'$ : Height-Bounded-Labeling c s t f re-label-effect f l u
  by (rule re-label-pres-height-bound) (rule prems)
from prems have  $u \in V$  by simp
from prems have  $a + 1 = \text{re-label-effect } f l u u$ 
  by (auto simp: re-label-effect-def)
also note  $l'.\text{height-bound}[THEN bspec, OF \langle u \in V \rangle]$ 
finally show  $a + 1 < 2 * \text{card } V$  using card-V-ge2 by auto
qed
done
qed

```

### 5.2.5 Refinement of Push

```

definition push2-aux  $x\ cf \equiv \lambda(u,v). \text{do } \{$ 
   $\text{assert } (u,v) \in E \cup E^{-1};$ 
   $\text{assert } (u \neq v);$ 
   $\text{let } \Delta = \min(x\ u)\ (cf\ (u,v));$ 
   $\text{return } ((x\ u := x\ u - \Delta, v := x\ v + \Delta), \text{augment-edge-cf } cf\ (u,v)\ \Delta)$ 
 $\}$ 

```

**lemma** *push2-aux-refine*:

```

 $\llbracket ((x,cf),f) \in \text{xf-rel}; (ei,e) \in Id \times_r Id \rrbracket$ 
 $\implies \text{push2-aux } x\ cf\ ei \leq \Downarrow \text{xf-rel } (\text{push } f\ l\ e)$ 
unfolding push-def push2-aux-def
apply refine-vcg
apply (vc-solve simp: xf-rel-def no-self-loop)
subgoal for  $u\ v$ 
  unfolding push-precond-def using cfE-of-ss-invE by auto
subgoal for  $u\ v$ 
proof -
  assume [simp]: Labeling c s t f l
  then interpret Labeling c s t f l .
    thm cfE-ss-invE
  assume push-precond  $f\ l\ (u, v)$ 
  then interpret  $l'$ : push-effect-locale c s t f l u v by unfold-locales
  show ?thesis
    apply (safe intro!: ext)
    using  $l'.\text{excess'-if } l'.\Delta\text{-def } l'.cf'\text{-alt } \langle u \neq v \rangle$ 
    by (auto)
qed
done

```

```

definition push2  $x\ cf \equiv \lambda(u,v). \text{do } \{$ 
   $\text{assert } (u,v) \in E \cup E^{-1};$ 
   $xu \leftarrow x\text{-get } x\ u;$ 
   $cfuw \leftarrow cf\text{-get } cf\ (u,v);$ 
   $cfvu \leftarrow cf\text{-get } cf\ (v,u);$ 
   $\text{let } \Delta = \min\ xu\ cfuw;$ 

```

```

x ← x-add x u (-Δ);
x ← x-add x v Δ;

cf ← cf-set cf (u,v) (cfuv - Δ);
cf ← cf-set cf (v,u) (cfvu + Δ);

return (x,cf)
}

```

**lemma** *push2-refine*[*refine*]:  
**assumes**  $((x,cf),f) \in xf\text{-rel} \quad (ei,e) \in Id \times_r Id$   
**shows**  $push2\ x\ cf\ ei \leq \Downarrow xf\text{-rel}\ (push\ f\ l\ e)$   
**proof** –  
**have**  $push2\ x\ cf\ ei \leq (push2\ aux\ x\ cf\ ei)$   
**unfolding** *push2-def push2-aux-def*  
**unfolding** *x-get-def x-add-def cf-get-def cf-set-def*  
**unfolding** *augment-edge-cf-def*  
**apply** (*simp only: nres-monad-laws*)  
**apply** *refine-vcg*  
**using** *E-ss-VxV*  
**by** *auto*  
**also note** *push2-aux-refine[OF assms]*  
**finally show** *?thesis* .  
**qed**

### 5.2.6 Adding frequency counters to labeling

**definition** *l-invar*  $l \equiv \forall v. l\ v \neq 0 \longrightarrow v \in V$

**definition** *clc-invar*  $\equiv \lambda(cnt,l).$   
 $(\forall lw. cnt\ lw = card\ \{ u \in V . l\ u = lw \})$   
 $\wedge (\forall u. l\ u < 2 * N) \wedge l\text{-invar}\ l$   
**definition** *clc-rel*  $\equiv br\ snd\ clc\text{-invar}$

**definition** *clc-init*  $C \equiv do\ \{$   
 $l \leftarrow l\text{-init}\ C;$   
 $cnt \leftarrow cnt\text{-init}\ C;$   
 $return\ (cnt,l)$   
 $\}$

**definition** *clc-get*  $\equiv \lambda(cnt,l)\ u. l\text{-get}\ l\ u$

**definition** *clc-set*  $\equiv \lambda(cnt,l)\ u\ a. do\ \{$   
 $assert\ (a < 2 * N);$   
 $lu \leftarrow l\text{-get}\ l\ u;$   
 $cnt \leftarrow cnt\text{-decr}\ cnt\ lu;$   
 $l \leftarrow l\text{-set}\ l\ u\ a;$   
 $lu \leftarrow l\text{-get}\ l\ u;$   
 $cnt \leftarrow cnt\text{-incr}\ cnt\ lu;$   
 $return\ (cnt,l)$   
 $\}$

}

**definition** *clc-has-gap*  $\equiv \lambda(cnt,l) lu. do \{$   
  *nlu*  $\leftarrow cnt\text{-get } cnt \text{ } lu;$   
  *return* (*nlu* = 0)  
 $\}$

**lemma** *cardV-le-N*: *card V*  $\leq N$  **using** *card-mono*[*OF - V-ss*] **by** *auto*

**lemma** *N-not-Z*: *N*  $\neq 0$  **using** *card-V-ge2* *cardV-le-N* **by** *auto*

**lemma** *N-ge-2*:  $2 \leq N$  **using** *card-V-ge2* *cardV-le-N* **by** *auto*

**lemma** *clc-init-refine*[*refine*]:

**assumes** [*simplified,simp*]:  $(Ci,C) \in nat\text{-rel}$

**assumes** [*simp*]: *C* = *card V*

**shows** *clc-init Ci*  $\leq \Downarrow clc\text{-rel} (l\text{-init } C)$

**proof** –

**have** *AUX*:  $\{u. u \neq s \wedge u \in V\} = V - \{s\}$  **by** *auto*

**show** *?thesis*

**unfolding** *clc-init-def l-init-def cnt-init-def*

**apply** *refine-vcg*

**unfolding** *clc-rel-def clc-invar-def*

**using** *cardV-le-N N-not-Z*

**by** (*auto simp: in-br-conv V-not-empty AUX l-invar-def*)

**qed**

**lemma** *clc-get-refine*[*refine*]:

$\llbracket (clc,l) \in clc\text{-rel}; (ui,u) \in nat\text{-rel} \rrbracket \implies clc\text{-get } clc \text{ } ui \leq \Downarrow Id (l\text{-get } l \text{ } u)$

**unfolding** *clc-get-def clc-rel-def*

**by** (*auto simp: in-br-conv split: prod.split*)

**definition** *l-get-rlx* ::  $(node \Rightarrow nat) \Rightarrow node \Rightarrow nat \text{ nres}$

**where** *l-get-rlx l u*  $\equiv do \{$

*assert* (*u* < *N*);

*return* (*l u*)

$\}$

**definition** *clc-get-rlx*  $\equiv \lambda(cnt,l) u. l\text{-get-rlx } l \text{ } u$

**lemma** *clc-get-rlx-refine*[*refine*]:

$\llbracket (clc,l) \in clc\text{-rel}; (ui,u) \in nat\text{-rel} \rrbracket$

$\implies clc\text{-get-rlx } clc \text{ } ui \leq \Downarrow Id (l\text{-get-rlx } l \text{ } u)$

**unfolding** *clc-get-rlx-def clc-rel-def*

**by** (*auto simp: in-br-conv split: prod.split*)

**lemma** *card-insert-disjointI*:

$\llbracket finite \text{ } Y; X = insert \text{ } x \text{ } Y; x \notin Y \rrbracket \implies card \text{ } X = Suc (card \text{ } Y)$

**by** *auto*

**lemma** *clc-set-refine*[*refine*]:

```

[[ (clc,l) ∈ clc-rel; (ui,u) ∈ nat-rel; (ai,a) ∈ nat-rel ]] ⇒
  clc-set clc ui ai ≤clc-rel (l-set l u a)
unfolding clc-set-def l-set-def l-get-def cnt-decr-def cnt-incr-def
apply refine-vcg
apply vc-solve
unfolding clc-rel-def in-br-conv clc-invar-def l-invar-def
subgoal using cardV-le-N by auto
applyS auto
applyS (auto simp: simp: card-gt-0-iff)

subgoal for cnt ll
  apply clarsimp
  apply (intro impI conjI; clarsimp?)
  subgoal
    apply (subst le-imp-diff-is-add; simp)
    apply (rule card-insert-disjointI[where x=u])
    by auto
  subgoal
    apply (rule card-insert-disjointI[where x=u, symmetric])
    by auto
  subgoal
    by (auto intro!: arg-cong[where f=card])
  done
done

```

```

lemma clc-has-gap-correct[THEN order-trans, refine-vcg]:
  [[(clc,l) ∈ clc-rel; k < 2*N]]
  ⇒ clc-has-gap clc k ≤ (spec r. r ↔ gap-precond l k)
unfolding clc-has-gap-def cnt-get-def gap-precond-def
apply refine-vcg
unfolding clc-rel-def clc-invar-def in-br-conv
by auto

```

## 5.2.7 Refinement of Gap-Heuristics

Utilities to Implement Gap-Heuristics

```

definition gap-aux C l k ≡ do {
  nfoldli [0..<N] (λ-. True) (λv l. do {
    lw ← l-get-rlx l v;
    if (k < lw ∧ lw < C) then do {
      assert (C+1 < 2*N);
      l ← l-set l v (C+1);
      return l
    } else return l
  }) l
}

```

