

Automatic Data Refinement

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Abstract

We present the Autoref tool for Isabelle/HOL, which automatically refines algorithms specified over abstract concepts like maps and sets to algorithms over concrete implementations like red-black-trees, and produces a refinement theorem. It is based on ideas borrowed from relational parametricity due to Reynolds and Wadler. The tool allows for rapid prototyping of verified, executable algorithms. Moreover, it can be configured to fine-tune the result to the users needs. Our tool is able to automatically instantiate generic algorithms, which greatly simplifies the implementation of executable data structures.

This AFP-entry provides the basic tool, which is then used by the Refinement and Collection Framework to provide automatic data refinement for the nondeterminism monad and various collection datastructures.

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Chapter 1

Parametricity Solver

1.1 Relators

```
theory Relators
imports ../Lib/Refine-Lib
begin
```

We define the concept of relators. The relation between a concrete type and an abstract type is expressed by a relation of type $('c \times 'a) \text{ set}$. For each composed type, say $'a \text{ list}$, we can define a *relator*, that takes as argument a relation for the element type, and returns a relation for the list type. For most datatypes, there exists a *natural relator*. For algebraic datatypes, this is the relator that preserves the structure of the datatype, and changes the components. For example, $\text{list-rel}::('c \times 'a) \text{ set} \Rightarrow ('c \text{ list} \times 'a \text{ list}) \text{ set}$ is the natural relator for lists.

However, relators can also be used to change the representation, and thus relate an implementation with an abstract type. For example, the relator $\text{list-set-rel}::('c \times 'a) \text{ set} \Rightarrow ('c \text{ list} \times 'a \text{ set}) \text{ set}$ relates lists with the set of their elements.

In this theory, we define some basic notions for relators, and then define natural relators for all HOL-types, including the function type. For each relator, we also show a single-valuedness property, and initialize a solver for single-valued properties.

1.1.1 Basic Definitions

For smoother handling of relator unification, we require relator arguments to be applied by a special operator, such that we avoid higher-order unification problems. We try to set up some syntax to make this more transparent, and give relators a type-like prefix-syntax.

definition *relAPP*
:: $((('c1 \times 'a1) \text{ set} \Rightarrow -) \Rightarrow ('c1 \times 'a1) \text{ set} \Rightarrow -)$

where $relAPP\ f\ x \equiv f\ x$

syntax $-rel-APP :: \quad args \Rightarrow 'a \Rightarrow 'b\ (\ \langle - \rangle - [0,900]\ 900)$

translations

$\langle x, xs \rangle R == \langle xs \rangle (CONST\ relAPP\ R\ x)$
 $\langle x \rangle R == CONST\ relAPP\ R\ x$

ML \ll
 $\quad structure\ Refine-Relators-Thms = struct$
 $\quad \quad structure\ rel-comb-def-rules = Named-Thms\ ($
 $\quad \quad \quad val\ name = @\{binding\ refine-rel-defs\}$
 $\quad \quad \quad val\ description = Refinement\ Framework: \ \wedge$
 $\quad \quad \quad \quad Relator\ definitions$
 $\quad \quad)$;
 $\quad end$
 \gg
setup $Refine-Relators-Thms.rel-comb-def-rules.setup$

1.1.2 Basic HOL Relators

Function

definition $fun-rel$ **where**

$fun-rel-def-internal: fun-rel\ A\ B \equiv \{ (f, f'). \forall (a, a'). (a, a') \in A. (f\ a, f'\ a') \in B \}$

abbreviation $fun-rel-syn$ (**infixr** \rightarrow 60) **where** $A \rightarrow B \equiv \langle A, B \rangle fun-rel$

lemma $fun-rel-def[refine-rel-defs]$:

$A \rightarrow B \equiv \{ (f, f'). \forall (a, a'). (a, a') \in A. (f\ a, f'\ a') \in B \}$
by ($simp\ add: relAPP-def\ fun-rel-def-internal$)

lemma $fun-relI[intro!]$: $\llbracket \bigwedge a\ a'. (a, a') \in A \implies (f\ a, f'\ a') \in B \rrbracket \implies (f, f') \in A \rightarrow B$
by ($auto\ simp: fun-rel-def$)

lemma $fun-relD$:

shows $((f, f') \in (A \rightarrow B)) \implies$
 $(\bigwedge x\ x'. \llbracket (x, x') \in A \rrbracket \implies (f\ x, f'\ x') \in B)$
apply $rule$
by ($auto\ simp: fun-rel-def$)

lemma $fun-relD1$:

assumes $(f, f') \in Ra \rightarrow Rr$
assumes $f\ x = r$
shows $\forall x'. (x, x') \in Ra \longrightarrow (r, f'\ x') \in Rr$
using $assms$ **by** ($auto\ simp: fun-rel-def$)

lemma $fun-relD2$:

assumes $(f, f') \in Ra \rightarrow Rr$

assumes $f' x' = r'$
shows $\forall x. (x, x') \in Ra \longrightarrow (f x, r') \in Rr$
using *assms* **by** (*auto simp: fun-rel-def*)

lemma *fun-relE1*:
assumes $(f, f') \in Id \rightarrow Rv$
assumes $t' = f' x$
shows $(f x, t') \in Rv$ **using** *assms*
by (*auto elim: fun-relD*)

lemma *fun-relE2*:
assumes $(f, f') \in Id \rightarrow Rv$
assumes $t = f x$
shows $(t, f' x) \in Rv$ **using** *assms*
by (*auto elim: fun-relD*)

Terminal Types

abbreviation *unit-rel* :: $(unit \times unit)$ set **where** *unit-rel* == *Id*

abbreviation *nat-rel* $\equiv Id :: (nat \times -)$ set

abbreviation *int-rel* $\equiv Id :: (int \times -)$ set

abbreviation *bool-rel* $\equiv Id :: (bool \times -)$ set

Product

definition *prod-rel* **where**
prod-rel-def-internal: *prod-rel* *R1* *R2*
 $\equiv \{ ((a, b), (a', b')) . (a, a') \in R1 \wedge (b, b') \in R2 \}$

abbreviation *prod-rel-syn* (**infixr** \times_r 70) **where** $a \times_r b \equiv \langle a, b \rangle \text{prod-rel}$

lemma *prod-rel-def[refine-rel-defs]*:
 $((R1, R2) \text{prod-rel}) \equiv \{ ((a, b), (a', b')) . (a, a') \in R1 \wedge (b, b') \in R2 \}$
by (*simp add: prod-rel-def-internal relAPP-def*)

lemma *prod-relI*: $\llbracket (a, a') \in R1; (b, b') \in R2 \rrbracket \implies ((a, b), (a', b')) \in \langle R1, R2 \rangle \text{prod-rel}$
by (*auto simp: prod-rel-def*)

lemma *prod-relE*:
assumes $(p, p') \in \langle R1, R2 \rangle \text{prod-rel}$
obtains $a \ b \ a' \ b'$ **where** $p = (a, b)$ **and** $p' = (a', b')$
and $(a, a') \in R1$ **and** $(b, b') \in R2$
using *assms*
by (*auto simp: prod-rel-def*)

lemma *prod-rel-simp[simp]*:
 $((a, b), (a', b')) \in \langle R1, R2 \rangle \text{prod-rel} \longleftrightarrow (a, a') \in R1 \wedge (b, b') \in R2$
by (*auto intro: prod-relI elim: prod-relE*)

Option

definition *option-rel* **where**

option-rel-def-internal:

option-rel $R \equiv \{ (Some\ a, Some\ a') \mid a\ a'.\ (a, a') \in R \} \cup \{ (None, None) \}$

lemma *option-rel-def[refine-rel-defs]*:

$\langle R \rangle option-rel \equiv \{ (Some\ a, Some\ a') \mid a\ a'.\ (a, a') \in R \} \cup \{ (None, None) \}$

by (*simp add: option-rel-def-internal relAPP-def*)

lemma *option-relI*:

$(None, None) \in \langle R \rangle option-rel$

$\llbracket (a, a') \in R \rrbracket \implies (Some\ a, Some\ a') \in \langle R \rangle option-rel$

by (*auto simp: option-rel-def*)

lemma *option-relE*:

assumes $(x, x') \in \langle R \rangle option-rel$

obtains $x = None$ **and** $x' = None$

$\mid a\ a'$ **where** $x = Some\ a$ **and** $x' = Some\ a'$ **and** $(a, a') \in R$

using *assms* **by** (*auto simp: option-rel-def*)

lemma *option-rel-simp[simp]*:

$(None, a) \in \langle R \rangle option-rel \longleftrightarrow a = None$

$(c, None) \in \langle R \rangle option-rel \longleftrightarrow c = None$

$(Some\ x, Some\ y) \in \langle R \rangle option-rel \longleftrightarrow (x, y) \in R$

by (*auto intro: option-relI elim: option-relE*)

Sum

definition *sum-rel* **where** *sum-rel-def-internal*:

sum-rel $Rl\ Rr$

$\equiv \{ (Inl\ a, Inl\ a') \mid a\ a'.\ (a, a') \in Rl \} \cup$

$\{ (Inr\ a, Inr\ a') \mid a\ a'.\ (a, a') \in Rr \}$

lemma *sum-rel-def[refine-rel-defs]*:

$\langle Rl, Rr \rangle sum-rel \equiv$

$\{ (Inl\ a, Inl\ a') \mid a\ a'.\ (a, a') \in Rl \} \cup$

$\{ (Inr\ a, Inr\ a') \mid a\ a'.\ (a, a') \in Rr \}$

by (*simp add: sum-rel-def-internal relAPP-def*)

lemma *sum-rel-simp[simp]*:

$\bigwedge a\ a'.\ (Inl\ a, Inl\ a') \in \langle Rl, Rr \rangle sum-rel \longleftrightarrow (a, a') \in Rl$

$\bigwedge a\ a'.\ (Inr\ a, Inr\ a') \in \langle Rl, Rr \rangle sum-rel \longleftrightarrow (a, a') \in Rr$

$\bigwedge a\ a'.\ (Inl\ a, Inr\ a') \notin \langle Rl, Rr \rangle sum-rel$

$\bigwedge a\ a'.\ (Inr\ a, Inl\ a') \notin \langle Rl, Rr \rangle sum-rel$

unfolding *sum-rel-def* **by** *auto*

lemma *sum-relI*:

$(a, a') \in Rl \implies (Inl\ a, Inl\ a') \in \langle Rl, Rr \rangle sum-rel$

$(a, a') \in Rr \implies (Inr\ a, Inr\ a') \in \langle Rl, Rr \rangle sum-rel$

by *simp-all*

lemma *sum-relE*:

assumes $(x, x') \in \langle Rl, Rr \rangle$ *sum-rel*

obtains

$l \ l'$ where $x = \text{Inl } l$ and $x' = \text{Inl } l'$ and $(l, l') \in Rl$
 $| \ r \ r'$ where $x = \text{Inr } r$ and $x' = \text{Inr } r'$ and $(r, r') \in Rr$
 using *assms* by (*auto simp: sum-rel-def*)

Lists

definition *list-rel* where *list-rel-def-internal*:

$\text{list-rel } R \equiv \{(l, l'). \text{list-all2 } (\lambda x x'. (x, x') \in R) \ l \ l'\}$

lemma *list-rel-def[refine-rel-defs]*:

$\langle R \rangle \text{list-rel} \equiv \{(l, l'). \text{list-all2 } (\lambda x x'. (x, x') \in R) \ l \ l'\}$
 by (*simp add: list-rel-def-internal relAPP-def*)

lemma *list-rel-induct[induct set, consumes 1, case-names Nil Cons]*:

assumes $(l, l') \in \langle R \rangle \text{list-rel}$

assumes $P \ [] \ []$

assumes $\bigwedge x x' l l'. \llbracket (x, x') \in R; (l, l') \in \langle R \rangle \text{list-rel}; P \ l \ l' \rrbracket$
 $\implies P \ (x \# l) \ (x' \# l')$

shows $P \ l \ l'$

using *assms* **unfolding** *list-rel-def*

apply *simp*

by (*rule list-all2-induct*)

lemma *list-rel-eq-listrel*: $\text{list-rel} = \text{listrel}$

apply (*rule ext*)

proof *safe*

case *goal1* **thus** ?*case*

unfolding *list-rel-def-internal*

apply *simp*

apply (*induct a b rule: list-all2-induct*)

apply (*auto intro: listrel.intros*)

done

next

case *goal2* **thus** ?*case*

apply (*induct*)

apply (*auto simp: list-rel-def-internal*)

done

qed

lemma *list-relI*:

