

MSO on Finite Words

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March 29, 2013

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1 Regular Sets

```

theory Regular-Set
imports Main
begin

type-synonym 'a lang = 'a list set

definition conc :: 'a lang ⇒ 'a lang ⇒ 'a lang (infixr @@ 75) where
A @@ B = {xs@ys | xs ys. xs:A & ys:B}

lemma [code]:
A @@ B = (%(xs, ys). xs @ ys) ` (A × B)
  unfolding conc-def by auto

overloading word-pow == compow :: nat ⇒ 'a lang ⇒ 'a lang
begin
primrec word-pow :: nat ⇒ 'a list ⇒ 'a list where
word-pow 0 w = [] |
word-pow (Suc n) w = w @ word-pow n w
end

overloading lang-pow == compow :: nat ⇒ 'a lang ⇒ 'a lang
begin

```

```

primrec lang-pow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang where
lang-pow 0 A = []
lang-pow (Suc n) A = A @@ (lang-pow n A)
end

```

for code generation

```

definition lang-pow :: nat  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang where
lang-pow-code-def [code-abbrev]: lang-pow = compow

```

```

lemma [code]:
lang-pow (Suc n) A = A @@ (lang-pow n A)
lang-pow 0 A = []
by (simp-all add: lang-pow-code-def)

```

```

definition word-pow :: nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
word-pow-code-def [code-abbrev]: word-pow = compow

```

```

lemma [code]:
word-pow 0 w = []
word-pow (Suc n) w = w @ word-pow n w
by (simp-all add: word-pow-code-def)

```

```

lemma word-pow-alt: compow n w = concat (replicate n w)
by (induct n) auto

```

hide-const (open) lang-pow word-pow

```

definition star :: 'a lang  $\Rightarrow$  'a lang where
star A = ( $\bigcup$  n. A  $\wedge\wedge$  n)

```

1.1 Concatenation of Languages

```

lemma concI[simp,intro]: u : A  $\Rightarrow$  v : B  $\Rightarrow$  u@v : A @@ B
by (auto simp add: conc-def)

```

```

lemma concE[elim]:
assumes w  $\in$  A @@ B
obtains u v where u  $\in$  A v  $\in$  B w = u@v
using assms by (auto simp: conc-def)

```

```

lemma conc-mono: A  $\subseteq$  C  $\Rightarrow$  B  $\subseteq$  D  $\Rightarrow$  A @@ B  $\subseteq$  C @@ D
by (auto simp: conc-def)

```

```

lemma conc-empty[simp]: shows {} @@ A = {} and A @@ {} = {}
by auto

```

```

lemma conc-epsilon[simp]: shows [] @@ A = A and A @@ [] = A
by (simp-all add:conc-def)

```

```

lemma conc-assoc:  $(A @\@ B) @\@ C = A @\@ (B @\@ C)$ 
  by (auto elim!: concE) (simp only: append-assoc[symmetric] concI)

lemma conc-Un-distrib:
  shows  $A @\@ (B \cup C) = A @\@ B \cup A @\@ C$ 
  and  $(A \cup B) @\@ C = A @\@ C \cup B @\@ C$ 
  by auto

lemma conc-UNION-distrib:
  shows  $A @\@ \text{UNION } I M = \text{UNION } I (\%i. A @\@ M i)$ 
  and  $\text{UNION } I M @\@ A = \text{UNION } I (\%i. M i @\@ A)$ 
  by auto

lemma hom-image-conc:  $\llbracket \bigwedge xs ys. f (xs @ ys) = f xs @ f ys \rrbracket \implies f ` (A @\@ B) = f ` A @\@ f ` B$ 
  unfolding conc-def by (auto simp: image-iff) metis

lemma map-image-conc[simp]:  $\text{map } f ` (A @\@ B) = \text{map } f ` A @\@ \text{map } f ` B$ 
  by (simp add: hom-image-conc)

lemma conc-subset-lists:  $A \subseteq \text{lists } S \implies B \subseteq \text{lists } S \implies A @\@ B \subseteq \text{lists } S$ 
  by(fastforce simp: conc-def in-lists-conv-set)

```

1.2 Iteration of Languages

```

lemma lang-pow-add:  $A ^\wedge (n + m) = A ^\wedge n @\@ A ^\wedge m$ 
  by (induct n) (auto simp: conc-assoc)

lemma lang-pow-simps:  $(A ^\wedge \text{Suc } n) = (A ^\wedge n @\@ A)$ 
  using lang-pow-add[of n Suc 0 A] by auto

lemma lang-pow-empty:  $\{\} ^\wedge n = (\text{if } n = 0 \text{ then } [] \text{ else } \{\})$ 
  by (induct n) auto

lemma lang-pow-empty-Suc[simp]:  $(\{\}::'a lang) ^\wedge \text{Suc } n = \{\}$ 
  by (simp add: lang-pow-empty)

lemma conc-pow-comm:
  shows  $A @\@ (A ^\wedge n) = (A ^\wedge n) @\@ A$ 
  by (induct n) (simp-all add: conc-assoc[symmetric])

lemma length-lang-pow-ub:
   $\text{ALL } w : A. \text{length } w \leq k \implies w : A ^\wedge n \implies \text{length } w \leq k * n$ 
  by(induct n arbitrary: w) (fastforce simp: conc-def)+

lemma length-lang-pow-lb:
   $\text{ALL } w : A. \text{length } w \geq k \implies w : A ^\wedge n \implies \text{length } w \geq k * n$ 
  by(induct n arbitrary: w) (fastforce simp: conc-def)+

```

```

lemma lang-pow-subset-lists:  $A \subseteq \text{lists } S \implies A^{\wedge\wedge} n \subseteq \text{lists } S$ 
  by(induction n)(auto simp: conc-subset-lists[OF assms])

lemma star-subset-lists:  $A \subseteq \text{lists } S \implies \text{star } A \subseteq \text{lists } S$ 
  unfolding star-def by(blast dest: lang-pow-subset-lists)

lemma star-if-lang-pow[simp]:  $w : A^{\wedge\wedge} n \implies w : \text{star } A$ 
  by (auto simp: star-def)

lemma Nil-in-star[iff]:  $[] : \text{star } A$ 
proof (rule star-if-lang-pow)
  show [] :  $A^{\wedge\wedge} 0$  by simp
qed

lemma star-if-lang[simp]: assumes  $w : A$  shows  $w : \text{star } A$ 
proof (rule star-if-lang-pow)
  show  $w : A^{\wedge\wedge} 1$  using ⟨ $w : A$ ⟩ by simp
qed

lemma append-in-starI[simp]:
assumes  $u : \text{star } A$  and  $v : \text{star } A$  shows  $u@v : \text{star } A$ 
proof –
  from ⟨ $u : \text{star } A$ ⟩ obtain  $m$  where  $u : A^{\wedge\wedge} m$  by (auto simp: star-def)
  moreover
  from ⟨ $v : \text{star } A$ ⟩ obtain  $n$  where  $v : A^{\wedge\wedge} n$  by (auto simp: star-def)
  ultimately have  $u@v : A^{\wedge\wedge} (m+n)$  by (simp add: lang-pow-add)
  thus ?thesis by simp
qed

lemma conc-star-star:  $\text{star } A @ @ \text{star } A = \text{star } A$ 
  by (auto simp: conc-def)

lemma conc-star-comm:
  shows  $A @ @ \text{star } A = \text{star } A @ @ A$ 
  unfolding star-def conc-pow-comm conc-UNION-distrib
  by simp

lemma star-induct[consumes 1, case-names Nil append, induct set: star]:
assumes  $w : \text{star } A$ 
  and  $P []$ 
  and step:  $\text{!! } u v. u : A \implies v : \text{star } A \implies P v \implies P (u@v)$ 
shows  $P w$ 
proof –
  { fix  $n$  have  $w : A^{\wedge\wedge} n \implies P w$ 
    by (induct n arbitrary: w) (auto intro: ⟨P []⟩ step star-if-lang-pow) }
  with ⟨ $w : \text{star } A$ ⟩ show  $P w$  by (auto simp: star-def)
qed

```

```

lemma star-empty[simp]: star {} = {}
  by (auto elim: star-induct)

lemma star-epsilon[simp]: star [] = {}
  by (auto elim: star-induct)

lemma star-idemp[simp]: star (star A) = star A
  by (auto elim: star-induct)

lemma star-unfold-left: star A = A @@ star A ∪ {} (is ?L = ?R)
proof
  show ?L ⊆ ?R by (rule, erule star-induct) auto
qed auto

lemma concat-in-star: set ws ⊆ A ==> concat ws : star A
  by (induct ws) simp-all

lemma in-star-iff-concat:
  w : star A = (EX ws. set ws ⊆ A & w = concat ws & [] ∉ set ws)
  (is - = (EX ws. ?R w ws))
proof
  assume w : star A thus EX ws. ?R w ws
  proof induct
    case Nil have ?R [] [] by simp
    thus ?case ..
  next
    case (append u v)
    moreover
    then obtain ws where set ws ⊆ A ∧ v = concat ws ∧ [] ∉ set ws by blast
    ultimately have ?R (u@v) (if u = [] then ws else u#ws) by auto
    thus ?case ..
  qed
next
  assume EX us. ?R w us thus w : star A
  by (auto simp: concat-in-star)
qed

lemma star-conv-concat: star A = {concat ws | ws. set ws ⊆ A & [] ∉ set ws}
  by (fastforce simp: in-star-iff-concat)

lemma star-insert-eps[simp]: star (insert [] A) = star(A)
proof-
  { fix us
  have set us ⊆ insert [] A ==> EX vs. concat us = concat vs ∧ set vs ⊆ A
  (is ?P ==> EX vs. ?Q vs)
  proof
    let ?vs = filter (%u. u ≠ []) us
    show ?P ==> ?Q ?vs by (induct us) auto
  qed
}

```

```

} thus ?thesis by (auto simp: star-conv-concat)
qed

```

lemma *star-decom*:

```

assumes a:  $x \in \text{star } A$   $x \neq []$ 
shows  $\exists a b. x = a @ b \wedge a \neq [] \wedge a \in A \wedge b \in \text{star } A$ 
using a by (induct rule: star-induct) (blast)+
```

lemma *Ball-starI*: $\forall a \in \text{set as}. [a] \in A \implies as \in \text{star } A$
by (induct as rule: rev-induct) auto

lemma *map-image-star*[simp]: $\text{map } f ` \text{star } A = \text{star } (\text{map } f ` A)$
by (auto elim: star-induct) (auto elim: star-induct simp del: map-append simp: map-append[symmetric] intro!: imageI)

1.3 Left-Quotients of Languages

definition *lQuot* :: ' $a \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$ '
where $\text{lQuot } x A = \{ xs. x \# xs \in A \}$

definition *lQuots* :: ' $a \text{ list} \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$ '
where $\text{lQuots } xs A = \{ ys. xs @ ys \in A \}$

abbreviation

$\text{lQuotss } :: 'a \text{ list} \Rightarrow 'a \text{ lang set} \Rightarrow 'a \text{ lang}$
where
 $\text{lQuotss } s As \equiv \bigcup (lQuots s) ` As$

lemma *lQuot-empty*[simp]: $\text{lQuot } a \{ \} = \{ \}$
and *lQuot-epsilon*[simp]: $\text{lQuot } a [] = \{ \}$
and *lQuot-char*[simp]: $\text{lQuot } a [b] = (\text{if } a = b \text{ then } [] \text{ else } \{ \})$
and *lQuot-union*[simp]: $\text{lQuot } a (A \cup B) = \text{lQuot } a A \cup \text{lQuot } a B$
and *lQuot-inter*[simp]: $\text{lQuot } a (A \cap B) = \text{lQuot } a A \cap \text{lQuot } a B$
and *lQuot-compl*[simp]: $\text{lQuot } a (-A) = - \text{lQuot } a A$
by (auto simp: lQuot-def)

lemma *lQuot-conc-subset*: $\text{lQuot } a A @@ B \subseteq \text{lQuot } a (A @@ B)$ (**is** ?L \subseteq ?R)

proof

```

fix w assume w ∈ ?L
then obtain u v where w = u @ v a # u ∈ A v ∈ B
  by (auto simp: lQuot-def)
then have a # w ∈ A @@ B
  by (auto intro: concI[of a # u, simplified])
thus w ∈ ?R by (auto simp: lQuot-def)
qed

```

lemma *lQuot-conc* [simp]: $\text{lQuot } c (A @@ B) = (\text{lQuot } c A) @@ B \cup (\text{if } [] \in A \text{ then } \text{lQuot } c B \text{ else } \{ \})$

```

unfolding lQuot-def conc-def
by (auto simp add: Cons-eq-append-conv)

lemma lQuot-star [simp]: lQuot c (star A) = (lQuot c A) @@ star A
proof -
  have incl: [] ∈ A  $\implies$  lQuot c (star A) ⊆ (lQuot c A) @@ star A
  unfolding lQuot-def conc-def
  apply(auto simp add: Cons-eq-append-conv)
  apply(drule star-decom)
  apply(auto simp add: Cons-eq-append-conv)
  done

  have lQuot c (star A) = lQuot c (A @@ star A ∪ {[]})
  by (simp only: star-unfold-left[symmetric])
  also have ... = lQuot c (A @@ star A)
  by (simp only: lQuot-union) (simp)
  also have ... = (lQuot c A) @@ (star A) ∪ (if [] ∈ A then lQuot c (star A) else {})
  by simp
  also have ... = (lQuot c A) @@ star A
  using incl by auto
  finally show lQuot c (star A) = (lQuot c A) @@ star A .
qed

```

```

lemma lQuot-diff[simp]: lQuot c (A - B) = lQuot c A - lQuot c B
by(auto simp add: lQuot-def)

```

```

lemma lQuot-lists[simp]: c : S  $\implies$  lQuot c (lists S) = lists S
by(auto simp add: lQuot-def)

```

```

lemma lQuots-simps [simp]:
  shows lQuots [] A = A
  and lQuots (c # s) A = lQuots s (lQuot c A)
  and lQuots (s1 @ s2) A = lQuots s2 (lQuots s1 A)
  unfolding lQuots-def lQuot-def by auto

```

```

lemma lQuots-append[iff]: v ∈ lQuots w A  $\longleftrightarrow$  w @ v ∈ A
by (induct w arbitrary: v A) (auto simp add: lQuot-def)

```

1.4 Right-Quotients of Languages

```

definition rQuot :: 'a  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang
where rQuot x A = { xs. xs @ [x] ∈ A }

```

```

definition rQuots :: 'a list  $\Rightarrow$  'a lang  $\Rightarrow$  'a lang
where rQuots xs A = { ys. ys @ rev xs ∈ A }

```

abbreviation

```

rQuotss :: 'a list  $\Rightarrow$  'a lang set  $\Rightarrow$  'a lang

```

where

$$rQuotss s As \equiv \bigcup (rQuots s) ` As$$

lemma $rQuot\text{-}rev\text{-}lQuot$: $rQuot x A = rev ` lQuot x (rev ` A)$
unfolding $rQuot\text{-}def$ $lQuot\text{-}def$ **by** (auto simp: rev-swap[symmetric])

lemma $rQuots\text{-}rev\text{-}lQuots$: $rQuots x A = rev ` lQuots x (rev ` A)$
unfolding $rQuots\text{-}def$ $lQuots\text{-}def$ **by** (auto simp: rev-swap[symmetric])

lemma $rQuot\text{-}empty$ [simp]: $rQuot a \{\} = \{\}$
and $rQuot\text{-}epsilon$ [simp]: $rQuot a \{[]\} = \{\}$
and $rQuot\text{-}char$ [simp]: $rQuot a \{[b]\} = (if a = b then \{\} else \{\})$
and $rQuot\text{-}union$ [simp]: $rQuot a (A \cup B) = rQuot a A \cup rQuot a B$
and $rQuot\text{-}inter$ [simp]: $rQuot a (A \cap B) = rQuot a A \cap rQuot a B$
and $rQuot\text{-}compl$ [simp]: $rQuot a (-A) = - rQuot a A$
by (auto simp: rQuot-def)

lemma $lQuot\text{-}rQuot$: $lQuot a (rQuot b A) = rQuot b (lQuot a A)$
unfolding $lQuot\text{-}def$ $rQuot\text{-}def$ **by** auto

lemma $rQuot\text{-}lQuot$: $rQuot a (lQuot b A) = lQuot b (rQuot a A)$
unfolding $lQuot\text{-}def$ $rQuot\text{-}def$ **by** auto

lemma $rev\text{-}simp\text{-}invert$: $(xs @ [x] = rev zs) = (zs = x \# rev xs)$
by (induct zs) auto

lemma $rev\text{-}append\text{-}invert$: $(xs @ ys = rev zs) = (zs = rev ys @ rev xs)$
by (induct xs arbitrary: ys rule: rev-induct) auto

lemma $image\text{-}rev\text{-}lists$ [simp]: $rev ` lists S = lists S$
proof (intro set-eqI)
 fix xs
 show $xs \in rev ` lists S \longleftrightarrow xs \in lists S$
 proof (induct xs rule: rev-induct)
 case ($snoc x xs$)
 thus ?case **by** (auto intro!: image-eqI[of - rev] simp: rev-simp-invert)
 qed simp
 qed

lemma $image\text{-}rev\text{-}conc$ [simp]: $rev ` (A @@ B) = rev ` B @@ rev ` A$
by auto (auto simp: rev-append[symmetric] simp del: rev-append)

lemma $image\text{-}rev\text{-}star$ [simp]: $rev ` star A = star (rev ` A)$
by (auto elim: star-induct) (auto elim: star-induct simp: rev-append[symmetric] simp del: rev-append)

lemma $rQuot\text{-}conc$ [simp]: $rQuot c (A @@ B) = A @@ (rQuot c B) \cup (if [] \in B then rQuot c A else \{\})$
unfolding $rQuot\text{-}rev\text{-}lQuot$ **by** (auto simp: image-image image-Un)

```

lemma rQuot-star [simp]: rQuot c (star A) = star A @@ (rQuot c A)
  unfolding rQuot-rev-lQuot by (auto simp: image-image)

lemma rQuot-diff[simp]: rQuot c (A - B) = rQuot c A - rQuot c B
  by(auto simp add: rQuot-def)

lemma rQuot-lists[simp]: c : S ==> rQuot c (lists S) = lists S
  by(auto simp add: rQuot-def)

lemma rQuots-simps [simp]:
  shows rQuots [] A = A
  and rQuots (c # s) A = rQuots s (rQuot c A)
  and rQuots (s1 @ s2) A = rQuots s2 (rQuots s1 A)
  unfolding rQuots-def rQuot-def by auto

lemma rQuots-append[iff]: v ∈ rQuots w A ↔ v @ rev w ∈ A
  by (induct w arbitrary: v A) (auto simp add: rQuot-def)

```

1.5 Two-Sided-Quotients of Languages

definition biQuot :: 'a ⇒ 'a ⇒ 'a lang ⇒ 'a lang
where biQuot x y A = { xs. x # xs @ [y] ∈ A }

definition biQuots :: 'a list ⇒ 'a list ⇒ 'a lang ⇒ 'a lang
where biQuots xs ys A = { zs. xs @ zs @ rev ys ∈ A }

abbreviation

biQuotss :: 'a list ⇒ 'a list ⇒ 'a lang set ⇒ 'a lang
where biQuotss xs ys As ≡ ∪ (biQuots xs ys) ` As

lemma biQuot-rQuot-lQuot: biQuot x y A = rQuot y (lQuot x A)
 unfolding biQuot-def rQuot-def lQuot-def **by** auto

lemma biQuot-lQuot-rQuot: biQuot x y A = lQuot x (rQuot y A)
 unfolding biQuot-def rQuot-def lQuot-def **by** auto

lemma biQuots-rQuots-lQuots: biQuots x y A = rQuots y (lQuots x A)
 unfolding biQuots-def rQuots-def lQuots-def **by** auto

lemma biQuots-lQuots-rQuots: biQuots x y A = lQuots x (rQuots y A)
 unfolding biQuots-def rQuots-def lQuots-def **by** auto

lemma biQuot-empty[simp]: biQuot a b {} = {}
 and biQuot-epsilon[simp]: biQuot a b {[[]]} = {}
 and biQuot-char[simp]: biQuot a b {[c]} = {}
 and biQuot-union[simp]: biQuot a b (A ∪ B) = biQuot a b A ∪ biQuot a b B
 and biQuot-inter[simp]: biQuot a b (A ∩ B) = biQuot a b A ∩ biQuot a b B

and *biQuot-compl*[simp]: $\text{biQuot } a \ b \ (-A) = - \text{biQuot } a \ b \ A$
by (auto simp: biQuot-def)

lemma *biQuot-conc* [simp]: $\text{biQuot } a \ b \ (A @\@ B) =$
 $l\text{Quot } a \ A @\@ r\text{Quot } b \ B \cup$
 $(\text{if } [] \in A \wedge [] \in B \text{ then } \text{biQuot } a \ b \ A \cup \text{biQuot } a \ b \ B$
 $\text{else if } [] \in A \text{ then } \text{biQuot } a \ b \ B$
 $\text{else if } [] \in B \text{ then } \text{biQuot } a \ b \ A$
 $\text{else } \{\})$
unfolding *biQuot-rQuot-lQuot* **by** auto

lemma *biQuot-star* [simp]: $\text{biQuot } a \ b \ (\text{star } A) = \text{biQuot } a \ b \ A \cup l\text{Quot } a \ A @\@$
 $\text{star } A @\@ r\text{Quot } b \ A$
unfolding *biQuot-rQuot-lQuot* **by** auto

lemma *biQuot-diff*[simp]: $\text{biQuot } a \ b \ (A - B) = \text{biQuot } a \ b \ A - \text{biQuot } a \ b \ B$
by(auto simp add: biQuot-def)

lemma *biQuot-lists*[simp]: $a : S \implies b : S \implies \text{biQuot } a \ b \ (\text{lists } S) = \text{lists } S$
by(auto simp add: biQuot-def)

lemma *biQuots-simps* [simp]:
shows *biQuots* [] [] $A = A$
and *biQuots* ($a \# as$) ($b \# bs$) $A = \text{biQuots } as \ bs \ (\text{biQuot } a \ b \ A)$
and $[\text{length } s1 = \text{length } t1; \text{length } s2 = \text{length } t2] \implies$
 $\text{biQuots } (s1 @ s2) (t1 @ t2) A = \text{biQuots } s2 \ t2 \ (\text{biQuots } s1 \ t1 \ A)$
unfolding *biQuots-def* *biQuot-def* **by** auto

lemma *biQuots-append*[iff]: $v \in \text{biQuots } u \ w \ A \longleftrightarrow u @ v @ \text{rev } w \in A$
unfolding *biQuots-def* **by** auto

1.6 Arden's Lemma

lemma *arden-helper*:
assumes *eq*: $X = A @\@ X \cup B$
shows $X = (A \wedge\wedge \text{Suc } n) @\@ X \cup (\bigcup_{m \leq n} (A \wedge\wedge m) @\@ B)$
proof (induct n)
case 0
show $X = (A \wedge\wedge \text{Suc } 0) @\@ X \cup (\bigcup_{m \leq 0} (A \wedge\wedge m) @\@ B)$
using *eq* **by** simp
next
case ($\text{Suc } n$)
have *ih*: $X = (A \wedge\wedge \text{Suc } n) @\@ X \cup (\bigcup_{m \leq n} (A \wedge\wedge m) @\@ B)$ **by** fact
also have ... $= (A \wedge\wedge \text{Suc } n) @\@ (A @\@ X \cup B) \cup (\bigcup_{m \leq n} (A \wedge\wedge m) @\@ B)$
using *eq* **by** simp
also have ... $= (A \wedge\wedge \text{Suc } (\text{Suc } n)) @\@ X \cup ((A \wedge\wedge \text{Suc } n) @\@ B) \cup (\bigcup_{m \leq n} (A \wedge\wedge m) @\@ B)$
by (simp add: conc-Un-distrib conc-assoc[symmetric] conc-pow-comm)
also have ... $= (A \wedge\wedge \text{Suc } (\text{Suc } n)) @\@ X \cup (\bigcup_{m \leq \text{Suc } n} (A \wedge\wedge m) @\@ B)$

```

    by (auto simp add: le-Suc-eq)
  finally show X = (A ^^ Suc (Suc n)) @@ X ∪ (⋃ m≤Suc n. (A ^^ m) @@ B)
  .
qed

lemma Arden:
assumes "[] ∉ A"
shows X = A @@ X ∪ B ⟷ X = star A @@ B
proof
  assume eq: X = A @@ X ∪ B
  { fix w assume w : X
    let ?n = size w
    from `[] ∉ A` have ALL u : A. length u ≥ 1
      by (metis Suc-eq-plus1 add-leD2 le-0-eq length-0-conv not-less-eq-eq)
    hence ALL u : A ^^(?n+1). length u ≥ ?n+1
      by (metis length-lang-pow-lb nat-mult-1)
    hence ALL u : A ^^(?n+1)@@X. length u ≥ ?n+1
      by (auto simp only: conc-def length-append)
    hence w ∉ A ^^(?n+1)@@X by auto
    hence w : star A @@ B using `w : X` using arden-helper[OF eq, where
n=?n]
      by (auto simp add: star-def conc-UNION-distrib)
  } moreover
  { fix w assume w : star A @@ B
    hence EX n. w : A ^^n @@ B by (auto simp: conc-def star-def)
    hence w : X using arden-helper[OF eq] by blast
  } ultimately show X = star A @@ B by blast
next
  assume eq: X = star A @@ B
  have star A = A @@ star A ∪ {}
    by (rule star-unfold-left)
  then have star A @@ B = (A @@ star A ∪ {}) @@ B
    by metis
  also have ... = (A @@ star A) @@ B ∪ B
    unfolding conc-Un-distrib by simp
  also have ... = A @@ (star A @@ B) ∪ B
    by (simp only: conc-assoc)
  finally show X = A @@ X ∪ B
    using eq by blast
qed

```

```

lemma reversed-arden-helper:
assumes eq: X = X @@ A ∪ B
shows X = X @@ (A ^^ Suc n) ∪ (⋃ m≤n. B @@ (A ^^ m))
proof (induct n)
  case 0
  show X = X @@ (A ^^ Suc 0) ∪ (⋃ m≤0. B @@ (A ^^ m))
    using eq by simp

```

```

next
  case (Suc n)
    have ih:  $X = X @\@ (A \wedge\wedge Suc n) \cup (\bigcup m \leq n. B @\@ (A \wedge\wedge m))$  by fact
    also have ... =  $(X @\@ A \cup B) @\@ (A \wedge\wedge Suc n) \cup (\bigcup m \leq n. B @\@ (A \wedge\wedge m))$ 
    using eq by simp
    also have ... =  $X @\@ (A \wedge\wedge Suc (Suc n)) \cup (B @\@ (A \wedge\wedge Suc n)) \cup (\bigcup m \leq n.$ 
       $B @\@ (A \wedge\wedge m))$ 
      by (simp add: conc-Un-distrib conc-assoc)
    also have ... =  $X @\@ (A \wedge\wedge Suc (Suc n)) \cup (\bigcup m \leq Suc n. B @\@ (A \wedge\wedge m))$ 
      by (auto simp add: le-Suc-eq)
    finally show  $X = X @\@ (A \wedge\wedge Suc (Suc n)) \cup (\bigcup m \leq Suc n. B @\@ (A \wedge\wedge m))$ 
  .
qed

theorem reversed-Arden:
assumes nemp:  $[] \notin A$ 
shows  $X = X @\@ A \cup B \longleftrightarrow X = B @\@ star A$ 
proof
  assume eq:  $X = X @\@ A \cup B$ 
  { fix w assume w :  $X$ 
    let ?n = size w
    from  $[] \notin A$  have ALL u :  $A$ . length u  $\geq 1$ 
      by (metis Suc-eq-plus1 add-leD2 le-0-eq length-0-conv not-less-eq-eq)
    hence ALL u :  $A \wedge\wedge (?n+1)$ . length u  $\geq ?n+1$ 
      by (metis length-lang-pow-lb nat-mult-1)
    hence ALL u :  $X @\@ A \wedge\wedge (?n+1)$ . length u  $\geq ?n+1$ 
      by (auto simp only: conc-def length-append)
    hence w  $\notin X @\@ A \wedge\wedge (?n+1)$  by auto
    hence w :  $B @\@ star A$  using {w :  $X$ } using reversed-arden-helper[OF eq,
where n=?n]
      by (auto simp add: star-def conc-UNION-distrib)
  } moreover
  { fix w assume w :  $B @\@ star A$ 
    hence EX n. w :  $B @\@ A \wedge\wedge n$  by (auto simp: conc-def star-def)
    hence w :  $X$  using reversed-arden-helper[OF eq] by blast
  } ultimately show  $X = B @\@ star A$  by blast
next
  assume eq:  $X = B @\@ star A$ 
  have star A =  $\{[]\} \cup star A @\@ A$ 
    unfolding conc-star-comm[symmetric]
    by (metis Un-commute star-unfold-left)
  then have  $B @\@ star A = B @\@ (\{[]\} \cup star A @\@ A)$ 
    by metis
  also have ... =  $B \cup B @\@ (star A @\@ A)$ 
    unfolding conc-Un-distrib by simp
  also have ... =  $B \cup (B @\@ star A) @\@ A$ 
    by (simp only: conc-assoc)
  finally show  $X = X @\@ A \cup B$ 
    using eq by blast

```

qed

1.7 Lists of Fixed Length

abbreviation *listsN* **where** *listsN n S* $\equiv \{xs. xs \in lists\ S \wedge length\ xs = n\}$

lemma *tl-listsN*: $A \subseteq listsN\ (n + 1)\ S \implies tl\ 'A \subseteq listsN\ n\ S$

proof (*intro image-subsetI*)

fix *xs* **assume** $A \subseteq listsN\ (n + 1)\ S$ $xs \in A$

thus $tl\ xs \in listsN\ n\ S$ **by** (*induct xs*) *auto*

qed

lemma *map-tl-listsN*: $A \subseteq lists\ (listsN\ (n + 1)\ S) \implies map\ tl\ 'A \subseteq lists\ (listsN\ n\ S)$

proof (*intro image-subsetI*)

fix *xss* **assume** $A \subseteq lists\ (listsN\ (n + 1)\ S)$ $xss \in A$

hence $set\ xss \subseteq listsN\ (n + 1)\ S$ **by** *auto*

hence $\forall xs \in set\ xss. tl\ xs \in listsN\ n\ S$ **using** *tl-listsN*[*of set xss S n*] **by** *auto*

thus $map\ tl\ xss \in lists\ (listsN\ n\ S)$ **by** (*induct xss*) *auto*

qed

end

2 Π -Extended Regular Expressions

2.1 Syntax of regular expressions

```
datatype 'a rexp =
  Zero |
  One |
  Atom 'a |
  Plus ('a rexp) ('a rexp) |
  Times ('a rexp) ('a rexp) |
  Star ('a rexp) |
  Not ('a rexp) |
  Inter ('a rexp) ('a rexp) |
  Pr ('a rexp)
```

