

# Generating Verified LLVM from Isabelle/HOL

Peter Lammich

The University of Manchester

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- This talk: towards faster verified algorithms at manageable effort

# Introduction

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**procedure** AUGMENT( $g, f, p$ )

$c_p \leftarrow \min\{g_f(u, v) \mid (u, v) \in p\}$

**for all**  $(u, v) \in p$  **do**

**if**  $(u, v) \in g$  **then**  $f(u, v) \leftarrow f(u, v) + c_p$

**else**  $f(v, u) \leftarrow f(v, u) - c_p$

**return**  $f$

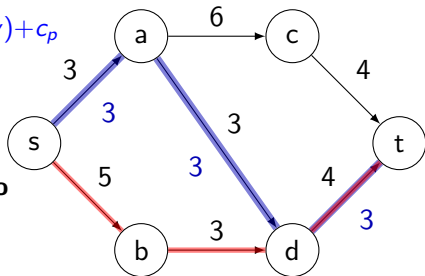
**procedure** EDMONDS-KARP( $g, s, t$ )

$f \leftarrow \lambda(u, v). 0$

**while** exists augmenting path in  $g_f$  **do**

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$g$ : flow network

$s, t$ : source, target

$g_f$ : residual network

## Correctness

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## Theorem (Ford-Fulkerson)

For a flow network  $g$  and flow  $f$ , the following 3 statements are equivalent

- 1  $f$  is a maximum flow
- 2 the residual network  $g_f$  contains no augmenting path
- 3  $|f|$  is the capacity of a (minimal) cut of  $g$

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using basic concepts such as numbers, sets, and graphs.





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When augmenting with a shortest path,

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using lemmas about graphs and shortest paths.



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- Implementations used for different parts must fit together!

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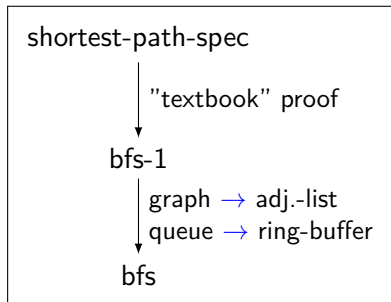


graph → adj.-list

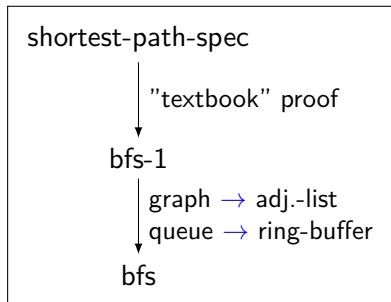
queue → ring-buffer

bfs

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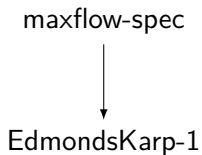
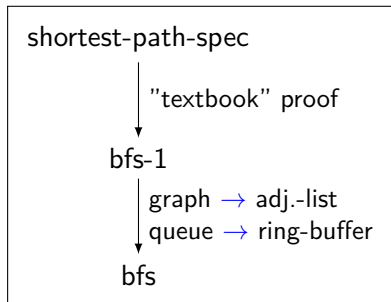


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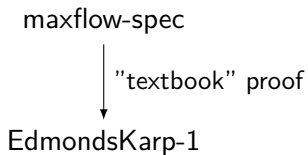
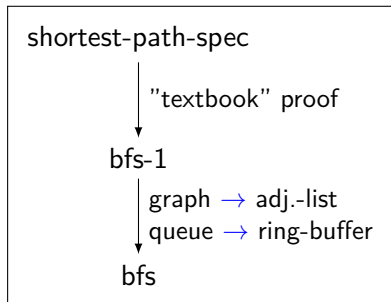