$([], []) \in \langle R \rangle \text{list-rel}$

$\llbracket (x, x') \in R; (l, l') \in \langle R \rangle \text{list-rel} \rrbracket \implies (x \# l, x' \# l') \in \langle R \rangle \text{list-rel}$

by (*auto simp: list-rel-def*)

lemma *list-rel-simp*[*simp*]:
 $([], l') \in \langle R \rangle \text{list-rel} \longleftrightarrow l' = []$
 $(l, []) \in \langle R \rangle \text{list-rel} \longleftrightarrow l = []$
 $([], []) \in \langle R \rangle \text{list-rel}$
 $(x \# l, x' \# l') \in \langle R \rangle \text{list-rel} \longleftrightarrow (x, x') \in R \wedge (l, l') \in \langle R \rangle \text{list-rel}$
by (*auto simp: list-rel-def*)

lemma *list-relE1*:
assumes $(l, []) \in \langle R \rangle \text{list-rel}$ **obtains** $l = []$ **using** *assms* **by** *auto*

lemma *list-relE2*:
assumes $([], l) \in \langle R \rangle \text{list-rel}$ **obtains** $l = []$ **using** *assms* **by** *auto*

lemma *list-relE3*:
assumes $(x \# xs, l') \in \langle R \rangle \text{list-rel}$ **obtains** $x' \ xs'$ **where**
 $l' = x' \# xs'$ **and** $(x, x') \in R$ **and** $(xs, xs') \in \langle R \rangle \text{list-rel}$
using *assms*
apply (*cases l'*)
apply *auto*
done

lemma *list-relE4*:
assumes $(l, x' \# xs') \in \langle R \rangle \text{list-rel}$ **obtains** $x \ xs$ **where**
 $l = x \# xs$ **and** $(x, x') \in R$ **and** $(xs, xs') \in \langle R \rangle \text{list-rel}$
using *assms*
apply (*cases l*)
apply *auto*
done

lemmas *list-relE* = *list-relE1 list-relE2 list-relE3 list-relE4*

lemma *list-rel-imp-same-length*:
 $(l, l') \in \langle R \rangle \text{list-rel} \implies \text{length } l = \text{length } l'$
unfolding *list-rel-eq-listrel relAPP-def*
by (*rule listrel-eq-len*)

Sets

Pointwise refinement: The abstract set is the image of the concrete set, and the concrete set only contains elements that have an abstract counterpart

definition *set-rel* **where** *set-rel-def-internal*:
 $\text{set-rel } R \equiv \{(S, S'). S' = R `` S \wedge S \subseteq \text{Domain } R\}$

lemma *set-rel-def*[*refine-rel-defs*]:
 $\langle R \rangle \text{set-rel} \equiv \{(S, S'). S' = R `` S \wedge S \subseteq \text{Domain } R\}$
by (*simp add: set-rel-def-internal relAPP-def*)

lemma *set-rel-simp*[*simp*]:
 $(\{\}, \{\}) \in \langle R \rangle \text{set-rel}$

by (*auto simp: set-rel-def*)

1.1.3 Automation

A solver for relator properties

lemma *relprop-triggers*:

$\bigwedge R. \text{single-valued } R \implies \text{single-valued } R$
 $\bigwedge R. R=Id \implies R=Id$
 $\bigwedge R. R=Id \implies Id=R$
 $\bigwedge R. \text{Range } R = UNIV \implies \text{Range } R = UNIV$
 $\bigwedge R. \text{Range } R = UNIV \implies UNIV = \text{Range } R$
 $\bigwedge R R'. R \subseteq R' \implies R \subseteq R'$
by *auto*

ML \ll

structure relator-props = Named-Thms (
val name = @{binding relator-props}
val description = Additional relator properties
)

\gg

setup *relator-props.setup*

declaration \ll

Tagged-Solver.declare-solver
 $@\{thms \text{ relprop-triggers}\}$
 $@\{\text{binding relator-props-solver}\}$
Additional relator peoperties solver
(fn ctxt => (REPEAT-ALL-NEW (match-tac (relator-props.get ctxt))))
 \gg

declaration \ll

Tagged-Solver.declare-solver
 \square
 $@\{\text{binding force-relator-props-solver}\}$
Additional relator properties solver (instantiate schematics)
(fn ctxt => (REPEAT-ALL-NEW (resolve-tac (relator-props.get ctxt))))
 \gg

lemma *relprop-id-orient*[*relator-props*]:

$R=Id \implies Id=R$
 $Id = Id$
by *auto*

lemma *relprop-UNIV-orient*[*relator-props*]:

$R=UNIV \implies UNIV=R$
 $UNIV = UNIV$
by *auto*

ML-Level utilities

```

ML ⟨⟨
  signature RELATORS = sig
    val mk-relT: typ * typ -> typ
    val dest-relT: typ -> typ * typ

    val mk-relAPP: term -> term -> term
    val list-relAPP: term list -> term -> term
    val strip-relAPP: term -> term list * term

    val declare-natural-relator:
      (string*string) -> Context.generic -> Context.generic
    val remove-natural-relator: string -> Context.generic -> Context.generic
    val natural-relator-of: Proof.context -> string -> string option

    val mk-natural-relator: Proof.context -> term list -> string -> term option
    val mk-fun-rel: term -> term -> term

    val setup: theory -> theory
  end

  structure Relators :RELATORS = struct
    val mk-relT = HOLogic.mk-prodT #> HOLogic.mk-setT

    fun dest-relT (Type (@{type-name set},[Type (@{type-name prod},[cT,aT])]))
      = (cT,aT)
      | dest-relT ty = raise TYPE (dest-relT,[ty],[])

    fun mk-relAPP x f = let
      val xT = fastype-of x
      val fT = fastype-of f
      val rT = range-type fT
    in
      Const (@{const-name relAPP},fT-->xT-->rT)$f$x
    end

    val list-relAPP = fold mk-relAPP

    fun strip-relAPP R = let
      fun aux @{\mpat ⟨?R⟩?S} l = aux S (R::l)
        | aux R l = (l,R)
      in aux R [] end

    structure natural-relators = Generic-Data (
      type T = string Symtab.table
      val empty = Symtab.empty
      val extend = I
      val merge = Symtab.join (fn - => fn (-,cn) => cn)
    )
  end
  ⟩⟩

```

```

fun declare-natural-relator tcp =
  natural-relators.map (Symtab.update tcp)

fun remove-natural-relator tname =
  natural-relators.map (Symtab.delete-safe tname)

fun natural-relator-of ctxt =
  Symtab.lookup (natural-relators.get (Context.Proof ctxt))

(* [R1,...,Rn] T is mapped to ⟨R1,...,Rn⟩ Trel *)
fun mk-natural-relator ctxt args Tname =
  case natural-relator-of ctxt Tname of
    NONE => NONE
  | SOME Cname => SOME let
    val argsT = map fastype-of args
    val (cTs, aTs) = map dest-relT argsT |> split-list
    val aT = Type (Tname,aTs)
    val cT = Type (Tname,cTs)
    val rT = mk-relT (cT,aT)
  in
    list-relAPP args (Const (Cname,argsT--->rT))
  end

fun
  natural-relator-from-term (t as Const (name,T)) = let
    fun err msg = raise TERM (msg,[t])

    open HOLogic
    val (argTs,bodyT) = strip-type T
    val (conTs,absTs) = argTs |> map (dest-setT #> dest-prodT) |> split-list
    val (bconT,babsT) = bodyT |> dest-setT |> dest-prodT
    val (Tcon,bconTs) = dest-Type bconT
    val (Tcon',babsTs) = dest-Type babsT

    val - = Tcon = Tcon' orelse err Type constructors do not match
    val - = conTs = bconTs orelse err Concrete types do not match
    val - = absTs = babsTs orelse err Abstract types do not match

  in
    (Tcon,name)
  end
end
| natural-relator-from-term t =
  raise TERM (Expected constant,[t]) (* TODO: Localize this! *)

local
  fun decl-natrel-aux t context = let
    fun warn msg = let
      val tP =

```

```

      Context.cases Syntax.pretty-term-global Syntax.pretty-term
      context t
    val m = Pretty.block [
      Pretty.str Ignoring invalid natural-relator declaration:,
      Pretty.brk 1,
      Pretty.str msg,
      Pretty.brk 1,
      tP
    ] |> Pretty.string-of
    val - = warning m
  in context end
in
  declare-natural-relator (natural-relator-from-term t) context
  handle
    TERM (msg,-) => warn msg
  | - => warn
end
in
  val natural-relator-attr = Scan.repeat1 Args.term >> (fn ts =>
    Thm.declaration-attribute ( fn - => fold decl-natrel-aux ts )
  )
end

fun mk-fun-rel r1 r2 = let
  val (r1T,r2T) = (fastype-of r1,fastype-of r2)
  val (c1T,a1T) = dest-relT r1T
  val (c2T,a2T) = dest-relT r2T
  val (cT,aT) = (c1T --> c2T, a1T --> a2T)
  val rT = mk-relT (cT,aT)
in
  list-relAPP [r1,r2] (Const (@{const-name fun-rel},r1T-->r2T-->rT))
end

val setup = I
#> Attrib.setup
  @{binding natural-relator} natural-relator-attr Declare natural relator

end
>>

setup Relators.setup

```

1.1.4 Setup

Natural Relators

```

declare [[natural-relator
  unit-rel int-rel nat-rel bool-rel
  fun-rel prod-rel option-rel sum-rel list-rel
]]

```

```

ML-val ⟨⟨
  Relators.mk-natural-relator
    @{context}
    [@{term Ra::('c × 'a) set}, @{term ⟨Rb⟩ option-rel}]
    @{type-name prod}
  |> the
  |> cterm-of @{theory}
;
  Relators.mk-fun-rel @{term ⟨Id⟩ option-rel} @{term ⟨Id⟩ list-rel}
  |> cterm-of @{theory}
⟩⟩

```

Additional Properties

```

lemmas [relator-props] =
  single-valued-Id
  subset-refl
  refl

```

lemma *eq-UNIV-iff*: $S = \text{UNIV} \longleftrightarrow (\forall x. x \in S)$ **by** *auto*

```

lemma fun-rel-sv[relator-props]:
  assumes RAN:  $\text{Range } Ra = \text{UNIV}$ 
  assumes SV: single-valued Rv
  shows single-valued ( $Ra \rightarrow Rv$ )
proof (intro single-valuedI ext impI allI)
  fix f g h x'
  assume R1:  $(f, g) \in Ra \rightarrow Rv$ 
  and R2:  $(f, h) \in Ra \rightarrow Rv$ 

  from RAN obtain x where AR:  $(x, x') \in Ra$  by auto
  from fun-relD[OF R1 AR] have  $(f\ x, g\ x') \in Rv$  .
  moreover from fun-relD[OF R2 AR] have  $(f\ x, h\ x') \in Rv$  .
  ultimately show  $g\ x' = h\ x'$  using SV by (auto dest: single-valuedD)
qed

```

lemmas [relator-props] = *Range-Id*

lemma *fun-rel-id*[relator-props]: $\llbracket R1 = \text{Id}; R2 = \text{Id} \rrbracket \implies R1 \rightarrow R2 = \text{Id}$
by (*auto simp: fun-rel-def*)

lemma *fun-rel-id-simp*[simp]: $\text{Id} \rightarrow \text{Id} = \text{Id}$ **by** *tagged-solver*

lemma *fun-rel-comp-dist*[relator-props]:
 $(R1 \rightarrow R2) \circ (R3 \rightarrow R4) \subseteq ((R1 \circ R3) \rightarrow (R2 \circ R4))$

by (*auto simp: fun-rel-def*)

lemma *fun-rel-mono[relator-props]*: $\llbracket R1 \subseteq R2; R3 \subseteq R4 \rrbracket \implies R2 \rightarrow R3 \subseteq R1 \rightarrow R4$
by (*force simp: fun-rel-def*)

lemma *prod-rel-sv[relator-props]*:
 $\llbracket \text{single-valued } R1; \text{single-valued } R2 \rrbracket \implies \text{single-valued } (\langle R1, R2 \rangle \text{prod-rel})$
by (*auto intro: single-valuedI dest: single-valuedD simp: prod-rel-def*)

lemma *prod-rel-id[relator-props]*: $\llbracket R1 = \text{Id}; R2 = \text{Id} \rrbracket \implies \langle R1, R2 \rangle \text{prod-rel} = \text{Id}$
by (*auto simp: prod-rel-def*)

lemma *prod-rel-id-simp[simp]*: $\langle \text{Id}, \text{Id} \rangle \text{prod-rel} = \text{Id}$ **by** *tagged-solver*

lemma *prod-rel-mono[relator-props]*:
 $\llbracket R2 \subseteq R1; R3 \subseteq R4 \rrbracket \implies \langle R2, R3 \rangle \text{prod-rel} \subseteq \langle R1, R4 \rangle \text{prod-rel}$
by (*auto simp: prod-rel-def*)

lemma *prod-rel-range[relator-props]*: $\llbracket \text{Range } Ra = \text{UNIV}; \text{Range } Rb = \text{UNIV} \rrbracket$
 $\implies \text{Range } (\langle Ra, Rb \rangle \text{prod-rel}) = \text{UNIV}$
apply (*auto simp: prod-rel-def*)
by (*metis Range-iff UNIV-I*)**+**

lemma *option-rel-sv[relator-props]*:
 $\llbracket \text{single-valued } R \rrbracket \implies \text{single-valued } (\langle R \rangle \text{option-rel})$
by (*auto intro: single-valuedI dest: single-valuedD simp: option-rel-def*)