```

lemma gap-effect-invar[simp]: l-invar l ⇒ l-invar (gap-effect l k)
unfolding gap-effect-def l-invar-def

```

by auto

**lemma** *relabel-effect-invar*[simp]:  $\llbracket l\text{-invar } l; u \in V \rrbracket \implies l\text{-invar } (\text{relabel-effect } f l u)$

**unfolding** *relabel-effect-def l-invar-def* by auto

**lemma** *gap-aux-correct*[THEN *order-trans, refine-vcg*]:

$\llbracket l\text{-invar } l; C = \text{card } V \rrbracket \implies \text{gap-aux } C l k \leq \text{SPEC } (\lambda r. r = \text{gap-effect } l k)$

**unfolding** *gap-aux-def l-get-rlx-def l-set-def*

**apply** (*simp only: nres-monad-laws*)

**apply** (*refine-vcg nfoldli-rule*[**where**  $I = \lambda it1 it2 l'. \forall u. \text{if } u \in \text{set } it2 \text{ then } l' u = l u \text{ else } l' u = \text{gap-effect } l k u$ ])

**apply** (*vc-solve simp: upt-eq-lcl-conv*)

**subgoal**

**apply** (*frule gap-effect-invar*[**where**  $k=k$ ])

**unfolding** *l-invar-def* **using** *V-ss* **by force**

**subgoal using** *N-not-Z cardV-le-N* **by auto**

**subgoal unfolding** *l-invar-def* **by auto**

**subgoal unfolding** *gap-effect-def* **by auto**

**subgoal for**  $v l' u$

**apply** (*drule spec*[**where**  $x=u$ ])

**by** (*auto split: if-splits simp: gap-effect-def*)

**subgoal by auto**

**done**

**definition** *gap2*  $C clc k \equiv \text{do } \{$   
  *nfoldli*  $[0..<N] (\lambda-. \text{True}) (\lambda v clc. \text{do } \{$   
     $lv \leftarrow clc\text{-get-rlx } clc v;$   
    **if**  $(k < lv \wedge lv < C)$  **then do**  $\{$   
       $clc \leftarrow clc\text{-set } clc v (C+1);$   
      **return**  $clc$   
    **}** **else return**  $clc$   
  **}**  $\} clc$   
 $\}$

**lemma** *gap2-refine*[*refine*]:

**assumes** [*simplified, simp*]:  $(Ci, C) \in \text{nat-rel} \quad (ki, k) \in \text{nat-rel}$

**assumes** *CLC*:  $(clc, l) \in \text{clc-rel}$

**shows**  $\text{gap2 } Ci clc ki \leq \Downarrow \text{clc-rel } (\text{gap-aux } C l k)$

**unfolding** *gap2-def gap-aux-def*

**apply** (*refine-rcg CLC*)

**apply** *refine-dref-type*

**by auto**

**definition** *gap-relabel-aux*  $C f l u \equiv \text{do } \{$

$lu \leftarrow l\text{-get } l u;$

$l \leftarrow \text{relabel } f l u;$

**if** *gap-precond*  $l lu$  **then**

```

    gap-aux C l lu
  else return l
}

```

**lemma** *gap-relabel-aux-refine*:

```

assumes [simp]: C = card V    l-invar l
shows gap-relabel-aux C f l u ≤ gap-relabel f l u
unfolding gap-relabel-aux-def gap-relabel-def relabel-def
  gap-relabel-effect-def l-get-def
apply (simp only: Let-def nres-monad-laws)
apply refine-vcg
by auto

```

**definition** *min-adj-label-clc* am cf clc u ≡ case clc of (-,l) ⇒ min-adj-label am cf l u

**definition** *clc-relabel2* am cf clc u ≡ do {  
 assert (u ∈ V - {s,t});  
 nl ← min-adj-label-clc am cf clc u;  
 clc ← clc-set clc u (nl+1);  
 return clc  
}

**lemma** *clc-relabel2-refine*[refine]:

```

assumes XF: ((x,cf),f) ∈ xf-rel
assumes CLC: (clc,l) ∈ clc-rel
assumes AM: (am,adjacent-nodes) ∈ nat-rel → ⟨nat-rel⟩ list-set-rel
assumes [simplified,simp]: (ui,u) ∈ Id
shows clc-relabel2 am cf clc ui ≤ ↓ clc-rel (relabel f l u)

```

**proof** –

```

have clc-relabel2 am cf clc ui ≤ ↓ clc-rel (relabel2 am cf l ui)
unfolding clc-relabel2-def relabel2-def
apply (refine-rcg)
apply (refine-dref-type)
apply (vc-solve simp: CLC)
subgoal
  using CLC
  unfolding clc-rel-def in-br-conv min-adj-label-clc-def
  by (auto split: prod.split)
done
also note relabel2-refine[OF XF AM, of l l ui u]
finally show ?thesis by simp
qed

```

**definition** *gap-relabel2* C am cf clc u ≡ do {  
 lu ← clc-get clc u;

```

    clc ← clc-relabel2 am cf clc u;
    has-gap ← clc-has-gap clc lu;
    if has-gap then gap2 C clc lu
    else
      RETURN clc
  }

```

```

lemma gap-relabel2-refine-aux:
  assumes XCF:  $((x, cf), f) \in xf\text{-rel}$ 
  assumes CLC:  $(clc, l) \in clc\text{-rel}$ 
  assumes AM:  $(am, adjacent\text{-nodes}) \in nat\text{-rel} \rightarrow \langle nat\text{-rel} \rangle list\text{-set}\text{-rel}$ 
  assumes [simplified, simp]:  $(Ci, C) \in Id \quad (ui, u) \in Id$ 
  shows gap-relabel2 Ci am cf clc ui  $\leq \Downarrow clc\text{-rel}$  (gap-relabel-aux C f l u)
  unfolding gap-relabel2-def gap-relabel-aux-def
  apply (refine-vcg XCF AM CLC if-bind-cond-refine bind-refine')
  apply (vc-solve solve: refl)
  subgoal for - lu
    using CLC
    unfolding clc-get-def l-get-def clc-rel-def in-br-conv clc-invar-def
    by (auto simp: refine-pw-simps split: prod.splits)
  done

```

```

lemma gap-relabel2-refine[refine]:
  assumes XCF:  $((x, cf), f) \in xf\text{-rel}$ 
  assumes CLC:  $(clc, l) \in clc\text{-rel}$ 
  assumes AM:  $(am, adjacent\text{-nodes}) \in nat\text{-rel} \rightarrow \langle nat\text{-rel} \rangle list\text{-set}\text{-rel}$ 
  assumes [simplified, simp]:  $(ui, u) \in Id$ 
  assumes CC:  $C = card\ V$ 
  shows gap-relabel2 C am cf clc ui  $\leq \Downarrow clc\text{-rel}$  (gap-relabel f l u)
proof -
  from CLC have LINV: l-invar l unfolding clc-rel-def in-br-conv clc-invar-def
by auto

```

```

  note gap-relabel2-refine-aux[OF XCF CLC AM IdI IdI]
  also note gap-relabel-aux-refine[OF CC LINV]
  finally show ?thesis by simp
qed

```

## 5.3 Refinement to Efficient Data Structures

### 5.3.1 Registration of Abstract Operations

We register all abstract operations at once, auto-rewriting the capacity matrix type

