Propositional Logic Definitional CNF

Definitional CNF

The definitional CNF of a formula is obtained in 2 steps:

- Repeatedly replace a subformula G of the form ¬A', A' ∧ B' or A' ∨ B' by a new atom A and conjoin A ↔ G. This replacement is not applied to the "definitions" A ↔ G but only to the (remains of the) original formula.
- 2. Translate all the subformulas $A \leftrightarrow G$ into CNF.

Example

$$\begin{array}{c} \neg (A_1 \lor A_2) \land A_3 \\ \searrow \\ \hline \neg A_4 \land A_3 \\ \land (A_4 \leftrightarrow (A_1 \lor A_2)) \\ \xrightarrow{\sim} \\ \hline A_5 \land A_3 \\ \land (A_4 \leftrightarrow (A_1 \lor A_2)) \land (A_5 \leftrightarrow \neg A_4) \\ \xrightarrow{\sim} \end{array}$$

 $\textit{A}_5 \land \textit{A}_3 \land \textit{CNF}(\textit{A}_4 \leftrightarrow (\textit{A}_1 \lor \textit{A}_2)) \land \textit{CNF}(\textit{A}_5 \leftrightarrow \neg \textit{A}_4)$

Definitional CNF: Complexity

Let the initial formula have size n.

1. Each replacement step increases the size of the formula by a constant.

There are at most as many replacement steps as subformulas, linearly many.

2. The conversion of each $A \leftrightarrow G$ into CNF increases the size by a constant.

There are only linearly many such subformulas.

Thus the definitional CNF has size O(n).

Notation

Definition

The notation F[G/A] denotes the result of replacing all occurrences of the atom A in F by G. We pronounce it as "F with G for A".

Example

$$(A \land B)[(A \to B)/B] = (A \land (A \to B))$$

Definition

The notation $\mathcal{A}[v/A]$ denotes a modified version of \mathcal{A} that maps A to v and behaves like \mathcal{A} otherwise:

$$(\mathcal{A}[v/A])(A_i) = \begin{cases} v & \text{if } A_i = A \\ \mathcal{A}(A_i) & \text{otherwise} \end{cases}$$

Substitution Lemma

Lemma $\mathcal{A}(F[G/A]) = \mathcal{A}'(F)$ where A' = A[A(G)/A]

Example

 $\mathcal{A}((A_1 \wedge A_2)[G/A_2]) = \mathcal{A}'(A_1 \wedge A_2)$ where $\mathcal{A}' = \mathcal{A}[\mathcal{A}(G)/A_2]$

Proof by structural induction on *F*. Case *F* is an atom: If F = A: $\mathcal{A}(F[G/A]) = \mathcal{A}(G) = \mathcal{A}'(F)$ If $F \neq A$: $\mathcal{A}(F[G/A]) = \mathcal{A}(F) = \mathcal{A}'(F)$ Case $F = F_1 \land F_2$: $\mathcal{A}(F[G/A]) =$ $\mathcal{A}(F_1[G/A] \land F_2[G/A]) =$ $min(\mathcal{A}(F_1[G/A]), \mathcal{A}(F_2[G/A])) \stackrel{lH}{=}$ $min(\mathcal{A}'(F_1), \mathcal{A}'(F_2)) = \mathcal{A}'(F_1 \land F_2) = \mathcal{A}'(F)$

Definitional CNF: Correctness

Each replacement step produces an equisatisfiable formula:

Lemma

Let A be an atom that does not occur in G.

Then F[G/A] is equisatisfiable with $F \land (A \leftrightarrow G)$.

Proof If F[G/A] is satisfiable by some assignment A, then by the Substitution Lemma, A' = A[A(G)/A] is a model of F. Moreover $A' \models (A \leftrightarrow G)$ because A'(A) = A(G) and A(G) = A'(G) by the Coincidence Lemma (Exercise 1.2).

Thus $F \land (A \leftrightarrow G)$ is satsifiable (by \mathcal{A}'). Conversely we actually have $F \land (A \leftrightarrow G) \models F[G/A]$. Suppose $\mathcal{A} \models F \land (A \leftrightarrow G)$. This implies $\mathcal{A}(A) = \mathcal{A}(G)$. Therefore $\mathcal{A}[\mathcal{A}(G)/A] = \mathcal{A}$. Thus $\mathcal{A}(F[G/A]) = (\mathcal{A}[\mathcal{A}(G)/A])(F) = \mathcal{A}(F) = 1$ by the Substitution Lemma.

Does $F[G/A] \models F \land (A \leftrightarrow G)$ hold?

Summary

Theorem For every formula F of size nthere is an equisatisfiable CNF formula G of size O(n).

Similarly it can be shown:

Theorem For every formula F of size nthere is an equivalid DNF formula G of size O(n). Validity of formulas in CNF can be checked in linear time. A formula in CNF is valid iff all its disjunctions are valid. A disjunction is valid iff it contains both an atomic A and $\neg A$ as literals.

Example

Valid:
$$(A \lor \neg A \lor B) \land (C \lor \neg C)$$

Not valid: $(A \lor \neg A) \land (\neg A \lor C)$

Satisfiability of formulas in DNF can be checked in linear time. A formula in DNF is satisfiable iff at least one of its conjunctions is satisfiable. A conjunction is satisfiable iff it does not contain both an atomic A and $\neg A$ as literals.

Example

Satisfiable: $(\neg B \land A \land B) \lor (\neg A \land C)$ Unsatisfiable: $(A \land \neg A \land B) \lor (C \land \neg C)$ Satisfiability/validity of DNF and CNF

Theorem Satisfiability of formulas in CNF is NP-complete.

Theorem

Validity of formulas in DNF is co-NP-complete.

The standard decision procedure for vailidity of F:

- 1. Transform $\neg F$ into an equisat. formula G in def. CNF
- 2. Apply efficient CNF-based SAT solver to G