lemma *option-rel-id[relator-props]*:
 $R = \text{Id} \implies \langle R \rangle \text{option-rel} = \text{Id}$ **by** (*auto simp: option-rel-def*)

lemma *option-rel-id-simp[simp]*: $\langle \text{Id} \rangle \text{option-rel} = \text{Id}$ **by** *tagged-solver*

lemma *option-rel-mono[relator-props]*: $R \subseteq R' \implies \langle R \rangle \text{option-rel} \subseteq \langle R' \rangle \text{option-rel}$
by (*auto simp: option-rel-def*)

lemma *option-rel-range*: $\text{Range } R = \text{UNIV} \implies \text{Range } (\langle R \rangle \text{option-rel}) = \text{UNIV}$
apply (*auto simp: option-rel-def Range-iff*)
by (*metis Range-iff UNIV-I option.exhaust*)

lemma *sum-rel-sv[relator-props]*:
 $\llbracket \text{single-valued } Rl; \text{single-valued } Rr \rrbracket \implies \text{single-valued } (\langle Rl, Rr \rangle \text{sum-rel})$
by (*auto intro: single-valuedI dest: single-valuedD simp: sum-rel-def*)

lemma *sum-rel-id[relator-props]*: $\llbracket Rl = \text{Id}; Rr = \text{Id} \rrbracket \implies \langle Rl, Rr \rangle \text{sum-rel} = \text{Id}$
apply (*auto elim: sum-relE*)
apply (*case-tac b*)
apply *simp-all*
done

lemma *sum-rel-id-simp*[simp]: $\langle Id, Id \rangle_{sum-rel} = Id$ **by** *tagged-solver*

lemma *sum-rel-mono*[relator-props]:
 $\llbracket Rl \subseteq Rl'; Rr \subseteq Rr' \rrbracket \implies \langle Rl, Rr \rangle_{sum-rel} \subseteq \langle Rl', Rr' \rangle_{sum-rel}$
by (*auto simp: sum-rel-def*)

lemma *sum-rel-range*[relator-props]:
 $\llbracket Range\ Rl = UNIV; Range\ Rr = UNIV \rrbracket \implies Range\ (\langle Rl, Rr \rangle_{sum-rel}) = UNIV$
apply (*auto simp: sum-rel-def Range-iff*)
by (*metis Range-iff UNIV-I sumE*)

lemma *list-rel-sv-iff*:
 $single-valued\ (\langle R \rangle_{list-rel}) \longleftrightarrow single-valued\ R$
apply (*intro iffI[rotated] single-valuedI allI impI*)
apply (*clarsimp simp: list-rel-def*)

proof –
fix $x\ y\ z$
assume SV : *single-valued* R
assume *list-all2* $(\lambda x\ x'. (x, x') \in R)\ x\ y$ **and**
 $list-all2\ (\lambda x\ x'. (x, x') \in R)\ x\ z$
thus $y=z$
apply (*induct arbitrary: z rule: list-all2-induct*)
apply *simp*
apply (*case-tac z*)
apply *force*
apply (*force intro: single-valuedD[OF SV]*)
done

next
fix $x\ y\ z$
assume SV : *single-valued* $(\langle R \rangle_{list-rel})$
assume $(x, y) \in R \quad (x, z) \in R$
hence $([x], [y]) \in \langle R \rangle_{list-rel}$ **and** $([x], [z]) \in \langle R \rangle_{list-rel}$
by (*auto simp: list-rel-def*)
with *single-valuedD[OF SV]* **show** $y=z$ **by** *blast*
qed

lemma *list-rel-sv*[relator-props]:
 $single-valued\ R \implies single-valued\ (\langle R \rangle_{list-rel})$
by (*simp add: list-rel-sv-iff*)

lemma *list-rel-id*[relator-props]: $\llbracket R = Id \rrbracket \implies \langle R \rangle_{list-rel} = Id$
by (*auto simp add: list-rel-def list-all2-eq[symmetric]*)

lemma *list-rel-id-simp*[simp]: $\langle Id \rangle_{list-rel} = Id$ **by** *tagged-solver*

lemma *list-rel-mono*[relator-props]:
assumes A : $R \subseteq R'$
shows $\langle R \rangle_{list-rel} \subseteq \langle R' \rangle_{list-rel}$

```

proof clarsimp
  fix  $l\ l'$ 
  assume  $(l, l') \in \langle R \rangle \text{list-rel}$ 
  thus  $(l, l') \in \langle R \rangle \text{list-rel}$ 
    apply induct
    using  $A$ 
    by auto
qed

lemma list-rel-range[relator-props]:
  assumes  $A: \text{Range } R = \text{UNIV}$ 
  shows  $\text{Range } (\langle R \rangle \text{list-rel}) = \text{UNIV}$ 
proof (clarsimp simp: eq-UNIV-iff)
  fix  $l$ 
  show  $l \in \text{Range } (\langle R \rangle \text{list-rel})$ 
    apply (induct l)
    using  $A[\text{unfolded eq-UNIV-iff}]$ 
    by (auto simp: Range-iff intro: list-relI)
qed

```

Pointwise refinement for set types:

```

lemma set-rel-sv[relator-props]:
  single-valued  $(\langle R \rangle \text{set-rel})$ 
  by (auto intro: single-valuedI dest: single-valuedD simp: set-rel-def) []

lemma set-rel-id[relator-props]:  $R = \text{Id} \implies \langle R \rangle \text{set-rel} = \text{Id}$ 
  by (auto simp add: set-rel-def)

lemma set-rel-id-simp[simp]:  $\langle \text{Id} \rangle \text{set-rel} = \text{Id}$  by tagged-solver

lemma set-rel-csv[relator-props]:
   $\llbracket \text{single-valued } (R^{-1}) \rrbracket$ 
   $\implies \text{single-valued } ((\langle R \rangle \text{set-rel})^{-1})$ 
  apply (rule single-valuedI)
  apply (simp only: converse-iff)

  apply (simp add: single-valued-def Image-def set-rel-def)
  apply (intro allI impI equalityI)
    apply (clarsimp, blast) []
    apply (clarsimp, drule (1) set-mp, blast) []
  done

```

1.1.5 Invariant and Abstraction

Quite often, a relation can be described as combination of an abstraction function and an invariant, such that the invariant describes valid values on the concrete domain, and the abstraction function maps valid concrete values to its corresponding abstract value.

definition *build-rel* where

$build\text{-}rel\ \alpha\ I \equiv \{(c, a) . a =_{\alpha} c \wedge I\ c\}$

abbreviation $br \equiv build\text{-}rel$

lemmas $br\text{-}def[refine\text{-}rel\text{-}defs] = build\text{-}rel\text{-}def$

lemma $brI[intro?]$: $\llbracket a =_{\alpha} c ; I\ c \rrbracket \implies (c, a) \in br\ \alpha\ I$
by (*simp add: br-def*)

lemma $br\text{-}id[simp]$: $br\ id\ (\lambda\cdot. True) = Id$
unfolding *build-rel-def* **by** *auto*

lemma $br\text{-}chain$:
 $(build\text{-}rel\ \beta\ J)\ O\ (build\text{-}rel\ \alpha\ I) = build\text{-}rel\ (\alpha \circ \beta)\ (\lambda s. J\ s \wedge I\ (\beta\ s))$
unfolding *build-rel-def* **by** *auto*

lemma $br\text{-}sv[simp, intro!, relator\text{-}props]$: *single-valued* $(br\ \alpha\ I)$
unfolding *build-rel-def*
apply (*rule single-valuedI*)
apply *auto*
done

lemma $converse\text{-}br\text{-}sv\text{-}iff[simp]$:
 $single\text{-}valued\ (converse\ (br\ \alpha\ I)) \longleftrightarrow inj\text{-}on\ \alpha\ (Collect\ I)$
by (*auto intro!: inj-onI single-valuedI dest: single-valuedD inj-onD simp: br-def*) []

lemmas $[relator\text{-}props] = single\text{-}valued\text{-}relcomp$

lemma $br\text{-}comp\text{-}alt$: $br\ \alpha\ I\ O\ R = \{ (c, a) . I\ c \wedge (\alpha\ c, a) \in R \}$
by (*auto simp add: br-def*)

lemma $br\text{-}comp\text{-}alt'$:
 $\{(c, a) . a =_{\alpha} c \wedge I\ c\}\ O\ R = \{ (c, a) . I\ c \wedge (\alpha\ c, a) \in R \}$
by *auto*

Convenience rule:

lemma $sv\text{-}add\text{-}invar$:
 $single\text{-}valued\ R \implies single\text{-}valued\ \{(c, a) . (c, a) \in R \wedge I\ c\}$
by (*auto dest: single-valuedD intro: single-valuedI*)

end

1.2 Basic Parametricity Reasoning

theory *Param-Tool*
imports *Relators*
begin

1.2.1 Auxiliary Lemmas

lemma *tag-both*: $\llbracket (Let\ x\ f, Let\ x'\ f') \in R \rrbracket \implies (f\ x, f'\ x') \in R$ **by** *simp*

lemma *tag-rhs*: $\llbracket (c, Let\ x\ f) \in R \rrbracket \implies (c, f\ x) \in R$ **by** *simp*

lemma *tag-lhs*: $\llbracket (Let\ x\ f, a) \in R \rrbracket \implies (f\ x, a) \in R$ **by** *simp*

lemma *tagged-fun-relD-both*:

$\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (Let\ x\ f, Let\ x'\ f') \in B$

and *tagged-fun-relD-rhs*: $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (f\ x, Let\ x'\ f') \in B$

and *tagged-fun-relD-lhs*: $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (Let\ x\ f, f'\ x') \in B$

and *tagged-fun-relD-none*: $\llbracket (f, f') \in A \rightarrow B; (x, x') \in A \rrbracket \implies (f\ x, f'\ x') \in B$

by (*simp-all add: fun-relD*)

1.2.2 ML-Setup

ML $\langle\langle$

signature *PARAMETRICITY* = *sig*

type *param-ruleT* = {

lhs: *term*,

rhs: *term*,

R: *term*,

rhs-head: *term*,

arity: *int*

}

val *dest-param-term*: *term* \rightarrow *param-ruleT*

val *dest-param-rule*: *thm* \rightarrow *param-ruleT*

val *dest-param-goal*: *int* \rightarrow *thm* \rightarrow *param-ruleT*

val *safe-fun-relD-tac*: *Proof.context* \rightarrow *tactic'*

val *adjust-arity*: *int* \rightarrow *thm* \rightarrow *thm*

val *adjust-arity-tac*: *int* \rightarrow *Proof.context* \rightarrow *tactic'*

val *unlambda-tac*: *tactic'*

val *prepare-tac*: *Proof.context* \rightarrow *tactic'*

val *fo-rule*: *thm* \rightarrow *thm*

(*** *Basic tactics* ***)

val *param-rule-tac*: *Proof.context* \rightarrow *thm* \rightarrow *tactic'*

val *param-rules-tac*: *Proof.context* \rightarrow *thm list* \rightarrow *tactic'*

val *asm-param-tac*: *Proof.context* \rightarrow *tactic'*

(*** *Nets of parametricity rules* ***)

type *param-net*

val *net-empty*: *param-net*

val *net-add*: *thm* \rightarrow *param-net* \rightarrow *param-net*

val *net-del*: *thm* \rightarrow *param-net* \rightarrow *param-net*

val *net-add-int*: *thm* \rightarrow *param-net* \rightarrow *param-net*

```

val net-del-int: thm -> param-net -> param-net
val net-tac: param-net -> Proof.context -> tactic'

(** Default parametricity rules **)
val add-dflt: thm -> Context.generic -> Context.generic
val add-dflt-attr: attribute
val del-dflt: thm -> Context.generic -> Context.generic
val del-dflt-attr: attribute
val get-dflt: Proof.context -> param-net

(** Configuration **)
val cfg-use-asm: bool Config.T
val cfg-single-step: bool Config.T

(** Setup **)
val setup: theory -> theory
end

structure Parametricity : PARAMETRICITY = struct
  type param-ruleT = {
    lhs: term,
    rhs: term,
    R: term,
    rhs-head: term,
    arity: int
  }

  fun dest-param-term t =
    case
      strip-all-body t |> Logic.strip-imp-concl |> HOLogic.dest-Trueprop
    of
      @{mpat (?lhs,?rhs):?R} => let
        val (rhs-head,arity) =
          case strip-comb rhs of
            (c as Const -,l) => (c,length l)
          | (c as Free -,l) => (c,length l)
          | (c as Abs -,l) => (c,length l)
          | - => raise TERM (dest-param-term: Head,[t])
        in
          { lhs = lhs, rhs = rhs, R=R, rhs-head = rhs-head, arity = arity }
        end
      | t => raise TERM (dest-param-term: Expected (-,-):-,[t])

  val dest-param-rule = dest-param-term o prop-of
  fun dest-param-goal i st =
    if i > nprems-of st then
      raise THM (dest-param-goal,i,[st])
    else
      dest-param-term (Logic.concl-of-goal (prop-of st) i)