```

context includes Network-Impl-Sepref-Register
begin
sepref-register x-get x-add

sepref-register l-init l-get l-get-rlx l-set

```



**sepref-register** *clc-init clc-get clc-set clc-has-gap clc-get-rlx*

**sepref-register** *cnt-init cnt-get cnt-incr cnt-decr*  
**sepref-register** *gap2 min-adj-label min-adj-label-clc*

**sepref-register** *push2 relabel2 clc-relabel2 gap-relabel2*

**sepref-register** *pp-init-xf2*

**end** — Anonymous Context

### 5.3.2 Excess by Array

**definition**  $x\text{-assn} \equiv \text{is-nf } N \ (0::\text{capacity-impl})$

**lemma**  $x\text{-init-hnr}[\text{sepref-fr-rules}]$ :  
( $\text{uncurry0 } (\text{Array.new } N \ 0), \text{uncurry0 } x\text{-init}) \in \text{unit-assn}^k \rightarrow_a x\text{-assn}$   
**apply** *sepref-to-hoare unfolding*  $x\text{-assn-def } x\text{-init-def}$   
**by** (*sep-auto heap: nf-init-rule*)

**lemma**  $x\text{-get-hnr}[\text{sepref-fr-rules}]$ :  
( $\text{uncurry } \text{Array.nth}, \text{uncurry } (\text{PR-CONST } x\text{-get}))$   
 $\in x\text{-assn}^k *_{\alpha} \text{node-assn}^k \rightarrow_a \text{cap-assn}$   
**apply** *sepref-to-hoare*  
**unfolding**  $x\text{-assn-def } x\text{-get-def}$  **by** (*sep-auto simp: refine-pw-simps*)

**definition** (**in**  $-$ )  $x\text{-add-impl } x \ u \ \Delta \equiv \text{do } \{$   
   $xu \leftarrow \text{Array.nth } x \ u$ ;  
   $x \leftarrow \text{Array.upd } u \ (xu + \Delta) \ x$ ;  
   $\text{return } x$   
 $\}$

**lemma**  $x\text{-add-hnr}[\text{sepref-fr-rules}]$ :  
( $\text{uncurry2 } x\text{-add-impl}, \text{uncurry2 } (\text{PR-CONST } x\text{-add}))$   
 $\in x\text{-assn}^d *_{\alpha} \text{node-assn}^k *_{\alpha} \text{cap-assn}^k \rightarrow_a x\text{-assn}$   
**apply** *sepref-to-hoare*  
**unfolding**  $x\text{-assn-def } x\text{-add-impl-def } x\text{-add-def}$   
**by** (*sep-auto simp: refine-pw-simps*)

### 5.3.3 Labeling by Array

**definition**  $l\text{-assn} \equiv \text{is-nf } N \ (0::\text{nat})$

**definition** (**in**  $-$ )  $l\text{-init-impl } N \ s \ \text{cardV} \equiv \text{do } \{$   
   $l \leftarrow \text{Array.new } N \ (0::\text{nat})$ ;  
   $l \leftarrow \text{Array.upd } s \ \text{cardV} \ l$ ;  
   $\text{return } l$   
 $\}$

**lemma**  $l\text{-init-hnr}[\text{sepref-fr-rules}]$ :  
( $l\text{-init-impl } N \ s, (\text{PR-CONST } l\text{-init})) \in \text{nat-assn}^k \rightarrow_a l\text{-assn}$   
**apply** *sepref-to-hoare*

**unfolding**  $l\text{-assn-def } l\text{-init-def } l\text{-init-impl-def}$   
**by** (*sep-auto heap: nf-init-rule*)

**lemma**  $l\text{-get-hnr}$ [*sepref-fr-rules*]:  
 $(\text{uncurry } \text{Array.nth}, \text{uncurry } (\text{PR-CONST } l\text{-get}))$   
 $\in l\text{-assn}^k *_a \text{node-assn}^k \rightarrow_a \text{nat-assn}$   
**apply** *sepref-to-hoare*  
**unfolding**  $l\text{-assn-def } l\text{-get-def}$  **by** (*sep-auto simp: refine-pw-simps*)

**lemma**  $l\text{-get-rlx-hnr}$ [*sepref-fr-rules*]:  
 $(\text{uncurry } \text{Array.nth}, \text{uncurry } (\text{PR-CONST } l\text{-get-rlx}))$   
 $\in l\text{-assn}^k *_a \text{node-assn}^k \rightarrow_a \text{nat-assn}$   
**apply** *sepref-to-hoare*  
**unfolding**  $l\text{-assn-def } l\text{-get-rlx-def}$  **by** (*sep-auto simp: refine-pw-simps*)

**lemma**  $l\text{-set-hnr}$ [*sepref-fr-rules*]:  
 $(\text{uncurry2 } (\lambda a \ i \ x. \text{Array.upd } i \ x \ a), \text{uncurry2 } (\text{PR-CONST } l\text{-set}))$   
 $\in l\text{-assn}^d *_a \text{node-assn}^k *_a \text{nat-assn}^k \rightarrow_a l\text{-assn}$   
**apply** *sepref-to-hoare*  
**unfolding**  $l\text{-assn-def } l\text{-set-def}$   
**by** (*sep-auto simp: refine-pw-simps split: prod.split*)

### 5.3.4 Label Frequency by Array

**definition**  $\text{cnt-assn } (f::\text{node}\Rightarrow\text{nat}) \ a$   
 $\equiv \exists_{Al}. a \mapsto_a l * \uparrow(\text{length } l = 2*N \wedge (\forall i < 2*N. l\ i = f\ i) \wedge (\forall i \geq 2*N. f\ i = 0))$

**definition** (**in**  $-$ )  $\text{cnt-init-impl } N \ C \equiv \text{do } \{$   
 $a \leftarrow \text{Array.new } (2*N) \ (0::\text{nat});$   
 $a \leftarrow \text{Array.upd } 0 \ (C-1) \ a;$   
 $a \leftarrow \text{Array.upd } C \ 1 \ a;$   
 $\text{return } a$   
 $\}$

**definition** (**in**  $-$ )  $\text{cnt-incr-impl } a \ k \equiv \text{do } \{$   
 $\text{freq} \leftarrow \text{Array.nth } a \ k;$   
 $a \leftarrow \text{Array.upd } k \ (\text{freq}+1) \ a;$   
 $\text{return } a$   
 $\}$

**definition** (**in**  $-$ )  $\text{cnt-decr-impl } a \ k \equiv \text{do } \{$   
 $\text{freq} \leftarrow \text{Array.nth } a \ k;$   
 $a \leftarrow \text{Array.upd } k \ (\text{freq}-1) \ a;$   
 $\text{return } a$   
 $\}$

**lemma**  $\text{cnt-init-hnr}$ [*sepref-fr-rules*]:  $(\text{cnt-init-impl } N, \text{PR-CONST } \text{cnt-init}) \in \text{nat-assn}^k$

$\rightarrow_a \text{cnt-assn}$   
**apply** *sepref-to-hoare*  
**unfolding** *cnt-init-def cnt-init-impl-def cnt-assn-def*  
**by** (*sep-auto simp: refine-pw-simps*)

**lemma** *cnt-get-hnr[sepref-fr-rules]*: (*uncurry Array.nth, uncurry (PR-CONST cnt-get)*)  
 $\in \text{cnt-assn}^k *_a \text{nat-assn}^k \rightarrow_a \text{nat-assn}$   
**apply** *sepref-to-hoare*  
**unfolding** *cnt-get-def cnt-assn-def*  
**by** (*sep-auto simp: refine-pw-simps*)

**lemma** *cnt-incr-hnr[sepref-fr-rules]*: (*uncurry cnt-incr-impl, uncurry (PR-CONST cnt-incr)*)  
 $\in \text{cnt-assn}^d *_a \text{nat-assn}^k \rightarrow_a \text{cnt-assn}$   
**apply** *sepref-to-hoare*  
**unfolding** *cnt-incr-def cnt-incr-impl-def cnt-assn-def*  
**by** (*sep-auto simp: refine-pw-simps*)

**lemma** *cnt-decr-hnr[sepref-fr-rules]*: (*uncurry cnt-decr-impl, uncurry (PR-CONST cnt-decr)*)  
 $\in \text{cnt-assn}^d *_a \text{nat-assn}^k \rightarrow_a \text{cnt-assn}$   
**apply** *sepref-to-hoare*  
**unfolding** *cnt-decr-def cnt-decr-impl-def cnt-assn-def*  
**by** (*sep-auto simp: refine-pw-simps*)

### 5.3.5 Combined Frequency Count and Labeling

**definition** *clc-assn*  $\equiv \text{cnt-assn} \times_a \text{l-assn}$

**sepref-thm** *clc-init-impl is PR-CONST clc-init* ::  $\text{nat-assn}^k \rightarrow_a \text{clc-assn}$   
**unfolding** *clc-init-def PR-CONST-def clc-assn-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *clc-init-impl*  
**uses** *Network-Impl.clc-init-impl.refine-raw*

**lemmas** [*sepref-fr-rules*] = *clc-init-impl.refine[OF Network-Impl-axioms]*

**sepref-thm** *clc-get-impl is uncurry (PR-CONST clc-get)*  
 $:: \text{clc-assn}^k *_a \text{node-assn}^k \rightarrow_a \text{nat-assn}$   
**unfolding** *clc-get-def PR-CONST-def clc-assn-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *clc-get-impl*  
**uses** *Network-Impl.clc-get-impl.refine-raw is (uncurry ?f,-)∈-*

**lemmas** [*sepref-fr-rules*] = *clc-get-impl.refine[OF Network-Impl-axioms]*

**sepref-thm** *clc-get-rlx-impl is uncurry (PR-CONST clc-get-rlx)*  
 $:: \text{clc-assn}^k *_a \text{node-assn}^k \rightarrow_a \text{nat-assn}$   
**unfolding** *clc-get-rlx-def PR-CONST-def clc-assn-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *clc-get-rlx-impl*  
**uses** *Network-Impl.clc-get-rlx-impl.refine-raw is (uncurry ?f,-)∈-*

**lemmas** [sepref-fr-rules] = *clc-get-rlx-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *clc-set-impl* **is** *uncurry2* (*PR-CONST* *clc-set*)

$:: \text{clc-assn}^d *_{\alpha} \text{node-assn}^k *_{\alpha} \text{nat-assn}^k \rightarrow_{\alpha} \text{clc-assn}$

**unfolding** *clc-set-def* *PR-CONST-def* *clc-assn-def*

**by** *sepref*

**concrete-definition** (**in**  $-$ ) *clc-set-impl*

**uses** *Network-Impl.clc-set-impl.refine-raw* **is** (*uncurry2* *?f,-*) $\in-$

**lemmas** [sepref-fr-rules] = *clc-set-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *clc-has-gap-impl* **is** *uncurry* (*PR-CONST* *clc-has-gap*)

$:: \text{clc-assn}^k *_{\alpha} \text{nat-assn}^k \rightarrow_{\alpha} \text{bool-assn}$

**unfolding** *clc-has-gap-def* *PR-CONST-def* *clc-assn-def*

**by** *sepref*

**concrete-definition** (**in**  $-$ ) *clc-has-gap-impl*

**uses** *Network-Impl.clc-has-gap-impl.refine-raw* **is** (*uncurry* *?f,-*) $\in-$

**lemmas** [sepref-fr-rules] = *clc-has-gap-impl.refine*[*OF Network-Impl-axioms*]

### 5.3.6 Push

**sepref-thm** *push-impl* **is** *uncurry2* (*PR-CONST* *push2*)

$:: x\text{-assn}^d *_{\alpha} cf\text{-assn}^d *_{\alpha} edge\text{-assn}^k \rightarrow_{\alpha} (x\text{-assn} \times_{\alpha} cf\text{-assn})$

**unfolding** *push2-def* *PR-CONST-def*

**by** *sepref*

**concrete-definition** (**in**  $-$ ) *push-impl*

**uses** *Network-Impl.push-impl.refine-raw* **is** (*uncurry2* *?f,-*) $\in-$

**lemmas** [sepref-fr-rules] = *push-impl.refine*[*OF Network-Impl-axioms*]

### 5.3.7 Relabel

**sepref-thm** *min-adj-label-impl* **is** *uncurry3* (*PR-CONST* *min-adj-label*)

$:: am\text{-assn}^k *_{\alpha} cf\text{-assn}^k *_{\alpha} l\text{-assn}^k *_{\alpha} \text{node-assn}^k \rightarrow_{\alpha} \text{nat-assn}$

**unfolding** *min-adj-label-def* *PR-CONST-def*

**by** *sepref*

**concrete-definition** (**in**  $-$ ) *min-adj-label-impl*

**uses** *Network-Impl.min-adj-label-impl.refine-raw* **is** (*uncurry3* *?f,-*) $\in-$

**lemmas** [sepref-fr-rules] = *min-adj-label-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *relabel-impl* **is** *uncurry3* (*PR-CONST* *relabel2*)

$:: am\text{-assn}^k *_{\alpha} cf\text{-assn}^k *_{\alpha} l\text{-assn}^d *_{\alpha} \text{node-assn}^k \rightarrow_{\alpha} l\text{-assn}$

**unfolding** *relabel2-def* *PR-CONST-def*

**by** *sepref*

**concrete-definition** (**in**  $-$ ) *relabel-impl*

**uses** *Network-Impl.relabel-impl.refine-raw* **is** (*uncurry3* *?f,-*) $\in-$

**lemmas** [sepref-fr-rules] = *relabel-impl.refine*[*OF Network-Impl-axioms*]

### 5.3.8 Gap-Relabel

**sepref-thm** *gap-impl* **is** *uncurry2* (*PR-CONST gap2*)  
 $:: \text{nat-assn}^k *_a \text{clc-assn}^d *_a \text{nat-assn}^k \rightarrow_a \text{clc-assn}$   
**unfolding** *gap2-def PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *gap-impl*  
**uses** *Network-Impl.gap-impl.refine-raw* **is** (*uncurry2 ?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *gap-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *min-adj-label-clc-impl* **is** *uncurry3* (*PR-CONST min-adj-label-clc*)  
 $:: \text{am-assn}^k *_a \text{cf-assn}^k *_a \text{clc-assn}^k *_a \text{nat-assn}^k \rightarrow_a \text{nat-assn}$   
**unfolding** *min-adj-label-clc-def PR-CONST-def clc-assn-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *min-adj-label-clc-impl*  
**uses** *Network-Impl.min-adj-label-clc-impl.refine-raw* **is** (*uncurry3 ?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *min-adj-label-clc-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *clc-relabel-impl* **is** *uncurry3* (*PR-CONST clc-relabel2*)  
 $:: \text{am-assn}^k *_a \text{cf-assn}^k *_a \text{clc-assn}^d *_a \text{node-assn}^k \rightarrow_a \text{clc-assn}$   
**unfolding** *clc-relabel2-def PR-CONST-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *clc-relabel-impl*  
**uses** *Network-Impl.clc-relabel-impl.refine-raw* **is** (*uncurry3 ?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *clc-relabel-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *gap-relabel-impl* **is** *uncurry4* (*PR-CONST gap-relabel2*)  
 $:: \text{nat-assn}^k *_a \text{am-assn}^k *_a \text{cf-assn}^k *_a \text{clc-assn}^d *_a \text{node-assn}^k \rightarrow_a \text{clc-assn}$   
**unfolding** *gap-relabel2-def PR-CONST-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *gap-relabel-impl*  
**uses** *Network-Impl.gap-relabel-impl.refine-raw* **is** (*uncurry4 ?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *gap-relabel-impl.refine*[*OF Network-Impl-axioms*]

### 5.3.9 Initialization

**sepref-thm** *pp-init-xf2-impl* **is** (*PR-CONST pp-init-xf2*)  
 $:: \text{am-assn}^k \rightarrow_a \text{x-assn} \times_a \text{cf-assn}$   
**unfolding** *pp-init-xf2-def PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *pp-init-xf2-impl*  
**uses** *Network-Impl.pp-init-xf2-impl.refine-raw* **is** (*?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *pp-init-xf2-impl.refine*[*OF Network-Impl-axioms*]

**end** — Network Implementation Locale

end

## 6 Implementation of the FIFO Push/Relabel Algorithm

```
theory Fifo-Push-Relabel-Impl2
imports
  Fifo-Push-Relabel
  Prpu-Common-Impl
  ../Net-Check/NetCheck
begin
```

### 6.1 Basic Operations

```
context Network-Impl
begin
```

#### 6.1.1 Queue

Obtain the empty queue.

