

# Formalizing the Logic-Automaton Connection

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TPHOLs, 20.8.2009

Which of these formulae are true (for natural numbers)?

$$\forall x \geq 7. \exists y z. 3 * y + 5 * z = x$$

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### Stamp problem

Any postage of 8 cents or more can be made up using stamps of the denominations 3 cents and 5 cents.

- 1 Introduction
- 2 Basic Concepts
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**Reflection** Write **and verify** the decision procedure as a recursive function in HOL itself (**this talk**).

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- [Basin and Friedrich, FroCoS 2000] Combining WS1S and HOL (oracle)

*Hooking an 'oracle' to a theorem prover is risky business. The oracle could be buggy [...]. The only way to avoid a buggy oracle is to reconstruct a proof in the theorem prover based on output from the oracle, or perhaps verify the oracle itself. For a semantics based decision procedure, proof reconstruction is not a realistic option: one would have to formalize the entire automata-theoretic machinery within HOL [...].*

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## Our Claim

Verifying automata-based decision procedures in HOL is not as unrealistic as it may seem!

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Syntax (using de Bruijn indices)

**datatype**  $pf = Eq (int\ list)\ int \mid Le (int\ list)\ int \mid And\ pf\ pf$   
 $\mid Or\ pf\ pf \mid Imp\ pf\ pf \mid Forall\ pf \mid Exist\ pf \mid Neg\ pf$

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## Encoding

$Forall (Imp (Le [-1] -8) (Exist (Exist (Eq [5, 3, -1] 0))))$

## Diophantine (In)Equations

*eval-dioph* :: *int list*  $\Rightarrow$  *nat list*  $\Rightarrow$  *int*

*eval-dioph* (*k* · *ks*) (*x* · *xs*) = *k* \* *int* *x* + *eval-dioph* *ks* *xs*

*eval-dioph* [] *xs* = 0

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## Formulae

*eval-pf* :: *pf*  $\Rightarrow$  *nat list*  $\Rightarrow$  *bool*

*eval-pf* (*Eq* *ks* *l*) *xs* = (*eval-dioph* *ks* *xs* = *l*)

*eval-pf* (*Le* *ks* *l*) *xs* = (*eval-dioph* *ks* *xs*  $\leq$  *l*)

*eval-pf* (*Neg* *p*) *xs* = ( $\neg$  *eval-pf* *p* *xs*)

*eval-pf* (*And* *p* *q*) *xs* = (*eval-pf* *p* *xs*  $\wedge$  *eval-pf* *q* *xs*)

*eval-pf* (*Or* *p* *q*) *xs* = (*eval-pf* *p* *xs*  $\vee$  *eval-pf* *q* *xs*)

*eval-pf* (*Forall* *p*) *xs* = ( $\forall$  *x*. *eval-pf* *p* (*x* · *xs*))

*eval-pf* (*Exist* *p*) *xs* = ( $\exists$  *x*. *eval-pf* *p* (*x* · *xs*))

# Automata on Bit Vectors

Input symbols of an automaton corresponding to a formula with  $n$  free variables  $x_0, \dots, x_{n-1}$  are bit lists of length  $n$ :

$$\begin{array}{c} x_0 \\ \vdots \\ x_i \\ \vdots \\ x_{n-1} \end{array} \left[ \left[ \begin{array}{c} b_{0,0} \\ \vdots \\ b_{i,0} \\ \vdots \\ b_{n-1,0} \end{array} \right] \cdots \left[ \begin{array}{c} b_{0,j} \\ \vdots \\ b_{i,j} \\ \vdots \\ b_{n-1,j} \end{array} \right] \cdots \left[ \begin{array}{c} b_{0,m-1} \\ \vdots \\ b_{i,m-1} \\ \vdots \\ b_{n-1,m-1} \end{array} \right] \right]$$

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[Boudet and Comon, CAAP 1996]



List of variables (encoded as list of column vectors)

*nats-of-boolss* :: *nat*  $\Rightarrow$  *bool list list*  $\Rightarrow$  *nat list*

*nats-of-boolss* *n* [] = *replicate n 0*

*nats-of-boolss* *n* (*bs* · *bss*) =  
*map* ( $\lambda(b, x). \textit{nat-of-bool } b + 2 * x$ )  
*(zip bs (nats-of-boolss n bss))*

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## Single variable (encoded as row vector)

*nat-of-bools* :: *bool list*  $\Rightarrow$  *nat*

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## Represented by type

$$dfa = \underbrace{nat\ bdd\ list}_{\text{transition table}} \times \underbrace{bool\ list}_{\text{accepting states}}$$