```

```

fun safe-fun-relD-tac ctxt = let
  fun t a b = fo-resolve-tac [a] ctxt THEN' rtac b
in
  DETERM o (
    t @ {thm tag-both} @ {thm tagged-fun-relD-both} ORELSE'
    t @ {thm tag-rhs} @ {thm tagged-fun-relD-rhs} ORELSE'
    t @ {thm tag-lhs} @ {thm tagged-fun-relD-lhs} ORELSE'
    rtac @ {thm tagged-fun-relD-none}
  )
end

fun adjust-arity i thm =
  if i = 0 then thm
  else if i < 0 then funpow (~i) (fn thm => thm RS @ {thm fun-relI}) thm
  else funpow i (fn thm => thm RS @ {thm fun-relD}) thm

fun NTIMES k tac =
  if k <= 0 then K all-tac
  else tac THEN' NTIMES (k-1) tac

fun adjust-arity-tac n ctxt i st =
  (if n = 0 then K all-tac
   else if n > 0 then NTIMES n (DETERM o rtac @ {thm fun-relI})
   else NTIMES (~n) (safe-fun-relD-tac ctxt)) i st

fun unlambd-tac i st =
  case try (dest-param-goal i) st of
    NONE => Seq.empty
  | SOME g => let
    val n = Term.strip-abs (#rhs-head g) |> #1 |> length
    in NTIMES n (rtac @ {thm fun-relI}) i st end

fun prepare-tac ctxt =
  Subgoal.FOCUS (K (PRIMITIVE (Drule.eta-contraction-rule))) ctxt
  THEN' unlambd-tac

fun could-param-rl rl i st =
  if i > nprems-of st then NONE
  else (
    case (try (dest-param-goal i) st, try dest-param-term rl) of
      (SOME g, SOME r) =>
        if Term.could-unify (#rhs-head g, #rhs-head r) then
          SOME (#arity r - #arity g)
        else NONE
    | - => NONE
  )

```

```

fun param-rule-tac-aux ctxt rl i st =
  case could-param-rl (prop-of rl) i st of
    SOME adj => (adjust-arity-tac adj ctxt THEN' rtac rl) i st
  | - => Seq.empty

fun param-rule-tac ctxt rl =
  prepare-tac ctxt THEN' param-rule-tac-aux ctxt rl

fun param-rules-tac ctxt rls =
  prepare-tac ctxt THEN' FIRST' (map (param-rule-tac-aux ctxt) rls)

fun asm-param-tac-aux ctxt i st =
  if i > nprems-of st then Seq.empty
  else let
    val prems = Logic.premis-of-goal (prop-of st) i |> tag-list 1

    fun tac (n,t) i st = case could-param-rl t i st of
      SOME adj => (adjust-arity-tac adj ctxt THEN' rprem-tac n ctxt) i st
    | NONE => Seq.empty
  in
    FIRST' (map tac prems) i st
  end

fun asm-param-tac ctxt = prepare-tac ctxt THEN' asm-param-tac-aux ctxt

type param-net = (param-ruleT * thm) Item-Net.T

local
  val param-get-key = single o #rhs-head o #1
in
  val net-empty = Item-Net.init (Thm.eq-thm o pairself #2) param-get-key
end

fun wrap-pr-op f thm = case try ('dest-param-rule' thm) of
  NONE =>
    let
      val msg = Ignoring invalid parametricity theorem:
        ^ Display.string-of-thm-without-context thm
      val - = warning msg
    in I end
  | SOME p => f p

val net-add-int = wrap-pr-op Item-Net.update
val net-del-int = wrap-pr-op Item-Net.remove

val net-add = Item-Net.update o 'dest-param-rule
val net-del = Item-Net.remove o 'dest-param-rule

```

```

fun net-tac-aux net ctxt i st =
  if i > npremis-of st then
    Seq.empty
  else
    let
      val g = dest-param-goal i st
      val rls = Item-Net.retrieve net (#rhs-head g)

      fun tac (r, thm) =
        adjust-arity-tac (#arity r - #arity g) ctxt
        THEN' DETERM o rtac thm

    in
      FIRST' (map tac rls) i st
    end

fun net-tac net ctxt = prepare-tac ctxt THEN' net-tac-aux net ctxt

structure dflt-rules = Generic-Data (
  type T = param-net
  val empty = net-empty
  val extend = I
  val merge = Item-Net.merge
)

fun add-dflt thm = dflt-rules.map (net-add-int thm)
fun del-dflt thm = dflt-rules.map (net-del-int thm)
val add-dflt-attr = Thm.declaration-attribute add-dflt
val del-dflt-attr = Thm.declaration-attribute del-dflt

val get-dflt = dflt-rules.get o Context.Proof

val cfg-use-asm =
  Attrib.setup-config-bool @{binding param-use-asm} (K true)
val cfg-single-step =
  Attrib.setup-config-bool @{binding param-single-step} (K false)

local
  open Refine-Util

  val param-modifiers =
    [Args.add -- Args.colon >> K (I, add-dflt-attr),
     Args.del -- Args.colon >> K (I, del-dflt-attr),
     Args.$$$ only -- Args.colon
      >> K (Context.proof-map (dflt-rules.map (K net-empty)),
            add-dflt-attr)]

  val param-flags =
    parse-bool-config use-asm cfg-use-asm

```



```

|| parse-bool-config single-step cfg-single-step

in

val parametricity-method =
  parse-paren-lists param-flags |-- Method.sections param-modifiers >>
  (fn - => fn ctxt =>
    let
      val net2 = get-dflt ctxt
      val asm-tac =
        if Config.get ctxt cfg-use-asm then
          asm-param-tac ctxt
        else K no-tac

      val RPT =
        if Config.get ctxt cfg-single-step then I
        else REPEAT-ALL-NEW-FWD

    in
      SIMPLE-METHOD' (
        RPT (
          (atac
            ORELSE' net-tac net2 ctxt
            ORELSE' asm-tac)
          )
        )
      end
    )
  end

fun fo-rule thm = case concl-of thm of
  @{\mpat Trueprop ((-, -) ∈ ->-)} => fo-rule (thm RS @{\thm fun-relD})
  | - => thm

val param-fo-attr = Scan.succeed (Thm.rule-attribute (K fo-rule))

val setup = I
  #> Attrib.setup @{\binding param}
    (Attrib.add-del add-dflt-attr del-dflt-attr)
    declaration of parametricity theorem
  #> Global-Theory.add-thms-dynamic (@{\binding param},
    map #2 o Item-Net.content o dflt-rules.get)
  #> Method.setup @{\binding parametricity} parametricity-method
    Parametricity solver
  #> Attrib.setup @{\binding param-fo} param-fo-attr
    Parametricity: Rule in first-order form

end
>>

```

```

setup Parametricity.setup

end

```

1.3 Parametricity Theorems for HOL

```

theory Param-HOL
imports Param-Tool
begin

lemma param-if[param]:
  assumes  $(c, c') \in Id$ 
  assumes  $\llbracket c; c' \rrbracket \implies (t, t') \in R$ 
  assumes  $\llbracket \neg c; \neg c' \rrbracket \implies (e, e') \in R$ 
  shows  $(If\ c\ t\ e,\ If\ c'\ t'\ e') \in R$ 
  using assms by auto

lemma param-Let[param]:
   $(Let, Let) \in Ra \rightarrow (Ra \rightarrow Rr) \rightarrow Rr$ 
  by (auto dest: fun-relD)

lemma param-id[param]:  $(id, id) \in R \rightarrow R$  unfolding id-def by parametricity

lemma param-fun-comp[param]:  $(op\ o,\ op\ o) \in (Ra \rightarrow Rb) \rightarrow (Rc \rightarrow Ra) \rightarrow Rc \rightarrow Rb$ 

  unfolding comp-def[abs-def] by parametricity

lemma param-fun-upd[param]:
   $(op\ =,\ op\ =) \in Ra \rightarrow Ra \rightarrow Id$ 
   $\implies (fun-upd, fun-upd) \in (Ra \rightarrow Rb) \rightarrow Ra \rightarrow Rb \rightarrow Ra \rightarrow Rb$ 
  unfolding fun-upd-def[abs-def]
  by (parametricity)

lemma param-bool[param]:
   $(True, True) \in Id$ 
   $(False, False) \in Id$ 
   $(conj, conj) \in Id \rightarrow Id \rightarrow Id$ 
   $(disj, disj) \in Id \rightarrow Id \rightarrow Id$ 
   $(Not, Not) \in Id \rightarrow Id$ 
   $(bool-case, bool-case) \in R \rightarrow R \rightarrow Id \rightarrow R$ 
   $(bool-rec, bool-rec) \in R \rightarrow R \rightarrow Id \rightarrow R$ 
   $(op\ \longleftrightarrow,\ op\ \longleftrightarrow) \in Id \rightarrow Id \rightarrow Id$ 
   $(op\ \longrightarrow,\ op\ \longrightarrow) \in Id \rightarrow Id \rightarrow Id$ 
  by (auto split: bool.split simp: bool-case-def[symmetric])

lemma param-nat1[param]:
   $(0,\ 0::nat) \in Id$ 

```

```

(Suc, Suc) ∈ Id → Id
(1, 1::nat) ∈ Id
(numeral n::nat, numeral n::nat) ∈ Id
(op <, op <::nat ⇒ -) ∈ Id → Id → Id
(op ≤, op ≤::nat ⇒ -) ∈ Id → Id → Id
(op =, op ==::nat ⇒ -) ∈ Id → Id → Id
(op +::nat ⇒ -, op +) ∈ Id → Id → Id
(op -::nat ⇒ -, op -) ∈ Id → Id → Id
(op *::nat ⇒ -, op *) ∈ Id → Id → Id
(op div::nat ⇒ -, op div) ∈ Id → Id → Id
(op mod::nat ⇒ -, op mod) ∈ Id → Id → Id
by auto

```

```

lemma param-nat-case[param]:
  (nat-case, nat-case) ∈ Ra → (Id → Ra) → Id → Ra
  apply (intro fun-relI)
  apply (auto split: nat.split dest: fun-relD)
  done

```

```

lemma param-nat-rec[param]:
  (nat-rec, nat-rec) ∈ R → (Id → R → R) → Id → R
  apply (intro fun-relI)
proof -
  case (goal1 s s' f f' n n') thus ?case
    apply (induct n' arbitrary: n s s')
    apply (fastforce simp: fun-rel-def) +
    done
qed

```

```

lemma param-int[param]:
  (0, 0::int) ∈ Id
  (1, 1::int) ∈ Id
  (numeral n::int, numeral n::int) ∈ Id
  (op <, op <::int ⇒ -) ∈ Id → Id → Id
  (op ≤, op ≤::int ⇒ -) ∈ Id → Id → Id
  (op =, op ==::int ⇒ -) ∈ Id → Id → Id
  (op +::int ⇒ -, op +) ∈ Id → Id → Id
  (op -::int ⇒ -, op -) ∈ Id → Id → Id
  (op *::int ⇒ -, op *) ∈ Id → Id → Id
  (op div::int ⇒ -, op div) ∈ Id → Id → Id
  (op mod::int ⇒ -, op mod) ∈ Id → Id → Id
  by auto

```

```

lemma param-prod[param]:
  (Pair, Pair) ∈ Ra → Rb → ⟨Ra, Rb⟩prod-rel
  (prod-case, prod-case) ∈ (Ra → Rb → Rr) → ⟨Ra, Rb⟩prod-rel → Rr
  (prod-rec, prod-rec) ∈ (Ra → Rb → Rr) → ⟨Ra, Rb⟩prod-rel → Rr
  (fst, fst) ∈ ⟨Ra, Rb⟩prod-rel → Ra
  (snd, snd) ∈ ⟨Ra, Rb⟩prod-rel → Rb