maxflow-spec

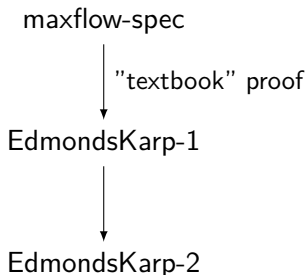
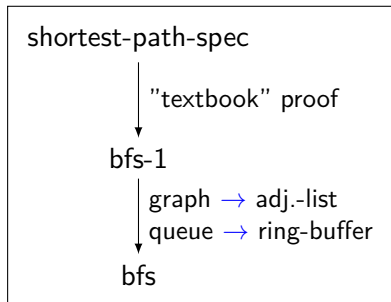
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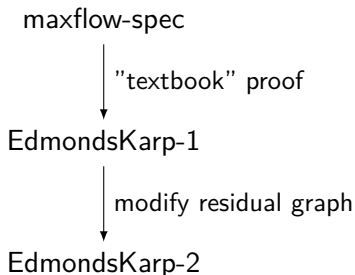
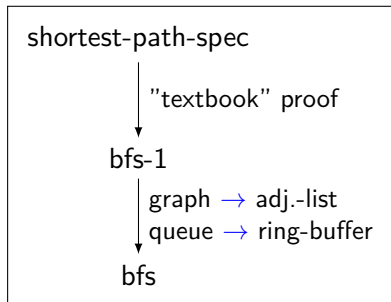
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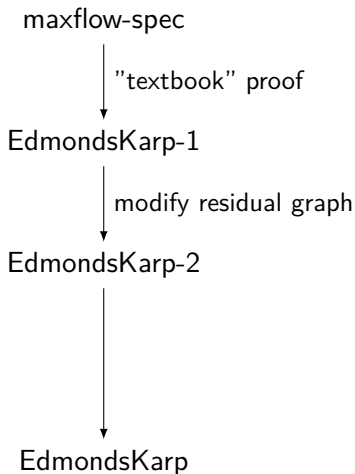
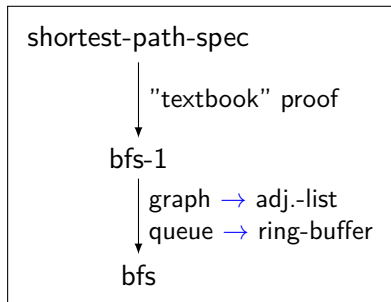


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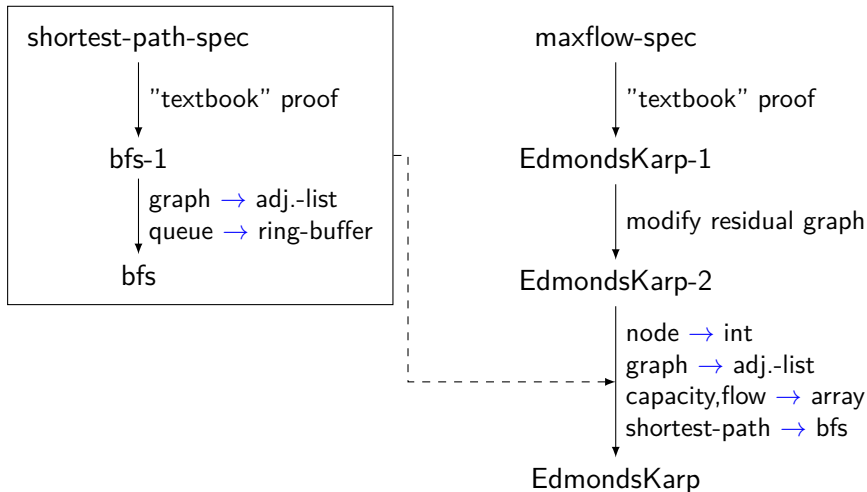