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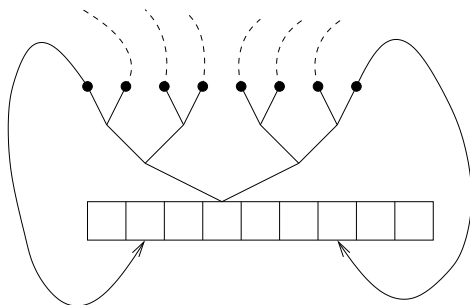
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## Transition table



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**Note:** (finite) sets of states represented as bitstrings

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## Naive implementation

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**Better:** only generate **reachable** states (using DFS)

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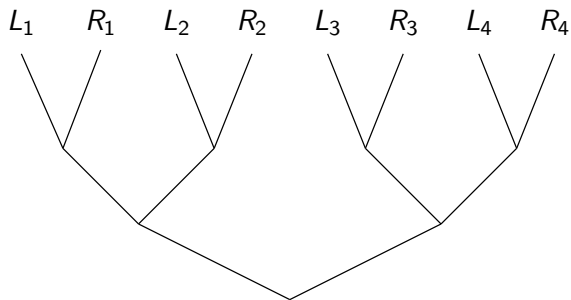
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## Universal quantifiers

**Note:**  $(\forall x. P x) = (\neg (\exists x. \neg P x))$



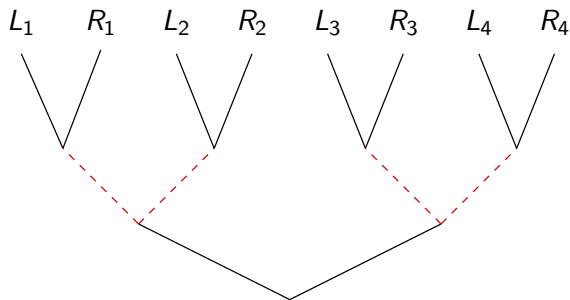
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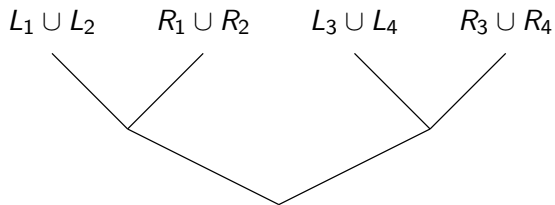
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## Method by Boudet and Comon

$$\begin{aligned} &(\text{eval-dioph } ks \text{ } xs = l) = \\ &(\text{eval-dioph } ks \text{ } (\text{map } (\lambda x. x \bmod 2) \text{ } xs) \bmod 2 = l \bmod 2 \wedge \\ &\text{eval-dioph } ks \text{ } (\text{map } (\lambda x. x \text{ div } 2) \text{ } xs) = \\ &(l - \text{eval-dioph } ks \text{ } (\text{map } (\lambda x. x \bmod 2) \text{ } xs)) \text{ div } 2) \end{aligned}$$

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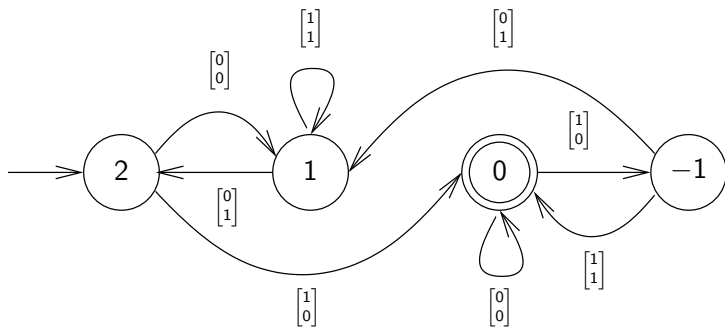
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## Reachable right-hand sides are bounded

$$\begin{aligned} &\text{If } |m| \leq \max |l| \left( \sum_{k \leftarrow ks.} |k| \right) \text{ then} \\ &|(m - \text{eval-dioph } ks \text{ } (\text{map } (\lambda x. x \text{ mod } 2) \text{ } xs)) \text{ div } 2| \\ &\leq \max |l| \left( \sum_{k \leftarrow ks.} |k| \right). \end{aligned}$$