Lifting constructors to lists

```
fun rexp-of-list where
  rexp-of-list OP N [] = N
  | rexp-of-list OP N [x] = x
  | rexp-of-list OP N (x # xs) = OP x (rexp-of-list OP N xs)
```

abbreviation *PLUS* \equiv *rexp-of-list Plus Zero*

abbreviation *TIMES* \equiv *rexp-of-list Times One*

abbreviation *INTERSECT* \equiv *rexp-of-list Inter (Not Zero)*

```

lemma list-singleton-induct [case-names nil single cons]:
  assumes nil:  $P []$ 
  assumes single:  $\bigwedge x. P [x]$ 
  assumes cons:  $\bigwedge x y xs. P (y \# xs) \implies P (x \# (y \# xs))$ 
  shows  $P xs$ 
  using assms
  proof (induct xs)
    case (Cons x xs) thus ?case by (cases xs) auto
  qed simp

```

Term ordering

```

instantiation rexpr :: (order) {order}
begin

fun le-rexp :: ('a::order) rexpr  $\Rightarrow$  ('a::order) rexpr  $\Rightarrow$  bool
where
  le-rexp Zero - = True
  | le-rexp - Zero = False
  | le-rexp One - = True
  | le-rexp - One = False
  | le-rexp (Atom a) (Atom b) = (a  $\leq$  b)
  | le-rexp (Atom -) - = True
  | le-rexp - (Atom -) = False
  | le-rexp (Star r) (Star s) = le-rexp r s
  | le-rexp (Star -) - = True
  | le-rexp - (Star -) = False
  | le-rexp (Not r) (Not s) = le-rexp r s
  | le-rexp (Not -) - = True
  | le-rexp - (Not -) = False
  | le-rexp (Plus r r') (Plus s s') =
    (if r = s then le-rexp r' s' else le-rexp r s)
  | le-rexp (Plus - -) - = True
  | le-rexp - (Plus - -) = False
  | le-rexp (Times r r') (Times s s') =
    (if r = s then le-rexp r' s' else le-rexp r s)
  | le-rexp (Times - -) - = True
  | le-rexp - (Times - -) = False
  | le-rexp (Inter r r') (Inter s s') =
    (if r = s then le-rexp r' s' else le-rexp r s)
  | le-rexp (Inter - -) - = True
  | le-rexp - (Inter - -) = False
  | le-rexp (Pr r) (Pr s) = le-rexp r s

```

definition less-eq-rexp **where** $r \leq s \equiv \text{le-rexp } r s$

definition less-rexp **where** $r < s \equiv \text{le-rexp } r s \wedge r \neq s$

```

lemma le-rexp-Zero:
  le-rexp r Zero  $\implies r = \text{Zero}$ 
  by (induct r) auto

```

```

lemma le-rexp-refl[intro]:
  le-rexp r r
  by (induct r) auto

lemma le-rexp-antisym:
   $\llbracket \text{le-rexp } r \ s; \text{le-rexp } s \ r \rrbracket \implies r = s$ 
  by (induct r s rule: le-rexp.induct) (auto dest: le-rexp-Zero)

lemma le-rexp-trans:
   $\llbracket \text{le-rexp } r \ s; \text{le-rexp } s \ t \rrbracket \implies \text{le-rexp } r \ t$ 
  proof (induct r s arbitrary: t rule: le-rexp.induct)
    fix v t assume le-rexp (Atom v) t thus le-rexp One t by (cases t) auto
  next
    fix s1 s2 t assume le-rexp (Plus s1 s2) t thus le-rexp One t by (cases t) auto
  next
    fix s1 s2 t assume le-rexp (Times s1 s2) t thus le-rexp One t by (cases t) auto
  next
    fix s t assume le-rexp (Star s) t thus le-rexp One t by (cases t) auto
  next
    fix s t assume le-rexp (Not s) t thus le-rexp One t by (cases t) auto
  next
    fix s1 s2 t assume le-rexp (Inter s1 s2) t thus le-rexp One t by (cases t) auto
  next
    fix s t assume le-rexp (Pr s) t thus le-rexp One t by (cases t) auto
  next
    fix v u t assume le-rexp (Atom v) (Atom u) le-rexp (Atom u) t
      thus le-rexp (Atom v) t by (cases t) auto
  next
    fix v s1 s2 t assume le-rexp (Plus s1 s2) t thus le-rexp (Atom v) t by (cases t) auto
  next
    fix v s1 s2 t assume le-rexp (Times s1 s2) t thus le-rexp (Atom v) t by (cases t) auto
  next
    fix v s t assume le-rexp (Star s) t thus le-rexp (Atom v) t by (cases t) auto
  next
    fix v s t assume le-rexp (Not s) t thus le-rexp (Atom v) t by (cases t) auto
  next
    fix v s1 s2 t assume le-rexp (Inter s1 s2) t thus le-rexp (Atom v) t by (cases t) auto
  next
    fix v s t assume le-rexp (Pr s) t thus le-rexp (Atom v) t by (cases t) auto
  next
    fix r s t
      assume IH:  $\bigwedge t. \text{local.le-rexp } r \ s \implies \text{local.le-rexp } s \ t \implies \text{local.le-rexp } r \ t$ 
      and le-rexp (Star r) (Star s) le-rexp (Star s) t
      thus local.le-rexp (Star r) t by (cases t) auto
  next

```

```

fix r s1 s2 t assume le-rexp (Plus s1 s2) t thus le-rexp (Star r) t by (cases t)
auto
next
fix r s1 s2 t assume le-rexp (Times s1 s2) t thus le-rexp (Star r) t by (cases t)
auto
next
fix r s t assume le-rexp (Not s) t thus le-rexp (Star r) t by (cases t) auto
next
fix r s1 s2 t assume le-rexp (Inter s1 s2) t thus le-rexp (Star r) t by (cases t)
auto
next
fix r s t assume le-rexp (Pr s) t thus le-rexp (Star r) t by (cases t) auto
next
fix r s t
assume IH:  $\bigwedge t. \text{local.le-rexp } r s \implies \text{local.le-rexp } s t \implies \text{local.le-rexp } r t$ 
and le-rexp (Not r) (Not s) le-rexp (Not s) t
thus local.le-rexp (Not r) t by (cases t) auto
next
fix r s1 s2 t assume le-rexp (Plus s1 s2) t thus le-rexp (Not r) t by (cases t)
auto
next
fix r s1 s2 t assume le-rexp (Times s1 s2) t thus le-rexp (Not r) t by (cases t)
auto
next
fix r s1 s2 t assume le-rexp (Inter s1 s2) t thus le-rexp (Not r) t by (cases t)
auto
next
fix r s t assume le-rexp (Pr s) t thus le-rexp (Not r) t by (cases t) auto
next
fix r1 r2 s1 s2 t
assume  $\bigwedge t. r1 = s1 \implies \text{local.le-rexp } r2 s2 \implies \text{local.le-rexp } s2 t \implies \text{local.le-rexp } r2 t$ 
 $\bigwedge t. r1 \neq s1 \implies \text{local.le-rexp } r1 s1 \implies \text{local.le-rexp } s1 t \implies \text{local.le-rexp } r1 t$ 
le-rexp (Plus r1 r2) (Plus s1 s2) le-rexp (Plus s1 s2) t
thus le-rexp (Plus r1 r2) t by (cases t) (auto split: split-if-asm intro: le-rexp-antisym)
next
fix r1 r2 s1 s2 t assume le-rexp (Times s1 s2) t thus le-rexp (Plus r1 r2) t by
(cases t) auto
next
fix r1 r2 s1 s2 t assume le-rexp (Inter s1 s2) t thus le-rexp (Plus r1 r2) t by
(cases t) auto
next
fix r1 r2 s t assume le-rexp (Pr s) t thus le-rexp (Plus r1 r2) t by (cases t)
auto
next
fix r1 r2 s1 s2 t
assume  $\bigwedge t. r1 = s1 \implies \text{local.le-rexp } r2 s2 \implies \text{local.le-rexp } s2 t \implies \text{local.le-rexp } r2 t$ 

```

```

 $\bigwedge t. r1 \neq s1 \implies \text{local.le-rexp } r1 s1 \implies \text{local.le-rexp } s1 t \implies \text{local.le-rexp}$ 
 $r1 t$ 
 $\text{le-rexp} (\text{Times } r1 r2) (\text{Times } s1 s2) \text{ le-rexp} (\text{Times } s1 s2) t$ 
thus  $\text{le-rexp} (\text{Times } r1 r2) t$  by (cases t) (auto split: split-if-asm intro: le-rexp-antisym)
next
fix  $r1 r2 s1 s2 t$  assume  $\text{le-rexp} (\text{Inter } s1 s2) t$  thus  $\text{le-rexp} (\text{Times } r1 r2) t$  by (cases t)
auto
next
fix  $r1 r2 s t$  assume  $\text{le-rexp} (\text{Pr } s) t$  thus  $\text{le-rexp} (\text{Times } r1 r2) t$  by (cases t)
auto
next
fix  $r1 r2 s1 s2 t$ 
assume  $\bigwedge t. r1 = s1 \implies \text{local.le-rexp } r2 s2 \implies \text{local.le-rexp } s2 t \implies \text{local.le-rexp}$ 
 $r2 t$ 
 $\bigwedge t. r1 \neq s1 \implies \text{local.le-rexp } r1 s1 \implies \text{local.le-rexp } s1 t \implies \text{local.le-rexp}$ 
 $r1 t$ 
 $\text{le-rexp} (\text{Inter } r1 r2) (\text{Inter } s1 s2) \text{ le-rexp} (\text{Inter } s1 s2) t$ 
thus  $\text{le-rexp} (\text{Inter } r1 r2) t$  by (cases t) (auto split: split-if-asm intro: le-rexp-antisym)
next
fix  $r1 r2 s t$  assume  $\text{le-rexp} (\text{Pr } s) t$  thus  $\text{le-rexp} (\text{Inter } r1 r2) t$  by (cases t)
auto
next
fix  $r s t$ 
assume IH:  $\bigwedge t. \text{local.le-rexp } r s \implies \text{local.le-rexp } s t \implies \text{local.le-rexp } r t$ 
and  $\text{le-rexp} (\text{Pr } r) (\text{Pr } s) \text{ le-rexp} (\text{Pr } s) t$ 
thus  $\text{local.le-rexp} (\text{Pr } r) t$  by (cases t)
auto
qed auto

instance proof
qed (auto simp add: less-eq-rexp-def less-rexp-def intro: le-rexp-antisym le-rexp-trans)

```

end

```

instantiation rexp :: (linorder) {linorder}
begin

lemma le-rexp-total:
 $\text{le-rexp} (r :: 'a :: \text{linorder}) s \vee \text{le-rexp} s r$ 
by (induct r s rule: le-rexp.induct)
auto

instance proof
qed (unfold less-eq-rexp-def less-rexp-def, rule le-rexp-total)

```

end

2.2 ACI normalization

```

fun toplevel-summands :: 'a rexp  $\Rightarrow$  'a rexp set where
toplevel-summands (Plus r s) = toplevel-summands r  $\cup$  toplevel-summands s

```

```

| toplevel-summands r = {r}

abbreviation flatten LISTOP X ≡ LISTOP (sorted-list-of-set X)

lemma toplevel-summands-nonempty[simp]:
  toplevel-summands r ≠ {}
  by (induct r) auto

lemma toplevel-summands-finite[simp]:
  finite (toplevel-summands r)
  by (induct r) auto

primrec ACI-norm :: ('a::linorder) rexp ⇒ 'a rexp (↔) where
  «Zero» = Zero
| «One» = One
| «Atom a» = Atom a
| «Plus r s» = flatten PLUS (toplevel-summands (Plus «r» «s»))
| «Times r s» = Times «r» «s»
| «Star r» = Star «r»
| «Not r» = Not «r»
| «Inter r s» = Inter «r» «s»
| «Pr r» = Pr «r»

lemma Plus-toplevel-summands:
  Plus r s ∈ toplevel-summands t ⇒ False
  by (induct t) auto

lemma toplevel-summands-not-Plus[simp]:
  (forall r s. r ≠ Plus r s) ⇒ toplevel-summands x = {x}
  by (induct x) auto

lemma toplevel-summands-PLUS-strong:
  [[xs ≠ []; list-all (λx. ¬(exists r s. x = Plus r s)) xs]] ⇒ toplevel-summands (PLUS xs) = set xs
  by (induct xs rule: list-singleton-induct) auto

lemma toplevel-summands-flatten:
  [[X ≠ {}; finite X; ∀x ∈ X. ¬(exists r s. x = Plus r s)]] ⇒ toplevel-summands (flatten PLUS X) = X
  using toplevel-summands-PLUS-strong[of sorted-list-of-set X] sorted-list-of-set[of X]
  unfolding list-all-iff by fastforce

lemma ACI-norm-Plus:
  «r» = Plus s t ⇒ ∃s t. r = Plus s t
  by (induct r) auto

lemma toplevel-summands-flatten-ACI-norm-image:
  toplevel-summands (flatten PLUS (ACI-norm ' toplevel-summands r)) = ACI-norm

```

```

` toplevel-summands r
by (intro toplevel-summands-flatten) (auto dest!: ACI-norm-Plus intro: Plus-toplevel-summands)

lemma toplevel-summands-flatten-ACI-norm-image-Union:
  toplevel-summands (flatten PLUS (ACI-norm ` toplevel-summands r ∪ ACI-norm
  ` toplevel-summands s)) =
    ACI-norm ` toplevel-summands r ∪ ACI-norm ` toplevel-summands s
  by (intro toplevel-summands-flatten) (auto dest!: ACI-norm-Plus[OF sym] intro:
  Plus-toplevel-summands)

lemma toplevel-summands-ACI-norm:
  toplevel-summands <<r>> = ACI-norm ` toplevel-summands r
  by (induct r) (auto simp: toplevel-summands-flatten-ACI-norm-image-Union)

lemma ACI-norm-flatten:
  <<r>> = flatten PLUS (ACI-norm ` toplevel-summands r)
  by (induct r) (auto simp: image-Un toplevel-summands-flatten-ACI-norm-image)

theorem ACI-norm-idem[simp]:
  <<<r>>> = <<r>>
proof (induct r)
  case (Plus r s)
  have <<<Plus r s>>> = <<flatten PLUS (toplevel-summands <<r>> ∪ toplevel-summands
  <<s>>)>>
    (is - = <<flatten PLUS ?U>>) by simp
    also have ... = flatten PLUS (ACI-norm ` toplevel-summands (flatten PLUS
    ?U))
      unfolding ACI-norm-flatten ..
    also have toplevel-summands (flatten PLUS ?U) = ?U
      by (intro toplevel-summands-flatten) (auto intro: Plus-toplevel-summands)
    also have flatten PLUS (ACI-norm ` ?U) = flatten PLUS (toplevel-summands
    <<r>> ∪ toplevel-summands <<s>>)
      unfolding image-Un toplevel-summands-ACI-norm[symmetric] Plus ..
    finally show ?case by simp
qed auto

fun ACI-nPlus :: 'a::linorder rexp ⇒ 'a rexp ⇒ 'a rexp
where
  ACI-nPlus (Plus r1 r2) s = ACI-nPlus r1 (ACI-nPlus r2 s)
| ACI-nPlus r (Plus s1 s2) =
  (if r = s1 then Plus s1 s2
  else if r < s1 then Plus r (Plus s1 s2)
  else Plus s1 (ACI-nPlus r s2))
| ACI-nPlus r s =
  (if r = s then r
  else if r < s then Plus r s
  else Plus s r)

fun ACI-norm-alt where

```

```

ACI-norm-alt Zero = Zero
| ACI-norm-alt One = One
| ACI-norm-alt (Atom a) = Atom a
| ACI-norm-alt (Plus r s) = ACI-nPlus (ACI-norm-alt r) (ACI-norm-alt s)
| ACI-norm-alt (Times r s) = Times (ACI-norm-alt r) (ACI-norm-alt s)
| ACI-norm-alt (Star r) = Star (ACI-norm-alt r)
| ACI-norm-alt (Not r) = Not (ACI-norm-alt r)
| ACI-norm-alt (Inter r s) = Inter (ACI-norm-alt r) (ACI-norm-alt s)
| ACI-norm-alt (Pr r) = Pr (ACI-norm-alt r)

lemma toplevel-summands-ACI-nPlus:
  toplevel-summands (ACI-nPlus r s) = toplevel-summands (Plus r s)
  by (induct r s rule: ACI-nPlus.induct) auto

lemma toplevel-summands-ACI-norm-alt:
  toplevel-summands (ACI-norm-alt r) = ACI-norm-alt ` toplevel-summands r
  by (induct r) (auto simp: toplevel-summands-ACI-nPlus)

lemma ACI-norm-alt-Plus:
  ACI-norm-alt r = Plus s t  $\implies$   $\exists s t. r = Plus s t$ 
  by (induct r) auto

lemma toplevel-summands-flatten-ACI-norm-alt-image:
  toplevel-summands (flatten PLUS (ACI-norm-alt ` toplevel-summands r)) =
  ACI-norm-alt ` toplevel-summands r
  by (intro toplevel-summands-flatten) (auto dest!: ACI-norm-alt-Plus intro: Plus-toplevel-summands)

lemma ACI-norm-ACI-norm-alt: «ACI-norm-alt r» = «r»
proof (induction r)
  case (Plus r s) show ?case
    by (auto simp: ACI-norm-flatten image-Un toplevel-summands-ACI-nPlus)
      (metis Plus.IH toplevel-summands-ACI-norm)
  qed auto

lemma ACI-nPlus-singleton-PLUS:
   $\llbracket xs \neq [] ; sorted xs ; distinct xs ; \forall x \in \{x\} \cup set xs. \neg(\exists r s. x = Plus r s) \rrbracket \implies$ 
  ACI-nPlus x (PLUS xs) = (if x  $\in$  set xs then PLUS xs else PLUS (inser x xs))
proof (induct xs rule: list-singleton-induct)
  case (single y)
  thus ?case
    by (cases x y rule: linorder-cases) (induct x y rule: ACI-nPlus.induct, auto) +
  next
    case (cons y1 y2 ys) thus ?case by (cases x) (auto simp: sorted-Cons)
  qed simp

lemma ACI-nPlus-PLUS:
   $\llbracket xs1 \neq [] ; xs2 \neq [] ; \forall x \in set (xs1 @ xs2). \neg(\exists r s. x = Plus r s) ; sorted xs2 ; distinct xs2 \rrbracket \implies$ 
  ACI-nPlus (PLUS xs1) (PLUS xs2) = flatten PLUS (set (xs1 @ xs2))

```

```

proof (induct xs1 arbitrary: xs2 rule: list-singleton-induct)
  case (single x1)
  thus ?case
    apply (auto intro!: trans[OF ACI-nPlus-singleton-PLUS] simp del: sorted-list-of-set-insert)
    apply fastforce
    apply (simp only: insert-absorb)
    apply (metis List.finite-set finite-sorted-distinct-unique sorted-list-of-set)
    apply (rule arg-cong[of - - PLUS])
    apply (metis List.set.simps(2) distinct.simps(2) remdups-id-iff-distinct sort-key-simps(2)
sorted-list-of-set-sort-remdups sorted-sort-id)
    done
next
  case (cons x11 x12 xs1) thus ?case
    apply (simp del: sorted-list-of-set-insert)
    apply (rule trans[OF ACI-nPlus-singleton-PLUS])
    apply (auto simp del: sorted-list-of-set-insert simp add: insert-commute[of x11])
    apply fastforce
    apply (auto simp only: Un-insert-left[of x11, symmetric] insert-absorb) []
    apply (auto simp only: Un-insert-right[of - x11, symmetric] insert-absorb) []
    apply (auto simp add: insert-commute[of x12])
    done
qed simp

lemma ACI-nPlus-flatten-PLUS:
  
$$\llbracket X1 \neq \{\}; X2 \neq \{\}; \text{finite } X1; \text{finite } X2; \forall x \in X1 \cup X2. \neg(\exists r s. x = \text{Plus } r s) \rrbracket \implies$$

  
$$\text{ACI-nPlus}(\text{flatten } \text{PLUS } X1) (\text{flatten } \text{PLUS } X2) = \text{flatten } \text{PLUS } (X1 \cup X2)$$

  by (rule trans[OF ACI-nPlus-PLUS]) (auto, (metis List.set.simps(1) all-not-in-conv
sorted-list-of-set)+)

lemma ACI-nPlus-ACI-norm[simp]: ACI-nPlus <<r>> <<s>> = <<\text{Plus } r s>>
  by (auto simp: image-Un Un-assoc ACI-norm-flatten intro!: trans[OF ACI-nPlus-flatten-PLUS])
  (metis ACI-norm-Plus Plus-toplevel-summands ACI-norm-flatten)+

lemma ACI-norm-alt:
  ACI-norm-alt r = <<r>>
  by (induct r) auto

declare ACI-norm-alt[symmetric, code]

```

2.3 Finality

```

primrec final :: 'a rexp  $\Rightarrow$  bool
where
  final Zero = False
  | final One = True
  | final (Atom _) = False
  | final (Plus r s) = (final r  $\vee$  final s)
  | final (Times r s) = (final r  $\wedge$  final s)

```

```

| final (Star -) = True
| final (Not r) = ( $\sim$  final r)
| final (Inter r1 r2) = (final r1  $\wedge$  final r2)
| final (Pr r) = final r

lemma toplevel-summands-final:
  final s = ( $\exists$  r  $\in$  toplevel-summands s. final r)
  by (induct s) auto

lemma final-PLUS:
  final (PLUS xs) = ( $\exists$  r  $\in$  set xs. final r)
  by (induct xs rule: list-singleton-induct) auto

theorem ACI-norm-final[simp]:
  final < $r$ > = final r
  proof (induct r)
    case (Plus r1 r2) thus ?case using toplevel-summands-final by (auto simp:
      final-PLUS)
  qed auto

```

2.4 Wellformedness w.r.t. an alphabet

```

locale alphabet =
  fixes  $\Sigma :: nat \Rightarrow 'a :: linorder set$  ( $\Sigma -$ )
  begin

primrec wf :: nat  $\Rightarrow 'a rexp \Rightarrow bool$ 
where
  wf n Zero = True |
  wf n One = True |
  wf n (Atom a) = ( $a \in \Sigma n$ ) |
  wf n (Plus r s) = (wf n r  $\wedge$  wf n s) |
  wf n (Times r s) = (wf n r  $\wedge$  wf n s) |
  wf n (Star r) = wf n r |
  wf n (Not r) = wf n r |
  wf n (Inter r s) = (wf n r  $\wedge$  wf n s) |
  wf n (Pr r) = wf (n + 1) r

primrec wf-word where
  wf-word n [] = True
  | wf-word n (w # ws) = ((w  $\in \Sigma n$ )  $\wedge$  wf-word n ws)

lemma wf-word-snoc[simp]: wf-word n (ws @ [w]) = ((w  $\in \Sigma n$ )  $\wedge$  wf-word n ws)
  by (induct ws) auto

lemma wf-word-append[simp]: wf-word n (ws @ vs) = (wf-word n ws  $\wedge$  wf-word n vs)
  by (induct ws arbitrary: vs) auto

```

```

lemma wf-word: wf-word n w = (w ∈ lists (Σ n))
  by (induct w) auto

lemma toplevel-summands-wf:
  wf n s = (∀ r ∈ toplevel-summands s. wf n r)
  by (induct s) auto

lemma wf-PLUS[simp]:
  wf n (PLUS xs) = (∀ r ∈ set xs. wf n r)
  by (induct xs rule: list-singleton-induct) auto

lemma wf-flatten-PLUS[simp]:
  finite X ==> wf n (flatten PLUS X) = (∀ r ∈ X. wf n r)
  using wf-PLUS[of n sorted-list-of-set X] sorted-list-of-set[of X] by fastforce

theorem ACI-norm-wf[simp]:
  wf n <<r>> = wf n r
  proof (induct r arbitrary: n)
    case (Plus r1 r2) thus ?case
      using toplevel-summands-wf[of n <<r1>>] toplevel-summands-wf[of n <<r2>>] by
      auto
  qed auto

lemma wf-INTERSECT:
  wf n (INTERSECT xs) = (∀ r ∈ set xs. wf n r)
  by (induct xs rule: list-singleton-induct) auto

lemma wf-flatten-INTERSECT[simp]:
  finite X ==> wf n (flatten INTERSECT X) = (∀ r ∈ X. wf n r)
  using wf-INTERSECT[of n sorted-list-of-set X] sorted-list-of-set[of X] by fastforce

end

```

2.5 Language

```

locale project =
  alphabet Σ for Σ :: nat ⇒ 'a :: linorder set +
  fixes project :: 'a ⇒ 'a
  assumes project: ∀ a. a ∈ Σ (Suc n) ==> project a ∈ Σ n
  begin

    primrec lang :: nat ⇒ 'a rexpr => 'a lang where
      lang n Zero = {} |
      lang n One = {[]} |
      lang n (Atom a) = {[a]} |
      lang n (Plus r s) = (lang n r) ∪ (lang n s) |
      lang n (Times r s) = conc (lang n r) (lang n s) |
      lang n (Star r) = star (lang n r) |
      lang n (Not r) = lists (Σ n) − lang n r |

```

```

lang n (Inter r s) = (lang n r ∩ lang n s) |
lang n (Pr r) = map project ` lang (n + 1) r

lemma wf-word-map-project[simp]: wf-word (Suc n) ws ==> wf-word n (map project ws)
by (induct ws arbitrary: n) (auto intro: project)

lemma wf-lang-wf-word: wf n r ==> ∀ w ∈ lang n r. wf-word n w
by (induct r arbitrary: n) (auto elim: set-rev-mp[OF - conc-mono] star-induct intro: iffD2[OF wf-word])

lemma lang-subset-lists: wf n r ==> lang n r ⊆ lists (Σ n)
proof (induct r arbitrary: n)
  case Pr thus ?case by (fastforce intro!: project)
qed (auto simp: conc-subset-lists star-subset-lists)

lemma toplevel-summands-lang:
r ∈ toplevel-summands s ==> lang n r ⊆ lang n s
by (induct s) auto

lemma toplevel-summands-lang-UN:
lang n s = (⋃ r ∈ toplevel-summands s. lang n r)
by (induct s) auto

lemma toplevel-summands-in-lang:
w ∈ lang n s = (∃ r ∈ toplevel-summands s. w ∈ lang n r)
by (induct s) auto

lemma lang-PLUS:
lang n (PLUS xs) = (⋃ r ∈ set xs. lang n r)
by (induct xs rule: list-singleton-induct) auto

lemma lang-PLUS-map[simp]:
lang n (PLUS (map f xs)) = (⋃ a ∈ set xs. lang n (f a))
by (induct xs rule: list-singleton-induct) auto

lemma lang-flatten-PLUS[simp]:
finite X ==> lang n (flatten PLUS X) = (⋃ r ∈ X. lang n r)
using lang-PLUS[of n sorted-list-of-set X] sorted-list-of-set[of X] by fastforce

theorem ACI-norm-lang[simp]:
lang n <<r>> = lang n r
proof (induct r arbitrary: n)
  case (Plus r1 r2)
  moreover
  from Plus[symmetric] have lang n (Plus r1 r2) ⊆ lang n <<Plus r1 r2>>
  using toplevel-summands-in-lang[of - n <<r1>>] toplevel-summands-in-lang[of - n <<r2>>]
  by auto

```

```

ultimately show ?case by (fastforce dest!: toplevel-summands-lang)
qed auto

lemma lang-final: final r = ([] ∈ lang n r)
  using concI[of [] - []] by (induct r arbitrary: n) auto

lemma in-lang-INTERSECT:
  wf-word n w ==> w ∈ lang n (INTERSECT xs) = (∀ r ∈ set xs. w ∈ lang n r)
  by (induct xs rule: list-singleton-induct) (auto simp: wf-word)

lemma lang-flatten-INTERSECT[simp]:
  assumes finite X X ≠ {} ∀ r ∈ X. wf n r
  shows w ∈ lang n (flatten INTERSECT X) = (∀ r ∈ X. w ∈ lang n r) (is ?L
  = ?R)
proof
  assume ?L
  thus ?R using in-lang-INTERSECT[OF bspec[OF wf-lang-wf-word[OF iffD2[OF
  wf-flatten-INTERSECT]]],  

    OF assms(1,3) (?L), of sorted-list-of-set X] assms(1)
  by auto
next
  assume ?R
  with assms show ?L by (intro iffD2[OF in-lang-INTERSECT]) (auto dest:
  wf-lang-wf-word)
qed

end

```

3 Derivatives of Π -Extended Regular Expressions

```

locale embed = project Σ project
  for Σ :: nat ⇒ 'a :: linorder set
  and project :: 'a ⇒ 'a +
fixes embed :: 'a ⇒ 'a list
assumes embed: ∀ a. a ∈ Σ n ==> b ∈ set (embed a) = (b ∈ Σ (Suc n) ∧ project
b = a)
begin

```

3.1 Syntactic Derivatives

```

primrec lderiv :: 'a ⇒ 'a rexpr ⇒ 'a rexpr where
  lderiv - Zero = Zero
  | lderiv - One = Zero
  | lderiv as (Atom bs) = (if as = bs then One else Zero)
  | lderiv as (Plus r s) = Plus (lderiv as r) (lderiv as s)

```

```

| lderiv as (Times r s) =
  (let r's = Times (lderiv as r) s
   in if final r then Plus r's (lderiv as s) else r's)
| lderiv as (Star r) = Times (lderiv as r) (Star r)
| lderiv as (Not r) = Not (lderiv as r)
| lderiv as (Inter r s) = Inter (lderiv as r) (lderiv as s)
| lderiv as (Pr r) = Pr (PLUS (map (λa. lderiv a r) (embed as)))

```

```

primrec lderivs where
  lderivs [] r = r
  | lderivs (w#ws) r = lderivs ws (lderiv w r)

```

3.2 Finiteness of ACI-Equivalent Derivatives

```

lemma toplevel-summands-lderiv:
  toplevel-summands (lderiv as r) = (⋃ s ∈ toplevel-summands r. toplevel-summands
  (lderiv as s))
  by (induct r) (auto simp: Let-def)

lemma lderivs-Zero[simp]: lderivs xs Zero = Zero
  by (induct xs) auto

lemma lderivs-One: lderivs xs One ∈ {Zero, One}
  by (induct xs) auto

lemma lderivs-Atom: lderivs xs (Atom as) ∈ {Zero, One, Atom as}
proof (induct xs)
  case (Cons x xs) thus ?case by (auto intro: insertE[OF lderivs-One])
qed simp

lemma lderivs-Plus: lderivs xs (Plus r s) = Plus (lderivs xs r) (lderivs xs s)
  by (induct xs arbitrary: r s) auto

lemma lderivs-PLUS: lderivs xs (PLUS ys) = PLUS (map (lderivs xs) ys)
  by (induct ys rule: list-singleton-induct) (auto simp: lderivs-Plus)

lemma toplevel-summands-lderivs-Times: toplevel-summands (lderivs xs (Times r
s)) ⊆
  {Times (lderivs xs r) s} ∪
  {r'. ∃ ys zs. r' ∈ toplevel-summands (lderivs ys s) ∧ ys ≠ [] ∧ zs @ ys = xs}
proof (induct xs arbitrary: r s)
  case (Cons x xs)
  thus ?case by (auto simp: Let-def lderivs-Plus) (fastforce intro: exI[of - x#xs])+  

qed simp

lemma toplevel-summands-lderivs-Star-nonempty:
  xs ≠ [] ⟹ toplevel-summands (lderivs xs (Star r)) ⊆
  {Times (lderivs ys r) (Star r) | ys. ∃ zs. ys ≠ [] ∧ zs @ ys = xs}
proof (induct xs rule: length-induct)