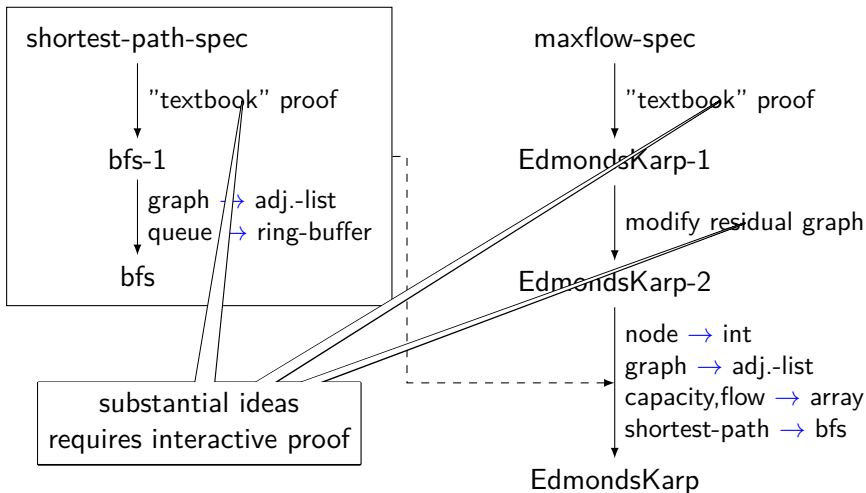
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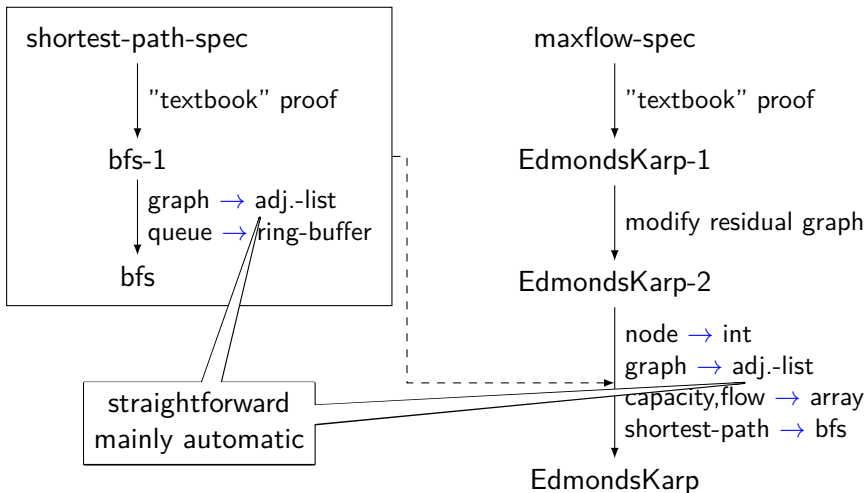
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  - CAVA LTL model checker

# The Isabelle Refinement Framework

- Formalization of Refinement in Isabelle/HOL
- Batteries included
  - Verification Condition Generator
  - Collection Framework
  - (Semi)automatic data refinement
- Some highlights
  - GRAT UNSAT certification toolchain
    - formally verified
    - faster than (verified and unverified) competitors
  - Introsort (on par with `libstd++ std::sort`)
  - Timed Automata model checker
  - CAVA LTL model checker
  - Network flow (Push-Relabel and Edmonds Karp)

# Formalizing Refinement

- Formal model for algorithms
  - Require: nondeterminism, pointers/heap, (data) refinement
  - VCG, also for refinements
  - can get very complex!



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  - ① NRES: nondeterminism error monad with refinement ... but no heap
    - simpler model, usable tools (e.g. VCG)
  - ② HEAP: deterministic heap-error monad
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    - simpler model, usable tools (e.g. VCG)
  - ② HEAP: deterministic heap-error monad
    - separation logic based VCG
- Automated transition from NRES to HEAP
  - automatic data refinement (e.g. integer by int64)
  - automatic placement on heap (e.g. list by array)
  - some in-bound proof obligations left to user

# Code Generation

Translate HEAP to compilable code

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① Imperative-HOL:

- based on Isabelle's code generator
- OCaml, SML, Haskell, Scala (using imp. features)
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① Imperative-HOL:

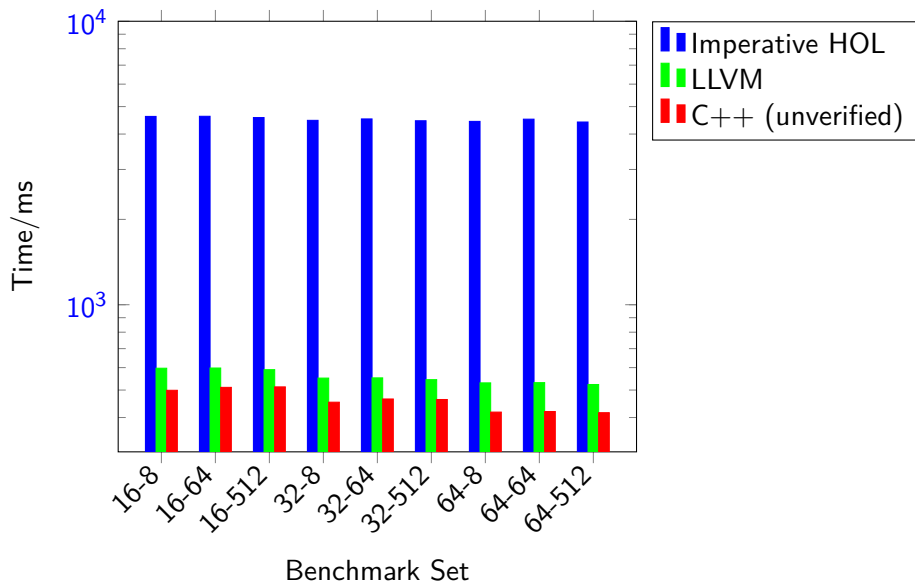
- based on Isabelle's code generator
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- results cannot compete with optimized C/C++

② NEW!: Isabelle-LLVM

- shallow embedding of fragment of LLVM-IR
- pretty-print to actual LLVM IR text
- then use LLVM optimizer and compiler
- faster programs
- thinner (unverified) compilation layer