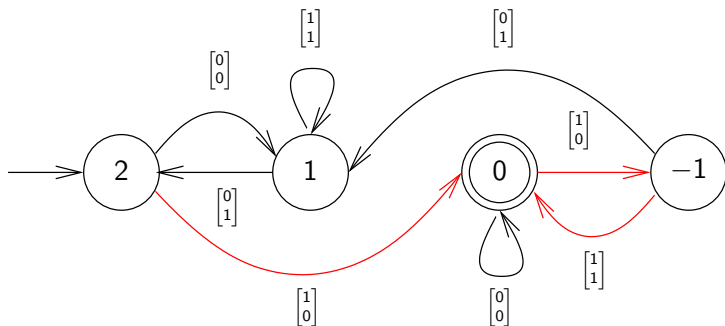
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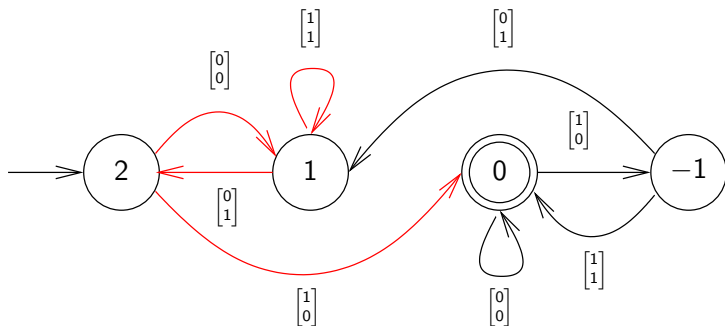


**Some solutions**

1	2	4	8	16	32	64	128	
1	1	1						$7 \cdot 2 = 14$
0	0	1						$4 \cdot 3 = 12$

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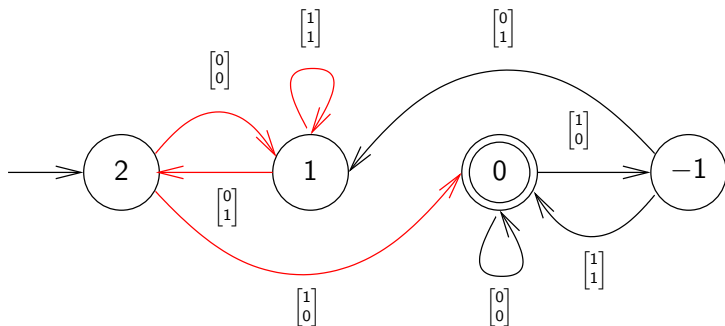


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0	1	1	0	1				$22 \cdot 2 = 44$
0	1	1	1	0				$14 \cdot 3 = 42$

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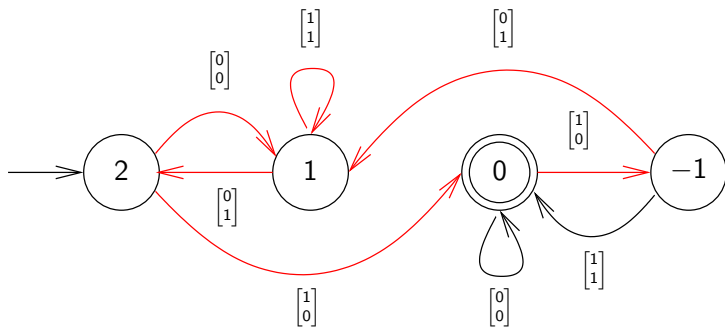


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0	1	0	1					$10 \cdot 2 = 20$
0	1	1	0					$6 \cdot 3 = 18$

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1	2	4	8	16	32	64	128	
0	1	0	1	1	0	0	1	$154 \cdot 2 = 308$
0	1	1	0	0	1	1	0	$102 \cdot 3 = 306$

```
eq-dfa :: nat ⇒ int list ⇒ int ⇒ dfa
```

```
eq-dfa n ks l ≡
```

```
let (is, js) = dioph-dfs n ks l
```

```
in (map (λj. make-bdd
```

```
    (λxs. if eval-dioph ks xs mod 2 = j mod 2
```

```
        then the is[int-to-nat-bij
```

```
            ((j - eval-dioph ks xs) div 2)])
```

```
        else |js|)
```

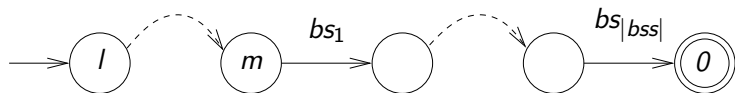
```
    n [])
```

```
    js @
```

```
    [Leaf |js|],
```

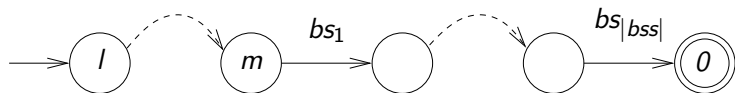
```
    map (λj. j = 0) js @ [False])
```





