

LOGICS EXERCISE

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EXERCISE SHEET 3

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**Submission of Homework:** Before tutorial on May 4

**Homework 3.1. [Equivalence]** (4 points)

Let  $F$  and  $G$  be arbitrary formulas. (In particular, they may contain free occurrences of  $x$ .) Which of the following equivalences hold? Proof or counterexample!

1.  $\forall x(F \wedge G) \equiv \forall xF \wedge \forall xG$
2.  $\exists x(F \wedge G) \equiv \exists xF \wedge \exists xG$

**Solution:** 1) holds. Assume  $\mathcal{A} \models \forall x(F \wedge G)$ ,  
 $\iff$  for all  $d \in U_{\mathcal{A}}$ , we have  $\mathcal{A}[d/x] \models F$  and  $\mathcal{A}[d/x] \models G$ ,  
 $\iff$  for all  $d_1 \in U_{\mathcal{A}}$ , we have  $\mathcal{A}[d_1/x] \models F$  and for all  $d_2 \in U_{\mathcal{A}}$ , we have  $\mathcal{A}[d_2/x] \models G$   
 $\iff \mathcal{A} \models \forall xF \wedge \forall xG$

2) does not hold. Let  $F = P(x)$  and  $G = Q(x)$ ,  $U_{\mathcal{A}} = \{0, 1\}$ ,  $P^{\mathcal{A}} = \{0\}$ , and  $Q^{\mathcal{A}} = \{1\}$ . Clearly,  $\mathcal{A} \models \exists xF \wedge \exists xG$  but  $\mathcal{A} \not\models \exists x(F \wedge G)$

**Homework 3.2. [Preorders]** (4 points)

A reflexive and transitive relation is called *preorder*. In predicate logic, preorders can be characterized by the formula

$$F \equiv \forall x \forall y \forall z (P(x, x) \wedge (P(x, y) \wedge P(y, z) \longrightarrow P(x, z)))$$

Which of the following structures are models of  $F$ ? No proofs are required for the positive case. Give counterexamples for the negative case!

1.  $U^{\mathcal{A}} = \mathbb{N}$  and  $P^{\mathcal{A}} = \{(m, n) \mid m = n\}$
2.  $U^{\mathcal{A}} = 2^{\mathbb{N}}$  and  $P^{\mathcal{A}} = \{(A, B) \mid A \supseteq B\}$
3.  $U^{\mathcal{A}} = \mathbb{Z}$  and  $P^{\mathcal{A}} = \{(x, y) \mid 5 > |x - y|\}$

**Solution:** 1,2 are obviously preorders.

3. This is not transitive, e.g.,  $5 > |1 - 3|$  and  $5 > |3 - 6|$ , but  $5 \not> |1 - 6|$

**Homework 3.3. [Infinite Models]** (5 points)

Consider predicate logic with equality. We use infix notation for equality, and abbreviate  $\neg(s = t)$  by  $s \neq t$ . Moreover, we call a structure finite iff its universe is finite.

1. Specify a finite model for the formula  $\forall x (c \neq f(x) \wedge x \neq f(x))$ .
2. Specify a model for the formula  $\forall x \forall y (c \neq f(x) \wedge (f(x) = f(y) \longrightarrow x = y))$ .
3. Show that the above formula has no finite model.

**Solution:**

1.  $U^{\mathcal{A}} = \{0, 1, 2\} \subset \mathbb{N}$  and  $c^{\mathcal{A}} = 0$  and  $f^{\mathcal{A}}(0) = 1 \mid f^{\mathcal{A}}(n+1) = 2 - n$
2.  $U^{\mathcal{A}} = \mathbb{N}$  and  $c^{\mathcal{A}} = 0$  and  $f^{\mathcal{A}}(n) = n + 1$
3. Assume a model  $\mathcal{A}$ . First note that the properties transfer to the semantic level, i.e., we have for all  $x, y \in U_{\mathcal{A}}$ :

$$c^{\mathcal{A}} \neq f^{\mathcal{A}}(x) \tag{1}$$

$$f^{\mathcal{A}}(x) = f^{\mathcal{A}}(y) \implies x = y \tag{2}$$

Now, we are in a position to show that  $U_{\mathcal{A}}$  is infinite. For this, we define  $x_i = (f^{\mathcal{A}})^i(c^{\mathcal{A}})$ , i.e.  $i$  times  $f^{\mathcal{A}}$  applied to  $c^{\mathcal{A}}$ . Clearly, we have  $x_i \in U_{\mathcal{A}}$  for all  $i$ . We now show that  $i < j$  implies  $x_i \neq x_j$ , immediately yielding infinity of  $U_{\mathcal{A}}$ . We do induction on  $i$ . For 0, we have  $x_0 = c^{\mathcal{A}} \neq f^{\mathcal{A}}(\dots) = x_j$  (by (1)). For  $i + 1$ , the induction hypothesis gives us  $x_i \neq x_j$ , which implies  $x_{i+1} \neq x_{j+1}$  (by (2)). qed.