```
definition q-empty :: node list nres where
  q-empty  $\equiv$  return []
```

Check whether a queue is empty.

```
definition q-is-empty :: node list  $\Rightarrow$  bool nres where
  q-is-empty Q  $\equiv$  return ( Q = [] )
```

Enqueue a node.

```
definition q-enqueue :: node  $\Rightarrow$  node list  $\Rightarrow$  node list nres where
  q-enqueue v Q  $\equiv$  do {
    assert (v  $\in$  V);
    return (Q@[v])
  }
```

Dequeue a node.

```
definition q-dequeue :: node list  $\Rightarrow$  (node  $\times$  node list) nres where
  q-dequeue Q  $\equiv$  do {
    assert (Q  $\neq$  []);
    return (hd Q, tl Q)
  }
```

end — Network Implementation Locale

## 6.2 Refinements to Basic Operations

**context** *Network-Impl*  
**begin**

In this section, we refine the algorithm to actually use the basic operations.

### 6.2.1 Refinement of Push

**definition** *fifo-push2-aux*  $x$  *cf*  $Q \equiv \lambda(u,v).$  *do* {  
  *assert* (  $(u,v) \in E \cup E^{-1}$  );  
  *assert* (  $u \neq v$  );  
  *let*  $\Delta = \min(x\ u)$  (*cf*  $(u,v)$ );  
  *let*  $Q = (if\ v \neq s \wedge v \neq t \wedge x\ v = 0$  *then*  $Q@[v]$  *else*  $Q$ );  
  *return* ( $(x\ u := x\ u - \Delta, v := x\ v + \Delta),$  *augment-edge-cf* *cf*  $(u,v)\ \Delta$ ),  $Q$ )  
}

**lemma** *fifo-push2-aux-refine*:

$\llbracket ((x,cf),f) \in xf\text{-rel}; (ei,e) \in Id \times_r Id; (Qi,Q) \in Id \rrbracket$   
 $\implies$  *fifo-push2-aux*  $x$  *cf*  $Qi$   $ei \leq \Downarrow(xf\text{-rel} \times_r Id)$  (*fifo-push*  $f\ l\ Q\ e$ )

**unfolding** *fifo-push-def* *fifo-push2-aux-def*

**apply** *refine-vcg*

**apply** (*vc-solve simp: xf-rel-def no-self-loop*)

**subgoal for**  $u\ v$

**unfolding** *push-precond-def* **using** *cfE-of-ss-invE* **by** *auto*

**subgoal for**  $u\ v$

**proof** –

**assume** [*simp*]: *Labeling*  $c\ s\ t\ f\ l$

**then interpret** *Labeling*  $c\ s\ t\ f\ l$  .

**thm** *cfE-ss-invE*

**assume** *push-precond*  $f\ l\ (u, v)$

**then interpret**  $l'$ : *push-effect-locale*  $c\ s\ t\ f\ l\ u\ v$  **by** *unfold-locales*

**show** *?thesis*

**apply** (*safe intro!: ext*)

**using**  $l'.excess'$ -*if*  $l'.\Delta$ -*def*  $l'.cf'$ -*alt*  $\langle u \neq v \rangle$

**by** (*auto*)

**qed**

**done**

**definition** *fifo-push2*  $x$  *cf*  $Q \equiv \lambda(u,v).$  *do* {

*assert* (  $(u,v) \in E \cup E^{-1}$  );

$xu \leftarrow x\text{-get}\ x\ u$ ;

$xv \leftarrow x\text{-get}\ x\ v$ ;

$cfw \leftarrow cf\text{-get}\ cf\ (u,v)$ ;

$cfvu \leftarrow cf\text{-get}\ cf\ (v,u)$ ;

*let*  $\Delta = \min\ xu\ cfw$ ;

$x \leftarrow x\text{-add}\ x\ u\ (-\Delta)$ ;

$x \leftarrow x\text{-add}\ x\ v\ \Delta$ ;

```

cf ← cf-set cf (u,v) (cfuv - Δ);
cf ← cf-set cf (v,u) (cfvu + Δ);

if v≠s ∧ v≠t ∧ xv = 0 then do {
  Q ← q-enqueue v Q;
  return ((x,cf),Q)
} else
  return ((x,cf),Q)
}

lemma fifo-push2-refine[refine]:
  assumes ((x,cf),f)∈xf-rel (ei,e)∈Id×rId (Qi,Q)∈Id
  shows fifo-push2 x cf Qi ei ≤ ↓(xf-rel ×r Id) (fifo-push f l Q e)
proof -
  have fifo-push2 x cf Qi ei ≤ (fifo-push2-aux x cf Qi ei)
  unfolding fifo-push2-def fifo-push2-aux-def
  unfolding x-get-def x-add-def cf-get-def cf-set-def q-enqueue-def
  unfolding augment-edge-cf-def
  apply (simp only: nres-monad-laws)
  apply refine-vcg
  using E-ss-VxV
  by auto
  also note fifo-push2-aux-refine[OF assms]
  finally show ?thesis .
qed

```

## 6.2.2 Refinement of Gap-Relabel

```

definition fifo-gap-relabel-aux C f l Q u ≡ do {
  Q ← q-enqueue u Q;
  lu ← l-get l u;
  l ← relabel f l u;
  if gap-precond l lu then do {
    l ← gap-aux C l lu;
    return (l,Q)
  } else return (l,Q)
}

```

```

lemma fifo-gap-relabel-aux-refine:
  assumes [simp]: C = card V l-invar l
  shows fifo-gap-relabel-aux C f l Q u ≤ fifo-gap-relabel f l Q u
  unfolding fifo-gap-relabel-aux-def fifo-gap-relabel-def relabel-def
  gap-relabel-effect-def l-get-def q-enqueue-def
  apply (simp only: Let-def nres-monad-laws)
  apply refine-vcg
  by auto

```



**definition** *fifo-gap-relabel2*  $C$   $am$   $cf$   $clc$   $Q$   $u$   $\equiv$  *do* {  
 $Q \leftarrow q\text{-enqueue } u \ Q;$   
 $lu \leftarrow clc\text{-get } clc \ u;$   
 $clc \leftarrow clc\text{-relabel2 } am \ cf \ clc \ u;$   
 $has\text{-gap} \leftarrow clc\text{-has-gap } clc \ lu;$   
*if*  $has\text{-gap}$  *then* *do* {  
 $clc \leftarrow gap2 \ C \ clc \ lu;$   
 $RETURN \ (clc, Q)$   
} *else*  
 $RETURN \ (clc, Q)$   
}

**lemma** *fifo-gap-relabel2-refine-aux*:  
**assumes**  $XCF: ((x, cf), f) \in xf\text{-rel}$   
**assumes**  $CLC: (clc, l) \in clc\text{-rel}$   
**assumes**  $AM: (am, adjacent\text{-nodes}) \in nat\text{-rel} \rightarrow \langle nat\text{-rel} \rangle list\text{-set}\text{-rel}$   
**assumes** [*simplified, simp*]:  $(Ci, C) \in Id \quad (Qi, Q) \in Id \quad (ui, u) \in Id$   
**shows** *fifo-gap-relabel2*  $Ci$   $am$   $cf$   $clc$   $Qi$   $ui$   $\leq \Downarrow (clc\text{-rel} \times_r Id)$  (*fifo-gap-relabel-aux*  $C \ f \ l \ Q \ u$ )  
**unfolding** *fifo-gap-relabel2-def* *fifo-gap-relabel-aux-def*  
**apply** (*refine-vcg*  $XCF$   $AM$   $CLC$  *if-bind-cond-refine* *bind-refine*)  
**apply** *refine-dref-type*  
**apply** (*vc-solve* *solve: refl*)  
**subgoal for** -  $lu$   
**using**  $CLC$   
**unfolding** *clc-get-def* *l-get-def* *clc-rel-def* *in-br-conv* *clc-invar-def*  
**by** (*auto simp: refine-pw-simps split: prod.splits*)  
**done**

**lemma** *fifo-gap-relabel2-refine[refine]*:  
**assumes**  $XCF: ((x, cf), f) \in xf\text{-rel}$   
**assumes**  $CLC: (clc, l) \in clc\text{-rel}$   
**assumes**  $AM: (am, adjacent\text{-nodes}) \in nat\text{-rel} \rightarrow \langle nat\text{-rel} \rangle list\text{-set}\text{-rel}$   
**assumes** [*simplified, simp*]:  $(Qi, Q) \in Id \quad (ui, u) \in Id$   
**assumes**  $CC: C = card \ V$   
**shows** *fifo-gap-relabel2*  $C$   $am$   $cf$   $clc$   $Qi$   $ui$   $\leq \Downarrow (clc\text{-rel} \times_r Id)$  (*fifo-gap-relabel*  $f \ l \ Q \ u$ )  
**proof** -  
**from**  $CLC$  **have**  $LINV: l\text{-invar } l$  **unfolding** *clc-rel-def* *in-br-conv* *clc-invar-def*  
**by** *auto*

**note** *fifo-gap-relabel2-refine-aux*[*OF*  $XCF$   $CLC$   $AM$   $IdI$   $IdI$   $IdI$ ]  
**also note** *fifo-gap-relabel-aux-refine*[*OF*  $CC$   $LINV$ ]  
**finally show** *?thesis* **by** *simp*  
**qed**

### 6.2.3 Refinement of Discharge

context begin

Some lengthy, multi-step refinement of discharge, changing the iteration to iteration over adjacent nodes with filter, and showing that we can do the filter wrt. the current state, rather than the original state before the loop.

**lemma** *am-nodes-as-filter*:

**assumes** *is-adj-map am*

**shows**  $\{v . (u,v) \in cfE\text{-of } f\} = set (filter (\lambda v. cf\text{-of } f (u,v) \neq 0) (am\ u))$

**using** *assms cfE-of-ss-invE*

**unfolding** *is-adj-map-def Graph.E-def*

**by** *fastforce*

**private lemma** *adjacent-nodes-iterate-refine1*:

**fixes** *ff u f*

**assumes** *AMR*:  $(am, adjacent\text{-nodes}) \in Id \rightarrow \langle Id \rangle list\text{-set-rel}$

**assumes** *CR*:  $\bigwedge s\ si. (si, s) \in Id \implies cci\ si \longleftrightarrow cc\ s$

**assumes** *FR*:  $\bigwedge v\ vi\ s\ si. \llbracket (vi, v) \in Id; v \in V; (u, v) \in E \cup E^{-1}; (si, s) \in Id \rrbracket \implies$

$ffi\ vi\ si \leq \Downarrow Id (do \{$   
 $\quad if\ (cf\text{-of } f (u, v) \neq 0) \text{ then } ff\ v\ s\ \text{else } RETURN\ s$   
 $\quad \}) (is\ \bigwedge v\ vi\ s\ si. \llbracket -; -; - \rrbracket \implies - \leq \Downarrow - (?ff'\ v\ s))$

**assumes** *SOR*:  $(s0i, s0) \in Id$

**assumes** *UR*:  $(ui, u) \in Id$

**shows**  $nfoldli\ (am\ ui)\ cci\ ffi\ s0i \leq \Downarrow Id (FOREACHc\ \{v . (u, v) \in cfE\text{-of } f\}\ cc\ ff\ s0)$

**proof** –

**from** *fun-relD[OF AMR]* **have** *AM*: *is-adj-map am*

**unfolding** *is-adj-map-def*

**by** (*auto simp: list-set-rel-def in-br-conv adjacent-nodes-def*)

**from** *AM* **have** *AM-SS-V*:  $set\ (am\ u) \subseteq V \quad \{u\} \times set\ (am\ u) \subseteq E \cup E^{-1}$

**unfolding** *is-adj-map-def* **using** *E-ss-VxV* **by** *auto*

**thm** *nfoldli-refine*

**have**  $nfoldli\ (am\ ui)\ cci\ ffi\ s0 \leq \Downarrow Id (nfoldli\ (am\ ui)\ cc\ ?ff'\ s0)$

**apply** (*refine-vcg FR*)

**apply** (*rule list-rel-congD*)

**apply** *refine-dref-type*

**using** *CR*

**apply** *vc-solve*

**using** *AM-SS-V UR* **by** *auto*

**also** **have**  $nfoldli\ (am\ ui)\ cc\ ?ff'\ s0 \leq \Downarrow Id (FOREACHc\ (adjacent\text{-nodes } u)\ cc\ ?ff'\ s0)$

**by** (*rule LFOc-refine[OF fun-relD[OF AMR UR]]; simp*)

**also** **have**  $FOREACHc\ (adjacent\text{-nodes } u)\ cc\ ?ff'\ s0 \leq FOREACHc\ \{v . (u, v) \in cfE\text{-of } f\}\ cc\ ff\ s0$

**apply** (*subst am-nodes-as-filter[OF AM]*)

**apply** (*subst FOREACHc-filter-deforestation2*)