```

by (*auto dest: fun-relD split: prod.split*
simp: prod-rel-def prod-case-def[symmetric])

lemma *param-prod-case'*:

$$\llbracket (p, p') \in \langle Ra, Rb \rangle \text{prod-rel};$$

$$\bigwedge a\ b\ a'\ b'. \llbracket p = (a, b); p' = (a', b'); (a, a') \in Ra; (b, b') \in Rb \rrbracket$$

$$\implies (f\ a\ b, f'\ a'\ b') \in R$$

$$\rrbracket \implies (\text{prod-case } f\ p, \text{prod-case } f'\ p') \in R$$
by (*auto split: prod.split*)

lemma *param-map-pair*[*param*]:
(*map-pair, map-pair*)

$$\in (Ra \rightarrow Rb) \rightarrow (Rc \rightarrow Rd) \rightarrow \langle Ra, Rc \rangle \text{prod-rel} \rightarrow \langle Rb, Rd \rangle \text{prod-rel}$$
unfolding *map-pair-def*[*abs-def*]
by *parametricity*

lemma *param-apfst*[*param*]:
(*apfst, apfst*)
$$\in (Ra \rightarrow Rb) \rightarrow \langle Ra, Rc \rangle \text{prod-rel} \rightarrow \langle Rb, Rc \rangle \text{prod-rel}$$
unfolding *apfst-def*[*abs-def*] **by** *parametricity*

lemma *param-apsnd*[*param*]:
(*apsnd, apsnd*)
$$\in (Rb \rightarrow Rc) \rightarrow \langle Ra, Rb \rangle \text{prod-rel} \rightarrow \langle Ra, Rc \rangle \text{prod-rel}$$
unfolding *apsnd-def*[*abs-def*] **by** *parametricity*

lemma *param-curry*[*param*]:
(*curry, curry*)
$$\in (\langle Ra, Rb \rangle \text{prod-rel} \rightarrow Rc) \rightarrow Ra \rightarrow Rb \rightarrow Rc$$
unfolding *curry-def* **by** *parametricity*

context *partial-function-definitions* **begin**

lemma
assumes *M*: *monotone le-fun le-fun F*
and *M'*: *monotone le-fun le-fun F'*
assumes *ADM*:
admissible ($\lambda a. \forall x\ xa. (x, xa) \in Rb \longrightarrow (a\ x, \text{fixp-fun } F'\ xa) \in Ra$)
assumes *F*: $(F, F') \in (Rb \rightarrow Ra) \rightarrow Rb \rightarrow Ra$
assumes *A*: $(x, x') \in Rb$
shows $(\text{fixp-fun } F\ x, \text{fixp-fun } F'\ x') \in Ra$
using *A*
apply (*induct arbitrary: x x' rule: ccpo.fixp-induct[OF ccpo - M]*)
apply (*rule ADM*)
apply (*subst ccpo.fixp-unfold[OF ccpo M']*)
apply (*parametricity add: F*)
done

end

lemma *param-option*[*param*]:

$$(None, None) \in \langle R \rangle \text{option-rel}$$

$$(Some, Some) \in R \rightarrow \langle R \rangle \text{option-rel}$$

$(\text{option-case}, \text{option-case}) \in Rr \rightarrow (R \rightarrow Rr) \rightarrow \langle R \rangle \text{option-rel} \rightarrow Rr$
 $(\text{option-rec}, \text{option-rec}) \in Rr \rightarrow (R \rightarrow Rr) \rightarrow \langle R \rangle \text{option-rel} \rightarrow Rr$
by (*auto split*: *option.split*
simp: *option-rel-def option-case-def[symmetric]*
dest: *fun-relD*)

lemma *param-option-case'*:

$\llbracket (x, x') \in \langle Rv \rangle \text{option-rel};$
 $\llbracket x = \text{None}; x' = \text{None} \rrbracket \implies (fn, fn') \in R;$
 $\bigwedge v v'. \llbracket x = \text{Some } v; x' = \text{Some } v'; (v, v') \in Rv \rrbracket \implies (fs \ v, fs' \ v') \in R$
 $\rrbracket \implies (\text{option-case } fn \ fs \ x, \text{option-case } fn' \ fs' \ x') \in R$
by (*auto split*: *option.split*)

lemma *the-paramL*: $\llbracket l \neq \text{None}; (l, r) \in \langle R \rangle \text{option-rel} \rrbracket \implies (\text{the } l, \text{the } r) \in R$
apply (*cases l*)
by (*auto elim*: *option-relE*)

lemma *the-paramR*: $\llbracket r \neq \text{None}; (l, r) \in \langle R \rangle \text{option-rel} \rrbracket \implies (\text{the } l, \text{the } r) \in R$
apply (*cases l*)
by (*auto elim*: *option-relE*)

lemma *the-default-param[param]*:
 $(\text{the-default}, \text{the-default}) \in R \rightarrow \langle R \rangle \text{option-rel} \rightarrow R$
unfolding *the-default-def*
by *parametricity*

lemma *param-sum[param]*:
 $(\text{Inl}, \text{Inl}) \in Rl \rightarrow \langle Rl, Rr \rangle \text{sum-rel}$
 $(\text{Inr}, \text{Inr}) \in Rr \rightarrow \langle Rl, Rr \rangle \text{sum-rel}$
 $(\text{sum-case}, \text{sum-case}) \in (Rl \rightarrow R) \rightarrow (Rr \rightarrow R) \rightarrow \langle Rl, Rr \rangle \text{sum-rel} \rightarrow R$
 $(\text{sum-rec}, \text{sum-rec}) \in (Rl \rightarrow R) \rightarrow (Rr \rightarrow R) \rightarrow \langle Rl, Rr \rangle \text{sum-rel} \rightarrow R$
by (*fastforce split*: *sum.split dest*: *fun-relD*
simp: *sum-case-def[symmetric]*)+

lemma *param-sum-case'*:
 $\llbracket (s, s') \in \langle Rl, Rr \rangle \text{sum-rel};$
 $\bigwedge l l'. \llbracket s = \text{Inl } l; s' = \text{Inl } l'; (l, l') \in Rl \rrbracket \implies (fl \ l, fl' \ l') \in R;$
 $\bigwedge r r'. \llbracket s = \text{Inr } r; s' = \text{Inr } r'; (r, r') \in Rr \rrbracket \implies (fr \ r, fr' \ r') \in R$
 $\rrbracket \implies (\text{sum-case } fl \ fr \ s, \text{sum-case } fl' \ fr' \ s') \in R$
by (*auto split*: *sum.split*)

lemma *param-append[param]*:
 $(\text{append}, \text{append}) \in \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$
by (*auto simp*: *list-rel-def list-all2-appendI*)

lemma *param-list1[param]*:
 $(\text{Nil}, \text{Nil}) \in \langle R \rangle \text{list-rel}$
 $(\text{Cons}, \text{Cons}) \in R \rightarrow \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$
 $(\text{list-case}, \text{list-case}) \in Rr \rightarrow (R \rightarrow \langle R \rangle \text{list-rel} \rightarrow Rr) \rightarrow \langle R \rangle \text{list-rel} \rightarrow Rr$

```

apply (force dest: fun-relD split: list.split)+
done

```

```

lemma param-list-rec[param]:
  (list-rec, list-rec)
  ∈ Ra → (Rb → ⟨Rb⟩list-rel → Ra → Ra) → ⟨Rb⟩list-rel → Ra
proof (intro fun-relI)
  case (goal1 a a' f f' l l')
  from goal1(3) show ?case
  using goal1(1,2)
  apply (induct arbitrary: a a')
  apply simp
  apply (fastforce dest: fun-relD)
  done
qed

```

```

lemma param-list-case':
  ⌊ (l, l') ∈ ⟨Rb⟩list-rel;
    ⌊ l = []; l' = [] ⌋ ⇒ (n, n') ∈ Ra;
    ⋀ x xs x' xs'. ⌊ l = x # xs; l' = x' # xs'; (x, x') ∈ Rb; (xs, xs') ∈ ⟨Rb⟩list-rel ⌋
    ⇒ (c x xs, c' x' xs') ∈ Ra
  ⌋ ⇒ (list-case n c l, list-case n' c' l') ∈ Ra
by (auto split: list.split)

```

```

lemma param-map[param]:
  (map, map) ∈ (R1 → R2) → ⟨R1⟩list-rel → ⟨R2⟩list-rel
unfolding List.map-def by (parametricity)

```

```

lemma param-fold[param]:
  (fold, fold) ∈ (Re → Rs → Rs) → ⟨Re⟩list-rel → Rs → Rs
  (foldl, foldl) ∈ (Rs → Re → Rs) → Rs → ⟨Re⟩list-rel → Rs
  (foldr, foldr) ∈ (Re → Rs → Rs) → ⟨Re⟩list-rel → Rs → Rs
unfolding List.fold-def List.foldr-def List.foldl-def
by (parametricity)+

```

```

schematic-lemma param-take[param]: (take, take) ∈ (?R::(-×-) set)
unfolding take-def
by (parametricity)

```

```

schematic-lemma param-drop[param]: (drop, drop) ∈ (?R::(-×-) set)
unfolding drop-def
by (parametricity)

```

```

schematic-lemma param-length[param]:
  (length, length) ∈ (?R::(-×-) set)
unfolding List.list.size-overloaded-def
by (parametricity)

```

```

fun list-eq :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool where

```

```

list-eq eq [] []  $\longleftrightarrow$  True
| list-eq eq (a#l) (a'#l')
   $\longleftrightarrow$  (if eq a a' then list-eq eq l l' else False)
| list-eq - - -  $\longleftrightarrow$  False

```

lemma *param-list-eq*[*param*]:

```

(list-eq, list-eq)  $\in$ 
(R  $\rightarrow$  R  $\rightarrow$  Id)  $\rightarrow$   $\langle R \rangle$ list-rel  $\rightarrow$   $\langle R \rangle$ list-rel  $\rightarrow$  Id

```

proof (*intro fun-relI*)

case (*goal1 eq eq' l1 l1' l2 l2'*)

thus ?*case*

apply -

apply (*induct eq' l1' l2' arbitrary: l1 l2 rule: list-eq.induct*)

apply (*simp-all only: list-eq.simps* |

elim list-relE |

parametricity

)+

done

qed

lemma *id-list-eq-aux*[*simp*]: (*list-eq op* =) = (*op* =)

proof (*intro ext*)

fix *l1 l2 :: 'a list*

show *list-eq op* = *l1 l2* = (*l1* = *l2*)

apply (*induct op = :: 'a \Rightarrow - l1 l2 rule: list-eq.induct*)

apply *simp-all*

done

qed

lemma *param-list-equals*[*param*]:

```

[[ (op =, op =)  $\in$  R  $\rightarrow$  R  $\rightarrow$  Id ]]

```

```

 $\implies$  (op =, op =)  $\in$   $\langle R \rangle$ list-rel  $\rightarrow$   $\langle R \rangle$ list-rel  $\rightarrow$  Id

```

unfolding *id-list-eq-aux*[*symmetric*]

by (*parametricity*)

lemma *param-tl*[*param*]:

```

(tl, tl)  $\in$   $\langle R \rangle$ list-rel  $\rightarrow$   $\langle R \rangle$ list-rel

```

unfolding *tl-def*

by (*parametricity*)

primrec *list-all-rec* **where**

```

list-all-rec P []  $\longleftrightarrow$  True

```

```

| list-all-rec P (a#l)  $\longleftrightarrow$  P a  $\wedge$  list-all-rec P l

```

primrec *list-ex-rec* **where**

```

list-ex-rec P []  $\longleftrightarrow$  False

```

```

| list-ex-rec P (a#l)  $\longleftrightarrow$  P a  $\vee$  list-ex-rec P l

```

lemma *list-all-rec-eq*: $(\forall x \in \text{set } l. P x) = \text{list-all-rec } P l$
by (*induct l*) *auto*

lemma *list-ex-rec-eq*: $(\exists x \in \text{set } l. P x) = \text{list-ex-rec } P l$
by (*induct l*) *auto*

lemma *param-list-ball*[*param*]:
 $\llbracket (P, P') \in (Ra \rightarrow Id); (l, l') \in \langle Ra \rangle \text{ list-rel} \rrbracket$
 $\implies (\forall x \in \text{set } l. P x, \forall x \in \text{set } l'. P' x) \in Id$
unfolding *list-all-rec-eq*
unfolding *list-all-rec-def*
by (*parametricity*)

lemma *param-list-bex*[*param*]:
 $\llbracket (P, P') \in (Ra \rightarrow Id); (l, l') \in \langle Ra \rangle \text{ list-rel} \rrbracket$
 $\implies (\exists x \in \text{set } l. P x, \exists x \in \text{set } l'. P' x) \in Id$
unfolding *list-ex-rec-eq*[*abs-def*]
unfolding *list-ex-rec-def*
by (*parametricity*)

lemma *param-rev*[*param*]: $(\text{rev}, \text{rev}) \in \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$
unfolding *rev-def*
by (*parametricity*)

lemma *param-Ball*[*param*]: $(\text{Ball}, \text{Ball}) \in \langle Ra \rangle \text{set-rel} \rightarrow (Ra \rightarrow Id) \rightarrow Id$
by (*auto simp: set-rel-def dest: fun-relD*)

lemma *param-Bex*[*param*]: $(\text{Bex}, \text{Bex}) \in \langle Ra \rangle \text{set-rel} \rightarrow (Ra \rightarrow Id) \rightarrow Id$
apply (*auto simp: set-rel-def dest: fun-relD*)
apply (*drule (1) set-mp*)
apply (*erule DomainE*)
apply (*auto dest: fun-relD*)
done

lemma *param-foldli*[*param*]: $(\text{foldli}, \text{foldli})$
 $\in \langle Re \rangle \text{list-rel} \rightarrow (Rs \rightarrow Id) \rightarrow (Re \rightarrow Rs \rightarrow Rs) \rightarrow Rs \rightarrow Rs$
unfolding *foldli-def*
by *parametricity*

lemma *param-foldri*[*param*]: $(\text{foldri}, \text{foldri})$
 $\in \langle Re \rangle \text{list-rel} \rightarrow (Rs \rightarrow Id) \rightarrow (Re \rightarrow Rs \rightarrow Rs) \rightarrow Rs \rightarrow Rs$
unfolding *foldri-def*[*abs-def*]
by *parametricity*

lemma *param-nth*[*param*]:
assumes *I*: $i' < \text{length } l'$
assumes *IR*: $(i, i') \in \text{nat-rel}$
assumes *LR*: $(l, l') \in \langle R \rangle \text{list-rel}$
shows $(l!i, l'!i') \in R$
using *LR I IR*

by (*induct arbitrary: i i' rule: list-rel-induct*)
(auto simp: nth.simps split: nat.split)

lemma *param-replicate*[*param*]:
(replicate, replicate) ∈ nat-rel → R → ⟨R⟩list-rel
unfolding *replicate-def* **by** *parametricity*

term *list-update*

lemma *param-list-update*[*param*]:
(list-update, list-update) ∈ ⟨Ra⟩list-rel → nat-rel → Ra → ⟨Ra⟩list-rel
unfolding *list-update-def*[*abs-def*] **by** *parametricity*

lemma *param-zip*[*param*]:
(zip, zip) ∈ ⟨Ra⟩list-rel → ⟨Rb⟩list-rel → ⟨⟨Ra, Rb⟩prod-rel⟩list-rel
unfolding *zip-def* **by** *parametricity*

lemma *param-upt*[*param*]:
(upt, upt) ∈ nat-rel → nat-rel → ⟨nat-rel⟩list-rel
unfolding *upt-def*[*abs-def*] **by** *parametricity*

lemma *param-concat*[*param*]: *(concat, concat) ∈*
⟨⟨R⟩list-rel⟩list-rel → ⟨R⟩list-rel
unfolding *concat-def*[*abs-def*] **by** *parametricity*