```

```

case (1 xs)
then obtain y ys where xs = y # ys by (cases xs) auto
thus ?case using spec[OF 1(1)]
by (auto dest!: subsetD[OF toplevel-summands-lderivs-Times] intro: exI[of -
y#ys])
      (auto elim!: impE dest!: meta-spec subsetD)
qed

lemma toplevel-summands-lderivs-Star:
toplevel-summands (lderivs xs (Star r)) ⊆
{Star r} ∪ {Times (lderivs ys r) (Star r) | ys. ∃ zs. ys ≠ [] ∧ zs @ ys = xs}
by (cases xs = []) (auto dest!: toplevel-summands-lderivs-Star-nonempty)

lemma ex-lderivs-Pr: ∃ s. lderivs ass (Pr r) = Pr s
by (induct ass arbitrary: r) auto

lemma toplevel-summands-PLUS:
xs ≠ []  $\implies$  toplevel-summands (PLUS (map f xs)) = ( $\bigcup$  r ∈ set xs. toplevel-summands
(f r))
by (induct xs rule: list-singleton-induct) simp-all

lemma lderiv-toplevel-summands-Zero:
[|lderivs xs (Pr r) = Pr s; toplevel-summands r = {Zero}|]  $\implies$  toplevel-summands
s = {Zero}
proof (induct xs arbitrary: r s)
case (Cons y ys)
from Cons.prem(1) have toplevel-summands (PLUS (map (λa. lderiv a r)
(embed y))) = {Zero}
proof (cases embed y = [])
case False
show ?thesis using Cons.prem(2) unfolding toplevel-summands-PLUS[OF
False]
by (subst toplevel-summands-lderiv) (simp add: False)
qed simp
with Cons show ?case by simp
qed simp

lemma toplevel-summands-lderivs-Pr:
 [|xs ≠ []; lderivs xs (Pr r) = Pr s|]  $\implies$ 
 toplevel-summands s ⊆ {Zero} ∨ toplevel-summands s ⊆ ( $\bigcup$  xs. toplevel-summands
(lderivs xs r))
proof (induct xs arbitrary: r s)
case (Cons y ys) note * = this
show ?case
proof (cases embed y = [])
case True with Cons show ?thesis by (cases ys = []) (auto dest: lderiv-toplevel-summands-Zero)
next
case False
show ?thesis

```

```

proof (cases ys)
  case Nil with * show ?thesis
    by (auto simp: toplevel-summands-PLUS[OF False]) (metis lderivs.simps)
  next
    case (Cons z zs)
    have toplevel-summands s ⊆ {Zero} ∨ toplevel-summands s ⊆
      (⋃ xs. toplevel-summands (lderivs xs (PLUS (map (λa. lderiv a r) (embed
      y)))))) (is - ∨ ?B)
      by (rule *(1)) (auto simp: Cons *(3)[symmetric])
    thus ?thesis
    proof
      assume ?B
      also have ... ⊆ (⋃ xs. toplevel-summands (lderivs xs r))
        by (auto simp: lderivs-PLUS toplevel-summands-PLUS[OF False]) (metis
        lderivs.simps(2))
      finally show ?thesis ..
    qed blast
    qed
    qed
  qed simp

lemma lderivs-Pr:
  {lderivs xs (Pr r) | xs. True} ⊆
  {Pr s | s. toplevel-summands s ⊆ {Zero} ∨
   toplevel-summands s ⊆ (⋃ xs. toplevel-summands (lderivs xs r))} \\
  (is ?L ⊆ ?R)
  proof (rule subsetI)
    fix s assume s ∈ ?L
    then obtain xs where s = lderivs xs (Pr r) by blast
    moreover obtain t where lderivs xs (Pr r) = Pr t using ex-lderivs-Pr by blast
    ultimately show s ∈ ?R
    by (cases xs = []) (auto dest!: toplevel-summands-lderivs-Pr elim!: allE[of - []])
  qed

lemma ACI-norm-toplevel-summands-Zero: toplevel-summands r ⊆ {Zero} ==>
  <<r>> = Zero
  by (subst ACI-norm-flatten) (auto dest: subset-singletonD)

lemma ACI-norm-lderivs-Pr:
  ACI-norm ‘ {lderivs xs (Pr r) | xs. True} ⊆
  {Pr Zero} ∪ {Pr <<s>> | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands
  <<lderivs xs r>>)} \\
  proof (intro subset-trans[OF image-mono[OF lderivs-Pr]] subsetI,
    elim imageE CollectE exE conjE disjE)
    fix x x' s :: 'a rexp
    assume *: x = <<x'>> x' = Pr s and toplevel-summands s ⊆ {Zero}
    hence <<Pr s>> = Pr Zero using ACI-norm-toplevel-summands-Zero by simp
    thus x ∈ {Pr Zero} ∪
      {Pr <<s>> | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands <<lderivs xs r>>)}}

```

```

unfolding * by blast
next
  fix x x' s :: 'a rexp
  assume *: x = <<x'>> x' = Pr s and toplevel-summands s ⊆ (Union xs. toplevel-summands (lderivs xs r))
  hence toplevel-summands <<s>> ⊆ (Union xs. toplevel-summands <<lderivs xs r>>)
    by (fastforce simp: toplevel-summands-ACI-norm)
  moreover have x = Pr <<s>> unfolding * ACI-norm-idem ACI-norm.simps(9)
  ..
  ultimately show x ∈ {Pr Zero} ∪
    {Pr <<s>> | s. toplevel-summands s ⊆ (Union xs. toplevel-summands <<lderivs xs r>>)}
      by blast
qed

lemma finite-ACI-norm-toplevel-summands: finite B ==> finite {f <<s>> | s. toplevel-summands s ⊆ B}
  by (elim finite-surj[OF iffD2[OF finite-Pow-iff], of - - f o flatten PLUS o image ACI-norm])
    (auto simp: Pow-def image-Collect ACI-norm-flatten)

lemma lderivs-Not: lderivs xs (Not r) = Not (lderivs xs r)
  by (induct xs arbitrary: r) auto

lemma lderivs-Inter: lderivs xs (Inter r s) = Inter (lderivs xs r) (lderivs xs s)
  by (induct xs arbitrary: r s) auto

theorem finite-lderivs: finite {<<lderivs xs r>> | xs . True}
proof (induct r)
  case Zero show ?case by simp
next
  case One show ?case
    by (rule finite-surj[of {Zero, One}]) (blast intro: insertE[OF lderivs-One])+

next
  case (Atom as) show ?case
    by (rule finite-surj[of {Zero, One, Atom as}]) (blast intro: insertE[OF lderivs-Atom])+

next
  case (Plus r s)
  show ?case by (auto simp: lderivs-Plus intro!: finite-surj[OF finite-cartesian-product[OF Plus]])
next
  case (Times r s)
  hence finite (Union toplevel-summands ` {<<lderivs xs s>> | xs . True}) by auto
  moreover have {<<r'>> | r'. ∃ ys. r' ∈ toplevel-summands (local.lderivs ys s)} =
    {r'. ∃ ys. r' ∈ toplevel-summands <<local.lderivs ys s>>}
    unfolding toplevel-summands-ACI-norm by auto
  ultimately have fin: finite {<<r'>> | r'. ∃ ys. r' ∈ toplevel-summands (local.lderivs ys s)}
    by (fastforce intro: finite-subset[of - Union toplevel-summands ` {<<lderivs xs s>> | xs . True}])

```

```

let ?X = λxs. {Times (lderivs ys r) s | ys. True} ∪ {r'. r' ∈ (⋃ ys. toplevel-summands (lderivs ys s))}

show ?case unfolding ACI-norm-flatten
proof (rule finite-surj[of {X. ∃ xs. X ⊆ ACI-norm ‘ ?X xs} - flatten PLUS])
  show finite {X. ∃ xs. X ⊆ ACI-norm ‘ ?X xs}
    using fin by (fastforce simp: image-Un elim: finite-subset[rotated] intro:
finite-surj[OF Times(1), of - λr. Times r <<s>>])
  qed (fastforce dest!: subsetD[OF toplevel-summands-lderivs-Times] intro!: imageI)
next
case (Star r)
let ?f = λf r'. Times r' (Star (f r))
let ?X = {Star r} ∪ ?f id ‘ {r'. r' ∈ {lderivs ys r | ys. True}}
show ?case unfolding ACI-norm-flatten
proof (rule finite-surj[of {X. X ⊆ ACI-norm ‘ ?X} - flatten PLUS])
  have *: ⋀X. ACI-norm ‘ ?f (λx. x) ‘ X = ?f ACI-norm ‘ ACI-norm ‘ X by
(auto simp: image-def)
  show finite {X. X ⊆ ACI-norm ‘ ?X}
    by (rule finite-Collect-subsets)
      (auto simp: * intro!: finite-imageI[of - ?f ACI-norm] intro: finite-subset[OF
- Star])
  qed (fastforce dest!: subsetD[OF toplevel-summands-lderivs-Star] intro!: imageI)
next
case (Not r) thus ?case by (auto simp: lderivs-Not) (blast intro: finite-surj)
next
case (Inter r s)
show ?case by (auto simp: lderivs-Inter intro!: finite-surj[OF finite-cartesian-product[OF
Inter]])
next
case (Pr r)
hence *: finite (⋃ toplevel-summands ‘ {⟨lderivs xs r⟩ | xs . True}) by auto
have finite (⋃ xs. toplevel-summands ⟨lderivs xs r⟩) by (rule finite-subset[OF
- *]) auto
hence fin: finite {Pr <<s>> | s. toplevel-summands s ⊆ (⋃ xs. toplevel-summands
⟨lderivs xs r⟩)}
  by (intro finite-ACI-norm-toplevel-summands)
have {s. ∃ xs. s = ⟨lderivs xs (Pr r)⟩} = {⟨s⟩ | s. ∃ xs. s = lderivs xs (Pr r)} by auto
thus ?case using finite-subset[OF ACI-norm-lderivs-Pr, of r] fin unfolding
image-Collect by auto
qed

```

3.3 Wellformedness and language of derivatives

```

lemma wf-lderiv[simp]: wf n r ==> wf n (lderiv w r)
  by (induct r arbitrary: n w) (auto simp add: Let-def)

lemma wf-lderivs[simp]: wf n r ==> wf n (lderivs ws r)
  by (induct ws arbitrary: r) (auto intro: wf-lderiv)

```

```

lemma lQuot-map-project:
assumes as ∈ Σ n A ⊆ lists (Σ (Suc n))
shows lQuot as (map project ` A) = map project ` (⋃ a ∈ set (embed as). lQuot
a A) (is ?L = ?R)
proof (intro equalityI image-subsetI subsetI)
  fix xss assume xss ∈ ?L
  with assms obtain zss
    where zss: zss ∈ A as # xss = map project zss
    unfolding lQuot-def by fastforce
    hence xss = map project (tl zss) by auto
    with zss assms(2) show xss ∈ ?R using embed[OF project, of - n] unfolding
lQuot-def by fastforce
  next
  fix xss assume xss ∈ (⋃ a ∈ set (embed as). lQuot a A)
  with assms(1) show map project xss ∈ lQuot as (map project ` A) unfolding
lQuot-def
  by (fastforce intro!: rev-image-eqI simp: embed)
qed

lemma lang-lderiv: [wf n r; w ∈ Σ n] ⇒ lang n (lderiv w r) = lQuot w (lang n
r)
proof (induct r arbitrary: n w)
  case (Pr r)
  hence *: wf (Suc n) r ∧ w'. w' ∈ set (embed w) ⇒ w' ∈ Σ (Suc n) by (auto
simp: embed)
  from Pr(1)[OF *] lQuot-map-project[OF Pr(3) lang-subset-lists[OF *(1)]] show
?case
  by (auto simp: wf-lderiv[OF *(1)])
qed (auto simp: Let-def lang-final[symmetric])

lemma lang-lderivs: [wf n r; wf-word n ws] ⇒ lang n (lderivs ws r) = lQuots ws
(lang n r)
  by (induct ws arbitrary: r) (auto simp: lang-lderiv)

corollary lderivs-final:
assumes wf n r wf-word n ws
shows final (lderivs ws r) ←→ ws ∈ lang n r
  using lang-lderivs[OF assms] lang-final[of lderivs ws r n] by auto

abbreviation lderivs-set n r s ≡ {⟨⟨lderivs w r⟩, ⟨⟨lderivs w s⟩⟩ | w. wf-word n
w}

```

3.4 Deriving preserves ACI-equivalence

```

lemma ACI-PLUS:
  list-all2 (λr s. ⟨⟨r⟩, ⟨⟨s⟩⟩) xs ys ⇒ ⟨⟨PLUS xs⟩, ⟨⟨PLUS ys⟩⟩
proof (induct rule: list-all2-induct)
  case (Cons x xs y ys)

```

```

hence length xs = length ys by (elim list-all2-lengthD)
thus ?case using Cons by (induct xs ys rule: list-induct2) auto
qed simp

lemma toplevel-summands-ACI-norm-lderiv:
(  $\bigcup_{a \in \text{toplevel-summands } r} \text{toplevel-summands} \llbracket \text{lderiv as } \langle\langle a \rangle\rangle \rrbracket$  ) = toplevel-summands
 $\llbracket \text{lderiv as } \langle\langle r \rangle\rangle \rrbracket$ 
proof (induct r)
case (Plus r1 r2) thus ?case
unfolding toplevel-summands.simps toplevel-summands-ACI-norm
toplevel-summands-lderiv[of as  $\langle\langle \text{Plus } r1 r2 \rangle\rangle$ ] SUP-def image-Un Union-Un-distrib
by (simp add: image-UN)
qed (auto simp: Let-def)

theorem ACI-norm-lderiv:
 $\llbracket \text{lderiv as } \langle\langle r \rangle\rangle \rrbracket = \llbracket \text{lderiv as } r \rrbracket$ 
proof (induct r arbitrary: as)
case (Plus r1 r2) thus ?case
unfolding lderiv.simps ACI-norm-flatten[of lderiv as  $\langle\langle \text{Plus } r1 r2 \rangle\rangle$ ]
toplevel-summands-lderiv[of as  $\langle\langle \text{Plus } r1 r2 \rangle\rangle$ ] image-Un image-UN
by (auto simp: toplevel-summands-ACI-norm toplevel-summands-flatten-ACI-norm-image-Union)
(auto simp: toplevel-summands-ACI-norm[symmetric] toplevel-summands-ACI-norm-lderiv)
next
case (Pr r)
hence list-all2 ( $\lambda r s. \langle\langle r \rangle\rangle = \langle\langle s \rangle\rangle$ )
(map ( $\lambda a. \text{local.lderiv } a \langle\langle r \rangle\rangle$ ) (embed as)) (map ( $\lambda a. \text{local.lderiv } a \langle\langle s \rangle\rangle$ ) (embed as))
unfolding list-all2-map1 list-all2-map2 by (blast intro: list-all2-refl)
thus ?case unfolding lderiv.simps ACI-norm.simps by (blast intro: ACI-PLUS)
qed (simp-all add: Let-def)

corollary lderiv-preserves:  $\langle\langle r \rangle\rangle = \langle\langle s \rangle\rangle \implies \llbracket \text{lderiv as } r \rrbracket = \llbracket \text{lderiv as } s \rrbracket$ 
by (rule box-equals[OF - ACI-norm-lderiv ACI-norm-lderiv]) (erule arg-cong)

lemma lderivs-snoc[simp]: lderivs (ws @ [w]) r = (lderiv w (lderivs ws r))
by (induct ws arbitrary: r) auto

theorem ACI-norm-lderivs:
 $\llbracket \text{lderivs } ws \langle\langle r \rangle\rangle \rrbracket = \llbracket \text{lderivs } ws r \rrbracket$ 
proof (induct ws arbitrary: r rule: rev-induct)
case (snoc w ws) thus ?case
using ACI-norm-lderiv[of w lderivs ws r] ACI-norm-lderiv[of w lderivs ws  $\langle\langle r \rangle\rangle$ ]
by auto
qed simp

end

end

```

4 Deciding Equivalence of Π -Extended Regular Expressions

4.1 Bisimulation between languages and regular expressions

type-synonym $'a\ rexpx-pair = 'a\ list\ list\ * ('a\ list\ rexpx\ * 'a\ list\ rexpx)$
type-synonym $'a\ rexpx-pairs = 'a\ rexpx-pair\ list$

```

context alphabet
begin

context
fixes n :: nat
begin

coinductive bisimilar :: 'a lang  $\Rightarrow$  'a lang  $\Rightarrow$  bool where
K  $\subseteq$  lists ( $\Sigma$  n)  $\Rightarrow$  L  $\subseteq$  lists ( $\Sigma$  n)
 $\Rightarrow$  ( $[] \in K \longleftrightarrow [] \in L$ )
 $\Rightarrow$  ( $\bigwedge x. x \in \Sigma$  n  $\Rightarrow$  bisimilar (lQuot x K) (lQuot x L))
 $\Rightarrow$  bisimilar K L

lemma equal-if-bisimilar:
assumes K  $\subseteq$  lists ( $\Sigma$  n) L  $\subseteq$  lists ( $\Sigma$  n) bisimilar K L shows K = L
proof (rule set-eqI)
  fix w
  from assms show w  $\in$  K  $\longleftrightarrow$  w  $\in$  L
  proof (induction w arbitrary: K L)
    case Nil thus ?case by (auto elim: bisimilar.cases)
  next
    case (Cons a w K L)
    show ?case
    proof cases
      assume a  $\in$   $\Sigma$  n
      with (bisimilar K L) have bisimilar (lQuot a K) (lQuot a L)
      by (auto elim!: bisimilar.cases)
      then have w  $\in$  lQuot a K  $\longleftrightarrow$  w  $\in$  lQuot a L
      by (intro Cons.IH) (auto elim!: bisimilar.cases)
      thus ?case by (auto simp: lQuot-def)
    next
      assume a  $\notin$   $\Sigma$  n
      thus ?case using Cons.prem by fastforce
    qed
  qed
qed

lemma language-coinduct:
fixes R (infixl  $\sim$  50)

```

```

assumes  $\bigwedge K L. K \sim L \implies K \subseteq \text{lists } (\Sigma n) \wedge L \subseteq \text{lists } (\Sigma n)$ 
assumes  $K \sim L$ 
assumes  $\bigwedge K L. K \sim L \implies ([] \in K \longleftrightarrow [] \in L)$ 
assumes  $\bigwedge K L x. K \sim L \implies x : \Sigma n \implies lQuot x K \sim lQuot x L$ 
shows  $K = L$ 
apply (rule equal-if-bisimilar)
apply (rule conjunct1[OF assms(1)[OF assms(2)]])
apply (rule conjunct2[OF assms(1)[OF assms(2)]])
apply (rule bisimilar.coinduct[of R, OF `K ~ L`])
apply (auto simp: assms)
done

end

end

context embed
begin

definition is-bisimulation where
is-bisimulation n X =
 $(\forall (r,s) \in X. wf n r \wedge wf n s \wedge (\text{final } r \longleftrightarrow \text{final } s) \wedge$ 
 $(\forall a \in \Sigma n. (\ll lderiv a r \gg, \ll lderiv a s \gg) \in X))$ 

lemma bisim-lang-eq:
fixes r s :: 'a rexp
assumes bisim: is-bisimulation n X
assumes (r, s) ∈ X
shows lang n r = lang n s
proof -
let ?R =  $\lambda K L. (\exists (r,s) \in X. K = lang n r \wedge L = lang n s)$ 
show ?thesis
proof (rule language-coinduct[where R=?R])
from  $\langle (r, s) \in X \rangle$  bisim show ?R (lang n r) (lang n s)
by (auto split: prod.splits simp: is-bisimulation-def)
next
fix K L assume ?R K L
then obtain rs where rs:  $(r, s) \in X$ 
and KL:  $K = lang n r \wedge L = lang n s$  by auto
with bisim have final r  $\longleftrightarrow$  final s and wfr: wf n r and wfs: wf n s
by (auto simp: is-bisimulation-def)
thus  $[] \in K \longleftrightarrow [] \in L$ 
by (auto simp: lang-final[of r n] lang-final[of s n] KL)

next case, but shared context
from bisim rs KL lang-subset-lists
show K ⊆ lists (Σ n) ∧ L ⊆ lists (Σ n)
unfolding is-bisimulation-def by fastforce

next case, but shared context

```

```

fix a assume *:  $a \in \Sigma n$ 
with rs bisim have witness: ( $\llangle lderiv a r \rrangle, \llangle lderiv a s \rrangle) \in X$ 
  by (fastforce simp: is-bisimulation-def)
show ?R (lQuot a K) (lQuot a L)
  using KL ACI-norm-lang lang-lderiv[OF wfr *] lang-lderiv[OF wfs *]
  by (blast intro!: bexI[OF - witness])
qed
qed

lemma lderivs-lang-eq:
fixes r s :: 'a rexp
assumes wf n r wf n s
shows  $(\forall (r, s) \in lderivs\text{-set } n \llangle r \rrangle \llangle s \rrangle. final r = final s) = (lang n r = lang n s)$  (is ?L = ?R)
proof
assume ?L
hence  $\forall (r, s) \in lderivs\text{-set } n \llangle r \rrangle \llangle s \rrangle. wf n r \wedge wf n s \wedge (final r \longleftrightarrow final s)$ 
  using assms by (auto simp add: ACI-norm-lderivs)
moreover
{ fix r' s' w assume  $(r', s') \in lderivs\text{-set } n r s$  and *:  $w \in \Sigma n$ 
  then obtain ws where ws:  $wf\text{-word } n ws r' = \llangle lderivs ws r \rrangle \quad s' = \llangle lderivs ws s \rrangle$  by auto
    with * have  $(\llangle lderiv w r' \rrangle, \llangle lderiv w s' \rrangle) = (\llangle lderivs (ws @ [w]) r \rrangle, \llangle lderivs (ws @ [w]) s \rrangle)$ 
    by (auto simp: ACI-norm-lderiv)
    hence  $(\llangle lderiv w r' \rrangle, \llangle lderiv w s' \rrangle) \in lderivs\text{-set } n r s$ 
      using * ws(1) by (auto intro!: imageI exI[of - ws @ [w]])
  }
ultimately have is-bisimulation n (lderivs-set n  $\llangle r \rrangle \llangle s \rrangle$ )
  unfolding is-bisimulation-def by (auto simp: ACI-norm-lderivs)
hence lang n  $\llangle r \rrangle = lang n \llangle s \rrangle$  by (intro bisim-lang-eq) (auto intro: exI[of - []])
thus ?R by (rule box-equals[OF - ACI-norm-lang ACI-norm-lang])
next
assume ?R thus ?L using assms lang-lderivs lang-final by (auto simp: ACI-norm-lderivs)
metis+
qed
end

```

4.2 Different normalization function

```

locale normalizer = embed  $\Sigma$  project embed
for  $\Sigma :: nat \Rightarrow 'a :: linorder set$ 
and project ::  $'a \Rightarrow 'a$ 
and embed ::  $'a \Rightarrow 'a list +$ 
fixes norm ::  $'a :: linorder rexp \Rightarrow 'c$ 
and nlang ::  $nat \Rightarrow 'c \Rightarrow 'a list set$ 
assumes lang-norm:  $wf n r \implies nlang n (norm r) = lang n r$ 

```

```

begin

abbreviation nfinal n r ≡ ([] ∈ nlang n r)

lemma nfinal-final: wf n r ==> nfinal n (norm r) = final r
  using lang-final lang-norm by blast

definition norms ≡ (%(r,s). (norm r, norm s))

lemma finite-norm: finite {norm «lderivs xs r» | xs . True}
  by (rule finite-surj[OF finite-lderivs, of - norm]) auto

lemma finite-norm-lderivs: finite (norms ` (lderivs-set n r s))
  by (intro finite-subset[OF - finite-cartesian-product[OF finite-norm finite-norm]]) (auto simp: norms-def)

definition is-nbisimulation where
is-nbisimulation n X =
  (forall (r,s) ∈ X. wf n r ∧ wf n s ∧ (final r ↔ final s) ∧
  (forall a ∈ Σ n. (norm «lderiv a r», norm «lderiv a s») ∈ norms ` X))

lemma nbisim-lang-eq:
  fixes r s :: 'a rexp
  assumes nbisim: is-nbisimulation n X
  assumes (r, s) ∈ X
  shows lang n r = lang n s
proof -
  let ?R = λK L. (exists (r,s) ∈ norms ` X. K = nlang n r ∧ L = nlang n s)
  show ?thesis
  proof (rule language-coinduct[where R=?R])
    from ⟨(r, s) ∈ X⟩ nbisim show ?R (lang n r) (lang n s)
    by (auto split: prod.splits simp: lang-norm norms-def is-nbisimulation-def)
next
  fix K L assume ?R K L
  then obtain r s where rs: (r, s) ∈ X
    and KL: K = nlang n (norm r) L = nlang n (norm s) by (auto simp: norms-def)
  with nbisim have final r ↔ final s and wfr: wf n r and wfs: wf n s
    by (auto simp: is-nbisimulation-def)
  thus [] ∈ K ↔ [] ∈ L
    by (auto simp: lang-norm[OF wfr] lang-norm[OF wfs] lang-final[of r n]
      lang-final[of s n] KL)
next case, but shared context
from nbisim rs KL lang-subset-lists
show K ⊆ lists (Σ n) ∧ L ⊆ lists (Σ n)
  unfolding is-nbisimulation-def lang-norm[OF wfr] lang-norm[OF wfs] by
fastforce
next case, but shared context

```

```

fix a assume *:  $a \in \Sigma n$ 
with rs nbisim have witness: ( $\text{norm} \llbracket \text{lDeriv } a r \rrbracket, \text{norm} \llbracket \text{lDeriv } a s \rrbracket) \in \text{norms}$ 
` X
  by (fastforce simp: is-nbisimulation-def)
show ?R (lQuot a K) (lQuot a L)
  using KL[unfolded lang-norm[OF wfr] lang-norm[OF wfs]]
    trans[OF lang-norm[OF iffD2[OF ACI-norm-wf, OF wf-lDeriv[OF wfr]]]
      ACI-norm-lang]
    trans[OF lang-norm[OF iffD2[OF ACI-norm-wf, OF wf-lDeriv[OF wfs]]]
      ACI-norm-lang]
    lang-lDeriv[OF wfr *] lang-lDeriv[OF wfs *]
  by (blast intro!: bexI[OF - witness])
qed
qed

lemma norm-lDerivs-lang-eq:
fixes r s :: 'a rexp
assumes wf n r wf n s
shows  $(\forall (r, s) \in \text{norms} \text{` lDerivs-set } n \llbracket r \rrbracket \llbracket s \rrbracket. \text{nfinal } n r = \text{nfinal } n s) = (\text{lang } n r = \text{lang } n s)$ 
  by (rule trans[OF - lDerivs-lang-eq[OF assms]]) (fastforce simp: norms-def assms
nfinal-final)

end

Closure computation

primrec remdups' where
  remdups' f [] = []
| remdups' f (x # xs) =
  (case List.find (λy. f x = f y) xs of None ⇒ x # remdups' f xs | - ⇒ remdups' f xs)

lemma map-remdups'[simp]: map f (remdups' f xs) = remdups (map f xs)
  by (induct xs) (auto split: option.splits simp add: find-Some-iff find-None-iff)

lemma remdups'-map[simp]: remdups' f (map g xs) = map g (remdups' (f o g) xs)
  by (induct xs) (auto split: option.splits simp add: find-None-iff,
    auto simp: find-Some-iff elim: imageI[OF nth-mem])

lemma map-apfst-remdups':
  map (f o fst) (remdups' snd xs) = map fst (remdups' snd (map (apfst f) xs))
  by (auto simp: comp-def)

lemma set-remdups'[simp]: f ` set (remdups' f xs) = f ` set xs
  by (induct xs) (auto split: option.splits simp add: find-Some-iff)

lemma subset-remdups': set (remdups' f xs) ⊆ set xs
  by (induct xs) (auto split: option.splits)

```

```

lemma find-append[simp]:
  List.find P (xs @ ys) = None = (List.find P xs = None ∧ List.find P ys = None)
  by (induct xs) auto

lemma subset-remdups'-append: set (remdups' f (xs @ ys)) ⊆ set (remdups' f xs)
  ∪ set (remdups' f ys)
  by (induct xs arbitrary: ys) (auto split: option.splits)

lemmas mp-remdups' = set-mp[OF subset-remdups']
lemmas mp-remdups'-append = set-mp[OF subset-remdups'-append]

lemma inj-on-set-remdups'[simp]: inj-on f (set (remdups' f xs))
  by (induct xs) (auto split: option.splits simp add: find-None-iff dest!: mp-remdups')

lemma distinct-remdups'[simp]: distinct (map f (remdups' f xs))
  by (induct xs) (auto split: option.splits simp: find-None-iff)

lemma distinct-remdups'-strong: (∀x∈set xs. ∀y∈set xs. g x = g y → f x = f y) ⇒
  distinct (map g (remdups' f xs))
proof (induct xs)
  case (Cons x xs) thus ?case
    by (auto split: option.splits) (fastforce simp: find-None-iff dest!: mp-remdups')
qed simp

lemma set-remdups'-strong: (∀x∈set xs. ∀y∈set xs. g x = g y → f x = f y) ⇒
  f ` set (remdups' g xs) = f ` set xs
proof (induct xs)
  case (Cons x xs) thus ?case
    by (clarify split: option.splits simp add: find-Some-iff)
      (intro insert-absorb[symmetric] image-eqI[OF - nth-mem, of - f xs], auto)
qed simp

fun test where test (ws, -, -) = (case ws of [] ⇒ False | (w,p,q) #-> final p = final q)
fun test' where test' (ws, -) = (case ws of [] ⇒ False | (p,q) #-> final p = final q)

locale equivalence-checker =
  fixes σ :: nat ⇒ 'a :: linorder list
  and π :: 'a ⇒ 'a
  and ε :: 'a ⇒ 'a list
  and norm :: 'a rexp ⇒ 'c
  and nlang :: nat ⇒ 'c ⇒ 'a list set
  assumes norm: normalizer (set ∘ σ) π ε norm nlang

sublocale equivalence-checker ⊆ normalizer set ∘ σ π ε