```

subgoal using AM unfolding is-adj-map-def by auto
subgoal
  apply (rule eq-refl)
  apply ((fo-rule cong)+; (rule refl)?)
  subgoal using fun-relD[OF AMR IdI[of u]] by (auto simp: list-set-rel-def
in-br-conv)
  done
done
finally show ?thesis using SOR by simp
qed

```

```

private definition dis-loop-aux am f0 l Q u ≡ do {
  assert (u ∈ V - {s,t});
  assert (distinct (am u));
  nfoldli (am u) (λ(f,l,Q). excess f u ≠ 0) (λv (f,l,Q). do {
    assert ((u,v) ∈ E ∪ E-1 ∧ v ∈ V);
    if (cf-of f0 (u,v) ≠ 0) then do {
      if (l u = l v + 1) then do {
        (f',Q) ← fifo-push f l Q (u,v);
        assert (∀ v'. v' ≠ v → cf-of f' (u,v') = cf-of f (u,v'));
        return (f',l,Q)
      } else return (f,l,Q)
    } else return (f,l,Q)
  }) (f0,l,Q)
}

```

```

private definition fifo-discharge-aux am f0 l Q ≡ do {
  (u,Q) ← q-dequeue Q;
  assert (u ∈ V ∧ u ≠ s ∧ u ≠ t);

  (f,l,Q) ← dis-loop-aux am f0 l Q u;

  if excess f u ≠ 0 then do {
    (l,Q) ← fifo-gap-relabel f l Q u;
    return (f,l,Q)
  } else do {
    return (f,l,Q)
  }
}

```

```

private lemma fifo-discharge-aux-refine:
assumes AM: (am, adjacent-nodes) ∈ Id → ⟨Id⟩ list-set-rel
assumes [simplified, simp]: (fi,f) ∈ Id (li,l) ∈ Id (Qi,Q) ∈ Id
shows fifo-discharge-aux am fi li Qi ≤ ↓ Id (fifo-discharge f l Q)
unfolding fifo-discharge-aux-def fifo-discharge-def dis-loop-aux-def
unfolding q-dequeue-def
apply (simp only: nres-monad-laws)
apply (refine-rcg adjacent-nodes-iterate-refine1[OF AM])
apply refine-dref-type

```

```

apply vc-solve
subgoal
  using fun-relD[OF AM IdI[of hd Q]]
  unfolding list-set-rel-def by (auto simp: in-br-conv)
done

```

```

private definition dis-loop-aux2 am f0 l Q u  $\equiv$  do {
  assert ( $u \in V - \{s, t\}$ );
  assert (distinct (am u));
  nfoldli (am u) ( $\lambda(f, l, Q). \text{excess } f \ u \neq 0$ ) ( $\lambda v (f, l, Q).$  do {
    assert ( $((u, v) \in E \cup E^{-1} \wedge v \in V)$ );
    if (cf-of f (u, v)  $\neq 0$ ) then do {
      if ( $l \ u = l \ v + 1$ ) then do {
        (f', Q)  $\leftarrow$  fifo-push f l Q (u, v);
        assert ( $\forall v'. v' \neq v \longrightarrow \text{cf-of } f' \ (u, v') = \text{cf-of } f \ (u, v')$ );
        return (f', l, Q)
      } else return (f, l, Q)
    } else return (f, l, Q)
  }) (f0, l, Q)
}

```

```

private lemma dis-loop-aux2-refine:
  shows dis-loop-aux2 am f0 l Q u  $\leq \Downarrow Id$  (dis-loop-aux am f0 l Q u)
  unfolding dis-loop-aux2-def dis-loop-aux-def
  apply (intro ASSERT-refine-right ASSERT-refine-left; assumption?)
  apply (rule nfoldli-invar-refine[where I= $\lambda it1 \ it2 (f, -, -). \forall v \in \text{set } it2. \text{cf-of } f$ 
    (u, v) = cf-of f0 (u, v)])
  apply refine-dref-type
  apply vc-solve
  apply (auto simp: pw-leof-iff refine-pw-simps fifo-push-def; metis)
done

```

```

private definition dis-loop-aux3 am x cf l Q u  $\equiv$  do {
  assert ( $u \in V \wedge \text{distinct } (am \ u)$ );
  monadic-nfoldli (am u)
    ( $\lambda((x, cf), l, Q).$  do { xu  $\leftarrow$  x-get x u; return (xu  $\neq 0$ ) })
    ( $\lambda v ((x, cf), l, Q).$  do {
      cfuv  $\leftarrow$  cf-get cf (u, v);
      if (cfuv  $\neq 0$ ) then do {
        lu  $\leftarrow$  l-get l u;
        lv  $\leftarrow$  l-get l v;
        if ( $lu = lv + 1$ ) then do {
           $((x, cf), Q) \leftarrow$  fifo-push2 x cf Q (u, v);
          return ( $((x, cf), l, Q)$ )
        } else return ( $((x, cf), l, Q)$ )
      } else return ( $((x, cf), l, Q)$ )
    }) ( $((x, cf), l, Q)$ )
}

```

**private lemma** *dis-loop-aux3-refine*:  
**assumes** [*simplified,simp*]:  $(ami,am) \in Id \quad (li,l) \in Id \quad (Qi,Q) \in Id \quad (ui,u) \in Id$   
**assumes** *XF*:  $((x,cf),f) \in xf\text{-rel}$   
**shows** *dis-loop-aux3*  $ami \ x \ cf \ li \ Qi \ ui \ \leq\Downarrow(xf\text{-rel} \times_r Id \times_r Id) \ (dis\text{-loop-aux2} \ am \ f \ l \ Q \ u)$   
**unfolding** *dis-loop-aux3-def dis-loop-aux2-def*  
**unfolding** *x-get-def cf-get-def l-get-def*  
**apply** (*simp only: nres-monad-laws nfoldli-to-monadic*)  
**apply** (*refine-rcg*)  
**apply** *refine-dref-type*  
**using** *XF*  
**by** (*vc-solve simp: xf-rel-def in-br-conv*)

**definition** *dis-loop2*  $am \ x \ cf \ clc \ Q \ u \equiv do \ \{$   
 $assert \ (distinct \ (am \ u));$   
 $amu \leftarrow am\text{-get} \ am \ u;$   
 $monadic\text{-nfoldli} \ amu$   
 $\ (\lambda((x,cf),clc,Q). \ do \ \{ \ xu \leftarrow x\text{-get} \ x \ u; \ return \ (xu \neq 0) \} )$   
 $\ (\lambda v \ ((x,cf),clc,Q). \ do \ \{$   
 $\ \ cfuv \leftarrow cf\text{-get} \ cf \ (u,v);$   
 $\ \ if \ (cfuv \neq 0) \ then \ do \ \{$   
 $\ \ \ lu \leftarrow clc\text{-get} \ clc \ u;$   
 $\ \ \ lv \leftarrow clc\text{-get} \ clc \ v;$   
 $\ \ \ if \ (lu = lv + 1) \ then \ do \ \{$   
 $\ \ \ \ ((x,cf),Q) \leftarrow fifo\text{-push2} \ x \ cf \ Q \ (u,v);$   
 $\ \ \ \ return \ ((x,cf),clc,Q)$   
 $\ \ \ \} \ else \ return \ ((x,cf),clc,Q)$   
 $\ \ \} \ else \ return \ ((x,cf),clc,Q)$   
 $\ \} \} \ ((x,cf),clc,Q)$   
 $\}$

**private lemma** *dis-loop2-refine-aux*:  
**assumes** [*simplified,simp*]:  $(xi,x) \in Id \quad (cfi,cf) \in Id \quad (ami,am) \in Id \quad (li,l) \in Id$   
 $(Qi,Q) \in Id \quad (ui,u) \in Id$   
**assumes** *CLC*:  $(clc,l) \in clc\text{-rel}$   
**shows** *dis-loop2*  $ami \ xi \ cfi \ clc \ Qi \ ui \ \leq\Downarrow(Id \times_r clc\text{-rel} \times_r Id) \ (dis\text{-loop-aux3} \ am \ x \ cf \ l \ Q \ u)$   
**unfolding** *dis-loop2-def dis-loop-aux3-def am-get-def*  
**apply** (*simp only: nres-monad-laws*)  
**apply** *refine-rcg*  
**apply** *refine-dref-type*  
**apply** (*vc-solve simp: CLC*)  
**done**

**lemma** *dis-loop2-refine[refine]*:  
**assumes** *XF*:  $((x,cf),f) \in xf\text{-rel}$   
**assumes** *CLC*:  $(clc,l) \in clc\text{-rel}$   
**assumes** [*simplified,simp*]:  $(ami,am) \in Id \quad (Qi,Q) \in Id \quad (ui,u) \in Id$   
**shows** *dis-loop2*  $ami \ x \ cf \ clc \ Qi \ ui \ \leq\Downarrow(xf\text{-rel} \times_r clc\text{-rel} \times_r Id) \ (dis\text{-loop-aux} \ am$

