

# Semantics of Programming Languages

## Exercise Sheet 3

### Exercise 3.1 Reflexive Transitive Closure

A binary relation is expressed by a predicate of type  $R :: 's \Rightarrow 's \Rightarrow bool$ .

Intuitively,  $R\ s\ t$  represents a single step from state  $s$  to state  $t$ .

The reflexive, transitive closure  $R^*$  of  $R$  is the relation that contains a step  $R^*\ s\ t$ , iff  $R$  can step from  $s$  to  $t$  in any number of steps (including zero steps).

Formalize the reflexive transitive closure as an inductive predicate:

**inductive**  $star :: "( 'a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool"$  **for**  $r$

When doing so, you have the choice to append or prepend a step. In any case, the following two lemmas should hold for your definition:

**lemma**  $star\_prepend: "[[r\ x\ y; star\ r\ y\ z]] \Longrightarrow star\ r\ x\ z"$

**lemma**  $star\_append: "[[star\ r\ x\ y; r\ y\ z]] \Longrightarrow star\ r\ x\ z"$

Now, formalize the  $star$  predicate again, this time the other way round (append if you prepended the step before or vice versa):

**inductive**  $star' :: "( 'a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool"$  **for**  $r$

Prove the equivalence of your two formalizations:

**lemma**  $"star\ r\ x\ y = star'\ r\ x\ y"$

### Exercise 3.2 Avoiding Stack Underflow

A *stack underflow* occurs when executing an instruction on a stack containing too few values—e.g., executing an *ADD* instruction on an stack of size less than two. A well-formed sequence of instructions (e.g., one generated by *comp*) should never cause a stack underflow.

In this exercise, you will define a semantics for the stack-machine that throws an exception if the program underflows the stack.

Modify the *exec1* and *exec* - functions, such that they return an option value, *None* indicating a stack-underflow.

```
fun exec1 :: "instr  $\Rightarrow$  state  $\Rightarrow$  stack  $\Rightarrow$  stack option"  
fun exec :: "instr list  $\Rightarrow$  state  $\Rightarrow$  stack  $\Rightarrow$  stack option"
```

Now adjust the proof of theorem *exec\_comp* to show that programs output by the compiler never underflow the stack:

```
theorem exec_comp: "exec (comp a) s stk = Some (aval a s # stk)"
```

### Exercise 3.3 A Structured Proof on Relations

We consider two binary predicates *T* and *A* and assume that *T* is total, *A* is antisymmetric and *T* is a subset of *A*. Show with a structured, Isar-style proof that then *A* is also a subset of *T* (without proof methods more powerful than *simpl*!):

```
lemma  
  assumes total: " $\forall x y. T x y \vee T y x$ "  
    and anti: " $\forall x y. A x y \wedge A y x \longrightarrow x = y$ "  
    and subset: " $\forall x y. T x y \longrightarrow A x y$ "  
  shows " $A x y \longrightarrow T x y$ "
```

### Homework 3.1 A Simple Grammar

*Submission until Monday, November 14, 2022, 23:59pm.*

You are given the following grammar:

$$S \rightarrow \varepsilon \mid aSb$$

Your first task is to formalize this grammar as an inductive definition in Isabelle:

```
inductive_set G :: "string set"
```

Our goal is to show that *G* produces the following language:

$$L = \{w. \exists n. w = \text{replicate } n \text{ } a \text{ @ replicate } n \text{ } b\}$$

First prove this direction:

```
theorem G_is_replicate:  
  assumes " $w \in G$ "  
  shows " $\exists n. w = \text{replicate } n \text{ } a \text{ @ replicate } n \text{ } b$ "
```

And now the converse:

```
theorem replicate_G:  
  assumes " $w = \text{replicate } n \text{ } a \text{ @ replicate } n \text{ } b$ "
```

**shows** “ $w \in G$ ”

Finally, we can prove that  $G$  indeed produces  $L$ :

**corollary**  $L\_eq\_G$ : “ $L = G$ ”

**unfolding**  $L\_def$  **using**  $G\_is\_replicate$   $replicate\_G$  **by**  $auto$

## Homework 3.2 Register Machine from Hell

*Submission until Monday, November 14, 2022, 23:59pm.*

*Processors from Hell* has released its next-generation RISC processor. It features an infinite bank of registers  $R_0, R_1$ , etc, holding unbounded integers. Register  $R_0$  plays the role of the accumulator and is the implicit source or destination register of all instructions. Any other register involved in an instruction must be distinct from  $R_0$ . To enforce this requirement the processor implicitly increments the index of the other register. There are 4 instructions:

**LDI**  $i$  has the effect  $R_0 := i$

**LD**  $n$  has the effect  $R_0 := R_{n+1}$

**ST**  $n$  has the effect  $R_{n+1} := R_0$

**ADD**  $n$  has the effect  $R_0 := R_0 + R_{n+1}$

where  $i$  is an integer and  $n$  a natural number.

The instructions are specified by:

**datatype**  $instr = LDI\ int \mid LD\ nat \mid ST\ nat \mid ADD\ nat$

The state of the machine is just a function from register numbers to values

**type\_synonym**  $rstate = “nat \Rightarrow int”$

Define a function to execute a single instruction

**fun**  $exec :: “instr \Rightarrow rstate \Rightarrow rstate”$

Lift your definition to lists of instructions

**fun**  $execs :: “instr\ list \Rightarrow rstate \Rightarrow rstate”$

Show that  $execs$  commutes with  $op\ @$ . Hint: The  $[simp]$  - attribute declares this as a default simplifier rule, such that  $simp$  and  $auto$  will rewrite with this rule by default.

**theorem**  $execs\_append[simp]$ : “ $\bigwedge s. execs\ (xs\ @\ ys)\ s = execs\ ys\ (execs\ xs\ s)”$

Next, we want to write a compiler for arithmetic expressions. To simplify the mapping from variables to registers, we define variable names to be natural numbers.

**datatype**  $expr = C\ int \mid V\ nat \mid A\ expr\ expr$

The evaluation function,  $val$ , is defined in the usual way.

You have been recruited to write a compiler from  $expr$  to  $instr\ list$ . You remember your compiler course and decide to emulate a stack machine using free registers, i.e. registers not used by the expression you are compiling. The type of your compiler is

**fun** *cmp* :: “*expr*  $\Rightarrow$  *nat*  $\Rightarrow$  *instr list*”

where the second argument is the index of the first free register and can be used to store intermediate results. The result of an expression should be returned in  $R_0$ . Because  $R_0$  is the accumulator, you decide on the following compilation scheme: Variable  $i$  will be held in  $R_{i+1}$ .

To actually compile an expression, you need to find an initial value for the free register index. Define a function that returns the maximum variable used in an arithmetic expression.

**fun** *maxvar* :: “*expr*  $\Rightarrow$  *nat*”

Show that the value of expressions does not depend on variables greater than *maxvar*.

**theorem** *val\_maxvar\_same[simp]*:

“ $\forall n \leq \text{maxvar } e. s \ n = s' \ n \implies \text{val } e \ s = \text{val } e \ s'$ ”

Finally, prove that your compiler is correct. You will need to generalize the lemma to any free register index  $> \text{maxvar } e$ .

Moreover, an auxiliary lemma may be useful, which states that a compiled program does not change registers less than the index of the first free register.

Hint: Beware of off-by-one errors introduced by the implicit increment of the register index. The register indexes in the state are shifted by one wrt. the registers in the instructions!

**theorem** *compiler\_correct*: “*execs* (*cmp* *e* (*maxvar* *e* + 1)) *s* 0 = *val* *e* (*s* o *Suc*)”