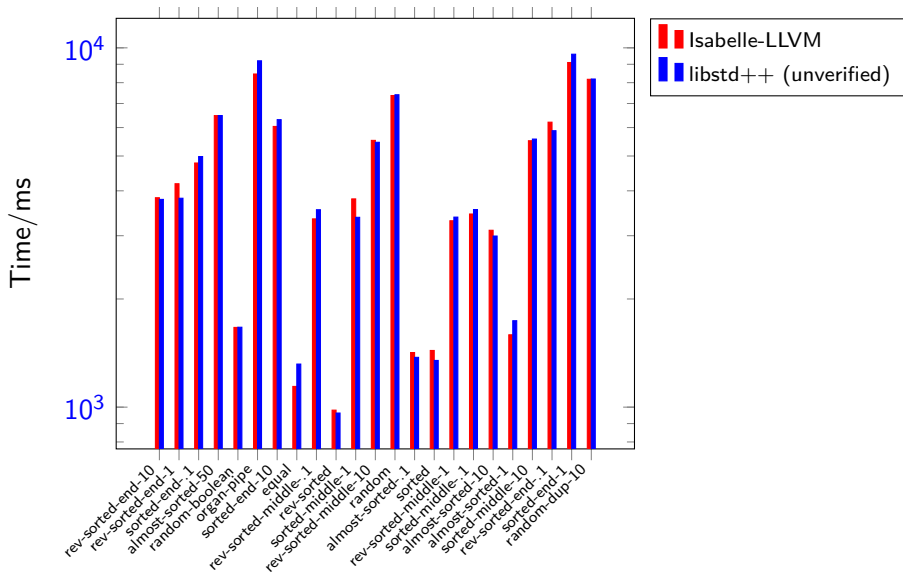


# Knuth Morris Pratt



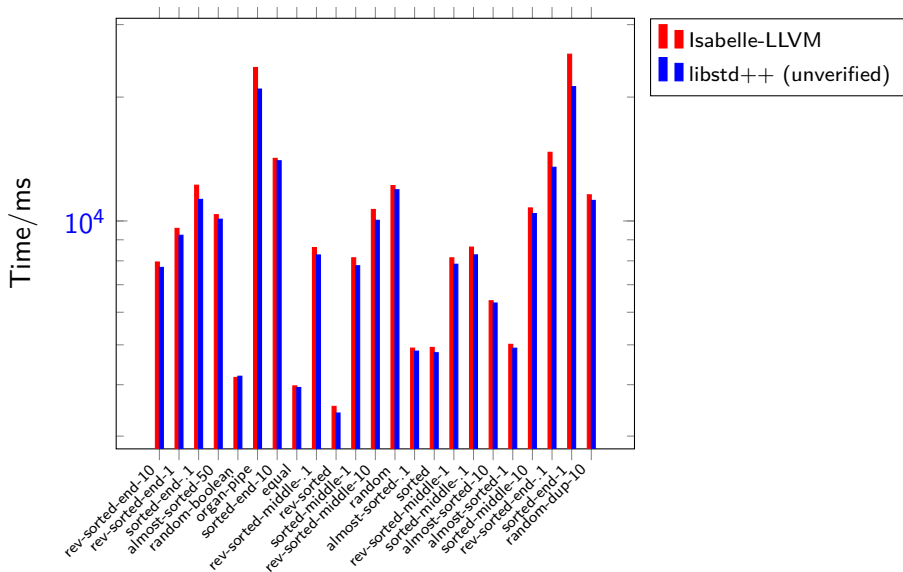
Execute *a-l* benchmark set from StringBench. Stop at first match.

# Verified Introsort Algorithm



Sorting  $100 \cdot 10^6$  uint64s on Intel Core i7-8665U CPU, 32GiB RAM.

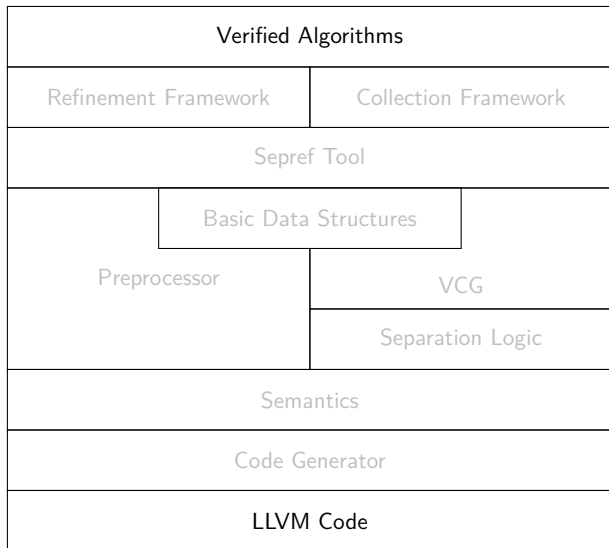
# Verified Introsort Algorithm



Sorting  $100 \cdot 10^6$  uint64s on AMD Opteron 6176 24 core, 128GiB RAM.