```

f l Q u)
proof –
  have [simp]: ((Id ×r clc-rel ×r Id) O (xf-rel ×r Id)) = xf-rel ×r clc-rel ×r Id
    by (auto simp: prod-rel-comp)

  note dis-loop2-refine-aux[OF IdI IdI IdI IdI IdI IdI CLC]
  also note dis-loop-aux3-refine[OF IdI IdI IdI IdI XF]
  also note dis-loop-aux2-refine
  finally show ?thesis
    by (auto simp: conc-fun-chain monoD[OF conc-fun-mono])
qed

```

```

definition fifo-discharge2 C am x cf clc Q ≡ do {
  (u, Q) ← q-dequeue Q;
  assert (u ∈ V ∧ u ≠ s ∧ u ≠ t);

  ((x, cf), clc, Q) ← dis-loop2 am x cf clc Q u;

  xu ← x-get x u;
  if xu ≠ 0 then do {
    (clc, Q) ← fifo-gap-relabel2 C am cf clc Q u;
    return ((x, cf), clc, Q)
  } else do {
    return ((x, cf), clc, Q)
  }
}

```

```

lemma fifo-discharge2-refine[refine]:
  assumes AM: (am, adjacent-nodes) ∈ nat-rel → ⟨nat-rel⟩ list-set-rel
  assumes XCF: ((x, cf), f) ∈ xf-rel
  assumes CLC: (clc, l) ∈ clc-rel
  assumes [simplified, simp]: (Qi, Q) ∈ Id
  assumes CC: C = card V
  shows fifo-discharge2 C am x cf clc Qi ≤↓(xf-rel ×r clc-rel ×r Id) (fifo-discharge
f l Q)
proof –
  have fifo-discharge2 C am x cf clc Q ≤↓(xf-rel ×r clc-rel ×r Id) (fifo-discharge-aux
am f l Q)
    unfolding fifo-discharge2-def fifo-discharge-aux-def
    unfolding x-get-def
    apply (simp only: nres-monad-laws)
    apply (refine-rcg XCF CLC AM IdI)
    apply (vc-solve simp: CC)
    subgoal unfolding xf-rel-def in-br-conv by auto
    applyS assumption
    done
  also note fifo-discharge-aux-refine[OF AM IdI IdI IdI]
  finally show ?thesis by simp

```

qed

end — Anonymous Context

#### 6.2.4 Computing the Initial Queue

**definition**  $q\text{-init } am \equiv do \{$   
   $Q \leftarrow q\text{-empty};$   
   $ams \leftarrow am\text{-get } am \ s;$   
   $nfoldli \ ams \ (\lambda\cdot. \ True) \ (\lambda v \ Q. \ do \{$   
     $if \ v \neq t \ then \ q\text{-enqueue } v \ Q \ else \ return \ Q$   
   $\}) \ Q$   
 $\}$

**lemma**  $q\text{-init-correct}[THEN \ order\text{-trans}, \ refine\text{-vcg}]$ :

**assumes**  $AM$ :  $is\text{-adj-map } am$

**shows**  $q\text{-init } am \leq (spec \ l. \ distinct \ l \wedge \ set \ l = \{v \in V - \{s, t\}. \ excess \ pp\text{-init-f} \ v \neq 0\})$

**proof** —

**from**  $am\text{-to-adj-nodes-refine}[OF \ AM]$  **have**  $set \ (am \ s) \subseteq V$

**using**  $adjacent\text{-nodes-ss-}V$

**by**  $(auto \ simp: \ list\text{-set-rel-def} \ in\text{-br-conv})$

**hence**  $q\text{-init } am \leq RETURN \ (filter \ (op \neq t) \ (am \ s))$

**unfolding**  $q\text{-init-def} \ q\text{-empty-def} \ q\text{-enqueue-def} \ am\text{-get-def}$

**apply**  $(refine\text{-vcg} \ nfoldli\text{-rule}[where \ I=\lambda l \ l - l. \ l = filter \ (op \neq t) \ l])$

**by**  $auto$

**also** **have**  $\dots \leq (spec \ l. \ distinct \ l \wedge \ set \ l = \{v \in V - \{s, t\}. \ excess \ pp\text{-init-f} \ v \neq 0\})$

**proof** —

**from**  $am\text{-to-adj-nodes-refine}[OF \ AM]$  **have**  $[simp]: \ distinct \ (am \ s) \quad set \ (am \ s) = adjacent\text{-nodes } s$

**unfolding**  $list\text{-set-rel-def}$

**by**  $(auto \ simp: \ in\text{-br-conv})$

**show**  $?thesis$

**using**  $E\text{-ss-}VxV$

**apply**  $(auto \ simp: \ pp\text{-init-x-def} \ adjacent\text{-nodes-def})$

**unfolding**  $Graph.E\text{-def}$  **by**  $auto$

**qed**

**finally** **show**  $?thesis$  .

qed

#### 6.2.5 Refining the Main Algorithm

**definition**  $fifo\text{-push-relabel-aux } am \equiv do \{$

$cardV \leftarrow init\text{-}C \ am;$

$assert \ (cardV = card \ V);$

$let \ f = pp\text{-init-f};$

$l \leftarrow l\text{-init } cardV;$

```

Q ← q-init am;

(f,l,-) ← monadic-WHILEIT ( $\lambda$ -. True)
  ( $\lambda$ (f,l,Q). do {qe ← q-is-empty Q; return ( $\neg$ qe)})
  ( $\lambda$ (f,l,Q). do {
    fifo-discharge f l Q
  })
  (f,l,Q);

assert (Height-Bounded-Labeling c s t f l);
return f
}

```

**lemma** *fifo-push-relabel-aux-refine*:

```

assumes AM: is-adj-map am
shows fifo-push-relabel-aux am ≤  $\Downarrow$ Id (fifo-push-relabel)
unfolding fifo-push-relabel-aux-def fifo-push-relabel-def
unfolding l-init-def pp-init-l-def q-is-empty-def bind-to-let-conv
apply (rule specify-left[OF init-C-correct[OF AM]])
apply (refine-rcg q-init-correct[OF AM])
apply refine-dref-type
apply vc-solve
done

```

**definition** *fifo-push-relabel2 am* ≡ *do* {

```

cardV ← init-C am;
(x,cf) ← pp-init-xcf2 am;
clc ← clc-init cardV;
Q ← q-init am;

((x,cf),clc,Q) ← monadic-WHILEIT ( $\lambda$ -. True)
  ( $\lambda$ ((x,cf),clc,Q). do {qe ← q-is-empty Q; return ( $\neg$ qe)})
  ( $\lambda$ ((x,cf),clc,Q). do {
    fifo-discharge2 cardV am x cf clc Q
  })
  ((x,cf),clc,Q);

return cf
}

```

**lemma** *fifo-push-relabel2-refine*:

```

assumes AM: is-adj-map am
shows fifo-push-relabel2 am ≤  $\Downarrow$ (br (flow-of-cf) (RPreGraph c s t)) fifo-push-relabel
proof –
{
  fix f l n
  assume Height-Bounded-Labeling c s t f l
  then interpret Height-Bounded-Labeling c s t f l .

```



```

have G1: flow-of-cf cf = f by (rule fo-rg-inv)
have G2: RPreGraph c s t cf by (rule is-RPreGraph)
note G1 G2
} note AUX1=this

```

```

have fifo-push-relabel2 am ≤  $\Downarrow$ (br (flow-of-cf) (RPreGraph c s t)) (fifo-push-relabel-aux
am)
  unfolding fifo-push-relabel2-def fifo-push-relabel-aux-def
  apply (refine-rcg)
  apply (refine-dref-type)
  apply (vc-solve simp: AM am-to-adj-nodes-refine[OF AM])
  subgoal using AUX1 by (auto simp: in-br-conv xf-rel-def AM)
  done
  also note fifo-push-relabel-aux-refine[OF AM]
  finally show ?thesis .
qed

```

**end** — Network Impl. Locale

### 6.3 Separating out the Initialization of the Adjacency Matrix

```

context Network-Impl
begin

```

We split the algorithm into an initialization of the adjacency matrix, and the actual algorithm. This way, the algorithm can handle pre-initialized adjacency matrices.

**definition** *fifo-push-relabel-init2* ≡ *cf-init*

**definition** *pp-init-xf2'* *am cf* ≡ *do* {

```

  x ← x-init;

```

```

  assert (s ∈ V);

```

```

  adj ← am-get am s;

```

```

  ifoldli adj ( $\lambda$ -. True) ( $\lambda v$  (x,cf). do {
```

```

    assert ((s,v) ∈ E);
```

```

    assert (s ≠ v);
```

```

    a ← cf-get cf (s,v);
```

```

    x ← x-add x s ( $-a$ );
```

```

    x ← x-add x v a;
```

```

    cf ← cf-set cf (s,v) 0;
```

```

    cf ← cf-set cf (v,s) a;
```

```

    return (x,cf)
  }) (x,cf)
}
```

**definition** *fifo-push-relabel-run2* *am cf* ≡ *do* {

```

  cardV ← init-C am;
```

```

(x,cf) ← pp-init-xf2' am cf;
clc ← clc-init cardV;
Q ← q-init am;