**Homework 3.4. [Normal Forms]** (3 points)

Convert the following formula to Skolem form:

$$P(x) \wedge \forall x (Q(x) \wedge \forall x \exists y P(f(x, y)))$$

Show at least the main intermediate conversion stages.

**Solution:**

$$\begin{aligned}
 & P(x) \wedge \forall x (Q(x) \wedge \forall x \exists y P(f(x, y))) \\
 \rightsquigarrow & P(x) \wedge \forall x_1 (Q(x_1) \wedge \forall x_2 \exists y P(f(x_2, y))) && \text{rectified} \\
 \rightsquigarrow & \exists x P(x) \wedge \forall x_1 (Q(x_1) \wedge \forall x_2 \exists y P(f(x_2, y))) && \text{rectified and closed} \\
 \rightsquigarrow & \exists x \forall x_1 \forall x_2 \exists y (P(x) \wedge (Q(x_1) \wedge P(f(x_2, y)))) && \text{RPF} \\
 \rightsquigarrow & \forall x_1 \forall x_2 (P(g) \wedge (Q(x_1) \wedge P(f(x_2, h(x_1, x_2)))))) && \text{Skolem form}
 \end{aligned}$$

**Homework 3.5.** [Relation to Propositional Logic] (4 points)

Suppose that formula  $F$  does not contain any variables or quantifiers. Your task is to construct a *propositional* formula  $G$  such that  $F$  is valid iff  $G$  is valid. Proof that your construction does indeed fulfill this property. Is it also the case that  $F$  is satisfiable iff  $G$  is satisfiable?

*Hints:* The approach should define a new *atom* for every *atomic formula* in  $F$ . To construct a structure for  $F$  from an assignment for  $G$ , it may be helpful to use as your universe the set of all terms which can be constructed from function symbols in  $F$ . You can assume that  $F$  contains at least one constant to ensure that this universe is non-empty.

**Solution:**  $G$  is constructed from  $F$  by defining a new atom  $A_{P(t_1, \dots, t_k)}$  for every atomic formula  $P(t_1, \dots, t_k)$  of  $G$  and then recursing over the formula structure of  $F$ . For instance if  $F = (P(c) \wedge \neg Q(a, b)) \vee Q(b, c)$ , then  $(A_{P(c)} \wedge \neg A_{Q(a, b)}) \vee A_{Q(b, c)}$ .

We need to construct structures for  $F$  from assignments for  $G$  and vice versa.

(a) Let  $\mathcal{A}$  be an assignment for  $G$ . Let  $U_{\mathcal{A}}$  be the set of all terms which can be constructed from parts of  $F$ . Define  $I'_{\mathcal{A}}$  such that

- $I_{\mathcal{A}'}(f(t_1, \dots, t_k)) = f(t_1, \dots, t_k)$  for any function symbol  $f$  and terms  $t_1, \dots, t_k$
- $I_{\mathcal{A}'}(P(t_1, \dots, t_k)) = \mathcal{A}(A_{P(t_1, \dots, t_k)})$  for any predicate symbol  $P$  and terms  $t_1, \dots, t_k$

It is easy to show that  $I_{\mathcal{A}'}(P(t_1, \dots, t_k)) = \mathcal{A}(A_{P(t_1, \dots, t_k)})$  by induction over the term structure. With induction over the formula structure of  $F$  it follows that  $I_{\mathcal{A}'}(F) = \mathcal{A}(G)$ .

(b) Let  $\mathcal{A}' = (U_{\mathcal{A}'}, I_{\mathcal{A}'})$  be a structure of  $G$ . Define  $\mathcal{A}(A_{P(t_1, \dots, t_k)}) = I_{\mathcal{A}'}(P(t_1, \dots, t_k))$  for any atom of  $G$ . It follows via induction over the formula structure of  $F$  that  $\mathcal{A}(G) = I_{\mathcal{A}'}(F)$ .

Now suppose  $F$  is valid. Let  $\mathcal{A}$  be any assignment for  $G$ . By (a) we know that we can construct a structure  $\mathcal{A}'$  for  $F$  such that  $I'_{\mathcal{A}}(F) = \mathcal{A}(G)$ . Because  $F$  is valid we have  $I'_{\mathcal{A}}(F) = \mathcal{A}(G) = 1$ . Thus  $G$  is valid. An analogous argument using (b) shows that  $F$  is valid if  $G$  is valid.

Finally, the constructions of (a) and (b) can similarly easily be used to argue that  $F$  is satisfiable iff  $G$  is satisfiable.