

Semantics of Programming Languages

Exercise Sheet 5

Exercise 5.1 Program Equivalence

Let *Or* be the disjunction of two *be*xps:

definition *Or* :: “*bexp* \Rightarrow *bexp* \Rightarrow *bexp*” **where**
“*Or* *b1 b2* = *Not* (*And* (*Not* *b1*) (*Not* *b2*))”

Prove or disprove (by giving counterexamples) the following program equivalences.

1. *IF And b1 b2 THEN c1 ELSE c2* \sim *IF b1 THEN IF b2 THEN c1 ELSE c2 ELSE c2*
2. *WHILE And b1 b2 DO c* \sim *WHILE b1 DO WHILE b2 DO c*
3. *WHILE And b1 b2 DO c* \sim *WHILE b1 DO c;; WHILE And b1 b2 DO c*
4. *WHILE Or b1 b2 DO c* \sim *WHILE Or b1 b2 DO c;; WHILE b1 DO c*

Exercise 5.2 Nondeterminism

In this exercise we extend our language with nondeterminism. We will define *nondeterministic choice* (*c1 OR c2*), that decides nondeterministically to execute *c1* or *c2*; and *assumption* (*ASSUME b*), that behaves like *SKIP* if *b* evaluates to true, and returns no result otherwise.

1. Modify the datatype *com* to include the new commands *OR* and *ASSUME*.
2. Adapt the big step semantics to include rules for the new commands.
3. Prove that *c1 OR c2* \sim *c2 OR c1*.
4. Prove: (*IF b THEN c1 ELSE c2*) \sim ((*ASSUME b*; *c1*) *OR* (*ASSUME* (*Not* *b*); *c2*))

Note: It is easiest if you take the existing theories and modify them.

Exercise 5.3 Deskip

Define a recursive function

fun *deskip* :: “*com* \Rightarrow *com*”

that eliminates as many *SKIP*s as possible from a command. For example:

deskip (*SKIP*;; *WHILE* *b* *DO* (*x* ::= *a*;; *SKIP*)) = *WHILE* *b* *DO* *x* ::= *a*

Prove its correctness by induction on *c*:

Hint: Take a look at *SkipE* and *sim_while_cong*.

lemma “*deskip* *c* \sim *c*”

Homework 5.1 Control Flow Graphs

Submission until Wednesday, November 20, 23:59pm.

In this homework, we want to study the concept of *control flow graphs* for IMP and connect it to the small-step semantics.

A control flow graph is a labeled transition system, where the edges are labeled with *effects*. An effect is a partial function on states, returning *None* when the test for a Boolean condition fails:

type_synonym *effect* = “*state* \Rightarrow *state option*”

type_synonym ‘*q* *cfg* = “(‘*q*,*effect*) *lts*”

Intuitively, the control flow graph is executed by following a path and applying the effects of the actions to the state. Lift effects to paths. Only paths where all tests succeed shall yield a result \neq *None*.

fun *eff_list* :: “*effect list* \Rightarrow *state* \Rightarrow *state option*”

The control flow graph of a *WHILE*-Program can be defined over nodes that are commands. Complete the following definition. (*Hint*: Have a look at the small-step semantics first)

inductive *cfg* :: “*com* *cfg*” **where**

cfg_assign: “*cfg* (*x* ::= *a*) ($\lambda s. \text{Some } (s(x:=\text{aval } a \ s))$) (*SKIP*)”

 | *cfg_Seq2*: “*cfg* *c1* *e* *c1'* \Longrightarrow *cfg* (*c1*;;*c2*) *e* (*c1'*;;*c2*)”

We want to show that the effects of paths in the CFG match the small-step semantics. Prove the theorem for a single step first:

theorem *eq_step*: “(*c*,*s*) \rightarrow (*c'*,*s'*) \longleftrightarrow ($\exists e. \text{cfg } c \ e \ c' \wedge e \ s = \text{Some } s'$)”

Now prove the main theorem:

theorem *eq_path*: “(*c*,*s*) \rightarrow^* (*c'*,*s'*) \longleftrightarrow ($\exists \pi. \text{word } \text{cfg } c \ \pi \ c' \wedge \text{eff_list } \pi \ s = \text{Some } s'$)”

Homework 5.2 Resource management

Submission until Wednesday, November 20, 23:59pm.

Frequently, programs need to allocate resources and clean them up afterwards, even in case of exceptions. Extend IMP with such constructs:

- *THROW* indicates that there is an error
- *ATTEMPT* c_1 *CLEANUP* c_2 executes c_1 until an exception is thrown and always executes c_2 .

The detailed semantics of these constructs are as follows.

Command *THROW* throws an exception. The only command that can catch an exception is *ATTEMPT* c_1 *CLEANUP* c_2 : if an exception is thrown by c_1 , execution stops there and continues with c_2 . If no exception is thrown, c_2 is also executed. An exception being thrown during c_2 aborts execution of c_2 and propagates “upwards” to the next *ATTEMPT* block.

Similarly to the small-step semantics, the big-step semantics is now of type $com \times state \Rightarrow com \times state$. In a big step $(c,s) \Rightarrow (x,t)$, x is *THROW* if an exception has been thrown, otherwise it is *SKIP*.

Copy existing types and definitions from *BigStep* and adapt them.

Step 1 Define the modified big-step semantics.

inductive *big_step* :: “ $com \times state \Rightarrow com \times state \Rightarrow bool$ ” (**infix** “ \Rightarrow ” 55)

Step 2 Adapt the previous auxiliary setup from the *BigStep* theory, including rule inversion.

We will also need the introduction & induction rules:

lemmas *big_step_induct* = *big_step.induct*[*split_format*(*complete*)]

declare *big_step.intros*[*intro*]

Step 3 Prove that (\Rightarrow) always produces *SKIP* or *THROW*.

lemma *big_step_result*: “ $(c,s) \Rightarrow (c',s') \implies (c' = SKIP \vee c' = THROW)$ ”

Step 4 The small-step semantics can also be adjusted. It has the same type as before, but instead of having only *SKIP* as the final command, we can also have *THROW*. Exceptions propagate upwards until an enclosing *ATTEMPT* is found, that is, until a configuration (*ATTEMPT THROW CLEANUP* c, s) is reached.

Define the modified small-step semantics and prove that it is complete wrt to the big-step semantics.

inductive *small_step* :: “ $com * state \Rightarrow com * state \Rightarrow bool$ ” (**infix** “ \rightarrow ” 55)

abbreviation *small_steps* :: “*com * state* \Rightarrow *com * state* \Rightarrow *bool*” (**infix** “ \rightarrow^* ” 55)
where “*x* \rightarrow^* *y* == *star small_step x y*”

declare *small_step.intros*[*simp,intro*]

You may need some lemmas from the existing theories. In addition, you might need a new lemma about $x \rightarrow^* y$ and *ATTEMPT*.

lemma *big_to_small*: “*cs* \Rightarrow *xt* \Longrightarrow *cs* \rightarrow^* *xt*”