((x,cf),clc,Q) ← monadic-WHILEIT (λ-. True)
  (λ((x,cf),clc,Q). do {qe ← q-is-empty Q; return (¬qe)})
  (λ((x,cf),clc,Q). do {
    fifo-discharge2 cardV am x cf clc Q
  })
  ((x,cf),clc,Q);

return cf
}

lemma fifo-push-relabel2-alt:
  fifo-push-relabel2 am = do {
    cf ← fifo-push-relabel-init2;
    fifo-push-relabel-run2 am cf
  }
unfolding fifo-push-relabel-init2-def fifo-push-relabel-run2-def
  fifo-push-relabel2-def pp-init-xf2-def pp-init-xf2'-def
  cf-init-def
by simp

```

**end** — Network Impl. Locale

## 6.4 Refinement To Efficient Data Structures

**context** *Network-Impl*  
**begin**

### 6.4.1 Registration of Abstract Operations

We register all abstract operations at once, auto-rewriting the capacity matrix type

**context includes** *Network-Impl-Sepref-Register*  
**begin**

**sepref-register** *q-empty q-is-empty q-enqueue q-dequeue*

**sepref-register** *fifo-push2*

**sepref-register** *fifo-gap-relabel2*

**sepref-register** *dis-loop2 fifo-discharge2*

**sepref-register** *q-init pp-init-xf2'*

**sepref-register** *fifo-push-relabel-run2* *fifo-push-relabel-init2*  
**sepref-register** *fifo-push-relabel2*

**end** — Anonymous Context

### 6.4.2 Queue by Two Stacks

**definition** (**in**  $-$ )  $q\text{-}\alpha \equiv \lambda(L,R). L@rev R$

**definition** (**in**  $-$ )  $q\text{-empty-impl} \equiv ([], [])$

**definition** (**in**  $-$ )  $q\text{-is-empty-impl} \equiv \lambda(L,R). is\text{-Nil } L \wedge is\text{-Nil } R$

**definition** (**in**  $-$ )  $q\text{-enqueue-impl} \equiv \lambda x (L,R). (L, x\#R)$

**definition** (**in**  $-$ )  $q\text{-dequeue-impl} \equiv \lambda(x\#L,R) \Rightarrow (x, (L,R)) \mid ([], R) \Rightarrow case\ rev\ R$   
*of*  $(x\#L) \Rightarrow (x, (L, []))$

**lemma**  $q\text{-empty-impl-correct}[simp]: q\text{-}\alpha\ q\text{-empty-impl} = []$  **by** (*auto simp: q- $\alpha$ -def q-empty-impl-def*)

**lemma**  $q\text{-enqueue-impl-correct}[simp]: q\text{-}\alpha\ (q\text{-enqueue-impl } x\ Q) = q\text{-}\alpha\ Q\ @\ [x]$   
**by** (*auto simp: q- $\alpha$ -def q-enqueue-impl-def split: prod.split*)

**lemma**  $q\text{-is-empty-impl-correct}[simp]: q\text{-is-empty-impl } Q \longleftrightarrow q\text{-}\alpha\ Q = []$

**unfolding**  $q\text{-}\alpha\text{-def } q\text{-is-empty-impl-def}$

**by** (*cases Q (auto split: list.splits)*)

**lemma**  $q\text{-dequeue-impl-correct-aux}: [q\text{-}\alpha\ Q = x\#xs] \Longrightarrow apsnd\ q\text{-}\alpha\ (q\text{-dequeue-impl } Q) = (x, xs)$

**unfolding**  $q\text{-}\alpha\text{-def } q\text{-dequeue-impl-def}$

**by** (*cases Q (auto split!: list.split)*)

**lemma**  $q\text{-dequeue-impl-correct}[simp]:$

**assumes**  $q\text{-dequeue-impl } Q = (x, Q')$

**assumes**  $q\text{-}\alpha\ Q \neq []$

**shows**  $x = hd\ (q\text{-}\alpha\ Q)$  **and**  $q\text{-}\alpha\ Q' = tl\ (q\text{-}\alpha\ Q)$

**using** *assms q-dequeue-impl-correct-aux[of Q]*

**by**  $-$  (*cases q- $\alpha$  Q; auto*) $+$

**definition**  $q\text{-assn} \equiv pure\ (br\ q\text{-}\alpha\ (\lambda\text{-}. True))$

**lemma**  $q\text{-empty-impl-hnr}[sepref-fr-rules]: (uncurry0\ (return\ q\text{-empty-impl}),\ unc\text{-}curry0\ q\text{-empty}) \in unit\text{-assn}^k \rightarrow_a\ q\text{-assn}$

**apply** (*sepref-to-hoare*)

**unfolding**  $q\text{-assn-def } q\text{-empty-def } pure\text{-def}$

**by** (*sep-auto simp: in-br-conv*)

**lemma**  $q\text{-is-empty-impl-hnr}[sepref-fr-rules]: (return\ o\ q\text{-is-empty-impl},\ q\text{-is-empty}) \in q\text{-assn}^k \rightarrow_a\ bool\text{-assn}$

**apply** (*sepref-to-hoare*)  
**unfolding** *q-assn-def q-is-empty-def pure-def*  
**by** (*sep-auto simp: in-br-conv*)

**lemma** *q-enqueue-impl-hnr*[*sepref-fr-rules*]:  
 (*uncurry (return oo q-enqueue-impl), uncurry (PR-CONST q-enqueue)*)  $\in$  *nat-assn<sup>k</sup>*  
 $*_a$  *q-assn<sup>d</sup>*  $\rightarrow_a$  *q-assn*  
**apply** (*sepref-to-hoare*)  
**unfolding** *q-assn-def q-enqueue-def pure-def*  
**by** (*sep-auto simp: in-br-conv refine-pw-simps*)

**lemma** *q-dequeue-impl-hnr*[*sepref-fr-rules*]:  
 (*return o q-dequeue-impl, q-dequeue*)  $\in$  *q-assn<sup>d</sup>*  $\rightarrow_a$  *nat-assn*  $\times_a$  *q-assn*  
**apply** (*sepref-to-hoare*)  
**unfolding** *q-assn-def q-dequeue-def pure-def prod-assn-def*  
**by** (*sep-auto simp: in-br-conv refine-pw-simps split: prod.split*)

### 6.4.3 Push

**sepref-thm** *fifo-push-impl* **is** *uncurry3 (PR-CONST fifo-push2)*  
 $:: x\text{-assn}^d *_a cf\text{-assn}^d *_a q\text{-assn}^d *_a edge\text{-assn}^k \rightarrow_a ((x\text{-assn} \times_a cf\text{-assn}) \times_a q\text{-assn})$

**unfolding** *fifo-push2-def PR-CONST-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *fifo-push-impl*

**uses** *Network-Impl.fifo-push-impl.refine-raw* **is** (*uncurry3 ?f,-*) $\in$ -

**lemmas** [*sepref-fr-rules*] = *fifo-push-impl.refine[OF Network-Impl-axioms]*

### 6.4.4 Gap-Relabel

**sepref-thm** *fifo-gap-relabel-impl* **is** *uncurry5 (PR-CONST fifo-gap-relabel2)*  
 $:: nat\text{-assn}^k *_a am\text{-assn}^k *_a cf\text{-assn}^k *_a clc\text{-assn}^d *_a q\text{-assn}^d *_a node\text{-assn}^k$   
 $\rightarrow_a clc\text{-assn} \times_a q\text{-assn}$

**unfolding** *fifo-gap-relabel2-def PR-CONST-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *fifo-gap-relabel-impl*

**uses** *Network-Impl.fifo-gap-relabel-impl.refine-raw* **is** (*uncurry5 ?f,-*) $\in$ -

**lemmas** [*sepref-fr-rules*] = *fifo-gap-relabel-impl.refine[OF Network-Impl-axioms]*

### 6.4.5 Discharge

**sepref-thm** *fifo-dis-loop-impl* **is** *uncurry5 (PR-CONST dis-loop2)*  
 $:: am\text{-assn}^k *_a x\text{-assn}^d *_a cf\text{-assn}^d *_a clc\text{-assn}^d *_a q\text{-assn}^d *_a node\text{-assn}^k$   
 $\rightarrow_a (x\text{-assn} \times_a cf\text{-assn}) \times_a clc\text{-assn} \times_a q\text{-assn}$

**unfolding** *dis-loop2-def PR-CONST-def*  
**by** *sepref*

**concrete-definition** (**in**  $-$ ) *fifo-dis-loop-impl*

**uses** *Network-Impl.fifo-dis-loop-impl.refine-raw* **is** (*uncurry5 ?f,-*) $\in$ -

**lemmas** [*sepref-fr-rules*] = *fifo-dis-loop-impl.refine[OF Network-Impl-axioms]*

**sepref-thm** *fifo-fifo-discharge-impl* **is** *uncurry5* (*PR-CONST* *fifo-discharge2*)  
 $:: \text{nat-assn}^k *_{\alpha} \text{am-assn}^k *_{\alpha} \text{x-assn}^d *_{\alpha} \text{cf-assn}^d *_{\alpha} \text{clc-assn}^d *_{\alpha} \text{q-assn}^d$   
 $\rightarrow_{\alpha} (\text{x-assn} \times_{\alpha} \text{cf-assn}) \times_{\alpha} \text{clc-assn} \times_{\alpha} \text{q-assn}$   
**unfolding** *fifo-discharge2-def* *PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *fifo-fifo-discharge-impl*  
**uses** *Network-Impl.fifo-fifo-discharge-impl.refine-raw* **is** (*uncurry5* *?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *fifo-fifo-discharge-impl.refine*[*OF Network-Impl-axioms*]

#### 6.4.6 Computing the Initial State

**sepref-thm** *fifo-init-C-impl* **is** (*PR-CONST* *init-C*)  
 $:: \text{am-assn}^k \rightarrow_{\alpha} \text{nat-assn}$   
**unfolding** *init-C-def* *PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *fifo-init-C-impl*  
**uses** *Network-Impl.fifo-init-C-impl.refine-raw* **is** (*?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *fifo-init-C-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *fifo-q-init-impl* **is** (*PR-CONST* *q-init*)  
 $:: \text{am-assn}^k \rightarrow_{\alpha} \text{q-assn}$   
**unfolding** *q-init-def* *PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *fifo-q-init-impl*  
**uses** *Network-Impl.fifo-q-init-impl.refine-raw* **is** (*?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *fifo-q-init-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *pp-init-xf2'-impl* **is** *uncurry* (*PR-CONST* *pp-init-xf2'*)  
 $:: \text{am-assn}^k *_{\alpha} \text{cf-assn}^d \rightarrow_{\alpha} \text{x-assn} \times_{\alpha} \text{cf-assn}$   
**unfolding** *pp-init-xf2'-def* *PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *pp-init-xf2'-impl*  
**uses** *Network-Impl.pp-init-xf2'-impl.refine-raw* **is** (*uncurry* *?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *pp-init-xf2'-impl.refine*[*OF Network-Impl-axioms*]

#### 6.4.7 Main Algorithm

**sepref-thm** *fifo-push-relabel-run-impl*  
**is** *uncurry* (*PR-CONST* *fifo-push-relabel-run2*)  
 $:: \text{am-assn}^k *_{\alpha} \text{cf-assn}^d \rightarrow_{\alpha} \text{cf-assn}$   
**unfolding** *fifo-push-relabel-run2-def* *PR-CONST-def*  
**by** *sepref*  
**concrete-definition** (**in**  $-$ ) *fifo-push-relabel-run-impl*  
**uses** *Network-Impl.fifo-push-relabel-run-impl.refine-raw* **is** (*uncurry* *?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *fifo-push-relabel-run-impl.refine*[*OF Network-Impl-axioms*]

**sepref-thm** *fifo-push-relabel-init-impl*  
**is** *uncurry0* (*PR-CONST* *fifo-push-relabel-init2*)  
 $:: \text{unit-assn}^k \rightarrow_{\alpha} \text{cf-assn}$   
**unfolding** *fifo-push-relabel-init2-def* *PR-CONST-def*

**by** *sepref*  
**concrete-definition** (in  $-$ ) *fifo-push-relabel-init-impl*  
**uses** *Network-Impl.fifo-push-relabel-init-impl.refine-raw*  
**is** (*uncurry0 ?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *fifo-push-relabel-init-impl.refine[OF Network-Impl-axioms]*

**sepref-thm** *fifo-push-relabel-impl* **is** (*PR-CONST fifo-push-relabel2*)  
 $:: am-assn^k \rightarrow_a cf-assn$   
**unfolding** *fifo-push-relabel2-alt PR-CONST-def*  
**by** *sepref*

**concrete-definition** (in  $-$ ) *fifo-push-relabel-impl*  
**uses** *Network-Impl.fifo-push-relabel-impl.refine-raw* **is** (*?f,-*) $\in-$   
**lemmas** [*sepref-fr-rules*] = *fifo-push-relabel-impl.refine[OF Network-Impl-axioms]*

**end** — Network Impl. Locale

**export-code** *fifo-push-relabel-impl* **checking** *SML-imp*

## 6.5 Combining the Refinement Steps

**theorem** (in *Network-Impl*) *fifo-push-relabel-impl-correct[sep-heap-rules]*:  
**assumes** *AM: is-adj-map am*  
**shows**  
 $\langle am-assn\ am\ ami \rangle$   
 $fifo-push-relabel-impl\ c\ s\ t\ N\ ami$   
 $\langle \lambda cf. \exists_A cf.$   
 $\quad am-assn\ am\ ami\ * \ cf-assn\ cf\ cfi$   
 $\quad * \uparrow(isMaxFlow\ (flow-of-cf\ cf) \wedge RGraph-Impl\ c\ s\ t\ N\ cf) \rangle_t$

**proof** —

**note** *fifo-push-relabel2-refine[OF AM]*

**also note** *fifo-push-relabel-correct*

**finally have** *R1*:

*fifo-push-relabel2 am*

$\leq \Downarrow (br\ flow-of-cf\ (RPreGraph\ c\ s\ t))\ (SPEC\ isMaxFlow) .$

**have** [*simp*]: *nofail* ( $\Downarrow R\ (RES\ X)$ ) **for** *R X* **by** (*auto simp: refine-pw-simps*)

**note** *R2 = fifo-push-relabel-impl.refine[*

*OF Network-Impl-axioms, to-hnr, unfolded autoref-tag-defs]*

**note** *R3 = hn-refine-ref[OF R1 R2, of ami]*

**note** *R4 = R3[unfolded hn-ctxt-def pure-def, THEN hn-refineD, simplified]*

**note** *RGII = rgraph-and-network-impl-imp-rgraph-impl[OF*

*RPreGraph.maxflow-imp-rgraph*

*Network-Impl-axioms*

*]*

