

# Semantics of Programming Languages

## Exercise Sheet 6

### Exercise 6.1 Small step equivalence

We define an equivalence relation  $\approx$  on programs that uses the small-step semantics. Unlike with  $\sim$ , we also demand that the programs take the same number of steps.

The following relation is the n-steps reduction relation:

**inductive**

$n\_steps :: \text{“}com * state \Rightarrow nat \Rightarrow com * state \Rightarrow bool\text{”}$   
 $(\text{“}_ \rightarrow \hat{\_} \_ \text{”} [60,1000,60]999)$

**where**

$zero\_steps: \text{“}cs \rightarrow \hat{0} cs\text{”} |$

$one\_step: \text{“}cs \rightarrow cs' \Longrightarrow cs' \rightarrow \hat{n} cs'' \Longrightarrow cs \rightarrow \hat{(Suc\ n)} cs''\text{”}$

Prove the following lemmas:

**lemma**  $small\_steps\_n: \text{“}cs \rightarrow * cs' \Longrightarrow (\exists n. cs \rightarrow \hat{n} cs')\text{”}$

**lemma**  $n\_small\_steps: \text{“}cs \rightarrow \hat{n} cs' \Longrightarrow cs \rightarrow * cs'\text{”}$

The equivalence relation is defined as follows:

**definition**

$small\_step\_equiv :: \text{“}com \Rightarrow com \Rightarrow bool\text{”}$  (**infix** “ $\approx$ ” 50) **where**  
 $\text{“}c \approx c' == (\forall s\ t\ n. (c,s) \rightarrow \hat{n} (SKIP, t) = (c', s) \rightarrow \hat{n} (SKIP, t))\text{”}$

Prove the following lemma:

**lemma**  $small\_equiv\_implies\_big\_equiv: \text{“}c \approx c' \Longrightarrow c \sim c'\text{”}$

How about the reverse implication?

### Exercise 6.2 A different instruction set architecture

We consider a different instruction set which evaluates boolean expressions on the stack, similar to arithmetic expressions:

- The boolean value *False* is represented by the number 0, the boolean value *True* is represented by any number not equal to 0.

- For every boolean operation exists a corresponding instruction which, similar to arithmetic instructions, operates on values on top of the stack.
- The new instruction set introduces a conditional jump which pops the top-most element from the stack and jumps over a given amount of instructions, if the popped value corresponds to *False*, and otherwise goes to the next instruction.

Modify the theory *Compiler* by defining a suitable set of instructions, by adapting the execution model and the compiler and by updating the correctness proof.

## Homework 6 Micro-Step Semantics

*Submission until Wednesday, December 7, 2011, 12:00 (noon).*

In the lectures you have seen big-step and small-step semantics for the IMP language, and how to prove that they are equivalent. In this homework you will formalize a new *micro-step* semantics, and show that it is also equivalent to big-step.

The micro-step semantics relates pairs consisting of a list of commands together with a state. A single micro-step consists of executing the command at the head of the list, just like the small-step semantics—unless that command is a sequence  $(c_1; c_2)$ . In that case a micro-step consists of putting  $c_1$  and  $c_2$  back onto the list separately, without executing either one.

**inductive** *micro\_step* :: “com list × state ⇒ com list × state ⇒ bool” **where**  
*ms\_skip*: “*micro\_step* (SKIP # l, s) (l, s)” |  
*ms\_assign*: “*micro\_step* ((x ::= a) # l, s) (SKIP # l, s(x ::= aval a s))” |  
*ms\_semi*: “*micro\_step* ((c<sub>1</sub>; c<sub>2</sub>) # l, s) (c<sub>1</sub> # c<sub>2</sub> # l, s)” |  
*ms\_ift*: “bval b s ⇒ *micro\_step* ((IF b THEN c<sub>1</sub> ELSE c<sub>2</sub>) # l, s) (c<sub>1</sub> # l, s)” |  
*ms\_iff*: “¬ bval b s ⇒ *micro\_step* ((IF b THEN c<sub>1</sub> ELSE c<sub>2</sub>) # l, s) (c<sub>2</sub> # l, s)” |  
*ms\_while*: “*micro\_step* ((WHILE b DO c) # l, s)  
 ((IF b THEN c; WHILE b DO c ELSE SKIP) # l, s)”

We define *micro\_steps* as an abbreviation for the reflexive, transitive closure of *micro\_step*. (Recall that *star* is defined in *Star.thy*.)

**abbreviation** “*micro\_steps* ≡ star *micro\_step*”

Because these are relations on pairs, we will need to generate new induction rules for them using the *split\_format* attribute.

**lemmas** *micro\_step\_induct* =  
*micro\_step.induct*[*split\_format*(*complete*)]

**lemmas** *micro\_steps\_induct* =  
*star.induct* [**where** *r*=*micro\_step*, *split\_format*(*complete*)]

Your assignment is to prove that the micro-step semantics is equivalent to the big-step semantics:

**theorem** “*micro\_steps* ([c], s) ([], s′) ⇔ (c, s) ⇒ s′”

You should prove implications in each direction separately. The following lemma states the right-to-left direction:

**lemma** *big\_step\_imp\_micro\_steps*: “ $(c, s) \Rightarrow s' \Longrightarrow \text{micro\_steps } ([c], s) ([], s')$ ”

Hint: Proving *big\_step\_imp\_micro\_steps* may require additional lemmas; alternatively, you may find that it is easier to prove a generalization of this lemma instead. Also, note that you will probably need to use the rule *star\_trans* (from *Star.thy*) in your proof.

To help with the left-to-right direction, we recommend defining a function *seq* that combines a list of commands into a single command. Then you can prove a lemma like *micro\_steps\_imp\_big\_step\_seq* below:

**fun** *seq* :: “*com list*  $\Rightarrow$  *com*” **where**  
“*seq* [] = *SKIP*” |  
“*seq* (*c* # *l*) = (*c*; *seq l*)”

**lemma** *micro\_steps\_imp\_big\_step\_seq*:

“*micro\_steps* (*cs*, *s*) (*cs'*, *s'*)  $\Longrightarrow \forall t. (\text{seq } cs, s) \Rightarrow t \longleftrightarrow (\text{seq } cs', s') \Rightarrow t$ ”

Together with *big\_step\_imp\_micro\_steps*, you should then be able to prove the final theorem:

**theorem** “*micro\_steps* ([*c*], *s*) ([], *s'*)  $\longleftrightarrow (c, s) \Rightarrow s'$ ”