```

```

by (rule norm)

context equivalence-checker
begin

fun step where step n (ws, ps, N) =
  (let
    (w, r, s) = hd ws;
    ps' = (r, s) # ps;
    succs = map (λa.
      let
        r' = <<lderiv a r>>;
        s' = <<lderiv a s>>
        in ((a # w, r', s'), (norm r', norm s'))) (σ n);
      new = remdups' snd (filter (λ(-, rs). rs ∉ N) succs);
      ws' = tl ws @ map fst new;
      N' = set (map snd new) ∪ N
      in (ws', ps', N'))
  )

fun step' where step' n (ws, N) =
  (let
    (r, s) = hd ws;
    succs = map (λa.
      let
        r' = <<lderiv a r>>;
        s' = <<lderiv a s>>
        in ((r', s'), (norm r', norm s'))) (σ n);
      new = remdups' snd (filter (λ(-, rs). rs ∉ N) succs)
      in (tl ws @ map fst new, set (map snd new) ∪ N))

lemma step-unfold: step n (w # ws, ps, N) = (ws', ps', N')  $\implies$  ( $\exists$  xs r s.
  w = (xs, r, s)  $\wedge$  ps' = (r, s) # ps  $\wedge$ 
  ws' = ws @ remdups' (norms o snd) (filter (λ(-, p). norms p ∉ N))
  (map (λa. (a#xs, <<lderiv a r>>, <<lderiv a s>>)) (σ n)))  $\wedge$ 
  N' = set (map (λa. (norm <<lderiv a r>>, norm <<lderiv a s>>)) (σ n)) ∪ N)
by (auto split: prod.splits dest!: mp-remdups'
simp: Let-def norms-def filter-map set-n-lists image-Collect image-image comp-def

```

definition closure where closure $n = \text{while-option test}$ (step n)
definition closure' where closure' $n = \text{while-option test}'$ (step' n)

definition pre-bisim where

$\text{pre-bisim } n \ r \ s = (\lambda(ws, ps, N).$

$(\langle\langle r \rangle\rangle, \langle\langle s \rangle\rangle) \in \text{snd} \text{ ' set ws} \cup \text{set ps} \wedge$

$\text{distinct}(\text{map snd ws} @ ps) \wedge$

$\text{bij-betw norms}(\text{set}(\text{map snd ws} @ ps)) \ N \wedge$

$(\forall(w, r', s') \in \text{set ws}. \langle\langle lderivs (rev w) r \rangle\rangle = r' \wedge \langle\langle lderivs (rev w) s \rangle\rangle = s' \wedge$

$\text{wf-word } n \ (rev w) \wedge \text{wf } n \ r' \wedge \text{wf } n \ s') \wedge$

$(\forall(r', s') \in \text{set ps}. (\exists w. \langle\langle lderivs w r \rangle\rangle = r' \wedge \langle\langle lderivs w s \rangle\rangle = s')) \wedge$

$\text{wf } n \ r' \wedge \text{wf } n \ s' \wedge (\text{final } r' \longleftrightarrow \text{final } s') \wedge$
 $(\forall a \in \text{set } (\sigma \ n). (\text{norm } \llangle \text{l deriv } a \ r' \rrangle, \text{norm } \llangle \text{l deriv } a \ s' \rrangle) \in N))$

lemma *pre-bisim-start*:

$\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{pre-bisim } n \ r \ s \ ([([[], \llangle r \rrangle, \llangle s \rrangle)], [], \{\text{norm } \llangle r \rrangle, \text{norm } \llangle s \rrangle\})$
by (auto simp add: pre-bisim-def bij-betw-def norms-def)

lemma *step-mono*:

assumes $\text{step } n \ (ws, ps, N) = (ws', ps', N')$
shows $\text{snd} \setminus \text{set ws} \cup \text{set ps} \subseteq \text{snd} \setminus \text{set ws}' \cup \text{set ps}'$
using assms proof (intro subsetI, elim UnE)
fix x **assume** $x \in \text{snd} \setminus \text{set ws}$
with assms show $x \in \text{snd} \setminus \text{set ws}' \cup \text{set ps}'$
proof (cases $x = \text{snd} \ (hd \ ws)$)
case False **with** $(x \in \text{image } \text{snd} \ (\text{set ws}))$ **have** $x \in \text{snd} \setminus \text{set} \ (\text{tl ws})$ **by** (cases ws) auto
with assms show ?thesis **by** (auto split: prod.splits simp: Let-def)
qed (auto split: prod.splits simp: Let-def)
qed (auto split: prod.splits simp: Let-def)

lemma *pre-bisim-step*: $\text{pre-bisim } n \ r \ s \ st \implies \text{test } st \implies \text{pre-bisim } n \ r \ s \ (\text{step } n \ st)$

proof (unfold pre-bisim-def, (split prod.splits)+, elim prod-caseE conjE, clarify, intro allI impI conjI)
fix $ws \ ps \ N \ ws' \ ps' \ N'$
assume $\text{test}: \text{test } (ws, ps, N)$
and $\text{step}: \text{step } n \ (ws, ps, N) = (ws', ps', N')$
and $\text{rs}: (\llangle r \rrangle, \llangle s \rrangle) \in \text{snd} \setminus \text{set ws} \cup \text{set ps}$
and $\text{distinct}: \text{distinct } (\text{map } \text{snd } ws @ ps)$
and $\text{bij}: \text{bij-betw norms } (\text{set } (\text{map } \text{snd } ws @ ps)) \ N$
and $\text{ws}: \forall (w, r', s') \in \text{set ws}. \llangle \text{l derivs } (rev w) \ r' \rrangle = r' \wedge \llangle \text{l derivs } (rev w) \ s' \rrangle = s' \wedge$
 $\text{wf-word } n \ (rev w) \wedge \text{wf } n \ r' \wedge \text{wf } n \ s'$
 $(\text{is } \forall (w, r', s') \in \text{set ws}. \text{?ws } w \ r' \ s')$
and $\text{ps}: \forall (r', s') \in \text{set ps}. (\exists w. \llangle \text{l derivs } w \ r' \rrangle = r' \wedge \llangle \text{l derivs } w \ s' \rrangle = s') \wedge$
 $\text{wf } n \ r' \wedge \text{wf } n \ s' \wedge (\text{final } r' \longleftrightarrow \text{final } s') \wedge$
 $(\forall a \in \text{set } (\sigma \ n). (\text{norm } \llangle \text{l deriv } a \ r' \rrangle, \text{norm } \llangle \text{l deriv } a \ s' \rrangle) \in N)$
 $(\text{is } \forall (r, s) \in \text{set ps}. \text{?ps } r \ s \ N)$
from test **obtain** $x \ xs$ **where** $ws\text{-Cons}: ws = x \# xs$ **by** (cases ws) auto
obtain $w \ r' \ s'$ **where** $x: x = (w, r', s')$ **and** $ps': ps' = (r', s') \ # ps$
and $ws': ws' = xs @ \text{remdups}' (\text{norms } o \ \text{snd}) (\text{filter } (\lambda(-, p). \text{norms } p \notin N))$
 $(\text{map } (\lambda a. (a \ # w, \llangle \text{l deriv } a \ r' \rrangle, \llangle \text{l deriv } a \ s' \rrangle)) (\sigma \ n))$
and $N': N' = (\text{set } (\text{map } (\lambda a. (\text{norm } \llangle \text{l deriv } a \ r' \rrangle, \text{norm } \llangle \text{l deriv } a \ s' \rrangle)) (\sigma \ n)) - N) \cup N$
using step-unfold[*OF* step[unfolded ws-Cons]] **by** blast
hence $ws'ps': \text{set } (\text{map } \text{snd } ws' @ ps') = \text{set } (\text{remdups}' \text{norms } (\text{filter } (\lambda p. \text{norms } p \notin N))$
 $(\text{map } (\lambda a. (\llangle \text{l deriv } a \ r' \rrangle, \llangle \text{l deriv } a \ s' \rrangle)) (\sigma \ n))) \cup (\text{set } (\text{map } \text{snd } ws @ ps))$

```

unfolding ws' ps' ws-Cons x by (auto dest!: mp-remdups' simp: filter-map
image-image image-Un o-def)
from rs step show ( $\langle\langle r \rangle\rangle, \langle\langle s \rangle\rangle \in \text{snd} \cup \text{set ws}' \cup \text{set ps}'$ ) by (blast dest: step-mono)

from distinct ps' ws' ws-Cons x bij show distinct (map snd ws' @ ps')
by (auto simp: bij-betw-def
intro!: imageI[of - - norms] distinct-remdups'-strong
dest!: mp-remdups'
elim: image-eqI[of - snd, OF sym[OF snd-conv]])

from ps' ws' N' ws x bij show bij-betw norms (set (map snd ws' @ ps')) N'
unfolding ws'ps' N' by (intro bij-betw-combine[OF - bij]) (auto simp: bij-betw-def
norms-def)

from ws x ws-Cons have wr's': ?ws w r' s' by auto
with ws ws-Cons show  $\forall (w, r', s') \in \text{set ws}'. ?ws w r' s'$  unfolding ws'
by (auto dest!: mp-remdups' simp: ACI-norm-lderiv elim!: set-mp)

from ps wr's' test[unfolded ws-Cons x] show  $\forall (r', s') \in \text{set ps}'. ?ps r' s' N'$ 
unfolding ps' N'
by (fastforce simp: image-Collect)
qed

lemma step-commute: ws  $\neq [] \implies (\text{case step } n (ws, ps, N) \text{ of } (ws', ps', N') \Rightarrow$ 
 $(\text{map snd ws}', N')) = \text{step}' n (\text{map snd ws}, N)$ 
apply (auto split: prod.splits)
apply (auto simp only: step.simps step'.simp Let-def map-apfst-remdups' filter-map
List.map.compositionality[unfolded comp-def] apfst-def map-pair-def snd-conv id-def)
apply (auto simp: filter-map comp-def map-tl hd-map)
apply (intro image-eqI, auto) +
done

lemma closure-closure':
Option.map ( $\lambda(ws, ps, N). (\text{map snd ws}, N)$ ) (closure n (ws, ps, N)) =
closure' n (map snd ws, N)
unfolding closure-def closure'-def
by (rule trans[OF while-option-commute[of - test' - - step' n]])
(auto split: list.splits simp del: step.simps step'.simp List.map.simps simp:
step-commute)

theorem closure-sound:
assumes result: closure n ([[],  $\langle\langle r \rangle\rangle, \langle\langle s \rangle\rangle$ ], [], {((norm  $\langle\langle r \rangle\rangle$ , norm  $\langle\langle s \rangle\rangle$ ))}) =
Some([], ps, N)
and wf: wf n r wf n s
shows lang n r = lang n s
proof -
from pre-bisim-step pre-bisim-start[OF wf] have pre-bisim-ps: pre-bisim n r s
([], ps, N)
by (rule while-option-rule[OF - result[unfolded closure-def]])

```

```

then have is-nbisimulation n (set ps) ( $\langle\langle r \rangle\rangle$ ,  $\langle\langle s \rangle\rangle$ )  $\in$  set ps
  by (auto simp: bij-betw-def pre-bisim-def is-nbisimulation-def in-lists-conv-set
norms-def)
  hence lang n  $\langle\langle r \rangle\rangle$  = lang n  $\langle\langle s \rangle\rangle$ 
  by (intro nbisim-lang-eq image-eqI) auto
  thus lang n r = lang n s unfolding ACI-norm-lang .
qed

theorem closure'-sound:
assumes result: closure' n ([( $\langle\langle r \rangle\rangle$ ,  $\langle\langle s \rangle\rangle$ )], {(norm  $\langle\langle r \rangle\rangle$ , norm  $\langle\langle s \rangle\rangle$ )})) = Some([], N)
and wf: wf n r wf n s
shows lang n r = lang n s
  using wf trans[OF closure-closure'[of n [([],  $\langle\langle r \rangle\rangle$ ,  $\langle\langle s \rangle\rangle$ )] [] {(norm  $\langle\langle r \rangle\rangle$ , norm  $\langle\langle s \rangle\rangle$ )}], simplified]
    result, unfolded option-map-eq-Some
  by (auto dest: closure-sound)

theorem closure-termination:
assumes wf: wf n r wf n s
and cl: closure n ([[],  $\langle\langle r \rangle\rangle$ ,  $\langle\langle s \rangle\rangle$ ]), [], {(norm  $\langle\langle r \rangle\rangle$ , norm  $\langle\langle s \rangle\rangle$ )})) = None (is ?cl = None)
shows False
proof -
  let ?D = {norm `lderivs xs r` | xs . True}  $\times$  {norm `lderivs xs s` | xs . True}
  let ?X =  $\lambda ps. ?D - norms` set ps$ 
  let ?f =  $\lambda(ws, ps, N). card(?X ps)$ 
  have  $\exists st. ?cl = Some st$  unfolding closure-def
  proof (rule measure-while-option-Some[of pre-bisim n r s - - ?f], intro conjI)
    fix st assume pre-bisim: pre-bisim n r s st and test st
    hence pre-bisim-step: pre-bisim n r s (step n st) by (rule pre-bisim-step)
    obtain ws ps N where st: st = (ws, ps, N) by (cases st) blast
    hence finite (?X ps) by (blast intro: finite-cartesian-product finite-norm)
    moreover obtain ws' ps' N' where step: step n (ws, ps, N) = (ws', ps', N')
      by (cases step n (ws, ps, N)) blast
    moreover
    { have norms ` set ps  $\subseteq$  ?D using pre-bisim[unfolded st pre-bisim-def]
      by (auto simp: norms-def ACI-norm-lderivs)
      moreover
      have norms ` set ps'  $\subseteq$  ?D using pre-bisim-step[unfolded st step pre-bisim-def]
        by (auto simp: norms-def ACI-norm-lderivs)
      moreover
      { have distinct (map snd ws @ ps) inj-on norms (set (map snd ws @ ps))
        using pre-bisim[unfolded st pre-bisim-def] by (auto simp: bij-betw-def)
        hence distinct (map norms (map snd ws @ ps)) unfolding distinct-map ..
        hence norms ` set ps  $\subset$  norms ` set (snd (hd ws) # ps) using (test st) st
          by (cases ws) auto
        moreover have norms ` set ps' = norms ` set (snd (hd ws) # ps)
      }
    }
  
```

```

        using step by (auto split: prod.splits)
        ultimately have norms ` set ps ⊂ norms ` set ps' by simp
    }
    ultimately have ?X ps' ⊂ ?X ps by (auto simp add: image-set simp del:
set-map)
}
ultimately show ?f (step n st) < ?f st unfolding st step
using psubset-card-mono[of ?X ps ?X ps'] by simp
qed (auto simp add: pre-bisim-start[OF wf] pre-bisim-step)
thus False using cl by auto
qed

theorem closure'-termination:
assumes wf: wf n r wf n s
and cl: closure' n ([(<<r>>, <<s>>)], {(norm <<r>>, norm <<s>>)}) = None
shows False
using wf trans[OF closure-closure'[of n [([], <<r>>, <<s>>)]] [] {(norm <<r>>, norm <<s>>)}, simplified]
cl, unfolded option-map-is-None]
by (auto intro: closure-termination)

theorem closure-complete:
assumes eq: lang n r = lang n s
and wf: wf n r wf n s
shows ∃ ps N. closure n ([([], <<r>>, <<s>>)], [], {(norm <<r>>, norm <<s>>)}) = Some([], ps, N)
(is ∃ - -. ?cl = -)
proof (cases ?cl)
case (Some st)
moreover obtain ws ps N where ws-ps-N: st = (ws, ps, N) by (cases st) blast
ultimately show ?thesis
proof (cases ws)
case (Cons wrs ws)
then obtain w r' s' where wrs: wrs = (w, r', s') by (cases wrs) blast
with ws-ps-N Cons have final r' ≠ final s'
using while-option-stop2[OF Some[unfolded closure-def]] by simp
moreover
from pre-bisim-step pre-bisim-start[OF wf] have pre-bisim-ps: pre-bisim n r s
st
by (rule while-option-rule[OF - Some[unfolded closure-def]])
hence <<lderivs (rev w) r>> = r' <<lderivs (rev w) s>> = s' wf-word n (rev w)
unfolding ws-ps-N Cons wrs pre-bisim-def ACI-norm-lderivs by auto
ultimately show ?thesis using eq wf lderivs-final by auto
qed blast
qed (auto intro: closure-termination[OF wf])

theorem closure'-complete:
assumes eq: lang n r = lang n s
and wf: wf n r wf n s

```

```

shows  $\exists N.$   $\text{closure}' n ([\langle\langle r \rangle\rangle, \langle\langle s \rangle\rangle]), \{(norm \langle\langle r \rangle\rangle, norm \langle\langle s \rangle\rangle)\} = Some(\[], N)$ 
using assms  $\text{closure-closure}'[\text{of } n ([\[], \langle\langle r \rangle\rangle, \langle\langle s \rangle\rangle)] \sqcup \{(norm \langle\langle r \rangle\rangle, norm \langle\langle s \rangle\rangle)\},$ 
symmetric]
by (auto dest!: closure-complete)

```

The overall procedure

```
definition check-eqv where
```

```

check-eqv n r s  $\longleftrightarrow wf n r \wedge wf n s \wedge$ 
 $(let r' = \langle\langle r \rangle\rangle; s' = \langle\langle s \rangle\rangle in (case \text{closure} n ([\[], r', s']), \[], \{(norm r', norm s')\})$ 
of
 $Some(\[], -) \Rightarrow True \mid - \Rightarrow False))$ 

```

```
definition check-eqv-counterexample where
```

```

check-eqv-counterexample n r s =
 $(let r' = \langle\langle r \rangle\rangle; s' = \langle\langle s \rangle\rangle in (case \text{closure} n ([\[], r', s']), \[], \{(norm r', norm s')\})$ 
of
 $Some(\[], -) \Rightarrow None \mid Some((w, -, -) \# -, -) \Rightarrow Some w))$ 

```

```
definition check-eqv' where
```

```

check-eqv' n r s  $\longleftrightarrow wf n r \wedge wf n s \wedge$ 
 $(let r' = \langle\langle r \rangle\rangle; s' = \langle\langle s \rangle\rangle in (case \text{closure}' n ([r', s']), \{(norm r', norm s')\})$  of
 $Some(\[], -) \Rightarrow True \mid - \Rightarrow False))$ 

```

```
lemma check-eqv-check-eqv': check-eqv n r s = check-eqv' n r s
```

```
unfolding check-eqv-def check-eqv'-def Let-def
```

```
using closure-closure'[ $\text{of } n ([\[], \langle\langle r \rangle\rangle, \langle\langle s \rangle\rangle)] \sqcup \{(norm \langle\langle r \rangle\rangle, norm \langle\langle s \rangle\rangle)\}$ , symmetric]
```

```
by (auto split: option.splits list.splits)
```

```
lemma soundness:
```

```
assumes check-eqv n r s
```

```
shows lang n r = lang n s
```

```
using closure-sound assms by (auto simp: check-eqv-def Let-def split: option.splits list.splits)
```

```
lemma soundness':
```

```
assumes check-eqv' n r s
```

```
shows lang n r = lang n s
```

```
using soundness check-eqv-check-eqv' assms by auto
```

```
lemma completeness:
```

```
assumes lang n r = lang n s wf n r wf n s
```

```
shows check-eqv n r s
```

```
using closure-complete[ $\text{OF assms}$ ] assms(2,3) by (auto simp: check-eqv-def)
```

```
lemma completeness':
```

```
assumes lang n r = lang n s wf n r wf n s
```

```
shows check-eqv' n r s
```

```
using completeness check-eqv-check-eqv' assms by auto
```

```
end
```

```
end
```

5 Normalization of Π -Extended Regular Expressions

5.1 Normalizing Constructors

```
lemma not-less-Zero[elim!]: r < Zero  $\implies$  P
  by (induct r) (auto simp: less-rexp-def)

fun nPlus :: 'a::linorder rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  nPlus Zero r = r
| nPlus r Zero = r
| nPlus (Plus r1 r2) (Plus s1 s2) =
  (if r1 < s1 then Plus r1 (nPlus r2 (Plus s1 s2))
   else if s1 < r1 then Plus s1 (nPlus (Plus r1 r2) s2)
   else nPlus (Plus r1 r2) s2)
| nPlus (Plus r1 r2) s =
  (if s = Not Zero then Not Zero
   else if r1 < s then Plus r1 (nPlus r2 s)
   else if s < r1 then Plus s (Plus r1 r2)
   else Plus r1 r2)
| nPlus r (Plus s1 s2) =
  (if r = Not Zero then Not Zero
   else if r < s1 then Plus r (Plus s1 s2)
   else if s1 < r then Plus s1 (nPlus r s2)
   else Plus s1 s2)
| nPlus r s =
  (if r = Not Zero  $\vee$  s = Not Zero then Not Zero
   else if r < s then Plus r s
   else if s < r then Plus s r
   else r)

fun nTimes :: 'a rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  nTimes Zero - = Zero
| nTimes - Zero = Zero
| nTimes One r = r
| nTimes r One = r
| nTimes (Times r s) t = Times r (nTimes s t)
| nTimes r s = Times r s

fun nStar :: 'a rexp  $\Rightarrow$  'a rexp
where
```

```

nStar Zero = One
| nStar One = One
| nStar (Star r) = nStar r
| nStar r = Star r

fun nInter :: 'a::linorder rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  nInter Zero - = Zero
  | nInter - Zero = Zero
  | nInter (Inter r1 r2) (Inter s1 s2) =
    (if r1 < s1 then Inter r1 (nInter r2 (Inter s1 s2))
     else if s1 < r1 then Inter s1 (nInter (Inter r1 r2) s2)
     else nInter (Inter r1 r2) s2)
  | nInter (Inter r1 r2) s =
    (if s = Not Zero then Inter r1 r2
     else if r1 < s then Inter r1 (nInter r2 s)
     else if s < r1 then Inter s (Inter r1 r2)
     else Inter r1 r2)
  | nInter r (Inter s1 s2) =
    (if r = Not Zero then Inter s1 s2
     else if r < s1 then Inter r (Inter s1 s2)
     else if s1 < r then Inter s1 (nInter r s2)
     else Inter s1 s2)
  | nInter r s =
    (if r = Not Zero then s
     else if s = Not Zero then r
     else if r < s then Inter r s
     else if s < r then Inter s r
     else r)

fun nNot :: 'a::linorder rexp  $\Rightarrow$  'a rexp
where
  nNot (Not r) = r
  | nNot (Plus r s) = nInter (nNot r) (nNot s)
  | nNot (Inter r s) = nPlus (nNot r) (nNot s)
  | nNot r = Not r

fun nPr :: 'a rexp  $\Rightarrow$  'a rexp
where
  nPr Zero = Zero
  | nPr One = One
  | nPr (Plus r s) = Plus (nPr r) (nPr s)
  | nPr (Times r s) = Times (nPr r) (nPr s)
  | nPr (Star r) = Star (nPr r)
  | nPr r = Pr r

fun norm :: ('a::linorder) rexp  $\Rightarrow$  'a rexp where
  norm Zero = Zero
  | norm One = One

```

```

| norm (Atom a) = Atom a
| norm (Plus r s) = nPlus (norm r) (norm s)
| norm (Times r s) = nTimes (norm r) (norm s)
| norm (Star r) = nStar (norm r)
| norm (Not r) = nNot (norm r)
| norm (Inter r s) = nInter (norm r) (norm s)
| norm (Pr r) = nPr (norm r)

context alphabet
begin

lemma wf-nPlus[simp]:  $\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{wf } n \ (\text{nPlus } r \ s)$ 
  by (induct r s rule: nPlus.induct) auto

lemma wf-nTimes[simp]:  $\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{wf } n \ (\text{nTimes } r \ s)$ 
  by (induct r s rule: nTimes.induct) auto

lemma wf-nStar[simp]:  $\text{wf } n \ r \implies \text{wf } n \ (\text{nStar } r)$ 
  by (induct r rule: nStar.induct) auto

lemma wf-nInter[simp]:  $\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{wf } n \ (\text{nInter } r \ s)$ 
  by (induct r s rule: nInter.induct) auto

lemma wf-nNot[simp]:  $\text{wf } n \ r \implies \text{wf } n \ (\text{nNot } r)$ 
  by (induct r rule: nNot.induct) auto

lemma wf-nPr[simp]:  $\text{wf } (\text{Suc } n) \ r \implies \text{wf } n \ (\text{nPr } r)$ 
  by (induct r rule: nPr.induct) auto

lemma wf-norm[simp]:  $\text{wf } n \ r \implies \text{wf } n \ (\text{norm } r)$ 
  by (induct r arbitrary: n) auto

end

context project
begin

lemma Plus-Not-Zero:
   $\text{wf } n \ r \implies \text{lang } n \ (\text{Plus } (\text{Not Zero}) \ r) = \text{lang } n \ (\text{Not Zero})$ 
   $\text{wf } n \ r \implies \text{lang } n \ (\text{Plus } r \ (\text{Not Zero})) = \text{lang } n \ (\text{Not Zero})$ 
  by (auto dest!: lang-subset-lists)

lemma Inter-Not-Zero:
   $\text{wf } n \ r \implies \text{lang } n \ (\text{Inter } (\text{Not Zero}) \ r) = \text{lang } n \ r$ 
   $\text{wf } n \ r \implies \text{lang } n \ (\text{Inter } r \ (\text{Not Zero})) = \text{lang } n \ r$ 
  by (auto dest!: lang-subset-lists)

lemma lang-nPlus[simp]:  $\llbracket \text{wf } n \ r; \text{wf } n \ s \rrbracket \implies \text{lang } n \ (\text{nPlus } r \ s) = \text{lang } n \ (\text{Plus } r \ s)$ 

```

```

by (induct r s rule: nPlus.induct)
  (auto, auto dest!: lang-subset-lists dest: project
    subsetD[OF conc-subset-lists, unfolded in-lists-conv-set, rotated -1]
    subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1])

lemma lang-nTimes[simp]: lang n (nTimes r s) = lang n (Times r s)
  by (induct r s rule: nTimes.induct) (auto simp: conc-assoc conc-Un-distrib)

lemma lang-nStar[simp]: lang n (nStar r) = lang n (Star r)
  by (induct r rule: nStar.induct) auto

lemma lang-nInter[simp]: [wf n r; wf n s] ==> lang n (nInter r s) = lang n (Inter
r s)
  by (induct r s rule: nInter.induct)
  (auto, auto dest!: lang-subset-lists dest: project
    subsetD[OF conc-subset-lists, unfolded in-lists-conv-set, rotated -1]
    subsetD[OF star-subset-lists, unfolded in-lists-conv-set, rotated -1])

lemma lang-nNot[simp]: wf n r ==> lang n (nNot r) = lang n (Not r)
  by (induct r rule: nNot.induct) (auto dest!: lang-subset-lists)

lemma lang-nPr[simp]: lang n (nPr r) = lang n (Pr r)
  by (induct r rule: nPr.induct) auto

lemma lang-norm[simp]: wf n r ==> lang n (norm r) = lang n r
  by (induct r arbitrary: n) auto

end

end

theory Regular-Operators
imports Derivatives ~~/src/HOL/Library/While-Combinator
begin

primrec REV :: 'a rexp => 'a rexp where
  REV Zero = Zero
  | REV One = One
  | REV (Atom a) = Atom a
  | REV (Plus r s) = Plus (REV r) (REV s)
  | REV (Times r s) = Times (REV s) (REV r)
  | REV (Star r) = Star (REV r)
  | REV (Not r) = Not (REV r)
  | REV (Inter r s) = Inter (REV r) (REV s)
  | REV (Pr r) = Pr (REV r)

lemma REV-REV[simp]: REV (REV r) = r
  by (induct r) auto

```

```

lemma final-REV[simp]: final (REV r) = final r
  by (induct r) auto

lemma REV-PLUS: REV (PLUS xs) = PLUS (map REV xs)
  by (induct xs rule: list-singleton-induct) auto

lemma (in alphabet) wf-REV[simp]: wf n r  $\implies$  wf n (REV r)
  by (induct r arbitrary: n) auto

lemma (in project) lang-REV[simp]: lang n (REV r) = rev ` lang n r
  by (induct r arbitrary: n) (auto simp: image-image rev-map image-set-diff)

context embed
begin

primrec rderiv :: 'a  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp where
| rderiv - Zero = Zero
| rderiv - One = Zero
| rderiv as (Atom bs) = (if as = bs then One else Zero)
| rderiv as (Plus r s) = Plus (rderiv as r) (rderiv as s)
| rderiv as (Times r s) =
  (let rs' = Times r (rderiv as s)
   in if final s then Plus rs' (rderiv as r) else rs')
| rderiv as (Star r) = Times (Star r) (rderiv as r)
| rderiv as (Not r) = Not (rderiv as r)
| rderiv as (Inter r s) = Inter (rderiv as r) (rderiv as s)
| rderiv as (Pr r) = Pr (PLUS (map (λa. rderiv a r) (embed as)))

primrec rderivs where
| rderivs [] r = r
| rderivs (w#ws) r = rderivs ws (rderiv w r)

lemma rderivs-snoc: rderivs (ws @ [w]) r = rderiv w (rderivs ws r)
  by (induct ws arbitrary: r) auto

lemma rderivs-append: rderivs (ws @ ws') r = rderivs ws' (rderivs ws r)
  by (induct ws arbitrary: r) auto

lemma rderiv-lderiv: rderiv as r = REV (lderiv as (REV r))
  by (induct r arbitrary: as) (auto simp: Let-def o-def REV-PLUS)

lemma rderivs-lderivs: rderivs w r = REV (lderivs w (REV r))
  by (induct w arbitrary: r) (auto simp: rderiv-lderiv)

lemma wf-rderiv[simp]: wf n r  $\implies$  wf n (rderiv w r)
  unfolding rderiv-lderiv by (rule wf-REV[OF wf-lderiv[OF wf-REV]]) 

lemma wf-rderivs[simp]: wf n r  $\implies$  wf n (rderivs ws r)
  unfolding rderivs-lderivs by (rule wf-REV[OF wf-lderivs[OF wf-REV]]) 