1.3.1 Sets

lemma *param-empty*[*param*]:
({ }, { }) ∈ ⟨R⟩set-rel **by** (*auto simp: set-rel-def*)

lemma *param-insert*[*param*]:
single-valued R ⇒ (insert, insert) ∈ R → ⟨R⟩set-rel → ⟨R⟩set-rel
by (*auto simp: set-rel-def dest: single-valuedD*)

lemma *param-union*[*param*]:
(op ∪, op ∪) ∈ ⟨R⟩set-rel → ⟨R⟩set-rel → ⟨R⟩set-rel
by (*auto simp: set-rel-def*)

lemma *param-inter*[*param*]:
assumes *single-valued (R⁻¹)*
shows *(op ∩, op ∩) ∈ ⟨R⟩set-rel → ⟨R⟩set-rel → ⟨R⟩set-rel*
using *assms* **by** (*auto dest: single-valuedD simp: set-rel-def*)

lemma *param-diff*[*param*]:
assumes *single-valued (R⁻¹)*
shows *(op -, op -) ∈ ⟨R⟩set-rel → ⟨R⟩set-rel → ⟨R⟩set-rel*
using *assms*
by (*auto dest: single-valuedD simp: set-rel-def*)

lemma *param-set*[*param*]:

```

    single-valued Ra  $\implies (set, set) \in \langle Ra \rangle list-rel \rightarrow \langle Ra \rangle set-rel$ 
proof
  fix l l'
  assume A: single-valued Ra
  assume  $(l, l') \in \langle Ra \rangle list-rel$ 
  thus  $(set\ l, set\ l') \in \langle Ra \rangle set-rel$ 
    apply induct
    apply simp
    apply simp
    using A apply parametricity
  done
qed

end

```

Chapter 2

Automatic Refinement

2.1 Automatic Refinement Tool

```
theory Autoref-Tool
imports
  Autoref-Translate
  Autoref-Gen-Algo
  Autoref-Relator-Interface
begin
```

2.1.1 Standard setup

Declaration of standard phases

```
declaration << fn phi => let open Autoref-Phases in
  I
  #> register-phase id-op 10 Autoref-Id-Ops.id-phase phi
  #> register-phase rel-inf 20
    Autoref-Rel-Inf.roi-phase phi
  #> register-phase fix-rel 22
    Autoref-Fix-Rel.phase phi
  #> register-phase trans 30
    Autoref-Translate.trans-phase phi
end
>>
```

Main method

```
method-setup autoref = << let
  open Refine-Util
  val autoref-flags =
    parse-bool-config trace Autoref-Phases.cfg-trace
    || parse-bool-config debug Autoref-Phases.cfg-debug
    || parse-bool-config keep-goal Autoref-Phases.cfg-keep-goal

  val autoref-phases =
```

```

    Args.$$$ phases |-- Args.colon |-- Scan.repeat1 Args.name

in
  parse-paren-lists autoref-flags
  |-- Scan.option (Scan.lift (autoref-phases)) >>
  ( fn phases => fn ctxt => SIMPLE-METHOD' (
    (
      case phases of
        NONE => Autoref-Phases.all-phases-tac
      | SOME names => Autoref-Phases.phases-tacN names
    ) (Autoref-Phases.init-data ctxt)
    (* TODO: If we want more fine-grained initialization here, solvers have
       to depend on phases, or on data that they initialize if necessary *)
  ))

end

>> Automatic Refinement

```

2.1.2 Tools

```

setup <<
  let
    fun higher-order-rl-of ctxt thm = case concl-of thm of
      @{mpat Trueprop ((-,?t)∈-)} => let
        open HOLogic
        val (f,args) = strip-comb t
      in
        if length args = 0 then
          thm
        else let
          val cT = TVar(('c,0),typeS)
          val c = Var ((c,0),cT)
          val R = Var ((R,0),mk-setT (mk-prodT (cT, fastype-of f)))
          val goal =
            HOLogic.mk-mem (HOLogic.mk-prod (c,f), R)
            |> HOLogic.mk-Trueprop
            |> cterm-of (Proof-Context.theory-of ctxt)

          val res-thm = Goal.prove-internal [] goal (fn - =>
            REPEAT (rtac @{thm fun-relI} 1)
            THEN (rtac thm 1)
            THEN (ALLGOALS atac)
          )
        in
          res-thm
        end
      end
  end
end

```

```

| - => raise THM(Expected autoref rule, ~ 1, [thm])

val higher-order-rl-attr =
  Thm.rule-attribute (higher-order-rl-of o Context.proof-of)
in
  Attrib.setup @{binding autoref-higher-order-rule}
  (Scan.succeed higher-order-rl-attr) Autoref: Convert rule to higher-order form
end

```

2.1.3 Advanced Debugging

```

method-setup autoref-trans-step = ⟨⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (
    Autoref-Translate.trans-dbg-step-tac (Autoref-Phases.init-data ctxt)
  ))
  ⟩⟩ Single translation step, leaving unsolved side-conditions

```

```

method-setup autoref-trans-step-only = ⟨⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (
    Autoref-Translate.trans-step-only-tac (Autoref-Phases.init-data ctxt)
  ))
  ⟩⟩ Single translation step, not attempting to solve side-conditions

```

```

method-setup autoref-side = ⟨⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (
    Autoref-Translate.side-dbg-tac (Autoref-Phases.init-data ctxt)
  ))
  ⟩⟩ Solve side condition, leave unsolved subgoals

```

```

method-setup autoref-try-solve = ⟨⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (
    Autoref-Fix-Rel.try-solve-tac (Autoref-Phases.init-data ctxt)
  ))
  ⟩⟩ Try to solve constraint and trace debug information

```

```

method-setup autoref-solve-step = ⟨⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (
    Autoref-Fix-Rel.solve-step-tac (Autoref-Phases.init-data ctxt)
  ))
  ⟩⟩ Single-step of constraint solver

```

```

method-setup autoref-id-op = ⟨⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD' (
    Autoref-Id-Ops.id-tac ctxt
  ))
  ⟩⟩

```

```

ML <<
  structure Autoref-Debug = struct
    fun print-thm-pairs ctxt = let
      val ctxt = Autoref-Phases.init-data ctxt
      val p = Autoref-Fix-Rel.thm-pairsD-get ctxt
      |> Autoref-Fix-Rel.pretty-thm-pairs ctxt
      |> Pretty.string-of
    in
      warning p
    end

    fun print-thm-pairs-matching ctxt cpat = let
      val pat = term-of cpat
      val ctxt = Autoref-Phases.init-data ctxt
      val thy = Proof-Context.theory-of ctxt

      fun matches NONE = false
        | matches (SOME (-(f,-))) = Pattern.matches thy (pat,f)

      val p = Autoref-Fix-Rel.thm-pairsD-get ctxt
      |> filter (matches o #1)
      |> Autoref-Fix-Rel.pretty-thm-pairs ctxt
      |> Pretty.string-of
    in
      warning p
    end
  end
>>

end

```

2.2 Standard HOL Bindings

```

theory Autoref-Bindings-HOL
imports Tool/Autoref-Tool
begin

```

2.2.1 Structural Expansion

In some situations, autoref imitates the operations on typeclasses and the typeclass hierarchy. This may result in structural mismatches, e.g., a hash-code side-condition may look like:

```
is-hashcode (prod-eq op= op=) hashcode
```

This cannot be discharged by the rule

```
is-hashcode op= hashcode
```

In order to handle such cases, we introduce a set of simplification lemmas that expand the structure of an operator as far as possible. These lemmas are integrated into a tagged solver, that can prove equality between operators modulo structural expansion.

definition $[simp]$: $STRUCT-EQ-tag\ x\ y \equiv x = y$

lemma $STRUCT-EQ-tagI$: $x=y \implies STRUCT-EQ-tag\ x\ y$ **by** $simp$

```

ML <<
  structure Autoref-Struct-Expand = struct
    structure autoref-struct-expand = Named-Thms (
      val name = @{binding autoref-struct-expand}
      val description = Autoref: Structural expansion lemmas
    )

    fun expand-tac ctxt = let
      val ss = put-simpset HOL-basic-ss ctxt addsimps autoref-struct-expand.get ctxt
    in
      SOLVED' (asm-simp-tac ss)
    end

    val setup = autoref-struct-expand.setup
    val decl-setup = fn phi =>
      Tagged-Solver.declare-solver @{thms STRUCT-EQ-tagI} @{binding STRUCT-EQ}

      Autoref: Equality modulo structural expansion
      (expand-tac) phi

  end
>>

```

setup $Autoref-Struct-Expand.setup$

declaration $Autoref-Struct-Expand.decl-setup$

Sometimes, also relators must be expanded. Usually to check them to be the identity relator

definition $[simp]$: $REL-IS-ID\ R \equiv R=Id$

definition $[simp]$: $REL-FORCE-ID\ R \equiv R=Id$

lemma $REL-IS-ID-trigger$: $R=Id \implies REL-IS-ID\ R$ **by** $simp$

lemma $REL-FORCE-ID-trigger$: $R=Id \implies REL-FORCE-ID\ R$ **by** $simp$

declaration << $Tagged-Solver.add-triggers$

$Relators.relator-props-solver\ @\{thms\ REL-IS-ID-trigger\}$ >>

declaration << $Tagged-Solver.add-triggers$

$Relators.force-relator-props-solver\ @\{thms\ REL-FORCE-ID-trigger\}$ >>

abbreviation $PREFER-id\ R \equiv PREFER\ REL-IS-ID\ R$

lemmas [autoref-rel-intf] = REL-INTFI[of fun-rel i-fun]

2.2.2 Booleans

consts

i-bool :: interface

lemmas [autoref-rel-intf] = REL-INTFI[of bool-rel i-bool]

lemma [autoref-itype]:

(*x*::bool) ::_i i-bool
conj ::_i i-bool →_i i-bool →_i i-bool
op ←→ ::_i i-bool →_i i-bool →_i i-bool
op → ::_i i-bool →_i i-bool →_i i-bool
disj ::_i i-bool →_i i-bool →_i i-bool
Not ::_i i-bool →_i i-bool
bool-case ::_i I →_i I →_i i-bool →_i I
bool-rec ::_i I →_i I →_i i-bool →_i I
by *auto*

lemma autoref-bool[autoref-rules]:

(*x, x*) ∈ bool-rel
(*conj, conj*) ∈ bool-rel → bool-rel → bool-rel
(*disj, disj*) ∈ bool-rel → bool-rel → bool-rel
(*Not, Not*) ∈ bool-rel → bool-rel
(*bool-case, bool-case*) ∈ R → R → bool-rel → R
(*bool-rec, bool-rec*) ∈ R → R → bool-rel → R
(*op* ←→, *op* ←→) ∈ bool-rel → bool-rel → bool-rel
(*op* →, *op* →) ∈ bool-rel → bool-rel → bool-rel
by (*auto split: bool.split simp: bool-case-def[symmetric]*)

2.2.3 Standard Type Classes

We allow these operators for all interfaces.

lemma [autoref-itype]:

op < ::_i I →_i I →_i i-bool
op ≤ ::_i I →_i I →_i i-bool
op = ::_i I →_i I →_i i-bool
op + ::_i I →_i I →_i I
op − ::_i I →_i I →_i I
op div ::_i I →_i I →_i I
op mod ::_i I →_i I →_i I
op * ::_i I →_i I →_i I


```

0 ::i I
1 ::i I
numeral x ::i I
neg-numeral x ::i I
by auto