```

show ?thesis
  by (sep-auto
    heap: R4
    simp: RGII
    simp: pw-le-iff refine-pw-simps in-br-conv)
qed

```

## 6.6 Combination with Network Checker and Main Correctness Theorem

```

definition fifo-push-relabel-impl-tab-am c s t N am  $\equiv$  do {
  ami  $\leftarrow$  Array.make N am; (* TODO/DUP: Called init-ps in Edmonds–Karp impl *)
  cfi  $\leftarrow$  fifo-push-relabel-impl c s t N ami;
  return (ami,cfi)
}

```

**theorem** *fifo-push-relabel-impl-tab-am-correct*[sep-heap-rules]:

```

assumes NW: Network c s t
assumes VN: Graph.V c  $\subseteq$  {0.. $N$ }
assumes ABS-PS: Graph.is-adj-map c am
shows
  <emp>
  fifo-push-relabel-impl-tab-am c s t N am
  < $\lambda$ (ami,cfi).  $\exists_A$  cf.
    am-assn N am ami * cf-assn N cf cfi
    *  $\uparrow$ (Network.isMaxFlow c s t (Network.flow-of-cf c cf)
       $\wedge$  RGraph-Impl c s t N cf
    )>t

```

**proof** –

```

interpret Network c s t by fact
interpret Network-Impl c s t N using VN by unfold-locales

```

```

from ABS-PS have [simp]: am u = [] if  $u \geq N$  for u
  unfolding is-adj-map-def
  using E-ss-VxV VN that
  apply (subgoal-tac  $u \notin V$ )
  by (auto simp del: inV-less-N)

```

```

show ?thesis
  unfolding fifo-push-relabel-impl-tab-am-def
  apply vcg
  apply (rule Hoare-Triple.cons-rule[
    OF - - fifo-push-relabel-impl-correct[OF ABS-PS]])
  subgoal unfolding am-assn-def is-nf-def by sep-auto
  apply (rule ent-refl)
  subgoal by sep-auto
  done

```

qed

```
definition fifo-push-relabel el s t  $\equiv$  do {  
  case prepareNet el s t of  
    None  $\Rightarrow$  return None  
  | Some (c,am,N)  $\Rightarrow$  do {  
    (ami,cf)  $\leftarrow$  fifo-push-relabel-impl-tab-am c s t N am;  
    return (Some (c,ami,N,cf))  
  }  
}  
export-code fifo-push-relabel checking SML-imp
```

Main correctness statement: If *fifo-push-relabel* returns *None*, the edge list was invalid or described an invalid network. If it returns *Some* (*c,am,N,cfi*), then the edge list is valid and describes a valid network. Moreover, *cfi* is an integer square matrix of dimension *N*, which describes a valid residual graph in the network, whose corresponding flow is maximal. Finally, *am* is a valid adjacency map of the graph, and the nodes of the graph are integers less than *N*.

```
theorem fifo-push-relabel-correct[sep-heap-rules]:  
<emp>  
fifo-push-relabel el s t  
< $\lambda$   
  None  $\Rightarrow$   $\uparrow(\neg \text{ln-invar } el \vee \neg \text{Network } (ln-\alpha \text{ } el) \text{ } s \text{ } t)$   
  | Some (c,ami,N,cfi)  $\Rightarrow$   
     $\uparrow(c = \text{ln-}\alpha \text{ } el \wedge \text{ln-invar } el \wedge \text{Network } c \text{ } s \text{ } t)$   
    *  $(\exists_A am \text{ } cf. \text{am-assn } N \text{ } am \text{ } ami * \text{cf-assn } N \text{ } cf \text{ } cfi$   
      *  $\uparrow(\text{RGraph-Impl } c \text{ } s \text{ } t \text{ } N \text{ } cf \wedge \text{Graph.is-adj-map } c \text{ } am$   
         $\wedge \text{Network.isMaxFlow } c \text{ } s \text{ } t \text{ } (\text{Network.flow-of-cf } c \text{ } cf))$   
    )  
>t
```

```
unfolding fifo-push-relabel-def  
using prepareNet-correct[of el s t]  
by (sep-auto simp: ln-rel-def in-br-conv)
```

### 6.6.1 Justification of Splitting into Prepare and Run Phase

```
definition fifo-push-relabel-prepare-impl el s t  $\equiv$  do {  
  case prepareNet el s t of  
    None  $\Rightarrow$  return None  
  | Some (c,am,N)  $\Rightarrow$  do {  
    ami  $\leftarrow$  Array.make N am;  
    cfi  $\leftarrow$  fifo-push-relabel-init-impl c N;  
    return (Some (N,ami,c,cfi))  
  }  
}
```



**theorem** *justify-fifo-push-relabel-prepare-run-split*:  
*fifo-push-relabel* *el s t* =  
do {  
  *pr* ← *fifo-push-relabel-prepare-impl* *el s t*;  
  case *pr* of  
    None ⇒ return None  
  | Some (*N,ami,c,cf*) ⇒ do {  
    *cf* ← *fifo-push-relabel-run-impl* *s t N ami cf*;  
    return (Some (*c,ami,N,cf*))  
  }  
}

**unfolding** *fifo-push-relabel-def* *fifo-push-relabel-prepare-impl-def*  
*fifo-push-relabel-impl-tab-am-def* *fifo-push-relabel-impl-def*  
**by** (*auto split: option.split*)

## 6.7 Usage Example: Computing Maxflow Value

We implement a function to compute the value of the maximum flow.

**definition** *fifo-push-relabel-compute-flow-val* *el s t* ≡ do {  
  *r* ← *fifo-push-relabel* *el s t*;  
  case *r* of  
    None ⇒ return None  
  | Some (*c,am,N,cf*) ⇒ do {  
    *v* ← *compute-flow-val-impl* *s N am cf*;  
    return (Some *v*)  
  }  
}

The computed flow value is correct

**theorem** *fifo-push-relabel-compute-flow-val-correct*:  
<emp>  
  *fifo-push-relabel-compute-flow-val* *el s t*  
< $\lambda$   
  None ⇒  $\uparrow(\neg \text{ln-invar } el \vee \neg \text{Network } (\text{ln-}\alpha \text{ } el) \text{ } s \text{ } t)$   
  | Some *v* ⇒  $\uparrow(\text{ln-invar } el$   
     $\wedge (\text{let } c = \text{ln-}\alpha \text{ } el \text{ in}$   
       $\text{Network } c \text{ } s \text{ } t \wedge \text{Network.is-max-flow-val } c \text{ } s \text{ } t \text{ } v$   
      ))  
><sub>*t*</sub>

**proof** –  
{  
  **fix** *cf N*  
  **assume** *RGraph-Impl* (*ln- $\alpha$  el*) *s t N cf*  
  **then interpret** *RGraph* (*ln- $\alpha$  el*) *s t cf* **by** (*rule RGraph-Impl.axioms*)  
  **have** *f* = *flow-of-cf* *cf* **unfolding** *f-def* **by** *simp*  
} **note** *aux=this*

**show** *?thesis*  
  **unfolding** *fifo-push-relabel-compute-flow-val-def*

```

by (sep-auto simp: Network.is-max-flow-val-def aux)

qed

export-code fifo-push-relabel-compute-flow-val checking SML-imp

end

```

## 7 Conclusion

We have presented a verification of two push-relabel algorithms for solving the maximum flow problem. Starting with a generic push-relabel algorithm, we have used stepwise refinement techniques to derive the relabel-to-front and FIFO push-relabel algorithms. Further refinement yields verified efficient imperative implementations of the algorithms.

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