

## Semantics of Programming Languages

### Exercise Sheet 3

#### Exercise 3.1 Reflexive Transitive Closure

A binary relation is expressed by a predicate of type  $R :: 's \Rightarrow 's \Rightarrow \text{bool}$ .

Intuitively,  $R s t$  represents a single step from state  $s$  to state  $t$ .

The reflexive, transitive closure  $R^*$  of  $R$  is the relation that contains a step  $R^* s t$ , iff  $R$  can step from  $s$  to  $t$  in any number of steps (including zero steps).

Formalize the reflexive transitive closure as an inductive predicate:

```
inductive star :: "('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a ⇒ bool" for r
```

When doing so, you have the choice to append or prepend a step. In any case, the following two lemmas should hold for your definition:

```
lemma star_prepend: "⟦r x y; star r y z⟧ ⟹ star r x z"
```

```
lemma star_append: "⟦ star r x y; r y z ⟧ ⟹ star r x z"
```

Now, formalize the star predicate again, this time the other way round (append if you prepended the step before or vice versa):

```
inductive star' :: "('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a ⇒ bool" for r
```

Prove the equivalence of your two formalizations:

```
lemma "star r x y = star' r x y"
```

#### Exercise 3.2 Avoiding Stack Underflow

A *stack underflow* occurs when executing an instruction on a stack containing too few values—e.g., executing an *ADD* instruction on a stack of size less than two. A well-formed sequence of instructions (e.g., one generated by *comp*) should never cause a stack underflow.

In this exercise, you will define a semantics for the stack-machine that throws an exception if the program underflows the stack.

Modify the `exec1` and `exec` - functions, such that they return an option value, `None` indicating a stack-underflow.

```
fun exec1 :: "instr ⇒ state ⇒ stack ⇒ stack option"
fun exec :: "instr list ⇒ state ⇒ stack ⇒ stack option"
```

Now adjust the proof of theorem `exec_comp` to show that programs output by the compiler never underflow the stack:

```
theorem exec_comp: "exec (comp a) s stk = Some (aval a s # stk)"
```

### Exercise 3.3 A Structured Proof on Relations

We consider two binary predicates  $T$  and  $A$  and assume that  $T$  is total,  $A$  is antisymmetric and  $T$  is a subset of  $A$ . Show with a structured, Isar-style proof that then  $A$  is also a subset of  $T$  (without proof methods more powerful than `simp!`):

```
lemma
  assumes total: "∀ x y. T x y ∨ T y x"
  and anti: "∀ x y. A x y ∧ A y x → x = y"
  and subset: "∀ x y. T x y → A x y"
  shows "A x y → T x y"
```

### Homework 3.1 A Simple Grammar

*Submission until Monday, November 14, 2022, 23:59pm.*

You are given the following grammar:

$$S \rightarrow \varepsilon \mid aSb$$

Your first task is to formalize this grammar as an inductive definition in Isabelle:

```
inductive_set G :: "string set"
```

Our goal is to show that  $G$  produces the following language:

$$L = \{w. \exists n. w = \text{replicate } n a @ \text{replicate } n b\}$$

First prove this direction:

```
theorem G_is_replicate:
  assumes "w ∈ G"
  shows "∃ n. w = replicate n a @ replicate n b"
```

And now the converse:

```
theorem replicate_G:
  assumes "w = replicate n a @ replicate n b"
```

**shows** “ $w \in G$ ”

Finally, we can prove that  $G$  indeed produces  $L$ :

```
corollary L_eq_G: "L = G"  
  unfolding L_def using G_is_replicate replicate_G by auto
```

## Homework 3.2 Register Machine from Hell

*Submission until Monday, November 14, 2022, 23:59pm.*

*Processors from Hell* has released its next-generation RISC processor. It features an infinite bank of registers  $R_0$ ,  $R_1$ , etc, holding unbounded integers. Register  $R_0$  plays the role of the accumulator and is the implicit source or destination register of all instructions. Any other register involved in an instruction must be distinct from  $R_0$ . To enforce this requirement the processor implicitly increments the index of the other register. There are 4 instructions:

**LDI**  $i$  has the effect  $R_0 := i$   
**LD**  $n$  has the effect  $R_0 := R_{n+1}$   
**ST**  $n$  has the effect  $R_{n+1} := R_0$   
**ADD**  $n$  has the effect  $R_0 := R_0 + R_{n+1}$

where  $i$  is an integer and  $n$  a natural number.

The instructions are specified by:

```
datatype instr = LDI int | LD nat | ST nat | ADD nat
```

The state of the machine is just a function from register numbers to values

```
type_synonym rstate = "nat ⇒ int"
```

Define a function to execute a single instruction

```
fun exec :: "instr ⇒ rstate ⇒ rstate"
```

Lift your definition to lists of instructions

```
fun execs :: "instr list ⇒ rstate ⇒ rstate"
```

Show that  $execs$  commutes with  $op @$ . Hint: The `[simp]` - attribute declares this as a default simplifier rule, such that `simp` and `auto` will rewrite with this rule by default.

```
theorem execs_append[simp]: "Λs. execs (xs @ ys) s = execs ys (execs xs s)"
```

Next, we want to write a compiler for arithmetic expressions. To simplify the mapping from variables to registers, we define variable names to be natural numbers.

```
datatype expr = C int | V nat | A expr expr
```

The evaluation function,  $val$ , is defined in the usual way.

You have been recruited to write a compiler from  $expr$  to  $instr\ list$ . You remember your compiler course and decide to emulate a stack machine using free registers, i.e. registers not used by the expression you are compiling. The type of your compiler is

```
fun cmp :: "expr ⇒ nat ⇒ instr list"
```

where the second argument is the index of the first free register and can be used to store intermediate results. The result of an expression should be returned in  $R_0$ . Because  $R_0$  is the accumulator, you decide on the following compilation scheme: Variable  $i$  will be held in  $R_{i+1}$ .

To actually compile an expression, you need to find an initial value for the free register index. Define a function that returns the maximum variable used in an arithmetic expression.

```
fun maxvar :: "expr ⇒ nat"
```

Show that the value of expressions does not depend on variables greater than  $maxvar$ .

**theorem** val\_maxvar\_same[simp]:

$$\forall n \leq maxvar e. s\ n = s' n \implies val\ e\ s = val\ e\ s'$$

Finally, prove that your compiler is correct. You will need to generalize the lemma to any free register index  $> maxvar e$ .

Moreover, an auxiliary lemma may be useful, which states that a compiled program does not change registers less than the index of the first free register.

Hint: Beware of off-by-one errors introduced by the implicit increment of the register index. The register indexes in the state are shifted by one wrt. the registers in the instructions!

**theorem** compiler\_correct: "execs (cmp e (maxvar e + 1)) s 0 = val e (s o Suc)"