```

```

lemma pat-num-generic[autoref-op-pat]:
  0 ≡ OP 0 ::i I
  1 ≡ OP 1 ::i I
  numeral x ≡ (OP (numeral x) ::i I)
  neg-numeral x ≡ (OP (neg-numeral x) ::i I)
  by simp-all

```

```

lemma [autoref-rules]:
  assumes PRIO-TAG-GEN-ALGO
  shows (op <, op <) ∈ Id → Id → bool-rel
  and (op ≤, op ≤) ∈ Id → Id → bool-rel
  and (op =, op =) ∈ Id → Id → bool-rel
  and (numeral x, OP (numeral x) :: Id) ∈ Id
  and (neg-numeral x, OP (neg-numeral x) :: Id) ∈ Id
  and (0, 0) ∈ Id
  and (1, 1) ∈ Id
  by auto

```

2.2.4 Functional Combinators

```

lemma [autoref-itype]: id ::i I →i I by simp
lemma autoref-id[autoref-rules]: (id, id) ∈ R → R by auto

```

```

term op o
lemma [autoref-itype]: op ∘ ::i (Ia →i Ib) →i (Ic →i Ia) →i Ic →i Ib
  by simp
lemma autoref-comp[autoref-rules]:
  (op o, op o) ∈ (Ra → Rb) → (Rc → Ra) → Rc → Rb
  by (auto dest: fun-relD)

```

```

lemma [autoref-itype]: If ::i i-bool →i I →i I →i I by simp
lemma autoref-If[autoref-rules]: (If, If) ∈ Id → R → R → R by auto
lemma autoref-If-cong[autoref-rules]:
  assumes (c', c) ∈ Id
  assumes REMOVE-INTERNAL c ⇒ (t', t) ∈ R
  assumes ¬ REMOVE-INTERNAL c ⇒ (e', e) ∈ R
  shows (If c' t' e', (OP If :: Id → R → R → R) $c $t $e) ∈ R
  using assms by (auto)

```

```

lemma [autoref-itype]: Let ::i Ix →i (Ix →i Iy) →i Iy by auto
lemma autoref-Let[autoref-rules]:
  (Let, Let) ∈ Ra → (Ra → Rr) → Rr
  by (auto dest: fun-relD)

```

2.2.5 Unit

```

consts i-unit :: interface
lemmas [autoref-rel-intf] = REL-INTFI[of unit-rel i-unit]

lemma [autoref-rules]:  $(((), ())) \in \text{unit-rel}$  by simp

```

2.2.6 Nat

```

consts i-nat :: interface
lemmas [autoref-rel-intf] = REL-INTFI[of nat-rel i-nat]

lemma pat-num-nat[autoref-op-pat]:
   $0 :: \text{nat} \equiv \text{OP } 0 ::_i \text{ i-nat}$ 
   $1 :: \text{nat} \equiv \text{OP } 1 ::_i \text{ i-nat}$ 
   $(\text{numeral } x) :: \text{nat} \equiv (\text{OP } (\text{numeral } x) ::_i \text{ i-nat})$ 
by simp-all

lemma autoref-nat[autoref-rules]:
   $(0, 0 :: \text{nat}) \in \text{nat-rel}$ 
   $(\text{Suc}, \text{Suc}) \in \text{nat-rel} \rightarrow \text{nat-rel}$ 
   $(1, 1 :: \text{nat}) \in \text{nat-rel}$ 
   $(\text{numeral } n :: \text{nat}, \text{numeral } n :: \text{nat}) \in \text{nat-rel}$ 
   $(\text{op } <, \text{op } < :: \text{nat} \Rightarrow -) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{bool-rel}$ 
   $(\text{op } \leq, \text{op } \leq :: \text{nat} \Rightarrow -) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{bool-rel}$ 
   $(\text{op } =, \text{op } = :: \text{nat} \Rightarrow -) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{bool-rel}$ 
   $(\text{op } + :: \text{nat} \Rightarrow -, \text{op } +) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
   $(\text{op } - :: \text{nat} \Rightarrow -, \text{op } -) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
   $(\text{op } \text{div} :: \text{nat} \Rightarrow -, \text{op } \text{div}) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
   $(\text{op } *, \text{op } *) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
   $(\text{op } \text{mod}, \text{op } \text{mod}) \in \text{nat-rel} \rightarrow \text{nat-rel} \rightarrow \text{nat-rel}$ 
by auto

lemma autoref-nat-case[autoref-rules]:
   $(\text{nat-case}, \text{nat-case}) \in \text{Ra} \rightarrow (\text{Id} \rightarrow \text{Ra}) \rightarrow \text{Id} \rightarrow \text{Ra}$ 
apply (intro fun-relI)
apply (auto split: nat.split dest: fun-relD)
done

lemma autoref-nat-rec:  $(\text{nat-rec}, \text{nat-rec}) \in R \rightarrow (\text{Id} \rightarrow R \rightarrow R) \rightarrow \text{Id} \rightarrow R$ 
apply (intro fun-relI)
proof -
  case (goal1 s s' f f' n n') thus ?case
    apply (induct n' arbitrary: n s s')
    apply (fastforce simp: fun-rel-def) +
    done
qed

```

2.2.7 Int

consts *i-int* :: interface
lemmas [autoref-rel-intf] = REL-INTFI[of int-rel *i-int*]
lemma pat-num-int[autoref-op-pat]:
 $0::int \equiv OP\ 0 \ ::_i\ i-int$
 $1::int \equiv OP\ 1 \ ::_i\ i-int$
 $(numeral\ x)::int \equiv (OP\ (numeral\ x) \ ::_i\ i-int)$
 $(neg-numeral\ x)::int \equiv (OP\ (neg-numeral\ x) \ ::_i\ i-int)$
by simp-all

lemma autoref-int[autoref-rules (overloaded)]:
 $(0, 0::int) \in int-rel$
 $(1, 1::int) \in int-rel$
 $(numeral\ n::int, numeral\ n::int) \in int-rel$
 $(op\ <, op\ <::int \Rightarrow -) \in int-rel \rightarrow int-rel \rightarrow bool-rel$
 $(op\ \leq, op\ \leq::int \Rightarrow -) \in int-rel \rightarrow int-rel \rightarrow bool-rel$
 $(op\ =, op\ =::int \Rightarrow -) \in int-rel \rightarrow int-rel \rightarrow bool-rel$
 $(op\ +::int \Rightarrow -, op\ +) \in int-rel \rightarrow int-rel \rightarrow int-rel$
 $(op\ -::int \Rightarrow -, op\ -) \in int-rel \rightarrow int-rel \rightarrow int-rel$
 $(op\ div::int \Rightarrow -, op\ div) \in int-rel \rightarrow int-rel \rightarrow int-rel$
 $(uminus, uminus) \in int-rel \rightarrow int-rel$
 $(op\ *, op\ *) \in int-rel \rightarrow int-rel \rightarrow int-rel$
 $(op\ mod, op\ mod) \in int-rel \rightarrow int-rel \rightarrow int-rel$
by auto

2.2.8 Product

consts *i-prod* :: interface \Rightarrow interface \Rightarrow interface
lemmas [autoref-rel-intf] = REL-INTFI[of prod-rel *i-prod*]

lemma prod-refine[autoref-rules]:
 $(Pair, Pair) \in Ra \rightarrow Rb \rightarrow \langle Ra, Rb \rangle prod-rel$
 $(prod-case, prod-case) \in (Ra \rightarrow Rb \rightarrow Rr) \rightarrow \langle Ra, Rb \rangle prod-rel \rightarrow Rr$
 $(prod-rec, prod-rec) \in (Ra \rightarrow Rb \rightarrow Rr) \rightarrow \langle Ra, Rb \rangle prod-rel \rightarrow Rr$
 $(fst, fst) \in \langle Ra, Rb \rangle prod-rel \rightarrow Ra$
 $(snd, snd) \in \langle Ra, Rb \rangle prod-rel \rightarrow Rb$
by (auto dest: fun-relD split: prod.split
simp: prod-rel-def prod-case-def[symmetric])

definition prod-eq eqa eqb *x1 x2* \equiv
 $case\ x1\ of\ (a1, b1) \Rightarrow case\ x2\ of\ (a2, b2) \Rightarrow eqa\ a1\ a2 \wedge eqb\ b1\ b2$

lemma prod-eq-autoref[autoref-rules (overloaded)]:
 $\llbracket GEN-OP\ eqa\ op = (Ra \rightarrow Ra \rightarrow Id); GEN-OP\ eqb\ op = (Rb \rightarrow Rb \rightarrow Id) \rrbracket$

$\implies (prod\text{-}eq\ eqa\ eqb, op =) \in \langle Ra, Rb \rangle prod\text{-}rel \rightarrow \langle Ra, Rb \rangle prod\text{-}rel \rightarrow Id$
unfolding $prod\text{-}eq\text{-}def[abs\text{-}def]$
by ($fastforce\ dest: fun\text{-}relD$)

lemma $prod\text{-}eq\text{-}expand[autoref\text{-}struct\text{-}expand]$: $op = = prod\text{-}eq\ op = op =$
unfolding $prod\text{-}eq\text{-}def[abs\text{-}def]$
by ($auto\ intro!: ext$)

2.2.9 Option

consts $i\text{-}option :: interface \Rightarrow interface$
lemmas $[autoref\text{-}rel\text{-}intf] = REL\text{-}INTFI[of\ option\text{-}rel\ i\text{-}option]$

lemma $autoref\text{-}opt[autoref\text{-}rules]$:
 $(None, None) \in \langle R \rangle option\text{-}rel$
 $(Some, Some) \in R \rightarrow \langle R \rangle option\text{-}rel$
 $(option\text{-}case, option\text{-}case) \in Rr \rightarrow (R \rightarrow Rr) \rightarrow \langle R \rangle option\text{-}rel \rightarrow Rr$
 $(option\text{-}rec, option\text{-}rec) \in Rr \rightarrow (R \rightarrow Rr) \rightarrow \langle R \rangle option\text{-}rel \rightarrow Rr$
by ($auto\ split: option.split$
 $simp: option\text{-}rel\text{-}def\ option\text{-}case\text{-}def[symmetric]$
 $dest: fun\text{-}relD$)

lemma $autoref\text{-}the[autoref\text{-}rules]$:
assumes $SIDE\text{-}PRECOND\ (x \neq None)$
assumes $(x', x) \in \langle R \rangle option\text{-}rel$
shows $(the\ x', (OP\ the :: \langle R \rangle option\text{-}rel \rightarrow R)\$x) \in R$
using $assms$
by ($auto\ simp: option\text{-}rel\text{-}def$)

lemma $autoref\text{-}the\text{-}default[autoref\text{-}rules]$:
 $(the\text{-}default, the\text{-}default) \in R \rightarrow \langle R \rangle option\text{-}rel \rightarrow R$
by $parametricity$

definition $[simp]$: $is\text{-}None\ a \equiv case\ a\ of\ None \Rightarrow True \mid - \Rightarrow False$

lemma $pat\text{-}isNone[autoref\text{-}op\text{-}pat]$:
 $a = None \equiv (OP\ is\text{-}None ::_i \langle I \rangle_i i\text{-}option \rightarrow_i i\text{-}bool)\a
 $None = a \equiv (OP\ is\text{-}None ::_i \langle I \rangle_i i\text{-}option \rightarrow_i i\text{-}bool)\a
by ($auto\ intro!: eq\text{-}reflection\ split: option.splits$)

lemma $autoref\text{-}is\text{-}None[autoref\text{-}rules]$:
 $(is\text{-}None, is\text{-}None) \in \langle R \rangle option\text{-}rel \rightarrow Id$
by ($auto\ split: option.splits$)

definition $option\text{-}eq\ eq\ v1\ v2 \equiv$
 $case\ (v1, v2)\ of$
 $(None, None) \Rightarrow True$
 $\mid (Some\ x1, Some\ x2) \Rightarrow eq\ x1\ x2$
 $\mid - \Rightarrow False$

lemma *option-eq-autoref*[*autoref-rules* (**overloaded**)]:
 $\llbracket GEN-OP \text{ eq } op = (R \rightarrow R \rightarrow Id) \rrbracket$
 $\implies (option\text{-}eq \text{ eq }, op =) \in \langle R \rangle option\text{-}rel \rightarrow \langle R \rangle option\text{-}rel \rightarrow Id$
unfolding *option-eq-def*[*abs-def*]
by (*auto dest: fun-relD split: option.splits elim!: option-relE*)

lemma *option-eq-expand*[*autoref-struct-expand*]:
 $op = = option\text{-}eq \text{ op} =$
by (*auto intro!: ext simp: option-eq-def split: option.splits*)