```

```

lemma lang-rderiv:  $\llbracket wf\ n\ r; as \in \Sigma\ n \rrbracket \implies lang\ n\ (rderiv\ as\ r) = rQuot\ as\ (lang\ n\ r)$ 
unfoldng rderiv-lderiv rQuot-rev-lQuot by (simp add: lang-lderiv)

lemma lang-rderivs:  $\llbracket wf\ n\ r; wf-word\ n\ w \rrbracket \implies lang\ n\ (rderivs\ w\ r) = rQuots\ w\ (lang\ n\ r)$ 
unfoldng rderivs-lderivs rQuots-rev-lQuots by (simp add: lang-lderivs)

corollary rderivs-final:
assumes wf n r wf-word n w
shows final (rderivs w r)  $\longleftrightarrow$  rev w  $\in$  lang n r
using lang-rderivs[OF assms] lang-final[of rderivs w r n] by auto

lemma toplevel-summands-REV[simp]: toplevel-summands (REV r) = REV ` toplevel-summands r
by (induct r) auto

lemma ACI-norm-REV:  $\llbracket REV\ \llbracket r \rrbracket \rrbracket = \llbracket REV\ r \rrbracket$ 
proof (induct r)
case (Plus r s)
show ?case
unfoldng REV.simps ACI-norm.simps Plus[symmetric] image-Un[symmetric]
toplevel-summands.simps(1) toplevel-summands-ACI-norm toplevel-summands-REV
unfoldng toplevel-summands.simps(1)[symmetric] ACI-norm-flatten toplevel-summands-REV
unfoldng ACI-norm-flatten[symmetric] toplevel-summands-ACI-norm
..
qed auto

lemma ACI-norm-rderiv:  $\llbracket rderiv\ as\ \llbracket r \rrbracket \rrbracket = \llbracket rderiv\ as\ r \rrbracket$ 
unfoldng rderiv-lderiv by (metis ACI-norm-REV ACI-norm-lderiv)

lemma ACI-norm-rderivs:  $\llbracket rderivs\ w\ \llbracket r \rrbracket \rrbracket = \llbracket rderivs\ w\ r \rrbracket$ 
unfoldng rderivs-lderivs by (metis ACI-norm-REV ACI-norm-lderivs)

theorem finite-rderivs: finite { $\llbracket rderivs\ xs\ r \rrbracket \mid xs . True$ }
unfoldng rderivs-lderivs
by (subst ACI-norm-REV[symmetric]) (auto intro: finite-surj[OF finite-lderivs,
of - λr.  $\llbracket REV\ r \rrbracket$ ])

lemma lderiv-PLUS[simp]: lderiv a (PLUS xs) = PLUS (map (lderiv a) xs)
by (induct xs rule: list-singleton-induct) auto

lemma rderiv-PLUS[simp]: rderiv a (PLUS xs) = PLUS (map (rderiv a) xs)
by (induct xs rule: list-singleton-induct) auto

lemma lang-rderiv-lderiv: lang n (rderiv a (lderiv b r)) = lang n (lderiv b (rderiv a r))
by (induct r arbitrary: n a b) (auto simp: Let-def conc-assoc)

```

```

lemma lang-lderiv-rderiv: lang n (lderiv a (rderiv b r)) = lang n (rderiv b (lderiv a r))
by (induct r arbitrary: n a b) (auto simp: Let-def conc-assoc)

lemma lang-rderiv-lderivs[simp]: [wf n r; wf-word n w; a ∈ Σ n] ⇒
lang n (rderiv a (lderivs w r)) = lang n (lderivs w (rderiv a r))
by (induct w arbitrary: n r)
(auto, auto simp: lang-lderivs lang-lderiv lang-rderiv lQuot-rQuot)

lemma lang-lderiv-rderivs[simp]: [wf n r; wf-word n w; a ∈ Σ n] ⇒
lang n (lderiv a (rderivs w r)) = lang n (rderivs w (lderiv a r))
by (induct w arbitrary: n r)
(auto, auto simp: lang-rderivs lang-lderiv lang-rderiv lQuot-rQuot)

definition biderivs w1 w2 = rderivs w2 o lderivs w1

lemma lang-biderivs: [wf n r; wf-word n w1; wf-word n w2] ⇒
lang n (biderivs w1 w2 r) = biQuots w1 w2 (lang n r)
unfolding biderivs-def by (auto simp: lang-rderivs lang-lderivs in-lists-conv-set)

lemma wf-biderivs[simp]: wf n r ⇒ wf n (biderivs w1 w2 r)
unfolding biderivs-def by simp

corollary biderivs-final:
assumes wf n r wf-word n w1 wf-word n w2
shows final (biderivs w1 w2 r) ↔ w1 @ rev w2 ∈ lang n r
using lang-biderivs[OF assms] lang-final[of biderivs w1 w2 r n] by auto

lemma ACI-norm-biderivs: <<biderivs w1 w2 <<r>>> = <<biderivs w1 w2 r>>
unfolding biderivs-def by (metis ACI-norm-lderivs ACI-norm-rderivs o-apply)

lemma finite {<<biderivs w1 w2 r>> | w1 w2 . True}
proof -
  have {<<biderivs w1 w2 r>> | w1 w2 . True} = (⋃ s ∈ {<<lderivs as r>> | as . True}. {<<rderivs bs s>> | bs . True})
  unfolding biderivs-def by (fastforce simp: ACI-norm-rderivs)
  also have finite ... by (rule iffD2[OF finite-UN[OF finite-lderivs] ballI[OF finite-rderivs]])]
  finally show ?thesis .
qed

end

```

5.2 Quotoning by the same letter

```

definition fin-cutSame x xs = take (LEAST n. drop n xs = replicate (length xs - n) x) xs

```

```

lemma fin-cutSame-Nil[simp]: fin-cutSame x [] = []
  unfolding fin-cutSame-def by simp

lemma Least-fin-cutSame: (LEAST n. drop n xs = replicate (length xs - n) y) =
  length xs - length (takeWhile ( $\lambda x. x = y$ ) (rev xs))
  (is Least ?P = ?min)
proof (rule Least-equality)
  show ?P ?min by (induct xs rule: rev-induct) (auto simp: Suc-diff-le replicate-append-same)
next
  fix m assume ?P m
  have length xs - m  $\leq$  length (takeWhile ( $\lambda x. x = y$ ) (rev xs))
  proof (intro length-takeWhile-less-P-nth)
    fix i assume i < length xs - m
    hence rev xs ! i  $\in$  set (drop m xs)
      by (induct xs arbitrary: i rule: rev-induct) (auto simp: nth-Cons')
      with (?P m) show rev xs ! i = y by simp
  qed simp
  thus ?min  $\leq$  m by linarith
qed

lemma takeWhile-takes-all: length xs = m  $\implies$  m  $\leq$  length (takeWhile P xs)  $\longleftrightarrow$ 
Ball (set xs) P
by hypsubst (induct xs, auto)

lemma fin-cutSame-Cons[simp]: fin-cutSame x (y # xs) =
(if fin-cutSame x xs = [] then if x = y then [] else [y] else y # fin-cutSame x xs)
  unfolding fin-cutSame-def Least-fin-cutSame
  apply auto
  apply (simp add: takeWhile-takes-all)
  apply (simp add: takeWhile-takes-all)
  apply auto
  apply (metis (full-types) Suc-diff-le length-rev length-takeWhile-le take-Suc-Cons)
  apply (simp add: takeWhile-takes-all)
  apply (subst takeWhile-append2)
  apply auto
  apply (simp add: takeWhile-takes-all)
  apply auto
  apply (metis (full-types) Suc-diff-le length-rev length-takeWhile-le take-Suc-Cons)
  done

lemma fin-cutSame-singleton[simp]: fin-cutSame x (xs @ [x]) = fin-cutSame x xs
by (induct xs) auto

lemma fin-cutSame-replicate[simp]: fin-cutSame x (xs @ replicate n x) = fin-cutSame x xs
by (induct n arbitrary: xs)
  (auto simp: replicate-append-same[symmetric] append-assoc[symmetric] simp
del: append-assoc)

```

```

lemma fin-cutSameE: fin-cutSame x xs = ys  $\implies \exists m. \text{xs} = \text{ys} @ \text{replicate } m x$ 
by (induct xs arbitrary: ys) (auto, metis replicate-Suc)

definition SAMEQUOT a A = {fin-cutSame a x @ replicate m a | x m. x ∈ A}

lemma SAMEQUOT-mono: A ⊆ B  $\implies \text{SAMEQUOT } a A \subseteq \text{SAMEQUOT } a B$ 
unfolding SAMEQUOT-def by auto

context embed
begin

lemma finite-rderivs-same: finite {⟨rderivs (replicate m a) r⟩ | m . True}
by (auto intro: finite-subset[OF - finite-rderivs])

lemma wf-word-replicate[simp]: a ∈ Σ n  $\implies \text{wf-word } n (\text{replicate } m a)$ 
by (induct m) auto

lemma star-singleton[simp]: star {[x]} = {replicate m x | m . True}
proof (intro equalityI subsetI)
  fix xs assume xs ∈ star {[x]}
  thus xs ∈ {replicate m x | m . True} by (induct xs) (auto, metis replicate-Suc)
qed (auto intro: Ball-starI)

definition samequot a r = Times (flatten PLUS {⟨rderivs (replicate m a) r⟩ | m . True}) (Star (Atom a))

lemma wf-samequot: [wf n r; a ∈ Σ n]  $\implies \text{wf } n (\text{samequot } a r)$ 
unfolding samequot-def wf.simps wf-flatten-PLUS[OF finite-rderivs-same] by
auto

lemma lang-samequot: [wf n r; a ∈ Σ n]  $\implies$ 
lang n (samequot a r) = SAMEQUOT a (lang n r)
unfolding SAMEQUOT-def samequot-def lang.simps lang-flatten-PLUS[OF
finite-rderivs-same]
apply (rule sym)
apply (auto simp: lang-rderivs)
apply (intro concl)
apply auto
apply (insert fin-cutSameE[OF refl, of - a])
apply (drule meta-spec)
apply (erule exE)
apply (intro exI conjI)
apply (rule refl)
apply (auto simp: lang-rderivs)
apply (erule subst)
apply assumption
apply (erule concE)
apply (auto simp: lang-rderivs)

```

```

apply (drule meta-spec)
apply (erule exE)
apply (intro exI conjI)
defer
apply assumption
unfolding fin-cutSame-replicate
apply (erule trans)
unfolding fin-cutSame-replicate
apply (rule refl)
done

fun rderiv-and-add where
rderiv-and-add as (-, rs) =
(let
  r = <<rderiv as (hd rs)>>
  in if r ∈ set rs then (False, rs) else (True, r # rs))

definition invar-rderiv-and-add as r brs ≡
(if fst brs then True else <<rderiv as (hd (snd brs))>> ∈ set (snd brs)) ∧
  snd brs ≠ [] ∧ distinct (snd brs) ∧
  (∀ i < length (snd brs). snd brs ! i = <<rderivs (replicate (length (snd brs) - 1
  - i) as) r>>)

lemma invar-rderiv-and-add-init: invar-rderiv-and-add as r (True, [<<r>>])
  unfolding invar-rderiv-and-add-def by auto

lemma invar-rderiv-and-add-step: invar-rderiv-and-add as r brs ==> fst brs ==>
  invar-rderiv-and-add as r (rderiv-and-add as brs)
  unfolding invar-rderiv-and-add-def by (cases brs) (auto simp:
    Let-def nth-Cons' ACI-norm-rderiv rderivs-snoc[symmetric] neq-Nil-conv replicate-append-same)

lemma rderivs-replicate-mult: <<rderivs (replicate i as) r>> = <<r>>; i > 0 >> ==>
  <<rderivs (replicate (m * i) as) r>> = <<r>>
proof (induct m arbitrary: r)
  case (Suc m)
  hence <<rderivs (replicate (m * i) as) <<rderivs (replicate i as) r>>> = <<r>>
    by (auto simp: ACI-norm-rderivs)
  thus ?case by (auto simp: ACI-norm-rderivs replicate-add rderivs-append)
qed simp

lemma rderivs-replicate-mult-rest:
  assumes <<rderivs (replicate i as) r>> = <<r>> k < i
  shows <<rderivs (replicate (m * i + k) as) r>> = <<rderivs (replicate k as) r>> (is
  ?L = ?R)
proof -
  have ?L = <<rderivs (replicate k as) <<rderivs (replicate (m * i) as) r>>>
    by (simp add: ACI-norm-rderivs replicate-add rderivs-append)
  also have <<rderivs (replicate (m * i) as) r>> = <<r>> using assms
    by (simp add: rderivs-replicate-mult)

```

```

finally show ?thesis by (simp add: ACI-norm-rderivs)
qed

lemma rderivs-replicate-mod:
assumes «rderivs (replicate i as) r» = «r» i > 0
shows «rderivs (replicate m as) r» = «rderivs (replicate (m mod i) as) r» (is ?L = ?R)
by (subst mod-div-equality[symmetric, of m i])
  (intro rderivs-replicate-mult-rest[OF assms(1)] mod-less-divisor[OF assms(2)])
```

```

lemma rderivs-replicate-diff: «rderivs (replicate i as) r» = «rderivs (replicate j as) r»; i > j  $\implies$ 
  «rderivs (replicate (i - j) as) (rderivs (replicate j as) r)» = «rderivs (replicate j as) r»
unfolding rderivs-append[symmetric] replicate-add[symmetric] by auto
```

```

lemma samequot-wf:
assumes wf n r while-option fst (rderiv-and-add as) (True, [«r»]) = Some (b, rs)
shows wf n (PLUS rs)
proof –
have  $\neg b$  using while-option-stop[OF assms(2)] by simp
from while-option-rule[where P=invar-rderiv-and-add as r,
  OF invar-rderiv-and-add-step assms(2) invar-rderiv-and-add-init]
have *: invar-rderiv-and-add as r (b, rs) by simp
thus wf n (PLUS rs) unfolding invar-rderiv-and-add-def wf-PLUS
  by (auto simp: in-set-conv-nth wf-rderivs[OF assms(1)])
qed
```

```

lemma samequot-soundness:
assumes while-option fst (rderiv-and-add as) (True, [«r»]) = Some (b, rs)
shows lang n (PLUS rs) = UNION {«rderivs (replicate m as) r» | m. True}
(lang n)
proof –
have  $\neg b$  using while-option-stop[OF assms] by simp
moreover
from while-option-rule[where P=invar-rderiv-and-add as r,
  OF invar-rderiv-and-add-step assms invar-rderiv-and-add-init]
have *: invar-rderiv-and-add as r (b, rs) by simp
ultimately obtain i where i: i < length rs and «rderivs (replicate (length rs - Suc i) as) r» =
  «rderivs (replicate (Suc (length rs - Suc 0)) as) r» (is «rderivs ?x r» = -)
  unfolding invar-rderiv-and-add-def by (auto simp: in-set-conv-nth hd-conv-nth
ACI-norm-rderiv
  rderivs-snoc[symmetric] replicate-append-same)
with * have «rderivs ?x r» = «rderivs (replicate (length rs) as) r»
  by (auto simp: invar-rderiv-and-add-def)
with i have cyc: «rderivs (replicate (Suc i) as) (rderivs ?x r)» = «rderivs ?x
r»
```

```

    by (fastforce dest: rderivs-replicate-diff[OF sym])
{ fix m
  have  $\exists i < \text{length } rs. rs ! i = \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle$ 
  proof (cases  $m > \text{length } rs - \text{Suc } i$ )
    case True
    with i obtain  $m'$  where  $m: m = m' + \text{length } rs - \text{Suc } i$ 
      by atomize-elim (auto intro: exI[of - m - (length rs - Suc i)])
      with i have  $\langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle = \langle\langle \text{rderivs} (\text{replicate } m' \text{ as}) (\text{rderivs} ?x r) \rangle\rangle$ 
        unfolding replicate-add[symmetric] rderivs-append[symmetric] by (simp add: nat-add-commute)
        also from cyc have ... =  $\langle\langle \text{rderivs} (\text{replicate } (m' \text{ mod } (\text{Suc } i)) \text{ as}) (\text{rderivs} ?x r) \rangle\rangle$ 
          by (elim rderivs-replicate-mod) simp
          also from i have ... =  $\langle\langle \text{rderivs} (\text{replicate } (m' \text{ mod } (\text{Suc } i) + \text{length } rs - \text{Suc } i) \text{ as}) r \rangle\rangle$ 
            unfolding rderivs-append[symmetric] replicate-add[symmetric] by (simp add: nat-add-commute)
            also from m i have ... =  $\langle\langle \text{rderivs} (\text{replicate } ((m - (\text{length } rs - \text{Suc } i)) \text{ mod } (\text{Suc } i) + \text{length } rs - \text{Suc } i) \text{ as}) r \rangle\rangle$ 
              by simp
            also have ... =  $\langle\langle \text{rderivs} (\text{replicate } (\text{length } rs - \text{Suc } (i - (m - (\text{length } rs - \text{Suc } i)) \text{ mod } (\text{Suc } i))) \text{ as}) r \rangle\rangle$ 
              by (subst Suc-diff-le[symmetric])
              (metis less-Suc-eq-le mod-less-divisor zero-less-Suc, simp add: nat-add-commute)
            finally have  $\exists j < \text{length } rs. \langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle = \langle\langle \text{rderivs} (\text{replicate } (\text{length } rs - \text{Suc } j) \text{ as}) r \rangle\rangle$ 
              using i by (metis less-imp-diff-less)
              with * show ?thesis unfolding invar-rderiv-and-add-def by auto
            next
              case False
              with i have  $\exists j < \text{length } rs. m = \text{length } rs - \text{Suc } j$ 
                by (induct m)
                  (metis diff-self-eq-0 gr-implies-not0 lessI nat.exhaust,
                  metis (no-types) One-nat-def Suc-diff-Suc diff-Suc-1 gr0-conv-Suc less-imp-diff-less
                  not-less-eq not-less-iff-gr-or-eq)
              with * show ?thesis unfolding invar-rderiv-and-add-def by auto
            qed
  }
  hence UNION { $\langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle | m. \text{True}$ } (lang n)  $\subseteq$  lang n (PLUS rs)
    by (fastforce simp: in-set-conv-nth lang-PLUS intro!: bexI[rotated])
  moreover from * have lang n (PLUS rs)  $\subseteq$  UNION { $\langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle | m. \text{True}$ } (lang n)
    unfolding invar-rderiv-and-add-def by (fastforce simp: in-set-conv-nth lang-PLUS)
    ultimately show lang n (PLUS rs) = UNION { $\langle\langle \text{rderivs} (\text{replicate } m \text{ as}) r \rangle\rangle | m. \text{True}$ } (lang n) by blast
  qed
}

```

```

lemma length-subset-card:  $\llbracket \text{finite } X; \text{distinct } (x \# xs); \text{set } (x \# xs) \subseteq X \rrbracket \implies \text{length } xs < \text{card } X$ 
by (metis card-mono distinct-card impossible-Cons not-leE order-trans)

lemma samequot-termination:
assumes while-option fst (rderiv-and-add as) (True, [ $\llbracket r \rrbracket$ ]) = None (is ?cl = None)
shows False
proof -
  let ?D = { $\llbracket \text{rderivs } (\text{replicate } m \text{ as}) \ r \rrbracket \mid m : \text{True}$ }
  let ?f =  $\lambda(b, rs). \text{card } ?D + 1 - \text{length } rs + (\text{if } b \text{ then } 1 \text{ else } 0)$ 
  have  $\exists st. \ ?cl = \text{Some } st$ 
    apply (rule measure-while-option-Some[of invar-rderiv-and-add as r - - ?f])
    apply (auto simp: invar-rderiv-and-add-init invar-rderiv-and-add-step)
    apply (auto simp: invar-rderiv-and-add-def Let-def neq-Nil-conv in-set-conv-nth
      intro!: diff-less-mono2 length-subset-card[OF finite-rderivs-same, simplified])
    apply fastforce
    apply fastforce
    apply (metis Suc-less-eq nth-Cons-Suc)
    done
  with assms show False by auto
qed

definition samequot-exec as r =
  Times (PLUS (snd (the (while-option fst (rderiv-and-add as) (True, [ $\llbracket r \rrbracket$ ]))))))
  (Star (Atom as))

lemma wf-samequot-exec:  $\llbracket \text{wf } n \text{ r; as } \in \Sigma \ n \rrbracket \implies \text{wf } n \ (\text{samequot-exec as } r)$ 
unfolding samequot-exec-def
by (cases while-option fst (rderiv-and-add as) (True, [ $\llbracket r \rrbracket$ ]))
  (auto dest: samequot-termination samequot-wf)

lemma samequot-exec-samequot: lang n (samequot-exec as r) = lang n (samequot as r)
unfolding samequot-exec-def samequot-def lang.simps lang-flatten-PLUS[OF finite-rderivs-same]
by (cases while-option fst (rderiv-and-add as) (True, [ $\llbracket r \rrbracket$ ]))
  (auto dest: samequot-termination dest!: samequot-soundness[of - - - n] simp
    del: ACI-norm-lang)

lemma lang-samequot-exec:
   $\llbracket \text{wf } n \text{ r; as } \in \Sigma \ n \rrbracket \implies \text{lang } n \ (\text{samequot-exec as } r) = \text{SAMEQUOT as } (\text{lang } n \ r)$ 
unfolding samequot-exec-samequot by (rule lang-samequot)

end

```

5.3 Suffix Prefix Languages

definition Suffix :: 'a lang \Rightarrow 'a lang **where**

```

Suffix L = {w.  $\exists u. u @ w \in L\}$ 

definition Prefix :: 'a lang  $\Rightarrow$  'a lang where
  Prefix L = {w.  $\exists u. w @ u \in L\}$ 

lemma Prefix-Suffix: Prefix L = rev ` Suffix (rev ` L)
  unfolding Prefix-def Suffix-def
  by (auto simp: rev-append-invert
    intro: image-eqI[of - rev, OF rev-rev-ident[symmetric]]
    image-eqI[of - rev, OF rev-append[symmetric]]))

definition Root :: 'a lang  $\Rightarrow$  'a lang where
  Root L = {x .  $\exists n > 0. x ^\wedge n \in L\}$ 

definition Cycle :: 'a lang  $\Rightarrow$  'a lang where
  Cycle L = {u @ w | u w. w @ u  $\in L\}$ 

context embed
begin

context
fixes n :: nat
begin

definition SUFFIX :: 'a rexpr  $\Rightarrow$  'a rexpr where
  SUFFIX r = flatten PLUS {<<lderivs w r>>| w. wf-word n w}

lemma finite-lderivs-wf: finite {<<lderivs w r>>| w. wf-word n w}
  by (auto intro: finite-subset[OF - finite-lderivs])

definition PREFIX :: 'a rexpr  $\Rightarrow$  'a rexpr where
  PREFIX r = REV (SUFFIX (REV r))

lemma wf-SUFFIX[simp]: wf n r  $\implies$  wf n (SUFFIX r)
  unfolding SUFFIX-def by (intro iffD2[OF wf-flatten-PLUS[OF finite-lderivs-wf]])
  auto

lemma lang-SUFFIX[simp]: wf n r  $\implies$  lang n (SUFFIX r) = Suffix (lang n r)
  unfolding SUFFIX-def Suffix-def
  using lang-flatten-PLUS[OF finite-lderivs-wf] lang-lderivs wf-lang-wf-word
  by fastforce

lemma wf-PREFIX[simp]: wf n r  $\implies$  wf n (PREFIX r)
  unfolding PREFIX-def by auto

lemma lang-PREFIX[simp]: wf n r  $\implies$  lang n (PREFIX r) = Prefix (lang n r)
  unfolding PREFIX-def by (auto simp: Prefix-Suffix)

end

```

```

lemma take-drop-CycleI[intro!]:  $x \in L \implies \text{drop } i x @ \text{take } i x \in \text{Cycle } L$ 
  unfolding Cycle-def by fastforce

lemma take-drop-CycleI'[intro!]:  $\text{drop } i x @ \text{take } i x \in L \implies x \in \text{Cycle } L$ 
  by (drule take-drop-CycleI[of - - length x - i]) auto

end

end

```

6 Monadic Second-Order Logic Formulas

6.1 Interpretations and Encodings

type-synonym ' a interp = ' a list \times (nat + nat set) list

abbreviation enc-atom-bool $I n \equiv \text{map } (\lambda x. \text{case } x \text{ of } \text{Inl } p \Rightarrow n = p \mid \text{Inr } P \Rightarrow n \in P) I$

abbreviation enc-atom $I n a \equiv (a, \text{enc-atom-bool } I n)$

6.2 Syntax and Semantics of MSO

```

datatype ' $a$  formula =
  FQ ' $a$  nat
  | FLess nat nat
  | FIn nat nat
  | FNot ' $a$  formula
  | FOr ' $a$  formula ' $a$  formula
  | FAnd ' $a$  formula ' $a$  formula
  | FExists ' $a$  formula
  | FEXISTS ' $a$  formula

primrec FOV :: ' $a$  formula  $\Rightarrow$  nat set where
  FOV (FQ a m) = {m}
  | FOV (FLess m1 m2) = {m1, m2}
  | FOV (FIn m M) = {m}
  | FOV (FNot  $\varphi$ ) = FOV  $\varphi$ 
  | FOV (FOr  $\varphi_1 \varphi_2$ ) = FOV  $\varphi_1 \cup$  FOV  $\varphi_2$ 
  | FOV (FAnd  $\varphi_1 \varphi_2$ ) = FOV  $\varphi_1 \cup$  FOV  $\varphi_2$ 
  | FOV (FExists  $\varphi$ ) = ( $\lambda x. x - 1$ ) ` (FOV  $\varphi - \{0\}$ )
  | FOV (FEXISTS  $\varphi$ ) = ( $\lambda x. x - 1$ ) ` FOV  $\varphi$ 

primrec SOV :: ' $a$  formula  $\Rightarrow$  nat set where
  SOV (FQ a m) = {}
  | SOV (FLess m1 m2) = {}

```

```

|  $SOV(FIn m M) = \{M\}$ 
|  $SOV(FNot \varphi) = SOV \varphi$ 
|  $SOV(For \varphi_1 \varphi_2) = SOV \varphi_1 \cup SOV \varphi_2$ 
|  $SOV(FAnd \varphi_1 \varphi_2) = SOV \varphi_1 \cup SOV \varphi_2$ 
|  $SOV(FExists \varphi) = (\lambda x. x - 1) ` SOV \varphi$ 
|  $SOV(FEXISTS \varphi) = (\lambda x. x - 1) ` (SOV \varphi - \{0\})$ 

definition  $\sigma = (\lambda \Sigma n. concat (map (\lambda bs. map (\lambda a. (a, bs)) \Sigma) (List.n-lists n [True, False])))$ 
definition  $\pi = (\lambda(a, bs). (a, tl bs))$ 
definition  $\varepsilon = (\lambda \Sigma (a::'a, bs). if a \in set \Sigma then [(a, True \# bs), (a, False \# bs)] else [])$ 

locale formula = embed set o ( $\sigma \Sigma$ )  $\pi \varepsilon \Sigma$  for  $\Sigma :: 'a :: linorder list +$ 
assumes nonempty:  $\Sigma \neq []$ 
begin

abbreviation  $\Sigma\text{-combinatorial-product } n \equiv$ 
 $List.maps (\lambda bools. map (\lambda a. (a, bools)) \Sigma) (bool\text{-combinatorial-product } n)$ 

primrec pre-wf-formula :: nat  $\Rightarrow 'a formula \Rightarrow bool$  where
|  $pre-wf-formula n (FQ a m) = (a \in set \Sigma \wedge m < n)$ 
|  $pre-wf-formula n (FLess m1 m2) = (m1 < n \wedge m2 < n)$ 
|  $pre-wf-formula n (FIn m M) = (m < n \wedge M < n)$ 
|  $pre-wf-formula n (FNot \varphi) = pre-wf-formula n \varphi$ 
|  $pre-wf-formula n (For \varphi_1 \varphi_2) = (pre-wf-formula n \varphi_1 \wedge pre-wf-formula n \varphi_2)$ 
|  $pre-wf-formula n (FAnd \varphi_1 \varphi_2) = (pre-wf-formula n \varphi_1 \wedge pre-wf-formula n \varphi_2)$ 
|  $pre-wf-formula n (FExists \varphi) = (pre-wf-formula (n + 1) \varphi \wedge 0 \in FOV \varphi \wedge 0 \notin SOV \varphi)$ 
|  $pre-wf-formula n (FEXISTS \varphi) = (pre-wf-formula (n + 1) \varphi \wedge 0 \notin FOV \varphi \wedge 0 \in SOV \varphi)$ 

abbreviation closed  $\equiv$  pre-wf-formula 0

definition [simp]: wf-formula n  $\varphi \equiv$  pre-wf-formula n  $\varphi \wedge FOV \varphi \cap SOV \varphi = \{ \}$ 

lemma max-idx-vars: pre-wf-formula n  $\varphi \implies \forall p \in FOV \varphi \cup SOV \varphi. p < n$ 
by (induct  $\varphi$  arbitrary: n)
  (auto split: split-if-asm, (metis Un-iff diff-Suc-less less-SucE less-imp-diff-less)+)

lemma finite-FOV: finite (FOV  $\varphi$ )
by (induct  $\varphi$ ) (auto split: split-if-asm)


```

6.3 ENC

```

definition arbitrary-except n pbs xs  $\equiv$ 
 $PLUS (map (\lambda bs. PLUS (map (\lambda x. Atom (x, fold (\lambda(p, b). insert-nth p b) pbs$ 

```

```

bs)) xs))
  (bool-combinatorial-product (n - length pbs)))

lemma wf-rexp-arbitrary-except:
   $\llbracket \text{length } pbs \leq n; \text{set } xs \subseteq \text{set } \Sigma \rrbracket \implies \text{wf } n (\text{arbitrary-except } n \text{ pbs } xs)$ 
  by (auto simp: arbitrary-except-def) (force simp:  $\sigma$ -def set-n-lists length-fold-insert-nth)

definition valid-ENC :: nat  $\Rightarrow$  nat  $\Rightarrow$  ('a  $\times$  bool list) rexp where
  valid-ENC n p = (if n = 0 then Regular-Exp.Not Zero else
    TIMES [
      Star (arbitrary-except n [(p, False)]  $\Sigma$ ),
      arbitrary-except n [(p, True)]  $\Sigma$ ,
      Star (arbitrary-except n [(p, False)]  $\Sigma$ )])

lemma wf-rexp-valid-ENC: wf n (valid-ENC n p)
  unfolding valid-ENC-def by (auto intro!: wf-rexp-arbitrary-except)

definition ENC :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a  $\times$  bool list) rexp where
  ENC n  $\varphi$  = flatten INTERSECT (valid-ENC n `FOV  $\varphi$ )

lemma wf-rexp-ENC: wf n (ENC n  $\varphi$ )
  unfolding ENC-def
  by (intro iffD2[OF wf-flatten-INTERSECT]) (auto intro: finite-FOV simp: wf-rexp-valid-ENC)

lemma enc-atom- $\sigma$ -eq: i < length w  $\implies$ 
  (length I = n  $\wedge$  w ! i  $\in$  set  $\Sigma$ )  $\longleftrightarrow$  enc-atom I i (w ! i)  $\in$  set ( $\sigma$   $\Sigma$  n)
  by (auto simp:  $\sigma$ -def set-n-lists intro!: exI[of - enc-atom-bool I i] imageI)

lemmas enc-atom- $\sigma$  = iffD1[OF enc-atom- $\sigma$ -eq, OF - conjI]

lemma enc-atom-bool-take-drop-True:
   $\llbracket r < \text{length } I; \text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P \rrbracket \implies$ 
  enc-atom-bool I p = take r (enc-atom-bool I p) @ True # drop (Suc r)
  (enc-atom-bool I p)
  by (auto intro: trans[OF id-take-nth-drop])

lemma enc-atom-bool-take-drop-True2:
   $\llbracket r < \text{length } I; \text{case } I ! r \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P;$ 
   $s < \text{length } I; \text{case } I ! s \text{ of Inl } p' \Rightarrow p = p' \mid \text{Inr } P \Rightarrow p \in P; r < s \rrbracket \implies$ 
  enc-atom-bool I p = take r (enc-atom-bool I p) @ True #
  take (s - Suc r) (drop (Suc r) (enc-atom-bool I p)) @ True #
  drop (Suc s) (enc-atom-bool I p)
  using id-take-nth-drop[of r enc-atom-bool I p]
  id-take-nth-drop[of s - r - 1 drop (Suc r) (enc-atom-bool I p)]
  by auto

lemma enc-atom-bool-take-drop-False:
   $\llbracket r < \text{length } I; \text{case } I ! r \text{ of Inl } p' \Rightarrow p \neq p' \mid \text{Inr } P \Rightarrow p \notin P \rrbracket \implies$ 
  enc-atom-bool I p = take r (enc-atom-bool I p) @ False # drop (Suc r)

