First-Order Logic The Classical Decision Problem

# Validity/satisfiability of arbitrary first-order formulas is undecidable.

What about subclasses of formulas?

Examples

 $\forall x \exists y \ (P(x) \to P(y))$ Satisfiable? Resolution?  $\exists x \forall y \ (P(x) \to P(y))$ Satisfiable? Resolution?

## The $\exists^* \forall^*$ class

Definition The  $\exists^* \forall^*$  class is the class of closed formulas of the form

$$\exists x_1 \ldots \exists x_m \forall y_1 \ldots \forall y_n F$$

where F is quantifier-free and contains no function symbols of arity > 0.

This is also called the Bernays-Schönfinkel class.

#### Corollary

Unsatisfiability is decidable for formulas in the  $\exists^*\forall^*$  class.

What if a formula is not in the  $\exists^* \forall^*$  class? Try to transform it into the  $\exists^* \forall^*$  class!

Example  $\forall y \exists x \ (P(x) \land Q(y))$ 

Heuristic transformation procedure:

- 1. Put formula into NNF
- 2. Push all quantifiers into the formula as far as possible ("miniscoping")
- 3. Pull out  $\exists$  first and  $\forall$  afterwards

# Miniscoping

Perform the following transformations bottom-up, as long as possible:

• 
$$(\exists x F) \equiv F$$
 if x does not occur free in F

$$\blacktriangleright \exists x (F \lor G) \equiv (\exists x F) \lor (\exists x G)$$

► 
$$\exists x (F \land G) \equiv (\exists x F) \land G$$
 if x is not free in G

Together with the dual transformations for  $\forall$ 

#### Example

$$\exists x \ (P(x) \land \exists y \ (Q(y) \lor R(x)))$$

Warning: Complexity!

### Definition

A formula is monadic if it contains only unary (monadic) predicate symbols and no function symbol of arity > 0.

#### Examples

All men are mortal. Sokrates is a man. Sokrates is mortal.

## The monadic class is decidable

#### Theorem

Satisfiability of monadic formulas is decidable.

**Proof** Put into NNF. Perform miniscoping. The result has no nested quantifiers (Exercise!). First pull out all  $\exists$ , then all  $\forall$ . Existentially quantify free variables. The result is in the  $\exists^*\forall^*$  class.

## Corollary

Validity of monadic formulas is decidable.

## The finite model property

#### Definition

A formula F has the finite model property (for satisfiability) if F has a model iff F has a finite model.

#### Theorem

If a formula has the finite model property, satisfiability is decidable.

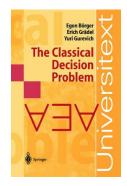
#### Theorem

Monadic formulas have the finite model property.

## Classification by quantifier prefix of prenex form

There is a complete classification of decidable and undecidable classes of formulas based on

- the form of the quantifier prefix of the prenex form
- the arity of the predicate and function symbols allowed
- ▶ whether "=" is allowed or not.



# A complete classification

Only formulas without function symbols of arity > 0, no restrictions on predicate symbols.

Satisfiability is decidable:

 $\exists^* \forall^*$  (Bernays, Schönfinkel 1928)

 $\exists^* \forall \exists^*$  (Ackermann 1928)

 $\exists^* \forall^2 \exists^*$  (Gödel 1932)

Satsifiability is undecidable:

∀<sup>3</sup>∃ (Surányi 1959) ∀∃∀ (Kahr, Moore, Wang 1962)

Why complete?

Famous mistake by Gödel:  $\exists^* \forall^2 \exists^*$  with "=" is undecidable (Goldfarb 1984)