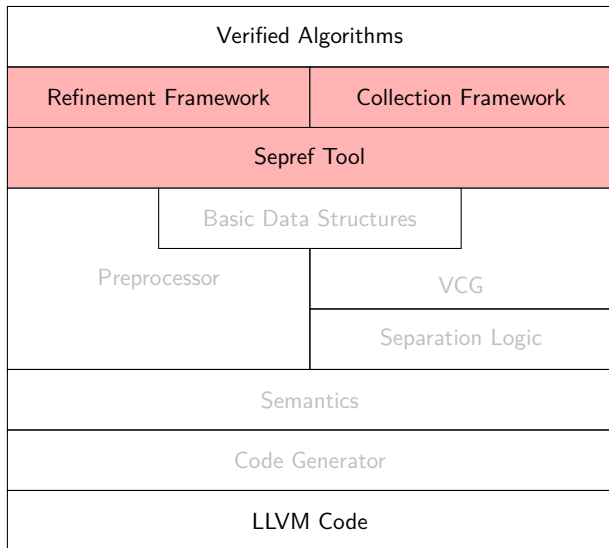


# Isabelle-LLVM: Overview

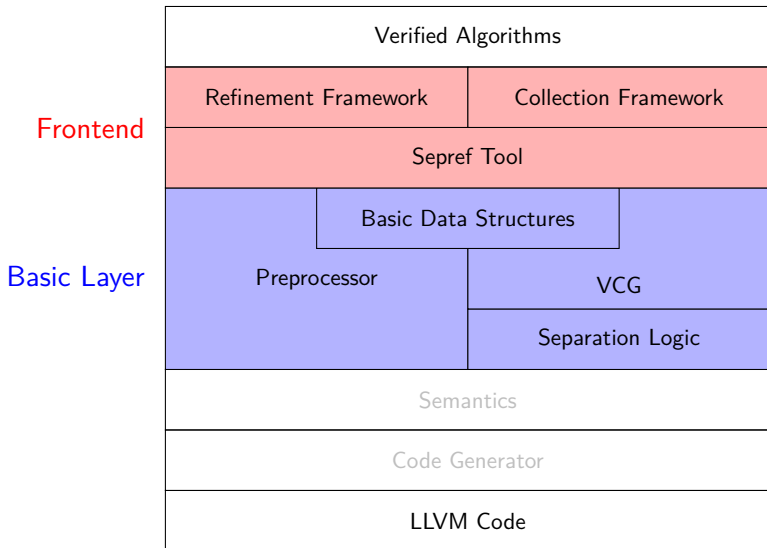


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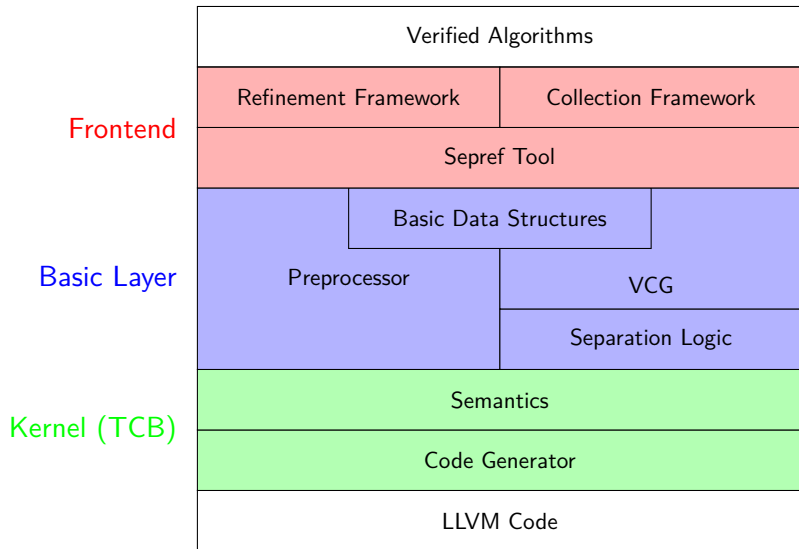
Frontend



# Isabelle-LLVM: Overview



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- Trade-off
  - complexity of semantics vs. trusted steps in code generator
- Our choice:
  - rather simple semantics
  - code generator does some translations

# Basics

- LLVM operations described in state/error monad

$\alpha$  IIM = IIM (run: memory  $\Rightarrow$   $\alpha$  mres)

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- Recursion via fixed-point

llc\_while b f s<sub>0</sub> = fixp ( $\lambda$ W s.

do {

ctd  $\leftarrow$  b s;

if ctd $\neq$ 0 then do {s  $\leftarrow$  f s; W s} else return s

}

) s<sub>0</sub>

# Shallow Embedding

fib:: 64 word  $\Rightarrow$  64 word ILM

```
fib n = do {  
  t  $\leftarrow$  ll_icmp_u!e n 1;  
  llc_if t  
  (return n)  
  (do {  
    n1  $\leftarrow$  ll_sub n 1;  
    a  $\leftarrow$  fib n1;  
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state/error monad

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monad: bind, return

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standard instructions (ll\_<opcode>)

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## Shallow Embedding

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    n<sub>1</sub>  $\leftarrow$  ll\_sub n 1;    a  $\leftarrow$  fib n<sub>1</sub>;    n<sub>2</sub>  $\leftarrow$  ll\_sub n 2;    b  $\leftarrow$  fib n<sub>2</sub>;    c  $\leftarrow$  ll\_add a b;

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standard instructions (ll\_&lt;opcode&gt;)

arguments: variables and constants

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control flow (if, [optional: while])

standard instructions (ll\_<opcode>)

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# Shallow Embedding

state/error monad

fib:: 64 word  $\Rightarrow$  64 word IIM