```

```

(enc-atom-bool I p)
by (auto intro: trans[OF id-take-nth-drop] split: sum.splits)

lemma enc-atom-lang-arbitrary-except-True: [[r < length I;
  case I ! r of Inl p' => p = p' | Inr P => p ∈ P; length I = n; a ∈ set xs; set xs
  ⊆ set Σ]] =>
  [enc-atom I p a] ∈ lang n (arbitrary-except n [(r, True)] xs)
  unfolding arbitrary-except-def
  by (auto intro!: bexI[of - (λJ. take r J @ drop (r + 1) J) (enc-atom-bool I p)]
    intro: enc-atom-bool-take-drop-True)

lemma enc-atom-lang-arbitrary-except-True2: [[r < length I; s < length I; r < s;
  case I ! r of Inl p' => p = p' | Inr P => p ∈ P;
  case I ! s of Inl p' => p = p' | Inr P => p ∈ P; length I = n; a ∈ set Σ]] =>
  [enc-atom I p a] ∈ lang n (arbitrary-except n [(r, True), (s, True)] Σ)
  unfolding arbitrary-except-def
  by (auto intro!: bexI[of - (λJ. take r J @ take (s - r - 1) (drop (r + 1) J) @
    drop (s - r) (drop (r + 1) J)) (enc-atom-bool I p)]
    intro!: enc-atom-bool-take-drop-True2 simp: min-absorb2 take-Cons' drop-Cons')

lemma enc-atom-lang-arbitrary-except-False: [[r < length I;
  case I ! r of Inl p' => p ≠ p' | Inr P => p ∉ P; length I = n; a ∈ set xs; set xs
  ⊆ set Σ]] =>
  [enc-atom I p a] ∈ lang n (arbitrary-except n [(r, False)] xs)
  unfolding arbitrary-except-def
  by (auto intro!: bexI[of - (λJ. take r J @ drop (r + 1) J) (enc-atom-bool I p)]
    intro: enc-atom-bool-take-drop-False)

lemma arbitrary-except:
  [[v ∈ lang n (arbitrary-except n [(r, b)] S); r < n; set S ⊆ set Σ]] =>
  ∃x. v = [x] ∧ snd x ! r = b ∧ fst x ∈ set S
  unfolding arbitrary-except-def by (auto simp: nth-append)

lemma arbitrary-except2:
  [[v ∈ lang n (arbitrary-except n [(r, b), (s, b')] S); r < s; r < n; s < n; set S ⊆
  set Σ]] =>
  ∃x. v = [x] ∧ snd x ! r = b ∧ snd x ! s = b' ∧ fst x ∈ set S
  unfolding arbitrary-except-def by (auto simp: nth-append min-absorb2)

lemma star-arbitrary-except:
  [[v ∈ star (lang n (arbitrary-except n [(r, b)] Σ)); r < n; i < length v]] =>
  snd (v ! i) ! r = b
  proof (induct arbitrary: i rule: star-induct)
    case (append u v) thus ?case by (cases i) (auto dest: arbitrary-except)
  qed simp

end

end

```

7 M2L

7.1 Encodings

```

context formula
begin

fun enc :: 'a interp  $\Rightarrow$  ('a  $\times$  bool list) list where
  enc (w, I) = map-index (enc-atom I) w

abbreviation wf-interp w I  $\equiv$  (length w > 0  $\wedge$ 
  ( $\forall$  a  $\in$  set w. a  $\in$  set  $\Sigma$ )  $\wedge$ 
  ( $\forall$  x  $\in$  set I. case x of Inl p  $\Rightarrow$  p < length w  $|$  Inr P  $\Rightarrow$   $\forall$  p  $\in$  P. p < length w))

fun wf-interp-for-formula :: 'a interp  $\Rightarrow$  'a formula  $\Rightarrow$  bool where
  wf-interp-for-formula (w, I)  $\varphi$  =
    (wf-interp w I  $\wedge$ 
     ( $\forall$  n  $\in$  FOV  $\varphi$ . case I ! n of Inl -  $\Rightarrow$  True  $|$  -  $\Rightarrow$  False)  $\wedge$ 
     ( $\forall$  n  $\in$  SOV  $\varphi$ . case I ! n of Inl -  $\Rightarrow$  False  $|$  -  $\Rightarrow$  True))

fun satisfies :: 'a interp  $\Rightarrow$  'a formula  $\Rightarrow$  bool (infix  $\models$  50) where
  (w, I)  $\models$  FQ a m = (w ! (case I ! m of Inl p  $\Rightarrow$  p) = a)
  | (w, I)  $\models$  FLess m1 m2 = ((case I ! m1 of Inl p  $\Rightarrow$  p) < (case I ! m2 of Inl p  $\Rightarrow$  p))
  | (w, I)  $\models$  FIn m M = ((case I ! m of Inl p  $\Rightarrow$  p)  $\in$  (case I ! M of Inr P  $\Rightarrow$  P))
  | (w, I)  $\models$  FNot  $\varphi$  = ( $\neg$  (w, I)  $\models$   $\varphi$ )
  | (w, I)  $\models$  FOr  $\varphi_1 \varphi_2$  = ((w, I)  $\models$   $\varphi_1 \vee$  (w, I)  $\models$   $\varphi_2$ )
  | (w, I)  $\models$  FAnd  $\varphi_1 \varphi_2$  = ((w, I)  $\models$   $\varphi_1 \wedge$  (w, I)  $\models$   $\varphi_2$ )
  | (w, I)  $\models$  FExists  $\varphi$  = ( $\exists$  p. p  $\in$  {0 .. length w - 1}  $\wedge$  (w, Inl p # I)  $\models$   $\varphi$ )
  | (w, I)  $\models$  FEXISTS  $\varphi$  = ( $\exists$  P. P  $\subseteq$  {0 .. length w - 1}  $\wedge$  (w, Inr P # I)  $\models$   $\varphi$ )

definition langM2L :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a  $\times$  bool list) list set where
  langM2L n  $\varphi$  = {enc (w, I) | w I.
    length I = n  $\wedge$  wf-interp-for-formula (w, I)  $\varphi$   $\wedge$  satisfies (w, I)  $\varphi$ }

definition dec-word  $\equiv$  map fst

definition positions-in-row w i =
  Option.these (set (map-index ( $\lambda$ p a-bs. if nth (snd a-bs) i then Some p else None)
  w))

definition dec-interp n FO w  $\equiv$  map ( $\lambda$ i.
  if i  $\in$  FO
  then Inl (the-elem (positions-in-row w i))
  else Inr (positions-in-row w i)) [0..<n]
```

```

lemma positions-in-row: positions-in-row w i = {p. p < length w ∧ snd (w ! p) ! i}
unfoldings positions-in-row-def these-def by (auto intro!: image-eqI[of - the])

lemma positions-in-row-unique:  $\exists!p. p < \text{length } w \wedge \text{snd } (\text{w} ! p) ! i \implies$ 
the-elem (positions-in-row w i) = (THE p. p < length w ∧ snd (w ! p) ! i)
by (rule the1I2) (auto simp: the-elem-def positions-in-row)

lemma positions-in-row-length:  $\exists!p. p < \text{length } w \wedge \text{snd } (\text{w} ! p) ! i \implies$ 
the-elem (positions-in-row w i) < length w
unfoldings positions-in-row-unique by (rule the1I2) auto

lemma dec-interp-Inl:  $\llbracket i \in FO; i < n \rrbracket \implies \exists p. \text{dec-interp } n FO x ! i = Inl p$ 
unfoldings dec-interp-def using nth-map[of n [0..<n]] by auto

lemma dec-interp-not-Inr:  $\llbracket \text{dec-interp } n FO x ! i = Inr P; i \in FO; i < n \rrbracket \implies$ 
False
unfoldings dec-interp-def using nth-map[of n [0..<n]] by auto

lemma dec-interp-Inr:  $\llbracket i \notin FO; i < n \rrbracket \implies \exists P. \text{dec-interp } n FO x ! i = Inr P$ 
unfoldings dec-interp-def using nth-map[of n [0..<n]] by auto

lemma dec-interp-not-Inl:  $\llbracket \text{dec-interp } n FO x ! i = Inl p; i \notin FO; i < n \rrbracket \implies$ 
False
unfoldings dec-interp-def using nth-map[of n [0..<n]] by auto

lemma Inl-dec-interp-length:
assumes  $\forall i \in FO. \exists!p. p < \text{length } w \wedge \text{snd } (\text{w} ! p) ! i$ 
shows Inl p ∈ set (dec-interp n FO w)  $\implies p < \text{length } w$ 
unfoldings dec-interp-def by (auto intro: positions-in-row-length[OF bspec[OF assms]])]

lemma Inr-dec-interp-length:  $\llbracket \text{Inr } P \in \text{set } (\text{dec-interp } n FO w); p \in P \rrbracket \implies p <$ 
length w
unfoldings dec-interp-def by (auto simp: positions-in-row)

lemma enc-atom-dec:
 $\llbracket \text{wf-word } n w; \forall i \in FO. i < n \longrightarrow (\exists!p. p < \text{length } w \wedge \text{snd } (\text{w} ! p) ! i); p < \text{length } w \rrbracket \implies$ 
enc-atom (dec-interp n FO w) p (fst (w ! p)) = w ! p
unfoldings wf-word dec-interp-def map-filter-def Let-def
apply (auto simp: comp-def intro!: trans[OF iffD2[OF Pair-eq] pair-collapse])
apply (intro nth-equalityI)
apply (auto simp add: σ-def set-n-lists dest!: nth-mem) []
apply (auto simp: positions-in-row)
apply (drule bspec)
apply assumption
apply (drule mp)
apply assumption

```

```

apply (frule positions-in-row-unique)
apply (erule note)
apply (simp add: positions-in-row)
apply (erule theI2)
apply simp

apply (drule bspec)
apply assumption
apply (drule mp)
apply assumption
apply (frule positions-in-row-unique)
apply (simp add: positions-in-row)
apply (rule the-equality[symmetric])
apply auto
done

lemma enc-dec:
  wf-word n w; ∀ i ∈ FO. i < n → (Ǝ!p. p < length w ∧ snd (w ! p) ! i) ] ==>
  enc (dec-word w, dec-interp n FO w) = w
  unfolding enc.simps dec-word-def
  by (intro trans[OF map-index-map map-index-congL] allI impI enc-atom-dec)
assumption+
  
```

```

lemma dec-word-enc: dec-word (enc (w, I)) = w
  unfolding dec-word-def by auto
  
```

```

lemma enc-unique:
  assumes wf-interp w I i < length I
  shows Ǝ p. I ! i = Inl p ==> Ǝ! p. p < length (enc (w, I)) ∧ snd (enc (w, I) ! p) ! i
  proof (erule exE)
    fix p assume I ! i = Inl p
    with assms show ?thesis by (auto simp: map-index all-set-conv-all-nth intro!: exI[of - p])
  qed
  
```

```

lemma dec-interp-enc-Inl:
  [dec-interp n FO (enc (w, I)) ! i = Inl p'; I ! i = Inl p; i ∈ FO; i < n; length I = n; p < length w; wf-interp w I] ==>
  p = p'
  unfolding dec-interp-def using nth-map[of - [0..] positions-in-row-unique[OF enc-unique]
  by (auto intro: sym[OF the-equality])
  
```

```

lemma dec-interp-enc-Inr:
  [dec-interp n FO (enc (w, I)) ! i = Inr P'; I ! i = Inr P; i ∉ FO; i < n; length I = n; ∀ p ∈ P. p < length w] ==>
  P = P'
  unfolding dec-interp-def positions-in-row by auto
  
```

```

lemma lang-ENC:
  assumes wf-formula n φ
  shows lang n (ENC n φ) - {[]} = {enc (w, I) | w I . length I = n ∧ wf-interp-for-formula
(w, I) φ}
    (is ?L = ?R)
  proof (cases FOV φ = {})
    case True with assms show ?thesis
      apply (auto simp: ENC-def intro!: enc-atom-σ)
      apply (intro exI conjI)
      apply (rule trans[OF sym[OF enc-dec[of - - {}]] enc.simps])
      apply (auto simp add: wf-word dec-word-def dec-interp-def)
      apply (auto simp: σ-def positions-in-row set-n-lists split: sum.splits)
      apply (drule trans[OF sym nth-map])
      apply (auto intro: bspec[OF max-idx-vars])
      done
    next
    case False
    hence nonempty: valid-ENC n ` FOV φ ≠ {} by simp
    have finite: finite (valid-ENC n ` FOV φ) by (rule finite-imageI[OF finite-FOV])
    from False assms(1) have 0 < n by (cases n) (auto split: dest!: max-idx-vars)
    with wf-rexp-valid-ENC have wf-rexp: ∀ x ∈ valid-ENC n ` FOV φ. wf n x by
    auto
    { fix r w I assume r ∈ FOV φ and *: length I = n wf-interp-for-formula (w,
I) φ
      then obtain p where p: I ! r = Inl p by (cases I ! r) auto
      moreover from ⟨r ∈ FOV φ⟩ assms *(1) have r < length I by (auto dest!:
max-idx-vars)
      ultimately have Inl p ∈ set I by (auto simp add: in-set-conv-nth)
      with *(2) have p < length w by auto
      with *(2) obtain a where a: w ! p = a a ∈ set Σ by auto
      from ⟨r < length I⟩ p *(1) ⟨a ∈ set Σ⟩
        have [enc-atom I p a] ∈ lang n (arbitrary-except n [(r, True)] Σ)
        by (intro enc-atom-lang-arbitrary-except-True[OF - - - subset-refl]) auto
      moreover
      from ⟨r < length I⟩ p *(1) ⟨a ∈ set Σ⟩ *(2) ⟨p < length w⟩
        have take p (enc (w, I)) ∈ star (lang n (arbitrary-except n [(r, False)] Σ))
        by (auto simp: in-set-conv-nth intro!: Ball-starI enc-atom-lang-arbitrary-except-False)
      auto
      moreover
      from ⟨r < length I⟩ p *(1) ⟨a ∈ set Σ⟩ *(2) ⟨p < length w⟩
        have drop (Suc p) (enc (w, I)) ∈ star (lang n (arbitrary-except n [(r, False)] Σ))
        by (auto simp: in-set-conv-nth intro!: Ball-starI enc-atom-lang-arbitrary-except-False)
      auto
      ultimately have take p (enc (w, I)) @ [enc-atom I p a] @ drop (p + 1) (enc
(w, I)) ∈
        lang n (valid-ENC n r) using ⟨0 < n⟩ unfolding valid-ENC-def by (auto
simp del: append.simps)
  
```

```

with ⟨p < length w⟩ a have enc (w, I) ∈ lang n (valid-ENC n r)
  using id-take-nth-drop[of p enc (w, I)] by auto
}
hence ?R ⊆ ?L using lang-flatten-INTERSECT[OF finite nonempty wf-rexp]
by (auto simp add: ENC-def)
moreover
{ fix x assume x ∈ (⋂ r ∈ valid-ENC n ‘ FOV φ. lang n r)
  hence r: ∀ r ∈ FOV φ. x ∈ lang n (valid-ENC n r) by blast
  have length (dec-interp n (FOV φ) x) = n unfolding dec-interp-def by simp
  moreover
  { fix r assume r ∈ FOV φ
    with assms have r < n using max-idx-vars[of n φ] by auto
    from ⟨r ∈ FOV φ⟩ r obtain u v w where uvw: x = u @ v @ w
      u ∈ star (lang n (arbitrary-except n [(r, False)] Σ))
      v ∈ lang n (arbitrary-except n [(r, True)] Σ)
      w ∈ star (lang n (arbitrary-except n [(r, False)] Σ)) using ⟨0 < n⟩ unfolding
      valid-ENC-def by fastforce
    hence length u < length x ∧ i. i < length x → snd (x ! i) ! r ↔ i = length
    u
      by (auto simp: nth-append nth-Cons' split: split-if-asm
        dest!: arbitrary-except[OF - ⟨r < n⟩]
        dest: star-arbitrary-except[OF - ⟨r < n⟩, of u]
        elim!: iffD1[OF star-arbitrary-except[OF - ⟨r < n⟩, of w False]]) auto
    hence ∃!p. p < length x ∧ snd (x ! p) ! r by auto
  } note * = this
  have x-wf-word: wf-word n x using wf-lang-wf-word[OF wf-rexp-valid-ENC]
  False r by auto
  with * have x = enc (dec-word x, dec-interp n (FOV φ) x) by (intro sym[OF
  enc-dec]) auto
  moreover
  from * have wf-interp-for-formula (dec-word x, dec-interp n (FOV φ) x) φ
    using r False x-wf-word[unfolded wf-word, unfolded σ-def] assms
    apply (auto simp: dec-word-def split: sum.splits)
    apply fastforce
    using Inl-dec-interp-length[OF ballI] apply blast
    using Inr-dec-interp-length apply blast
    using dec-interp-Inl[OF - bspec[OF max-idx-vars], of - FOV φ n φ x] apply
    force
    using dec-interp-Inr[OF - bspec[OF max-idx-vars], of - FOV φ n φ x] apply
    fastforce
    done
  ultimately have x ∈ {enc (w, I) | w I. length I = n ∧ wf-interp-for-formula
  (w, I) φ} by blast
}
with False lang-flatten-INTERSECT[OF finite nonempty wf-rexp] have ?L ⊆
?R by (auto simp add: ENC-def)
ultimately show ?thesis by blast
qed

```

7.2 Welldefinedness of enc wrt. Models

```

lemma enc-alt-def:
  enc (w, x # I) = map-index (λn (a, bs). (a, (case x of Inl p ⇒ n = p | Inr P
  ⇒ n ∈ P) # bs)) (enc (w, I))
  by (auto simp: comp-def)

lemma enc-extend-interp: enc (w, I) = enc (w', I')  $\Rightarrow$  enc (w, x # I) = enc
(w', x # I')
  unfolding enc-alt-def by auto

lemma wf-interp-for-formula-FExists:
  [wf-formula (length I) (FExists φ); w ≠ []]  $\Rightarrow$ 
  wf-interp-for-formula (w, I) (FExists φ)  $\longleftrightarrow$  ( $\forall p < \text{length } w$ . wf-interp-for-formula
(w, Inl p # I) φ)
  apply (clar simp split: sum.splits split-if-asm)
  apply safe
  apply (metis (hide-lams) DiffI Suc-pred gr0I nth-Cons-0 nth-Cons-Suc singleton-iff
sum.simps(4))
  apply (metis diff-Suc-Suc diff-zero nat.exhaust nth-Cons-Suc)
  apply (metis length-greater-0-conv)
  apply (metis length-greater-0-conv)
  apply (metis length-greater-0-conv)
  apply (metis diff-Suc-Suc gr0-implies-Suc length-greater-0-conv minus-nat.diff-0
nth-Cons-Suc)
  apply (auto simp: nth-Cons' split: split-if-asm)
  done

lemma wf-interp-for-formula-any-Inl: wf-interp-for-formula (w, Inl p # I) φ  $\Rightarrow$ 
   $\forall p < \text{length } w$ . wf-interp-for-formula (w, Inl p # I) φ
  by (auto simp: nth-Cons' split: split-if-asm)

lemma wf-interp-for-formula-FEXISTS:
  [wf-formula (length I) (FEXISTS φ); w ≠ []]  $\Rightarrow$ 
  wf-interp-for-formula (w, I) (FEXISTS φ)  $\longleftrightarrow$  ( $\forall P \subseteq \{0 .. \text{length } w - 1\}$ .
wf-interp-for-formula (w, Inr P # I) φ)
  apply (clar simp split: sum.splits split-if-asm)
  apply safe
  apply (cases w)
  apply auto [2]
  apply (metis Suc-pred gr0I nth-Cons-Suc)
  apply (metis (hide-lams) DiffI Suc-pred gr0I nth-Cons-0 nth-Cons-Suc singleton-iff
sum.simps(4))
  unfolding sym[OF length-greater-0-conv] nth-Cons' One-nat-def
  apply auto [2]
  apply (metis empty-subsetI)
  apply (metis empty-subsetI)
  apply (metis empty-subsetI neq0-conv)
  done

```

```

lemma wf-interp-for-formula-any-Inr: wf-interp-for-formula (w, Inr P # I)  $\varphi \implies$ 
 $\forall P \subseteq \{0 \dots \text{length } w - 1\}. \text{wf-interp-for-formula } (w, \text{Inr } P \# I) \varphi$ 
by (cases w) (auto simp: nth-Cons' split: sum.splits split-if-asm)

lemma enc-word-length: enc (w, I) = enc (w', I')  $\implies \text{length } w = \text{length } w'$ 
by (auto elim: map-index-eq-imp-length-eq)

lemma enc-length:
assumes w  $\neq []$  enc (w, I) = enc (w', I')
shows length I = length I'
using assms
length-map[of (λx. case x of Inl p ⇒ 0 = p | Inr P ⇒ 0 ∈ P) I]
length-map[of (λx. case x of Inl p ⇒ 0 = p | Inr P ⇒ 0 ∈ P) I']
by (induct rule: list-induct2[OF enc-word-length[OF assms(2)]]) auto

lemma wf-interp-for-formula-FOr:
wf-interp-for-formula (w, I) (FOr  $\varphi_1 \varphi_2$ ) =
(wf-interp-for-formula (w, I)  $\varphi_1 \wedge$  wf-interp-for-formula (w, I)  $\varphi_2$ )
by auto

lemma wf-interp-for-formula-FAnd:
wf-interp-for-formula (w, I) (FAnd  $\varphi_1 \varphi_2$ ) =
(wf-interp-for-formula (w, I)  $\varphi_1 \wedge$  wf-interp-for-formula (w, I)  $\varphi_2$ )
by auto

lemma enc-wf-interp:
assumes wf-formula (length I)  $\varphi$  wf-interp-for-formula (w, I)  $\varphi$ 
shows wf-interp-for-formula (dec-word (enc (w, I)), dec-interp (length I) (FOV
 $\varphi$ ) (enc (w, I)))  $\varphi$ 
is wf-interp-for-formula (-, ?dec)  $\varphi$ 
unfolding dec-word-enc
proof –
{ fix i assume i:  $i \in \text{FOV } \varphi$ 
with assms(2) have  $\exists p. I ! i = \text{Inl } p$  by (cases I ! i) auto
with i assms have  $\exists ! p. p < \text{length } (\text{enc } (w, I)) \wedge \text{snd } (\text{enc } (w, I) ! p) ! i$ 
by (intro enc-unique[of w I i]) (auto intro!: bspec[OF max-idx-vars] split:
sum.splits)
} note * = this
have  $\forall x \in \text{set } ?\text{dec}. \text{sum-case } (\lambda p. p < \text{length } w) (\lambda P. \forall p \in P. p < \text{length } w) x$ 
proof (intro ballI, split sum.split, safe)
fix p assume Inl p  $\in$  set ?dec
thus p < length w using Inl-dec-interp-length[OF ballI[OF *]] by auto
next
fix p P assume Inr P  $\in$  set ?dec p  $\in$  P
thus p < length w using Inr-dec-interp-length by fastforce
qed
thus wf-interp-for-formula (w, ?dec)  $\varphi$ 
using assms
dec-interp-Inl[of - FOV  $\varphi$  length I enc (w, I), OF - bspec[OF max-idx-vars]]

```

$\text{dec-interp-Inr}[\text{of - FOV } \varphi \text{ length } I \text{ enc } (w, I), \text{ OF - bspec[OF max-idx-vars]]}$
by (fastforce split: sum.splits)
qed

lemma *enc-welldef*: $\llbracket \text{enc } (w, I) = \text{enc } (w', I'); \text{wf-formula } (\text{length } I) \varphi;$
 $\text{wf-interp-for-formula } (w, I) \varphi; \text{ wf-interp-for-formula } (w', I') \varphi \rrbracket \implies$
 $\text{satisfies } (w, I) \varphi \longleftrightarrow \text{satisfies } (w', I') \varphi$

proof (induction φ arbitrary: $I I'$)

case ($FQ a m$)

let $?dec = \lambda w I. (\text{dec-word } (\text{enc } (w, I)), \text{ dec-interp } (\text{length } I) (\text{FOV } (FQ a m))$
 $(\text{enc } (w, I)))$

from $FQ(2,3)$ **have** $\text{satisfies } (w, I) (FQ a m) = \text{satisfies } (?dec w I) (FQ a m)$

unfolding *dec-word-enc*

using *dec-interp-enc-Inl*[*of length I {m}*] $w I m]$

by (auto intro: nth-mem dest: *dec-interp-not-Inr* split: sum.splits) (metis nth-mem)+

moreover

from $FQ(3)$ **have** $*: w \neq []$ **by** simp

from $FQ(2,4)$ **have** $\text{satisfies } (w', I') (FQ a m) = \text{satisfies } (?dec w' I') (FQ a m)$

unfolding *dec-word-enc enc-length[OF * FQ(1)]*

using *dec-interp-enc-Inl*[*of length I' {m}*] $w' I' m]$

by (auto dest: *dec-interp-not-Inr* split: sum.splits) (metis nth-mem)+

ultimately show ?case **unfolding** $FQ(1) \text{ enc-length}[OF * FQ(1)] ..$

next

case ($FLess m n$)

let $?dec = \lambda w I. (\text{dec-word } (\text{enc } (w, I)), \text{ dec-interp } (\text{length } I) (\text{FOV } (FLess m n)) (\text{enc } (w, I)))$

from $FLess(2,3)$ **have** $\text{satisfies } (w, I) (FLess m n) = \text{satisfies } (?dec (\text{TYPE } ('a)) w I) (FLess m n)$

unfolding *dec-word-enc*

using *dec-interp-enc-Inl*[*of length I {m, n}*] $w I m] \text{ dec-interp-enc-Inl}[of length I {m, n} w I n]$

by (fastforce intro: nth-mem dest: *dec-interp-not-Inr* split: sum.splits)

moreover

from $FLess(3)$ **have** $*: w \neq []$ **by** simp

from $FLess(2,4)$ **have** $\text{satisfies } (w', I') (FLess m n) = \text{satisfies } (?dec (\text{TYPE } ('a)) w' I') (FLess m n)$

unfolding *dec-word-enc enc-length[OF * FLess(1)]*

using *dec-interp-enc-Inl*[*of length I' {m, n}*] $w' I' m] \text{ dec-interp-enc-Inl}[of length I' {m, n} w' I' n]$

by (fastforce intro: nth-mem dest: *dec-interp-not-Inr* split: sum.splits)

ultimately show ?case **unfolding** $FLess(1) \text{ enc-length}[OF * FLess(1)] ..$

next

case ($FIn m M$)

let $?dec = \lambda w I. (\text{dec-word } (\text{enc } (w, I)), \text{ dec-interp } (\text{length } I) (\text{FOV } (FIn m M)) (\text{enc } (w, I)))$

from $FIn(2,3)$ **have** $\text{satisfies } (w, I) (FIn m M) = \text{satisfies } (?dec (\text{TYPE } ('a)) w I) (FIn m M)$

```

unfolding dec-word-enc
using dec-interp-enc-Inl[of length I {m} w I m] dec-interp-enc-Inr[of length I
{m} w I M]
by (auto dest: dec-interp-not-Inr dec-interp-not-Inl split: sum.splits) (metis
nth-mem)+
moreover
from FIn(3) have *:  $w \neq []$  by simp
from FIn(2,4) have satisfies ( $w', I'$ ) ( $FIn\ m\ M$ ) = satisfies (?dec (TYPE ('a))
 $w'\ I'$ ) ( $FIn\ m\ M$ )
unfolding dec-word-enc enc-length[ $OF * FIn(1)$ ]
using dec-interp-enc-Inl[of length  $I'\ {m}$   $w'\ I'\ m$ ] dec-interp-enc-Inr[of length
 $I'\ {m}$   $w'\ I'\ M$ ]
by (auto dest: dec-interp-not-Inr dec-interp-not-Inl split: sum.splits) (metis
nth-mem)+
ultimately show ?case unfolding FIn(1) enc-length[ $OF * FIn(1)$ ] ..
next
case (For  $\varphi_1\ \varphi_2$ ) show ?case unfolding satisfies.simps(5)
proof (intro disj-cong)
from FOr(3–6) show satisfies ( $w, I$ )  $\varphi_1$  = satisfies ( $w', I'$ )  $\varphi_1$ 
by (intro FOr(1)) auto
next
from FOr(3–6) show satisfies ( $w, I$ )  $\varphi_2$  = satisfies ( $w', I'$ )  $\varphi_2$ 
by (intro FOr(2)) auto
qed
next
case (FAnd  $\varphi_1\ \varphi_2$ ) show ?case unfolding satisfies.simps(6)
proof (intro conj-cong)
from FAnd(3–6) show satisfies ( $w, I$ )  $\varphi_1$  = satisfies ( $w', I'$ )  $\varphi_1$ 
by (intro FAnd(1)) auto
next
from FAnd(3–6) show satisfies ( $w, I$ )  $\varphi_2$  = satisfies ( $w', I'$ )  $\varphi_2$ 
by (intro FAnd(2)) auto
qed
next
case (FExists  $\varphi$ )
hence  $w \neq []$   $w' \neq []$  by auto
hence length: length  $w$  = length  $w'$  length  $I$  = length  $I'$ 
using enc-word-length[ $OF\ FExists.\text{prems}(1)$ ] enc-length[ $OF - FExists.\text{prems}(1)$ ]
by auto
show ?case
proof
assume satisfies ( $w, I$ ) (FExists  $\varphi$ )
with FExists.prems(3) obtain  $p$  where  $p < \text{length } w$  satisfies ( $w, Inl\ p \# I$ )
 $\varphi$ 
by (auto intro: ord-less-eq-trans[ $OF\ le-imp-less-Suc\ Suc-pred$ ])
moreover
with FExists.prems have satisfies ( $w', Inl\ p \# I'$ )  $\varphi$ 
apply (intro iffD1[ $OF\ FExists.IH[\text{of } Inl\ p \# I\ Inl\ p \# I']$ ])
apply (elim enc-extend-interp)