## Strengthened statement

*If  $(l, m) \in (\text{succsr}(\text{dioph-succs } n \text{ ks}))^*$  and*  
 *$\forall bs \in bss. \text{is-alph } n \text{ bs}$  then*  
 *$\text{dfa-accepting}(\text{eq-dfa } n \text{ ks } l)$*   
 *$(\text{dfa-steps}(\text{eq-dfa } n \text{ ks } l)$*   
 *$(\text{the}(\text{fst}(\text{dioph-dfs } n \text{ ks } l))[\text{int-to-nat-bij } m]) \text{ bss}) =$*   
 *$(\text{eval-dioph } \text{ks} (\text{nats-of-boolss } n \text{ bss}) = m)$ .*



## Corollary ( $l = m$ )

If  $\forall bs \in bss. is\text{-alph } n \ bs$  then  
 $dfa\text{-accepts } (eq\text{-dfa } n \ ks \ l) \ bss =$   
 $(eval\text{-dioph } ks \ (nats\text{-of}\text{-boolss } n \ bss) = l).$

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- 4 The Decision Procedure**
- 5 Conclusion

# The Decision Procedure

*dfa-of-pf* :: *nat*  $\Rightarrow$  *pf*  $\Rightarrow$  *dfa*

*dfa-of-pf* *n* (*Eq* *ks l*) = *eq-dfa* *n* *ks l*

*dfa-of-pf* *n* (*Le* *ks l*) = *ineq-dfa* *n* *ks l*

*dfa-of-pf* *n* (*Neg* *p*) = *negate-dfa* (*dfa-of-pf* *n* *p*)

*dfa-of-pf* *n* (*And* *p q*) =

*binop-dfa* ( $\wedge$ ) (*dfa-of-pf* *n* *p*) (*dfa-of-pf* *n* *q*)

*dfa-of-pf* *n* (*Or* *p q*) =

*binop-dfa* ( $\vee$ ) (*dfa-of-pf* *n* *p*) (*dfa-of-pf* *n* *q*)

*dfa-of-pf* *n* (*Exist* *p*) =

*rquot* (*det-nfa* (*quantify-nfa* 0 (*nfa-of-dfa* (*dfa-of-pf* (*Suc* *n*) *p*))))  
*n*

*dfa-of-pf* *n* (*Forall* *p*) = *dfa-of-pf* *n* (*Neg* (*Exist* (*Neg* *p*)))

# The Decision Procedure

$dfa\text{-of-pf} :: nat \Rightarrow pf \Rightarrow dfa$

$dfa\text{-of-pf } n (Eq \textit{ks } l) = eq\text{-dfa } n \textit{ks } l$

$dfa\text{-of-pf } n (Le \textit{ks } l) = ineq\text{-dfa } n \textit{ks } l$

$dfa\text{-of-pf } n (Neg \textit{p}) = negate\text{-dfa } (dfa\text{-of-pf } n \textit{p})$

$dfa\text{-of-pf } n (And \textit{p } \textit{q}) =$

$binop\text{-dfa } (\wedge) (dfa\text{-of-pf } n \textit{p}) (dfa\text{-of-pf } n \textit{q})$

$dfa\text{-of-pf } n (Or \textit{p } \textit{q}) =$

$binop\text{-dfa } (\vee) (dfa\text{-of-pf } n \textit{p}) (dfa\text{-of-pf } n \textit{q})$

$dfa\text{-of-pf } n (Exist \textit{p}) =$

$\textit{rquot } (det\text{-nfa } (quantify\text{-nfa } 0 (nfa\text{-of-dfa } (dfa\text{-of-pf } (Suc \textit{n}) \textit{p}))))$   
 $\textit{n}$

$dfa\text{-of-pf } n (Forall \textit{p}) = dfa\text{-of-pf } n (Neg (Exist (Neg \textit{p})))$

## Theorem (Correctness)

If  $\forall bs \in bss. is\text{-alph } n \textit{bs}$  then

$dfa\text{-accepts } (dfa\text{-of-pf } n \textit{p}) \textit{bss} = eval\text{-pf } \textit{p} (nats\text{-of-boolss } n \textit{bss}).$

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- Size of DFAs for subformulae:

<i>Forall</i> <b>6</b>	<i>Imp</i> <b>15</b>	<i>Exist</i> <b>13</b>	<i>Exist</i> <b>9</b>	<i>Eq</i> [5, 3, -1] 0 <b>9</b>
		<i>Le</i> [-1] -8 <b>5</b>		

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- Use of DFS pays off!

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- Extend to WS1S, and apply it to circuit verification problems described in [Basin and Friedrich, FRODOS 2000].