```
fib n = do {
```

```
  t  $\leftarrow$  ll_icmp_u16 n 1;
```

```
  llc_if t
```

```
    (return n)
```

```
    (do {
```

```
      n1  $\leftarrow$  ll_sub n 1;
```

```
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## Code Generation

compiling control flow + pretty printing

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```

```
define i64 @fib(i64 %x) {
  start:
    %t = icmp_ule i64 %x, 1
    br i1 %t, label %then, label %else

  then:
    br label %ctd_if

  else:
    %n_1 = sub i64 %x, 1
    %a = call i64 @fib (i64 %n_1)
    %n_2 = sub i64 %x, 2
    %b = call i64 @fib (i64 %n_2)
    %c = add i64 %a, %b
    br label %ctd_if

  ctd_if:
    %x1a = phi i64 [%x,%then], [%c,%else]
    ret i64 %x1a }
```

# Memory Model

- Inspired by CompCert v1. But with structured values.

memory = block list      block = val list option

val = n word | ptr | val $\times$ val

rptr = NULL | ADDR nat nat (dir list)      dir = FST | SND

- ADDR i j p block index, value index, path to value

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- Shallow pointers carry phantom type

'a ptr = PTR rptr



## Example: malloc

```
allocn (v::val) (s::nat) = do {  
  bs ← get;  
  set (bs@[Some (replicate s v)]);  
  return (ADDR |bs| 0 []) }
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```
ll_malloc (s::n word) :: 'a ptr = do {  
  assert (unat n > 0); – Disallow empty malloc  
  r ← allocn (to_val (init::'a)) (unat n);  
  return (PTR r) }
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- Code generator maps `ll_malloc` to libc's `calloc`.
  - out-of-memory: terminate in defined way `exit(1)`

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- Define recursive functions for fixed points

# Example: Preprocessing Euclid's Algorithm

euclid :: 64 word  $\Rightarrow$  64 word  $\Rightarrow$  64 word

```
euclid a b = do {  
  (a,b)  $\leftarrow$  llc_while  
  ( $\lambda(a,b) \Rightarrow$  ll_cmp (a  $\neq$  b))  
  ( $\lambda(a,b) \Rightarrow$  if (a  $\leq$  b) then return (a,b-a) else return (a-b,b))  
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preprocessor defines function `euclid0` and proves

```
euclid a b = do {
  ab  $\leftarrow$  ll_insert1 init a; ab  $\leftarrow$  ll_insert2 ab b;
  ab  $\leftarrow$  euclid0 ab;
  ll_extract1 ab }
euclid0 s = do {
  a  $\leftarrow$  ll_extract1 s;
  b  $\leftarrow$  ll_extract2 s;
  ctd  $\leftarrow$  ll_icmp_ne a b;
  llc_if ctd do { ...; euclid0 ... } }
```

## Reasoning about LLVM Programs

- Separation Logic
  - Hoare-triples

$\alpha :: \text{memory} \rightarrow \text{memory} :: \text{sep\_algebra}$

$\text{wp } c \ Q \ s = \exists r \ s'. \text{run } c \ s = \text{SUCC } r \ s' \wedge Q \ r \ (\alpha \ s')$

$\models \{P\} \ c \ \{Q\} = \forall F \ s. (P * F) (\alpha \ s) \longrightarrow \text{wp } c \ (\lambda r \ s'. (Q \ r * F) \ s) \ s$

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- Automation: VCG, frame inference, heuristics to discharge VCs



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- rules for commands

$b \neq 0 \implies \models \{\square\} \ \ll\_u\text{div } a \ b \ \{\lambda r. r = a \ \text{div } b\}$