```

```

apply (auto split: sum.splits split-if-asm) []
apply (blast dest!: wf-interp-for-formula-FExists[OF - ``w ≠ []`])
apply (blast dest!: wf-interp-for-formula-FExists[OF - ``w' ≠ []`], of I', unfolded
length[symmetric]])
apply assumption
done
ultimately show satisfies (w', I') (FExists φ) using length by (auto intro!:
exI[of - p])
next
assume satisfies (w', I') (FExists φ)
with FExists.prems(1,2,4) obtain p where p < length w' satisfies (w', Inl p
# I') φ
by (auto intro: ord-less-eq-trans[OF le-imp-less-Suc Suc-pred])
moreover
with FExists.prems have satisfies (w, Inl p # I) φ
apply (intro iffD2[OF FExists.IH[of Inl p # I Inl p # I']])
apply (elim enc-extend-interp)
apply (auto split: sum.splits split-if-asm) []
apply (blast dest!: wf-interp-for-formula-FExists[OF - ``w ≠ []`], of I, unfolded
length(1)])
apply (blast dest!: wf-interp-for-formula-FExists[OF - ``w' ≠ []`], of I', unfolded
length(2)[symmetric]))
apply assumption
done
ultimately show satisfies (w, I) (FExists φ) using length by (auto intro!:
exI[of - p])
qed
next
case (FEXISTS φ)
hence w ≠ [] w' ≠ [] by auto
hence length: length w = length w' length I = length I'
using enc-word-length[OF FEXISTS.prems(1)] enc-length[OF - FEXISTS.prems(1)]
by auto
show ?case
proof
assume satisfies (w, I) (FEXISTS φ)
then obtain P where P ⊆ {0 .. length w - 1} satisfies (w, Inr P # I) φ by
auto
moreover
with FEXISTS.prems have satisfies (w', Inr P # I') φ
apply (intro iffD1[OF FEXISTS.IH[of Inr P # I Inr P # I']])
apply (elim enc-extend-interp)
apply (auto split: sum.splits split-if-asm) []
apply (blast dest!: wf-interp-for-formula-FEXISTS[OF - ``w ≠ []`])
apply (blast dest!: wf-interp-for-formula-FEXISTS[OF - ``w' ≠ []`], of I',
unfolded length[symmetric]])
apply assumption
done
ultimately show satisfies (w', I') (FEXISTS φ) using length by (auto intro!:

```

```

exI[of - P])
next
  assume satisfies (w', I') (FEXISTS  $\varphi$ )
  then obtain P where P:  $P \subseteq \{0 \dots \text{length } w' - 1\}$  satisfies (w', Inr P # I')
 $\varphi$  by auto
  moreover
  with FEXISTS.preds have satisfies (w, Inr P # I)  $\varphi$ 
    apply (intro iffD2[OF FEXISTS.IH[of Inr P # I Inr P # I']])
    apply (elim enc-extend-interp)
    apply (auto split: sum.splits split-if-asm) []
    apply (blast dest!: wf-interp-for-formula-FEXISTS[OF - <w ≠ []>, of I, unfolded
length(1)])
    apply (blast dest!: wf-interp-for-formula-FEXISTS[OF - <w' ≠ []>, of I',
unfolded length(2)][symmetric])
    apply assumption
    done
  ultimately show satisfies (w, I) (FEXISTS  $\varphi$ ) using length by (auto intro!
exI[of - P])
qed
qed auto

lemma langM2L-For:
assumes wf-formula n (For  $\varphi_1 \varphi_2$ )
shows langM2L n (For  $\varphi_1 \varphi_2$ )  $\subseteq$ 
  (langM2L n  $\varphi_1 \cup$  langM2L n  $\varphi_2 \cap \{\text{enc } (w, I) \mid w \text{ I. length } I = n \wedge$ 
wf-interp-for-formula (w, I) (For  $\varphi_1 \varphi_2\}$ )
(is -  $\subseteq$  (?L1  $\cup$  ?L2)  $\cap$  ?ENC)
proof (intro equalityI subsetI)
fix x assume x ∈ langM2L n (For  $\varphi_1 \varphi_2$ )
then obtain w I where
  *: x = enc (w, I) wf-interp-for-formula (w, I) (For  $\varphi_1 \varphi_2$ ) length I = n length
w > 0 and
  satisfies (w, I)  $\varphi_1 \vee$  satisfies (w, I)  $\varphi_2$  unfolding langM2L-def by auto
thus x ∈ (?L1  $\cup$  ?L2)  $\cap$  ?ENC
proof (elim disjE)
assume satisfies (w, I)  $\varphi_1$ 
with * have x ∈ ?L1 using assms unfolding langM2L-def by (fastforce)
with * show ?thesis by auto
next
assume satisfies (w, I)  $\varphi_2$ 
with * have x ∈ ?L2 using assms unfolding langM2L-def by (fastforce)
with * show ?thesis by auto
qed
qed

lemma langM2L-FAnd:
assumes wf-formula n (FAnd  $\varphi_1 \varphi_2$ )
shows langM2L n (FAnd  $\varphi_1 \varphi_2$ )  $\subseteq$ 
  langM2L n  $\varphi_1 \cap$  langM2L n  $\varphi_2 \cap \{\text{enc } (w, I) \mid w \text{ I. length } I = n \wedge$ 
wf-interp-for-formula

```

```
(w, I) (FAnd  $\varphi_1 \varphi_2$ )
  (is -  $\subseteq ?L1 \cap ?L2 \cap ?ENC$ )
  using assms unfolding langM2L-def by (fastforce)
```

7.3 From M2L to Regular expressions

```
fun rexp-of :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a  $\times$  bool list) rexp where
  rexp-of n (FQ a m) =
    Inter (TIMES [rexp.Not Zero, arbitrary-except n [(m, True)] [a], rexp.Not Zero])
    (ENC n (FQ a m))
  | rexp-of n (FLess m1 m2) = (if m1 = m2 then Zero else
    Inter (TIMES [rexp.Not Zero, arbitrary-except n [(m1, True)]  $\Sigma$ ,
      rexp.Not Zero, arbitrary-except n [(m2, True)]  $\Sigma$ ,
      rexp.Not Zero]) (ENC n (FLess m1 m2)))
  | rexp-of n (FIn m M) =
    Inter (TIMES [rexp.Not Zero, arbitrary-except n [(min m M, True), (max m M, True)]  $\Sigma$ , rexp.Not Zero])
    (ENC n (FIn m M))
  | rexp-of n (FNot  $\varphi$ ) = Inter (rexp.Not (rexp-of n  $\varphi$ )) (ENC n (FNot  $\varphi$ ))
  | rexp-of n (FOr  $\varphi_1 \varphi_2$ ) = Inter (Plus (rexp-of n  $\varphi_1$ ) (rexp-of n  $\varphi_2$ )) (ENC n (FOr  $\varphi_1 \varphi_2$ ))
  | rexp-of n (FAnd  $\varphi_1 \varphi_2$ ) = INTERSECT [rexp-of n  $\varphi_1$ , rexp-of n  $\varphi_2$ , ENC n (FAnd  $\varphi_1 \varphi_2$ )]
  | rexp-of n (FExists  $\varphi$ ) = Pr (rexp-of (n + 1)  $\varphi$ )
  | rexp-of n (FEXISTS  $\varphi$ ) = Pr (rexp-of (n + 1)  $\varphi$ )

fun rexp-of-alt :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a  $\times$  bool list) rexp where
  rexp-of-alt n (FQ a m) =
    TIMES [rexp.Not Zero, arbitrary-except n [(m, True)] [a], rexp.Not Zero]
  | rexp-of-alt n (FLess m1 m2) = (if m1 = m2 then Zero else
    TIMES [rexp.Not Zero, arbitrary-except n [(m1, True)]  $\Sigma$ ,
      rexp.Not Zero, arbitrary-except n [(m2, True)]  $\Sigma$ ,
      rexp.Not Zero])
  | rexp-of-alt n (FIn m M) =
    TIMES [rexp.Not Zero, arbitrary-except n [(min m M, True), (max m M, True)]  $\Sigma$ , rexp.Not Zero]
  | rexp-of-alt n (FNot  $\varphi$ ) = rexp.Not (rexp-of-alt n  $\varphi$ )
  | rexp-of-alt n (FOr  $\varphi_1 \varphi_2$ ) = Plus (rexp-of-alt n  $\varphi_1$ ) (rexp-of-alt n  $\varphi_2$ )
  | rexp-of-alt n (FAnd  $\varphi_1 \varphi_2$ ) = Inter (rexp-of-alt n  $\varphi_1$ ) (rexp-of-alt n  $\varphi_2$ )
  | rexp-of-alt n (FExists  $\varphi$ ) = Pr (Inter (rexp-of-alt (n + 1)  $\varphi$ ) (ENC (n + 1)  $\varphi$ ))
  | rexp-of-alt n (FEXISTS  $\varphi$ ) = Pr (Inter (rexp-of-alt (n + 1)  $\varphi$ ) (ENC (n + 1)  $\varphi$ ))

definition rexp-of' n  $\varphi$  = Inter (rexp-of-alt n  $\varphi$ ) (ENC n  $\varphi$ )

lemma length-dec-interp[simp]: length (dec-interp n FO x) = n
  unfolding dec-interp-def by auto

theorem langM2L-rexp-of: wf-formula n  $\varphi$   $\Rightarrow$  langM2L n  $\varphi$  = lang n (rexp-of n)
```

```

 $\varphi) - \{\emptyset\}$ 
(is  $- \implies - = ?L n \varphi$ )
proof (induct  $\varphi$  arbitrary:  $n$ )
case ( $FQ a m$ )
show  $?case$ 
proof (intro equalityI subsetI)
fix  $x$  assume  $x \in lang_{M2L} n (FQ a m)$ 
then obtain  $w I$  where
*:  $x = enc (w, I)$  wf-interp-for-formula  $(w, I) (FQ a m)$  satisfies  $(w, I) (FQ a m)$ 
length  $I = n$ 
unfolding  $lang_{M2L}\text{-def}$  by blast
with  $FQ(1)$  obtain  $p$  where  $p < length w I ! m = Inl p w ! p = a$ 
by (auto simp: all-set-conv-all-nth split: sum.splits)
with *(1) have  $x = take p (enc (w, I)) @ [enc-atom I p a] @ drop (p + 1) (enc (w, I))$ 
using id-take-nth-drop[of  $p$  enc  $(w, I)$ ] by auto
moreover from *(4)  $FQ(1) p(2)$ 
have  $[enc-atom I p a] \in lang n (\text{arbitrary-except } n [(m, True)] [a])$ 
by (intro enc-atom-lang-arbitrary-except-True) auto
moreover from *(2,4) have  $take p (enc (w, I)) \in lang n (rexp.\text{Not Zero})$ 
by (auto intro!: enc-atom- $\sigma$  dest!: in-set-takeD)
moreover from *(2,4) have  $drop (Suc p) (enc (w, I)) \in lang n (rexp.\text{Not Zero})$ 
by (auto intro!: enc-atom- $\sigma$  dest!: in-set-dropD)
ultimately show  $x \in ?L n (FQ a m)$  using *(1,2,4)
unfolding rexp-of.simps lang.simps(5,8) rexp-of-list.simps Int-Diff lang-ENC[ $OF FQ$ ]
by (auto elim: ssubst simp del: o-apply append.simps lang.simps)
next
fix  $x$  assume  $x: x \in ?L n (FQ a m)$ 
with  $FQ$  obtain  $w I p$  where  $m: I ! m = Inl p m < length I$  and
 $wI: x = enc (w, I)$  length  $I = n$  wf-interp-for-formula  $(w, I) (FQ a m)$ 
unfolding rexp-of.simps lang.simps lang-ENC[ $OF FQ$ ] Int-Diff by atomize-elim
(auto split: sum.splits)
hence wf-interp-for-formula  $(dec-word x, dec-interp n \{m\} x) (FQ a m)$  unfolding  $wI(1)$ 
using enc-wf-interp[ $OF FQ(1)[folded wI(2)]$ ] by auto
moreover
from  $x$  obtain  $u1 u u2$  where  $x = u1 @ u @ u2 u \in lang n (\text{arbitrary-except } n [(m, True)] [a])$ 
unfolding rexp-of.simps lang.simps rexp-of-list.simps using concE by fast
with  $FQ(1)$  obtain  $v$  where  $v: x = u1 @ [v] @ u2 snd v ! m fst v = a$ 
using arbitrary-except[of  $u n m$  True [a]] by fastforce
hence  $u: length u1 < length x$  by auto
{ from  $v$  have  $snd (x ! length u1) ! m$  by auto
moreover
from  $m wI$  have  $p < length x snd (x ! p) ! m$ 
by (fastforce intro: nth-mem split: sum.splits)+
}

```

```

moreover
from m wI have ex1:  $\exists! p. p < \text{length } x \wedge \text{snd } (x ! p) ! m$  unfolding wI(1)
by (intro enc-unique) auto
ultimately have  $p = \text{length } u_1$  using u by auto
} note * = this
from v have  $v = \text{enc } (w, I) ! \text{length } u_1$  unfolding wI(1) by simp
hence  $a = w ! \text{length } u_1$  using nth-map[ $\text{OF } u$ , of fst] unfolding wI(1)
v(3)[symmetric] by auto
with * m wI have satisfies (dec-word x, dec-interp n {m} x) (FQ a m)
unfolding dec-word-enc[of w I, folded wI(1)]
by (auto simp del: enc.simps dest: dec-interp-not-Inr split: sum.splits)
(fastforce dest!: dec-interp-enc-Inl intro: nth-mem split: sum.splits)
moreover from wI have wf-word n x unfolding wf-word by (auto intro!
enc-atom- $\sigma$ )
ultimately show  $x \in \text{lang}_{M2L} n$  (FQ a m) unfolding langM2L-def using m
wI(3)
by (auto simp del: enc.simps intro!: exI[of - dec-word x] exI[of - dec-interp n
{m} x]
intro: sym[ $\text{OF enc-dec[OF - ballI[OF impI[OF enc-unique[of w I, folded$ 
wI(1)]]]]])
qed
next
case (FLess m m')
show ?case
proof (cases m = m')
case False
thus ?thesis
proof (intro equalityI subsetI)
fix x assume  $x \in \text{lang}_{M2L} n$  (FLess m m')
then obtain w I where
*:  $x = \text{enc } (w, I)$  wf-interp-for-formula (w, I) (FLess m m') satisfies (w,
I) (FLess m m')
length I = n
unfolding langM2L-def by blast
with FLess(1) obtain p q where pq:  $p < \text{length } w I ! m = \text{Inl } p q < \text{length } w I ! m' = \text{Inl } q p < q$ 
by (auto simp: all-set-conv-all-nth split: sum.splits)
with *(1) have x = take p (enc (w, I)) @ [enc-atom I p (w ! p)] @ drop (p
+ 1) (enc (w, I))
using id-take-nth-drop[of p enc (w, I)] by auto
also have drop (p + 1) (enc (w, I)) = take (q - p - 1) (drop (p + 1) (enc
(w, I))) @
[enc-atom I q (w ! q)] @ drop (q - p) (drop (p + 1) (enc (w, I))) (is - =
?LHS)
using id-take-nth-drop[of q - p - 1 drop (p + 1) (enc (w, I))] pq by auto
finally have x = take p (enc (w, I)) @ [enc-atom I p (w ! p)] @ ?LHS .
moreover from *(2,4) FLess(1) pq(1,2)
have [enc-atom I p (w ! p)]  $\in \text{lang } n$  (arbitrary-except n [(m, True)]  $\Sigma$ )
by (intro enc-atom-lang-arbitrary-except-True) auto

```

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moreover from *(2,4) FLess(1) pq(3,4)
have [enc-atom I q (w ! q)] ∈ lang n (arbitrary-except n [(m', True)] Σ)
  by (intro enc-atom-lang-arbitrary-except-True) auto
moreover from *(2,4) have take p (enc (w, I)) ∈ lang n (rexp.Not Zero)
  by (auto intro!: enc-atom-σ dest!: in-set-takeD)
moreover from *(2,4) have take (q - p - 1) (drop (Suc p) (enc (w, I)))
  ∈ lang n (rexp.Not Zero)
  by (auto intro!: enc-atom-σ dest!: in-set-dropD in-set-takeD)
moreover from *(2,4) have drop (q - p) (drop (Suc p) (enc (w, I))) ∈
lang n (rexp.Not Zero)
  by (auto intro!: enc-atom-σ dest!: in-set-dropD)
ultimately show x ∈ ?L n (FLess m m') using *(1,2,4)
unfolding rexp-of.simps lang.simps(5,8) rexp-of-list.simps Int-Diff lang-ENC[OF
FLess] if-not-P[OF False]
  by (auto elim: ssubst simp del: o-apply append.simps lang.simps)
next
fix x assume x: x ∈ ?L n (FLess m m')
with FLess obtain w I where
  wI: x = enc (w, I) length I = n wf-interp-for-formula (w, I) (FLess m m')
  unfolding rexp-of.simps lang.simps lang-ENC[OF FLess] Int-Diff if-not-P[OF
False]
  by (fastforce split: sum.splits)
  with FLess obtain p p' where m: I ! m = Inl p m < length I I ! m' = Inl
p' m' < length I
    by (auto split: sum.splits)
    with wI have wf-interp-for-formula (dec-word x, dec-interp n {m, m'} x)
(FLess m m') unfolding wI(1)
    using enc-wf-interp[OF FLess(1)[folded wI(2)]] by auto
moreover
from x obtain u1 u u2 u' u3 where x = u1 @ u @ u2 @ u' @ u3
  u ∈ lang n (arbitrary-except n [(m, True)] Σ)
  u' ∈ lang n (arbitrary-except n [(m', True)] Σ)
  unfolding rexp-of.simps lang.simps rexp-of-list.simps if-not-P[OF False]
using concE by fast
  with FLess(1) obtain v v' where v: x = u1 @ [v] @ u2 @ [v'] @ u3 snd v
! m snd v' ! m'
    using arbitrary-except[of u n m True Σ] arbitrary-except[of u' n m' True
Σ] by fastforce
    hence u: length u1 < length x and u': Suc (length u1 + length u2) < length
x (is ?u' < -) by auto
    { from v have snd (x ! length u1) ! m by auto
      moreover
      from m wI have p < length x snd (x ! p) ! m
        by (fastforce intro: nth-mem split: sum.splits)+
      moreover
      from m wI have ex1: ∃!p. p < length x ∧ snd (x ! p) ! m unfolding wI(1)
      by (intro enc-unique) auto
      ultimately have p = length u1 using u by auto
    }

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{ from v have snd (x ! ?u') ! m' by (auto simp: nth-append)
  moreover
    from m wI have p' < length x snd (x ! p') ! m'
      by (fastforce intro: nth-mem split: sum.splits) +
    moreover
      from m wI have ex1:  $\exists! p. p < \text{length } x \wedge \text{snd } (x ! p) ! m'$  unfolding
      wI(1) by (intro enc-unique) auto
      ultimately have p' = ?u' using u' by auto
    } note * = this {p = length u1}
    with * m wI have satisfies (dec-word x, dec-interp n {m, m'} x) (FLess m
    m')
      unfolding dec-word-enc[of w I, folded wI(1)]
      by (auto simp del: enc.simps dest: dec-interp-not-Inr split: sum.splits)
        (fastforce dest!: dec-interp-enc-Inl intro: nth-mem split: sum.splits)
      moreover from wI have wf-word n x unfolding wf-word by (auto intro!:
      enc-atom- $\sigma$ )
        ultimately show x ∈ langM2L n (FLess m m') unfolding langM2L-def
        using m wI(3)
          by (auto simp del: enc.simps intro!: exI[of - dec-word x] exI[of - dec-interp
          n {m, m'} x]
            intro: sym[OF enc-dec[OF - ballI[OF impI[OF enc-unique[of w I, folded
            wI(1)]]]])
        qed
      qed (simp add: langM2L-def del: o-apply)
  next
    case (FIn m M)
    show ?case
    proof (intro equalityI subsetI)
      fix x assume x ∈ langM2L n (FIn m M)
      then obtain w I where
        #: x = enc (w, I) wf-interp-for-formula (w, I) (FIn m M) satisfies (w, I)
        (FIn m M)
        length I = n
        unfolding langM2L-def by blast
        with FIn(1) obtain p P where p: p < length w I ! m = Inl p I ! M = Inr
        P p ∈ P
          by (auto simp: all-set-conv-all-nth split: sum.splits)
          with *(1) have x = take p (enc (w, I)) @ [enc-atom I p (w ! p)] @ drop (p
          + 1) (enc (w, I))
            using id-take-nth-drop[of p enc (w, I)] by auto
        moreover
          have [enc-atom I p (w ! p)] ∈ lang n (arbitrary-except n [(min m M, True),
          (max m M, True)]  $\Sigma$ )
          proof (cases m < M)
            case True with *(2,4) FIn(1) p show ?thesis
              by (intro enc-atom-lang-arbitrary-except-True2) (auto simp: min-absorb1
              max-absorb2)
            next
              case False with *(2,4) FIn(1) p show ?thesis
            qed
          qed
        qed
      qed
    qed
  qed
qed

```

```

    by (intro enc-atom-lang-arbitrary-except-True2) (auto simp: min-absorb2
max-absorb1)
qed
moreover from *(2,4) have take p (enc (w, I)) ∈ lang n (rexp.Not Zero)
  by (auto intro!: enc-atom-σ dest!: in-set-takeD)
moreover from *(2,4) have drop (Suc p) (enc (w, I)) ∈ lang n (rexp.Not
Zero)
  by (auto intro!: enc-atom-σ dest!: in-set-dropD)
ultimately show x ∈ ?L n (FIn m M) using *(1,2,4)
unfolding rexp-of.simps lang.simps(5,8) rexp-of-list.simps Int-Diff lang-ENC[OF
FIn]
  by (auto elim: ssubst simp del: o-apply append.simps lang.simps)
next
fix x assume x: x ∈ ?L n (FIn m M)
with FIn obtain w I where wI: x = enc (w, I) length I = n wf-interp-for-formula
(w, I) (FIn m M)
  unfolding rexp-of.simps lang.simps lang-ENC[OF FIn] Int-Diff by (fastforce
split: sum.splits)
with FIn obtain p P where m: I ! m = Inl p m < length I I ! M = Inr P
M < length I by (auto split: sum.splits)
with wI have wf-interp-for-formula (dec-word x, dec-interp n {m} x) (FIn m
M) unfolding wI(1)
  using enc-wf-interp[OF FIn(1)[folded wI(2)]] by auto
moreover
from x obtain u1 u u2 where x = u1 @ u @ u2
  u ∈ lang n (arbitrary-except n [(min m M, True), (max m M, True)] Σ)
  unfolding rexp-of.simps lang.simps rexp-of-list.simps using concE by fast
with FIn(1) obtain v where v: x = u1 @ [v] @ u2 and snd v ! min m M
  snd v ! max m M
  using arbitrary-except2[of u n min m M True max m M True Σ] by fastforce
hence v': snd v ! m snd v ! M
  by (induct m < M) (auto simp: min-absorb1 min-absorb2 max-absorb1
max-absorb2)
from v have u: length u1 < length x by auto
{ from v v' have snd (x ! length u1) ! m by auto
moreover
from m wI have p < length x snd (x ! p) ! m
  by (fastforce intro: nth-mem split: sum.splits)+
moreover
from m wI have ex1: ∃!p. p < length x ∧ snd (x ! p) ! m unfolding wI(1)
by (intro enc-unique) auto
ultimately have p = length u1 using u by auto
} note * = this
from v v' have v = enc (w, I) ! length u1 unfolding wI(1) by simp
with v'(2) m(3,4) u wI(1) have length u1 ∈ P by auto
with * m wI have satisfies (dec-word x, dec-interp n {m} x) (FIn m M)
  unfolding dec-word-enc[of w I, folded wI(1)]
  by (auto simp del: enc.simps dest: dec-interp-not-Inr dec-interp-not-Inl split:
sum.splits)

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(auto simp del: enc.simps dest!: dec-interp-enc-Inl dec-interp-enc-Inr dest:
nth-mem split: sum.splits)
moreover from wI have wf-word n x unfolding wf-word by (auto intro!:
enc-atom- $\sigma$ )
ultimately show  $x \in \text{lang}_{M2L} n (\text{FIn } m M)$  unfolding  $\text{lang}_{M2L}\text{-def}$  using
m wI(3)
by (auto simp del: enc.simps intro!: exI[of - dec-word x] exI[of - dec-interp n
{m} x]
intro: sym[OF enc-dec[OF - ballI[OF impI[OF enc-unique[of w I, folded
wI(1)]]]]])
qed
next
case (For  $\varphi_1 \varphi_2$ )
from For(3) have IH1:  $\text{lang}_{M2L} n \varphi_1 = \text{lang } n (\text{rexp-of } n \varphi_1) - \{\emptyset\}$ 
by (intro For(1)) auto
from For(3) have IH2:  $\text{lang}_{M2L} n \varphi_2 = \text{lang } n (\text{rexp-of } n \varphi_2) - \{\emptyset\}$ 
by (intro For(2)) auto
show ?case
proof (intro equalityI subsetI)
fix x assume  $x \in \text{lang}_{M2L} n (\text{For } \varphi_1 \varphi_2)$  thus  $x \in \text{lang } n (\text{rexp-of } n (\text{For } \varphi_1 \varphi_2)) - \{\emptyset\}$ 
using lang_{M2L}-For[OF For(3)] unfolding lang-ENC[OF For(3)] rexp-of.simps
lang.simps IH1 IH2 Int-Diff by auto
next
fix x assume  $x \in \text{lang } n (\text{rexp-of } n (\text{For } \varphi_1 \varphi_2)) - \{\emptyset\}$ 
then obtain w I where or:  $x \in \text{lang}_{M2L} n \varphi_1 \vee x \in \text{lang}_{M2L} n \varphi_2$  and wI:
x = enc(w, I) length I = n
wf-interp-for-formula (w, I) (For  $\varphi_1 \varphi_2$ )
unfolding lang-ENC[OF For(3)] rexp-of.simps lang.simps IH1 IH2 Int-Diff
by auto
have satisfies (w, I)  $\varphi_1 \vee \text{satisfies } (w, I) \varphi_2$ 
proof (intro mp[OF disj-mono[OF impI impI] or])
assume  $x \in \text{lang}_{M2L} n \varphi_1$ 
with wI(2,3) For(3) show satisfies (w, I)  $\varphi_1$ 
unfolding lang_{M2L}-def wI(1) wf-interp-for-formula-For
by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of - - -  $\varphi_1$ ]])
next
assume  $x \in \text{lang}_{M2L} n \varphi_2$ 
with wI(2,3) For(3) show satisfies (w, I)  $\varphi_2$ 
unfolding lang_{M2L}-def wI(1) wf-interp-for-formula-For
by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of - - -  $\varphi_2$ ]])
qed
with wI show  $x \in \text{lang}_{M2L} n (\text{For } \varphi_1 \varphi_2)$  unfolding lang_{M2L}-def by auto
qed
next
case (FAnd  $\varphi_1 \varphi_2$ )
from FAnd(3) have IH1:  $\text{lang}_{M2L} n \varphi_1 = \text{lang } n (\text{rexp-of } n \varphi_1) - \{\emptyset\}$ 
by (intro FAnd(1)) auto
from FAnd(3) have IH2:  $\text{lang}_{M2L} n \varphi_2 = \text{lang } n (\text{rexp-of } n \varphi_2) - \{\emptyset\}$ 

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    by (intro FAnd(2)) auto
  show ?case
  proof (intro equalityI subsetI)
    fix x assume x ∈ langM2L n (FAnd φ1 φ2) thus x ∈ lang n (rexp-of n (FAnd
    φ1 φ2)) = {[]}
      using langM2L-FAnd[OF FAnd(3)]
      unfolding lang-ENC[OF FAnd(3)] rexp-of.simps rexp-of-list.simps lang.simps
      IH1 IH2 Int-Diff by auto
    next
    fix x assume x ∈ lang n (rexp-of n (FAnd φ1 φ2)) = {[]}
      then obtain w I where and: x ∈ langM2L n φ1 ∧ x ∈ langM2L n φ2 and
      wI: x = enc (w, I) length I = n
        wf-interp-for-formula (w, I) (FAnd φ1 φ2)
        unfolding lang-ENC[OF FAnd(3)] rexp-of.simps rexp-of-list.simps lang.simps
        IH1 IH2 Int-Diff by auto
      have satisfies (w, I) φ1 ∧ satisfies (w, I) φ2
      proof (intro mp[OF conj-mono[OF impI impI] and])
        assume x ∈ langM2L n φ1
        with wI(2,3) FAnd(3) show satisfies (w, I) φ1
          unfolding langM2L-def wI(1) wf-interp-for-formula-FAnd
          by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of - - - φ1]])
      next
      assume x ∈ langM2L n φ2
      with wI(2,3) FAnd(3) show satisfies (w, I) φ2
        unfolding langM2L-def wI(1) wf-interp-for-formula-FAnd
        by (auto simp del: enc.simps dest!: iffD2[OF enc-welldef[of - - - φ2]])
      qed
      with wI show x ∈ langM2L n (FAnd φ1 φ2) unfolding langM2L-def by auto
      qed
    next
    case (FNot φ)
    hence IH: ?L n φ = langM2L n φ by simp
    show ?case
    proof (intro equalityI subsetI)
      fix x assume x ∈ langM2L n (FNot φ)
      then obtain w I where
        *: x = enc (w, I) wf-interp-for-formula (w, I) φ length I = n length w > 0
        and unsat: ¬ (satisfies (w, I) φ)
        unfolding langM2L-def by auto
      { assume x ∈ ?L n φ
        with IH have satisfies (w, I) φ using enc-welldef[of - - w I φ, OF - - -
        *(2)] FNot(2)
        unfolding *(1,3) langM2L-def by auto
      }
      with unsat have x ∉ ?L n φ by blast
      with * show x ∈ ?L n (FNot φ) unfolding rexp-of.simps lang.simps using
      lang-ENC[OF FNot(2)]
        by (auto simp: comp-def intro!: enc-atom-σ)
    next