2.2.10 Sum-Types

consts *i-sum* :: *interface* \Rightarrow *interface* \Rightarrow *interface*
lemmas [*autoref-rel-intf*] = *REL-INTFI*[*of sum-rel i-sum*]

lemma *autoref-sum*[*autoref-rules*]:
 $(Inl, Inl) \in Rl \rightarrow \langle Rl, Rr \rangle sum\text{-}rel$
 $(Inr, Inr) \in Rr \rightarrow \langle Rl, Rr \rangle sum\text{-}rel$
 $(sum\text{-}case, sum\text{-}case) \in (Rl \rightarrow R) \rightarrow (Rr \rightarrow R) \rightarrow \langle Rl, Rr \rangle sum\text{-}rel \rightarrow R$
 $(sum\text{-}rec, sum\text{-}rec) \in (Rl \rightarrow R) \rightarrow (Rr \rightarrow R) \rightarrow \langle Rl, Rr \rangle sum\text{-}rel \rightarrow R$
by (*fastforce split: sum.split dest: fun-relD*
 $simp: sum\text{-}case\text{-}def[symmetric] +$)

definition *sum-eq eql eqr s1 s2* \equiv
 $case (s1, s2) \text{ of}$
 $(Inl \ x1, Inl \ x2) \Rightarrow eql \ x1 \ x2$
 $| (Inr \ x1, Inr \ x2) \Rightarrow eqr \ x1 \ x2$
 $| - \Rightarrow False$

lemma *sum-eq-autoref*[*autoref-rules* (**overloaded**)]:
 $\llbracket GEN-OP \text{ eql } op = (Rl \rightarrow Rl \rightarrow Id); GEN-OP \text{ eqr } op = (Rr \rightarrow Rr \rightarrow Id) \rrbracket$
 $\implies (sum\text{-}eq \text{ eql } eqr, op =) \in \langle Rl, Rr \rangle sum\text{-}rel \rightarrow \langle Rl, Rr \rangle sum\text{-}rel \rightarrow Id$
unfolding *sum-eq-def*[*abs-def*]
by (*fastforce dest: fun-relD elim!: sum-relE*)

lemma *sum-eq-expand*[*autoref-struct-expand*]: $op = = sum\text{-}eq \text{ op} = op =$
by (*auto intro!: ext simp: sum-eq-def split: sum.splits*)

2.2.11 List

consts *i-list* :: *interface* \Rightarrow *interface*
lemmas [*autoref-rel-intf*] = *REL-INTFI*[*of list-rel i-list*]

lemma *autoref-append*[*autoref-rules*]:
 $(append, append) \in \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel \rightarrow \langle R \rangle list\text{-}rel$

by (auto simp: list-rel-def list-all2-appendI)

lemma refine-list[autoref-rules]:

(Nil, Nil) ∈ ⟨R⟩list-rel
 (Cons, Cons) ∈ R → ⟨R⟩list-rel → ⟨R⟩list-rel
 (list-case, list-case) ∈ Rr → (R → ⟨R⟩list-rel → Rr) → ⟨R⟩list-rel → Rr
apply (force dest: fun-relD split: list.split)+
done

lemma autoref-list-rec[autoref-rules]: (list-rec, list-rec)

∈ Ra → (Rb → ⟨Rb⟩list-rel → Ra → Ra) → ⟨Rb⟩list-rel → Ra

proof (intro fun-relI)

case (goal1 a a' f f' l l')
from goal1 (3) **show** ?case
using goal1 (1, 2)
apply (induct arbitrary: a a')
apply simp
apply (fastforce dest: fun-relD)
done

qed

lemma refine-map[autoref-rules]:

(map, map) ∈ (R1 → R2) → ⟨R1⟩list-rel → ⟨R2⟩list-rel
using [[autoref-sbias = -1]]
unfolding List.map-def
by autoref

lemma refine-fold[autoref-rules]:

(fold, fold) ∈ (Re → Rs → Rs) → ⟨Re⟩list-rel → Rs → Rs
 (foldl, foldl) ∈ (Rs → Re → Rs) → Rs → ⟨Re⟩list-rel → Rs
 (foldr, foldr) ∈ (Re → Rs → Rs) → ⟨Re⟩list-rel → Rs → Rs
unfolding List.fold-def List.foldr-def List.foldl-def
by (autoref)+

schematic-lemma autoref-take[autoref-rules]: (take, take) ∈ (?R::(-×-) set)

unfolding take-def **by** autoref

schematic-lemma autoref-drop[autoref-rules]: (drop, drop) ∈ (?R::(-×-) set)

unfolding drop-def **by** autoref

schematic-lemma autoref-length[autoref-rules]:

(length, length) ∈ (?R::(-×-) set)
unfolding List.list.list-size-overloaded-def
by (autoref)

lemma autoref-nth[autoref-rules]:

assumes (l, l') ∈ ⟨R⟩list-rel
assumes (i, i') ∈ Id
assumes SIDE-PRECOND (i' < length l')
shows (nth l i, (OP nth :: ⟨R⟩list-rel → Id → R)\$l'\$i') ∈ R
unfolding ANNOT-def

```

using assms
apply (induct arbitrary: i i')
apply simp
apply (case-tac i')
apply auto
done

fun list-eq :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool where
  list-eq eq [] []  $\longleftrightarrow$  True
| list-eq eq (a#l) (a'#l')
   $\longleftrightarrow$  (if eq a a' then list-eq eq l l' else False)
| list-eq - - -  $\longleftrightarrow$  False

lemma autoref-list-eq-aux:
  (list-eq, list-eq)  $\in$ 
    ( $R \rightarrow R \rightarrow Id$ )  $\rightarrow$   $\langle R \rangle$ list-rel  $\rightarrow$   $\langle R \rangle$ list-rel  $\rightarrow Id$ 
proof (intro fun-relI)
  case (goal1 eq eq' l1 l1' l2 l2')
  thus ?case
    apply -
    apply (induct eq' l1' l2' arbitrary: l1 l2 rule: list-eq.induct)
    apply simp
    apply (case-tac l1)
    apply simp
    apply (case-tac l2)
    apply (simp)
    apply (auto dest: fun-relD) []
    apply (case-tac l1)
    apply simp
    apply simp
    apply (case-tac l2)
    apply simp
    apply simp
    done
qed

lemma list-eq-expand[autoref-struct-expand]: (op =) = (list-eq op =)
proof (intro ext)
  fix l1 l2 :: 'a list
  show (l1 = l2)  $\longleftrightarrow$  list-eq op = l1 l2
    apply (induct op = :: 'a  $\Rightarrow$  - l1 l2 rule: list-eq.induct)
    apply simp-all
    done
qed

lemma autoref-list-eq[autoref-rules (overloaded)]:
  GEN-OP eq op = ( $R \rightarrow R \rightarrow Id$ )  $\Longrightarrow$  (list-eq eq, op =)
   $\in$   $\langle R \rangle$ list-rel  $\rightarrow$   $\langle R \rangle$ list-rel  $\rightarrow Id$ 
unfolding autoref-tag-defs

```

```

apply (subst list-eq-expand)
apply (parametricity add: autoref-list-eq-aux)
done

```

```

lemma autoref-hd[autoref-rules]:
   $\llbracket \text{SIDE-PRECOND } (l' \neq []) ; (l, l') \in \langle R \rangle \text{list-rel} \rrbracket \implies$ 
   $(\text{hd } l, (\text{OP } \text{hd} ::: \langle R \rangle \text{list-rel} \rightarrow R) \$ l') \in R$ 
apply (simp add: ANNOT-def)
apply (cases l')
apply simp
apply (cases l)
apply auto
done

```

```

lemma autoref-tl[autoref-rules]:
   $(\text{tl}, \text{tl}) \in \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$ 
unfolding tl-def
by autoref

```

```

definition [simp]: is-Nil a  $\equiv$  case a of []  $\Rightarrow$  True | -  $\Rightarrow$  False

```

```

lemma is-Nil-pat[autoref-op-pat]:
   $a = [] \equiv (\text{OP } \text{is-Nil} ::: \langle I \rangle_i \text{i-list} \rightarrow_i \text{i-bool}) \$ a$ 
   $[] = a \equiv (\text{OP } \text{is-Nil} ::: \langle I \rangle_i \text{i-list} \rightarrow_i \text{i-bool}) \$ a$ 
by (auto intro!: eq-reflection split: list.splits)

```

```

lemma autoref-is-Nil[param, autoref-rules]:
   $(\text{is-Nil}, \text{is-Nil}) \in \langle R \rangle \text{list-rel} \rightarrow \text{bool-rel}$ 
by (auto split: list.splits)

```

```

lemma conv-to-is-Nil:
   $l = [] \longleftrightarrow \text{is-Nil } l$ 
   $[] = l \longleftrightarrow \text{is-Nil } l$ 
unfolding is-Nil-def by (auto split: list.split)

```

```

lemma autoref-butlast[param, autoref-rules]:
   $(\text{butlast}, \text{butlast}) \in \langle R \rangle \text{list-rel} \rightarrow \langle R \rangle \text{list-rel}$ 
unfolding butlast-def conv-to-is-Nil
by parametricity

```

```

definition [simp]: op-list-singleton x  $\equiv$  [x]

```

```

lemma op-list-singleton-pat[autoref-op-pat]:
   $[x] \equiv (\text{OP } \text{op-list-singleton} ::: \langle I \rangle \rightarrow_i \langle I \rangle_i \text{i-list}) \$ x$  by simp

```

```

lemma autoref-list-singleton[autoref-rules]:
   $(\lambda a. [a], \text{op-list-singleton}) \in R \rightarrow \langle R \rangle \text{list-rel}$ 
by auto

```

```

definition [simp]: op-list-append-elim s x  $\equiv$  s@[x]

```


lemma *pat-list-append-elem*[*autoref-op-pat*]:
 $s@[x] \equiv (OP\ op\text{-}list\text{-}append\text{-}elem ::_i \langle I \rangle_i i\text{-}list \rightarrow_i I \rightarrow_i \langle I \rangle_i i\text{-}list) \$s \$x$
by (*simp add: relAPP-def*)

lemma *autoref-list-append-elem*[*autoref-rules*]:
 $(\lambda s\ x.\ s@[x],\ op\text{-}list\text{-}append\text{-}elem) \in \langle R \rangle list\text{-}rel \rightarrow R \rightarrow \langle R \rangle list\text{-}rel$
unfolding *op-list-append-elem-def*[*abs-def*] **by** *parametricity*

2.2.12 Examples

Be careful to make the concrete type a schematic type variable. The default behaviour of *schematic-lemma* makes it a fixed variable, that will not unify with the inferred term!

schematic-lemma
 $(?f :: ?'c, [1, 2, 3] @ [4 :: nat]) \in ?R$
by *autoref*

schematic-lemma
 $(?f :: ?'c, [1 :: nat,$
 $\quad 2, 3, 4, 5, 6, 7, 8, 9, 0, 1, 4, 3, 5, 5, 4, 3, 5, 5, 1, 5, 6, 5, 6, 5, 6, 3, 5, 6$
 $\quad]$
 $\quad) \in ?R$
apply (*autoref*)
done

schematic-lemma
 $(?f :: ?'c, [1, 2, 3] = []) \in ?R$
by *autoref*

When specifying custom refinement rules on the fly, be careful with the type-inference between *notes* and *shows*. It's too easy to „decouple” the type *'a* in the *autoref*-rule and the actual goal, as shown below!

schematic-lemma
notes [*autoref-rules*] = *IdI*[**where** *'a*=*'a*]
notes [*autoref-itype*] = *itypeI*[**where** *'t*=*'a*::*numeral* **and** *I*=*i-std*]
shows ($?f :: ?'c,\ hd\ [a, b, c :: 'a :: numeral]$) $\in ?R$

The *autoref*-rule is bound with type *'a*::*typ*, while the goal statement has *'a*::*numeral*!

apply (*autoref (keep-goal)*)

We get an unsolved goal, as it finds no rule to translate *a*

oops

Here comes the correct version. Note the duplicate sort annotation of type *'a*:

schematic-lemma
notes [*autoref-rules-raw*] = *IdI*[**where** *'a*=*'a*::*numeral*]

```

notes [autoref-itype] = itypeI[where 't='a::numeral and I=i-std]
shows (?f::?'c, hd [a,b,c::'a::numeral])∈?R
by (autoref)

```

Special cases of equality: Note that we do not require equality on the element type!

schematic-lemma

```

assumes [autoref-rules]: (ai,a)∈⟨R⟩option-rel
shows (?f::?'c, a = None)∈?R
apply (autoref (keep-goal))
done

```

schematic-lemma

```

assumes [autoref-rules]: (ai,a)∈⟨R⟩list-rel
shows (?f::?'c, [] = a)∈?R
apply (autoref (keep-goal))
done

```

schematic-lemma

```

shows (?f::?'c, [1,2] = [2,3::nat])∈?R
apply (autoref (keep-goal))
done

```

end

2.3 Entry Point for the Automatic Refinement Tool

```

theory Automatic-Refinement
imports
  Tool/Autoref-Tool
  Autoref-Bindings-HOL
begin

```

The automatic refinement tool should be used by importing this theory

end