$\models \{p \mapsto x\} \ \ll\_l\text{oad } p \ \{\lambda r. r = x * p \mapsto x\}$

$\models \{n \neq 0\} \ \ll\_m\text{alloc } n \ \{\lambda p. \text{range } \{0..<n\} \ (\lambda_. \text{init}) \ p * \text{m\_tag } n \ p\}$

$\models \{\text{range } \{0..<n\} \ \text{xs } p * \text{m\_tag } n \ p\} \ \ll\_f\text{ree } p \ \{\lambda_. \square\}$

- Automation: VCG, frame inference, heuristics to discharge VCs
- Basic Data Structures: signed/unsigned integers, Booleans, arrays

# Example: Proving Euclid's Algorithm

**lemma**

$\models \{ \text{uint}_{64} \ a \ a_{\dagger} * \text{uint}_{64} \ b \ b_{\dagger} * 0 < a * 0 < b \} \text{ euclid } a_{\dagger} \ b_{\dagger} \ \{ \lambda r_{\dagger}. \text{uint}_{64} \ (\text{gcd } a \ b) \ r_{\dagger} \}$

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Subgoals:

1.  $\bigwedge x \ y. \llbracket \text{gcd } x \ y = \text{gcd } a \ b; x \neq y; x \leq y; \dots \rrbracket \implies \text{gcd } x \ (y - x) = \text{gcd } a \ b$
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**by** ( simp\_all add: gcd\_diff1 gcd\_diff1' )

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- Collections Framework
  - provides data structures
  - we ported some to LLVM (work in progress)
    - dense sets/maps of integers (by array)
    - heaps, indexed heaps
    - two-watched-literals for BCP
    - graphs (by adjacency lists)
    - ...

## Example: Binary Search

```

definition bin_search xs x = do {
  (l,h) ← WHILEIT (bin_search_invar xs x)
    (λ(l,h). l < h)
  (λ(l,h). do {
    ASSERT (l < length xs ∧ h ≤ length xs ∧ l ≤ h);
    let m = l + (h - l) div 2;
    if xs!m < x then RETURN (m+1,h) else RETURN (l,m)
  })
  (0,length xs);
  RETURN l
}

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```

**lemma** bin\_search\_correct:

sorted xs  $\implies$  bin\_search xs x  $\leq$  SPEC (λi. i=find\_index (λy. x ≤ y) xs)

## Example: Binary Search — Refinement

```
sepref_def bin_search_impl is uncurry bin_search  
  :: (larray_assn' TYPE(size_t) (sint_assn' TYPE(elem_t)))k  
    * (sint_assn' TYPE(elem_t))k  
    → snat_assn' TYPE(size_t)  
unfolding bin_search_def  
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sint_assn' sz — (mathematical) integers by sz bit integers
snat_assn' sz — natural numbers by sz bit integers
larray_assn' sz e — lists by arrays + sz-bit length, elements refined by e

```

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by sepref

export_llvm bin_search_impl is int64_t bin_search(larray_t, elem_t)
defines
  typedef uint64_t elem_t;
  typedef struct { int64_t len; elem_t *data; } larray_t;
file code/bin_search.ll

```

## Example: Binary Search — Generated Code

Produces LLVM code and header file:

```
typedef uint64_t elem_t;
typedef struct {
    int64_t len;
    elem_t*data;
} larray_t;

int64_t bin_search(larray_t,elem_t);
```

# Conclusions

- Fast and verified algorithms
  - LLVM code generator
  - using Refinement Framework
  - manageable proof overhead
- Case studies
  - generate really fast, verified code
  - re-use existing proofs
- Current/future work
  - more complex algorithms
    - promising (preliminary) results for SAT-solver, Prim's algorithm
  - deeply embedded semantics
  - unify NRES and HEAP monads
  - generic Sepref (Imp-HOL, LLVM)  $\times$  (nres, nres+time)

[https://github.com/lammich/isabelle\\_llvm](https://github.com/lammich/isabelle_llvm)