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fix x assume x ∈ ?L n (FNot φ)
with IH have x ∈ lang n (ENC n (FNot φ)) − {[]} and x: x ∉ langM2L n φ
by (auto simp del: o-apply)
then obtain w I where *: x = enc (w, I) wf-interp-for-formula (w, I) (FNot
φ) length I = n
  unfolding lang-ENC[OF FNot(2)] by blast
{ assume ¬ satisfies (w, I) (FNot φ)
  with * have x ∈ langM2L n φ unfolding langM2L-def by auto
}
with x * show x ∈ langM2L n (FNot φ) unfolding langM2L-def by blast
qed
next
case (FExists φ)
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langM2L n (FExists φ)
then obtain w I p where
*: x = enc (w, I) wf-interp-for-formula (w, I) (FExists φ)
length I = n length w > 0 p ∈ {0 .. length w − 1} satisfies (w, Inl p # I) φ
unfolding langM2L-def by auto
with FExists(2) have enc (w, Inl p # I) ∈ ?L (Suc n) φ
  by (intro subsetD[OF equalityD1[OF FExists(1)], of Suc n enc (w, Inl p #
I)])
    (auto simp: langM2L-def nth-Cons' ord-less-eq-trans[OF le-imp-less-Suc
Suc-pred[OF *(4)]]]
      split: split-if-asm sum.splits intro!: exI[of - w] exI[of - Inl p # I])
with *(1) show x ∈ ?L n (FExists φ)
  by (auto simp: map-index intro!: image-eqI[of - map π] simp del: o-apply)
(auto simp: π-def)
next
fix x assume x ∈ ?L n (FExists φ)
then obtain x' where x: x = map π x' and x' ∈ ?L (Suc n) φ by (auto simp
del: o-apply)
with FExists(2) have x' ∈ langM2L (Suc n) φ
  by (intro subsetD[OF equalityD2[OF FExists(1)], of Suc n x'])
    (auto split: split-if-asm sum.splits)
then obtain w I' where
*: x' = enc (w, I') wf-interp-for-formula (w, I') φ length I' = Suc n satisfies
(w, I') φ
  unfolding langM2L-def by auto
moreover then obtain I0 I where I' = I0 # I by (cases I') auto
moreover with FExists(2) *(2) obtain p where I0 = Inl p p < length w
  by (auto simp: nth-Cons' split: sum.splits split-if-asm)
ultimately have x = enc (w, I) wf-interp-for-formula (w, I) (FExists φ)
length I = n
length w > 0 satisfies (w, I) (FExists φ) using FExists(2) unfolding x
  by (auto simp: map-tl nth-Cons' split: split-if-asm simp del: o-apply) (auto
simp: π-def)
thus x ∈ langM2L n (FExists φ) unfolding langM2L-def by (auto intro!:

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 $exI[of - w] \ exI[of - I])$ 
qed
next
case (FEXISTS  $\varphi$ )
show ?case
proof (intro equalityI subsetI)
fix x assume  $x \in lang_{M2L} n$  (FEXISTS  $\varphi$ )
then obtain w I P where
*:  $x = enc(w, I)$  wf-interp-for-formula  $(w, I)$  (FEXISTS  $\varphi$ )
length  $I = n$  length  $w > 0$   $P \subseteq \{0 \dots length w - 1\}$  satisfies  $(w, Inr P \# I)$ 
 $\varphi$ 
unfolding  $lang_{M2L}\text{-def}$  by auto
from *(4,5) have  $\forall p \in P. p < length w$  by (cases w) auto
with *(2-4,6) FEXISTS(2) have  $enc(w, Inr P \# I) \in ?L(Suc n) \varphi$ 
by (intro subsetD[OF equalityD1[OF FEXISTS(1)], of Suc n enc(w, Inr P
# I)])
(auto simp: langM2L-def nth-Cons' split: split-if-asm sum.splits
intro!: exI[of - w] exI[of - Inr P # I])
with *(1) show  $x \in ?L n$  (FEXISTS  $\varphi$ )
by (auto simp: map-index intro!: image-eqI[of - map  $\pi$ ] simp del: o-apply)
(auto simp:  $\pi$ -def)
next
fix x assume  $x \in ?L n$  (FEXISTS  $\varphi$ )
then obtain x' where  $x = map \pi x'$  and  $x': length x' > 0$  and  $x' \in ?L$ 
( $Suc n$ )  $\varphi$  by (auto simp del: o-apply)
with FEXISTS(2) have  $x' \in lang_{M2L}(Suc n) \varphi$ 
by (intro subsetD[OF equalityD2[OF FEXISTS(1)], of Suc n x'])
(auto split: split-if-asm sum.splits)
then obtain w I' where
*:  $x' = enc(w, I')$  wf-interp-for-formula  $(w, I')$   $\varphi$  length  $I' = Suc n$  satisfies
 $(w, I')$   $\varphi$ 
unfolding  $lang_{M2L}\text{-def}$  by auto
moreover then obtain  $I_0 I$  where  $I' = I_0 \# I$  by (cases  $I'$ ) auto
moreover with FEXISTS(2) *(2) obtain P where  $I_0 = Inr P$ 
by (auto simp: nth-Cons' split: sum.splits split-if-asm)
moreover have length  $w \geq 1$  using x'*(1) by (cases w) auto
ultimately have  $x = enc(w, I)$  wf-interp-for-formula  $(w, I)$  (FEXISTS  $\varphi$ )
length  $I = n$ 
length  $w > 0$  satisfies  $(w, I)$  (FEXISTS  $\varphi$ ) using FEXISTS(2) unfolding x
by (auto simp add: map-tl nth-Cons' split: split-if-asm
intro!: exI[of - P] simp del: o-apply) (auto simp:  $\pi$ -def)
thus  $x \in lang_{M2L} n$  (FEXISTS  $\varphi$ ) unfolding  $lang_{M2L}\text{-def}$  by (auto intro!:
exI[of - w] exI[of - I])
qed
qed

lemma wf-rexp-of: wf-formula  $n \varphi \implies wf n$  (rexp-of  $n \varphi$ )
by (induct  $\varphi$  arbitrary:  $n$ ) (auto simp: wf-rexp-ENC intro: wf-rexp-arbitrary-except
split: sum.splits split-if-asm)

```

lemma *wf-rexp-of'*: *wf-formula n* $\varphi \implies wf\ n\ (rexp-of'\ n\ \varphi)$
unfolding *rexp-of'-def*
by (*induct* φ *arbitrary*: n) (*auto simp*: *wf-rexp-ENC intro*: *wf-rexp-arbitrary-except split*: *sum.splits split-if-asm*)

lemma *ENC-Not*: $ENC\ n\ (FNot\ \varphi) = ENC\ n\ \varphi$
unfolding *ENC-def* **by** *auto*

lemma *ENC-And*:
wf-formula n (*FAnd* $\varphi\ \psi$) $\implies lang\ n\ (ENC\ n\ (FAnd\ \varphi\ \psi)) - \{\emptyset\} \subseteq lang\ n\ (ENC\ n\ \varphi) \cap lang\ n\ (ENC\ n\ \psi) - \{\emptyset\}$
proof
fix x **assume** *wf*: *wf-formula n* (*FAnd* $\varphi\ \psi$) **and** $x: x \in lang\ n\ (ENC\ n\ (FAnd\ \varphi\ \psi)) - \{\emptyset\}$
hence *wf1*: *wf-formula n* φ **and** *wf2*: *wf-formula n* ψ **by** *auto*
from x **obtain** $w\ I$ **where** *wI*: $x = enc\ (w,\ I)$ *wf-interp-for-formula* ($w,\ I$) (*FAnd* $\varphi\ \psi$) *length* $I = n$
using *lang-ENC[OF wf]* **by** *auto*
hence *wf-interp-for-formula* ($w,\ I$) φ *wf-interp-for-formula* ($w,\ I$) ψ
unfolding *wf-interp-for-formula-FAnd* **by** *auto*
hence $x \in (lang\ n\ (ENC\ n\ \varphi) - \{\emptyset\}) \cap (lang\ n\ (ENC\ n\ \psi) - \{\emptyset\})$
unfolding *lang-ENC[OF wf1]* *lang-ENC[OF wf2]* **using** *wI* **by** *auto*
thus $x \in lang\ n\ (ENC\ n\ \varphi) \cap lang\ n\ (ENC\ n\ \psi) - \{\emptyset\}$ **by** *blast*
qed

lemma *ENC-Or*:
wf-formula n (*FOr* $\varphi\ \psi$) $\implies lang\ n\ (ENC\ n\ (FOr\ \varphi\ \psi)) - \{\emptyset\} \subseteq lang\ n\ (ENC\ n\ \varphi) \cap lang\ n\ (ENC\ n\ \psi) - \{\emptyset\}$
proof
fix x **assume** *wf*: *wf-formula n* (*FOr* $\varphi\ \psi$) **and** $x: x \in lang\ n\ (ENC\ n\ (FOr\ \varphi\ \psi)) - \{\emptyset\}$
hence *wf1*: *wf-formula n* φ **and** *wf2*: *wf-formula n* ψ **by** *auto*
from x **obtain** $w\ I$ **where** *wI*: $x = enc\ (w,\ I)$ *wf-interp-for-formula* ($w,\ I$) (*FOr* $\varphi\ \psi$) *length* $I = n$
using *lang-ENC[OF wf]* **by** *auto*
hence *wf-interp-for-formula* ($w,\ I$) φ *wf-interp-for-formula* ($w,\ I$) ψ
unfolding *wf-interp-for-formula-FOr* **by** *auto*
hence $x \in (lang\ n\ (ENC\ n\ \varphi) - \{\emptyset\}) \cap (lang\ n\ (ENC\ n\ \psi) - \{\emptyset\})$
unfolding *lang-ENC[OF wf1]* *lang-ENC[OF wf2]* **using** *wI* **by** *auto*
thus $x \in lang\ n\ (ENC\ n\ \varphi) \cap lang\ n\ (ENC\ n\ \psi) - \{\emptyset\}$ **by** *blast*
qed

lemma *project-enc*: *map* $\pi\ (enc\ (w,\ x \# I)) = enc\ (w,\ I)$
unfolding *π -def* **by** *auto*

lemma *ENC-Exists*:
wf-formula n (*FExists* φ) $\implies lang\ n\ (ENC\ n\ (FExists\ \varphi)) - \{\emptyset\} = map\ \pi\ ^{'} \varphi$

```

lang (Suc n) (ENC (Suc n) φ) = {[]}
proof (intro equalityI subsetI)
  fix x assume wf: wf-formula n (FExists φ) and x: x ∈ lang n (ENC n (FExists
φ)) = {[]}
  hence wf1: wf-formula (Suc n) φ by auto
  from x obtain w I where wI: x = enc (w, I) wf-interp-for-formula (w, I)
(FExists φ) length I = n
    using lang-ENC[OF wf] by auto
    with x have w ≠ [] by (cases w) auto
    from wI(2) obtain p where p < length w wf-interp-for-formula (w, Inl p # I)
φ
      using wf-interp-for-formula-FExists[OF wf[folded wI(3)] w ≠ []] by auto
      with wI(3) have x ∈ map π ‘ lang (Suc n) (ENC (Suc n) φ) = {[]} by auto
      unfolding wI(1) lang-ENC[OF wf1] project-enc[symmetric, of w I Inl p]
        by (intro imageI CollectI exI[of - w] exI[of - Inl p # I]) auto
      thus x ∈ map π ‘ lang (Suc n) (ENC (Suc n) φ) = {[]} by blast
next
  fix x assume wf: wf-formula n (FExists φ) and x ∈ map π ‘ lang (Suc n) (ENC
(Suc n) φ) = {[]}
  hence wf1: wf-formula (Suc n) φ and 0 ∈ FOV φ and x: x ∈ map π ‘ (lang
(Suc n) (ENC (Suc n) φ) = {[]}) by auto
  from x obtain w I where wI: x = map π (enc (w, I)) wf-interp-for-formula
(w, I) φ length I = Suc n
    using lang-ENC[OF wf1] by auto
    with (0 ∈ FOV φ) obtain p I' where I: I = Inl p # I' by (cases I) (fastforce
split: sum.splits)+
      with wI have wtI: x = enc (w, I') length I' = n unfolding π-def by auto
      with x have w ≠ [] by (cases w) auto
      have wf-interp-for-formula (w, I') (FExists φ)
        using wf-interp-for-formula-FExists[OF wf[folded wtI(2)] w ≠ []]
          wf-interp-for-formula-any-Inl[OF wI(2)[unfolded I]] ..
      with wtI show x ∈ lang n (ENC n (FExists φ)) = {[]} unfolding lang-ENC[OF
wf] by blast
qed

```

lemma ENC-EXISTS:

wf-formula n (FEXISTS φ) \implies lang n (ENC n (FEXISTS φ)) = {[]} = map π ‘ lang (Suc n) (ENC (Suc n) φ) = {[]}

proof (intro equalityI subsetI)

fix x assume wf: wf-formula n (FEXISTS φ) and x: x ∈ lang n (ENC n
(FEXISTS φ)) = {[]}

hence wf1: wf-formula (Suc n) φ by auto

from x obtain w I where wI: x = enc (w, I) wf-interp-for-formula (w, I)
(FEXISTS φ) length I = n

using lang-ENC[OF wf] by auto

with x have w ≠ [] by (cases w) auto

from wI(2) obtain P where $\forall p \in P. p < \text{length } w$ wf-interp-for-formula (w,
Inr P # I) φ

using wf-interp-for-formula-FEXISTS[OF wf[folded wI(3)] w ≠ []] by auto

with $wI(3)$ **have** $x \in map \pi` (lang (Suc n) (ENC (Suc n) \varphi) - \{\})$
unfolding $wI(1)$ lang-ENC[$OF wf1$] project-enc[symmetric, of $w I Inr P$]
by (intro imageI CollectI exI[of - w] exI[of - Inr P # I]) auto
thus $x \in map \pi` lang (Suc n) (ENC (Suc n) \varphi) - \{\}$ **by** blast
next
fix x **assume** $wf: wf.formula n (FEXISTS \varphi)$ **and** $x \in map \pi` lang (Suc n) (ENC (Suc n) \varphi) - \{\}$
hence $wf1: wf.formula (Suc n) \varphi$ **and** $0 \in SOV \varphi$ **and** $x: x \in map \pi` (lang (Suc n) (ENC (Suc n) \varphi) - \{\})$ **by** auto
from x **obtain** $w I$ **where** $wI: x = map \pi (enc (w, I))$ wf-interp-for-formula $(w, I) \varphi$ length $I = Suc n$
using lang-ENC[$OF wf1$] **by** auto
with $\langle 0 \in SOV \varphi \rangle$ **obtain** $P I'$ **where** $I: I = Inr P \# I'$ **by** (cases I) (fastforce split: sum.splits)+
with wI **have** $wI1: x = enc (w, I')$ length $I' = n$ **unfolding** $\pi\text{-def}$ **by** auto
with x **have** $w \neq []$ **by** (cases w) auto
have wf-interp-for-formula (w, I') (FEXISTS φ)
using wf-interp-for-formula-FEXISTS[$OF wf$ [folded $wI1(2)$] $\langle w \neq [] \rangle$]
wf-interp-for-formula-any-Inr[$OF wI(2)[unfolded I]$] ..
with $wI1$ **show** $x \in lang n (ENC n (FEXISTS \varphi)) - \{\}$ **unfolding** lang-ENC[$OF wf$] **by** blast
qed

lemma $lang_{M2L}\text{-rexp-of-rexp-of}'$:
 $wf.formula n \varphi \implies lang n (rexp-of n \varphi) - \{\} = lang n (rexp-of' n \varphi) - \{\}$
unfolding rexp-of'-def **proof** (induction φ arbitrary: n)
case ($FNot \varphi$)
hence $wf.formula n \varphi$ **by** simp
with $FNot.IH$ **show** ?case **unfolding** rexp-of.simps rexp-of-alt.simps lang.simps ENC-Not **by** blast
next
case ($FAnd \varphi_1 \varphi_2$)
hence $wf1: wf.formula n \varphi_1$ **and** $wf2: wf.formula n \varphi_2$ **by** force+
from $FAnd.IH(1)[OF wf1] FAnd.IH(2)[OF wf2]$ **show** ?case **using** ENC-And[$OF FAnd$.prems]
unfolding rexp-of.simps rexp-of-alt.simps lang.simps rexp-of-list.simps **by** blast
next
case ($FOr \varphi_1 \varphi_2$)
hence $wf1: wf.formula n \varphi_1$ **and** $wf2: wf.formula n \varphi_2$ **by** force+
from $FOr.IH(1)[OF wf1] FOr.IH(2)[OF wf2]$ **show** ?case **using** ENC-Or[$OF FOr$.prems]
unfolding rexp-of.simps rexp-of-alt.simps lang.simps **by** blast
next
case ($FExists \varphi$)
hence $wf: wf.formula (n + 1) \varphi$ **by** auto
have $*: \bigwedge A. map \pi` A - \{\} = map \pi` (A - \{\})$ **by** auto
show ?case **using** ENC-Exists[$OF FExists$.prems]
unfolding rexp-of.simps rexp-of-alt.simps lang.simps * $FExists.IH[OF wf]$ **by** auto

```

next
  case (FEXISTS  $\varphi$ )
    hence wf: wf-formula ( $n + 1$ )  $\varphi$  by auto
    have *:  $\bigwedge A. \text{map } \pi` A - \{\}\} = \text{map } \pi` (A - \{\})$  by auto
    show ?case using ENC-EXISTS[OF FEXISTS.prems]
      unfolding rexp-of.simps rexp-of-alt.simps lang.simps * FEXISTS.IH[OF wf]
    by auto
  qed auto

theorem langM2L-rexp-of': wf-formula n  $\varphi \implies \text{lang}_{M2L} n \varphi = \text{lang} n (\text{rexp-of}' n \varphi) - \{\}\}$ 
  unfolding langM2L-rexp-of-rexp-of'[symmetric] by (rule langM2L-rexp-of)
  end
  end

```

8 Normalization of M2L Formulas

```

fun nNot where
  nNot (FNot  $\varphi$ ) =  $\varphi$ 
  | nNot (FAnd  $\varphi_1 \varphi_2$ ) = For (nNot  $\varphi_1$ ) (nNot  $\varphi_2$ )
  | nNot (For  $\varphi_1 \varphi_2$ ) = FAnd (nNot  $\varphi_1$ ) (nNot  $\varphi_2$ )
  | nNot  $\varphi$  = FNot  $\varphi$ 

primrec norm where
  norm (FQ  $a m$ ) = FQ  $a m$ 
  | norm (FLess  $m n$ ) = FLess  $m n$ 
  | norm (FIn  $m M$ ) = FIn  $m M$ 
  | norm (For  $\varphi \psi$ ) = For (norm  $\varphi$ ) (norm  $\psi$ )
  | norm (FAnd  $\varphi \psi$ ) = FAnd (norm  $\varphi$ ) (norm  $\psi$ )
  | norm (FNot  $\varphi$ ) = nNot (norm  $\varphi$ )
  | norm (FExists  $\varphi$ ) = FExists (norm  $\varphi$ )
  | norm (FEXISTS  $\varphi$ ) = FEXISTS (norm  $\varphi$ )

context formula
begin

lemma satisfies-nNot[simp]: satisfies (w, I) (nNot  $\varphi$ ) = satisfies (w,I) (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma FOV-nNot[simp]: FOV (nNot  $\varphi$ ) = FOV (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

lemma SOV-nNot[simp]: SOV (nNot  $\varphi$ ) = SOV (FNot  $\varphi$ )
  by (induct  $\varphi$  rule: nNot.induct) auto

```

```

lemma pre-wf-formula-nNot[simp]: pre-wf-formula n (nNot φ) = pre-wf-formula
n (FNot φ)
by (induct φ rule: nNot.induct) auto

lemma FOV-norm[simp]: FOV (norm φ) = FOV φ
by (induct φ) auto

lemma SOV-norm[simp]: SOV (norm φ) = SOV φ
by (induct φ) auto

lemma pre-wf-formula-norm[simp]: pre-wf-formula n (norm φ) = pre-wf-formula
n φ
by (induct φ arbitrary: n) auto

lemma satisfies-norm[simp]: satisfies (w, I) (norm φ) = satisfies (w, I) φ
by (induct φ arbitrary: I) auto

lemma langM2L-norm[simp]: langM2L n (norm φ) = langM2L n φ
unfolding langM2L-def by auto

end

end

```

9 Deciding Equivalence of M2L Formulas

```

type-synonym 'a T = 'a × bool list
abbreviation Ł ≡ λΣ. project.lang (set o (σ Σ)) π

definition wf-rexp where [code del]:
  wf-rexp Σ = alphabet.wf (set o σ Σ)

interpretation project set o σ Σ π
  where alphabet.wf (set o σ Σ) = wf-rexp Σ
  by (unfold-locales) (auto simp: σ-def π-def wf-rexp-def)

definition norm-lderiv where [code del]:
  norm-lderiv ≡ λΣ. embed.lderiv (ε Σ)

interpretation embed set o (σ (Σ :: 'a :: linorder list)) π ε Σ
  where embed.lderiv (ε Σ) = norm-lderiv Σ
  by (unfold-locales) (auto simp: norm-lderiv-def σ-def π-def ε-def)

definition norm-step' where [code del]:
  norm-step' ≡ λΣ. equivalence-checker.step' (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
  :: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-closure' where [code del]:

```

```

norm-closure' ≡ λΣ. equivalence-checker.closure' (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-check-eqv where [code del]:
  norm-check-eqv' ≡ λΣ. equivalence-checker.check-eqv' (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-step where [code del]:
  norm-step ≡ λΣ. equivalence-checker.step (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-closure where [code del]:
  norm-closure ≡ λΣ. equivalence-checker.closure (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-check-eqv where [code del]:
  norm-check-eqv ≡ λΣ. equivalence-checker.check-eqv (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-check-eqv-counterexample where [code del]:
  norm-check-eqv-counterexample ≡ λΣ. equivalence-checker.check-eqv-counterexample
(σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm :: 'a::linorder T rexp ⇒ 'a
T rexp)

lemmas norm-defs = wf-rexp-def
  norm-check-eqv-def norm-closure-def norm-step-def norm-check-eqv-counterexample-def
  norm-check-eqv'-def norm-closure'-def norm-step'-def

```

```

interpretation norm: equivalence-checker σ Σ ε Σ Smart-Constructors-Normalization.norm
Σ
  where norm.check-eqv' = norm-check-eqv' Σ
    and norm.check-eqv = norm-check-eqv Σ
    and norm.check-eqv-counterexample = norm-check-eqv-counterexample Σ
    and norm.closure' = norm-closure' Σ
    and norm.closure = norm-closure Σ
    and norm.step' = norm-step' Σ
    and norm.step = norm-step Σ
  by unfold-locales (auto simp: norm-defs trans[OF lang-norm[OF iffD2[OF ACI-norm-wf]]
ACI-norm-lang])

```

abbreviation ext Σ ≡ None # map Some (Σ :: 'a :: linorder list)

```

definition pre-wf-formula where [code del]:
  pre-wf-formula ≡ λΣ. formula.pre-wf-formula (ext Σ)
definition wf-formula where [code del]:
  wf-formula ≡ λΣ. formula.wf-formula (ext Σ)
definition valid-ENC where [code del]: valid-ENC ≡ λΣ. formula.valid-ENC (ext
Σ)
definition ENC where [code del]: ENC ≡ λΣ. formula.ENC (ext Σ)
definition rexp-of where [code del]: rexp-of ≡ λΣ. formula.rexp-of (ext Σ)
definition rexp-of-alt where [code del]: rexp-of-alt ≡ λΣ. formula.rexp-of-alt (ext
Σ)
definition rexp-of' where [code del]: rexp-of' ≡ λΣ. formula.rexp-of' (ext Σ)

```

```

lemmas formula-defs = pre-wf-formula-def wf-formula-def
  rexp-of-def rexp-of'-def rexp-of-alt-def ENC-def valid-ENC-def FOV-def SOV-def

interpretation  $\Phi$ : formula ext ( $\Sigma :: 'a :: \text{linorder list}$ )
  where alphabet.wf (set o  $\sigma$   $\Sigma$ ) = wf-rexp  $\Sigma$ 
  and  $\Phi.\text{pre-wf-formula} = \text{pre-wf-formula } \Sigma$ 
  and  $\Phi.\text{wf-formula} = \text{wf-formula } \Sigma$ 
  and  $\Phi.\text{rexp-of} = \text{rexp-of } \Sigma$ 
  and  $\Phi.\text{rexp-of-alt} = \text{rexp-of-alt } \Sigma$ 
  and  $\Phi.\text{rexp-of}' = \text{rexp-of}' \Sigma$ 
  and  $\Phi.\text{valid-ENC} = \text{valid-ENC } \Sigma$ 
  and  $\Phi.\text{ENC} = \text{ENC } \Sigma$ 
  by (unfold-locales) (auto simp:  $\sigma$ -def  $\pi$ -def wf-rexp-def formula-defs)

definition check-eqv where
  check-eqv  $\Sigma n \varphi \psi \longleftrightarrow \text{wf-formula } \Sigma n (\text{For } \varphi \psi) \wedge$ 
    norm-check-eqv' (ext  $\Sigma$ )  $n (\text{Plus} (\text{rexp-of } \Sigma n (\text{norm } \varphi)) \text{One}) (\text{Plus} (\text{rexp-of } \Sigma n (\text{norm } \psi)) \text{One})$ 

definition check-eqv-counterexample where
  check-eqv-counterexample  $\Sigma n \varphi \psi =$ 
    norm-check-eqv-counterexample (ext  $\Sigma$ )  $n (\text{Plus} (\text{rexp-of } \Sigma n (\text{norm } \varphi)) \text{One}) (\text{Plus} (\text{rexp-of } \Sigma n (\text{norm } \psi)) \text{One})$ 

definition check-eqv' where
  check-eqv'  $\Sigma n \varphi \psi \longleftrightarrow \Phi.\text{wf-formula } \Sigma n (\text{For } \varphi \psi) \wedge$ 
    norm-check-eqv' (ext  $\Sigma$ )  $n (\text{Plus} (\text{rexp-of}' \Sigma n (\text{norm } \varphi)) \text{One}) (\text{Plus} (\text{rexp-of}' \Sigma n (\text{norm } \psi)) \text{One})$ 

lemma lang-Plus-Zero:  $\mathfrak{L} \Sigma n (\text{Plus } r \text{One}) = \mathfrak{L} \Sigma n (\text{Plus } s \text{One}) \longleftrightarrow \mathfrak{L} \Sigma n r - \{\} = \mathfrak{L} \Sigma n s - \{\}$ 
  by auto

lemmas langM2L-rexp-of-norm = trans[ $\text{OF sym}[\text{OF } \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}]$ 

lemma soundness: check-eqv  $\Sigma n \varphi \psi \implies \Phi.\text{lang}_{M2L} \Sigma n \varphi = \Phi.\text{lang}_{M2L} \Sigma n \psi$ 
  by (rule box-equals[ $\text{OF iffD1}[\text{OF lang-Plus-Zero}, \text{OF norm.soundness}]$ ]
    sym[ $\text{OF trans}[\text{OF lang}_{M2L}\text{-rexp-of-norm}]$ ] sym[ $\text{OF trans}[\text{OF lang}_{M2L}\text{-rexp-of-norm}]$ ])
  (auto simp: check-eqv-def split: sum.splits option.splits)

lemmas langM2L-rexp-of'-norm = trans[ $\text{OF sym}[\text{OF } \Phi.\text{lang}_{M2L}\text{-norm}] \Phi.\text{lang}_{M2L}\text{-rexp-of}'$ ]

lemma soundness': check-eqv'  $\Sigma n \varphi \psi \implies \Phi.\text{lang}_{M2L} \Sigma n \varphi = \Phi.\text{lang}_{M2L} \Sigma n \psi$ 
  by (rule box-equals[ $\text{OF iffD1}[\text{OF lang-Plus-Zero}, \text{OF norm.soundness}]$ ]
    sym[ $\text{OF trans}[\text{OF lang}_{M2L}\text{-rexp-of}'\text{-norm}]$ ] sym[ $\text{OF trans}[\text{OF lang}_{M2L}\text{-rexp-of}'\text{-norm}]$ ])
  (auto simp: check-eqv'-def split: sum.splits option.splits)

```

```

lemma completeness:
assumes  $\Phi.lang_{M2L} \Sigma n \varphi = \Phi.lang_{M2L} \Sigma n \psi$  wf-formula  $\Sigma n (For \varphi \psi)$ 
shows check-eqv  $\Sigma n \varphi \psi$ 
  using assms(2) unfolding check-eqv-def
  by (intro conjI[OF assms(2) norm.completeness'[OF iffD2[OF lang-Plus-Zero]],
        OF box-equals[OF assms(1) lang_{M2L}-rexp-of-norm lang_{M2L}-rexp-of-norm]])
  (auto simp: wf-rexp-def[symmetric] split: sum.splits option.splits intro!: Φ.wf-rexp-of)

lemma completeness':
assumes  $\Phi.lang_{M2L} \Sigma n \varphi = \Phi.lang_{M2L} \Sigma n \psi$  wf-formula  $\Sigma n (For \varphi \psi)$ 
shows check-eqv'  $\Sigma n \varphi \psi$ 
  using assms(2) unfolding check-eqv'-def
  by (intro conjI[OF assms(2) norm.completeness'[OF iffD2[OF lang-Plus-Zero]],
        OF box-equals[OF assms(1) lang_{M2L}-rexp-of'-norm lang_{M2L}-rexp-of'-norm]]
  (auto simp: wf-rexp-def[symmetric] split: sum.splits option.splits intro!: Φ.wf-rexp-of')

end

```

10 WS1S

10.1 Encodings

```

definition cutSame  $x s = stake (LEAST n. sdrop n s = same x) s$ 

abbreviation poss  $I \equiv (\bigcup x \in set I. case x of Inl p \Rightarrow \{p\} \mid Inr P \Rightarrow P)$ 

lemma shift-snth:  $(xs @- s) !! n = (if n < length xs then xs ! n else s !! (n - length xs))$ 
  by auto

lemma stream-map-stream-map2[simp]:
  stream-map f (stream-map2 g s1 s2) = stream-map2 (λx y. f (g x y)) s1 s2
  unfolding stream-map2-szip stream.map-comp' o-def split-def ..

lemma stream-map2-alt:
   $(stream-map2 f s1 s2 = s) = (\forall n. f (s1 !! n) (s2 !! n) = s !! n)$ 
  unfolding stream-map2-szip stream-map-alt by auto

lemma snth-stream-map2[simp]:
  stream-map2 f s1 s2 !! n = f (s1 !! n) (s2 !! n)
  by (induct n arbitrary: s1 s2) auto

lemma stake-stream-map2[simp]:
  stake n (stream-map2 f s1 s2) = map (split f) (zip (stake n s1) (stake n s2))
  by (induct n arbitrary: s1 s2) auto

lemma sdrop-stream-map2[simp]:

```

lemma *sdrop*-*szip*[*simp*]:
 $sdrop\ n\ (stream-map2\ f\ s1\ s2) = stream-map2\ f\ (sdrop\ n\ s1)\ (sdrop\ n\ s2)$
by (*induct* *n arbitrary*: *s1 s2*) *auto*

lemma *stake-szip*[*simp*]:
 $stake\ n\ (szip\ s1\ s2) = zip\ (stake\ n\ s1)\ (stake\ n\ s2)$
by (*induct* *n arbitrary*: *s1 s2*) *auto*

lemma *sdrop-szip*[*simp*]: $sdrop\ n\ (szip\ s1\ s2) = szip\ (sdrop\ n\ s1)\ (sdrop\ n\ s2)$
by (*induct* *n arbitrary*: *s1 s2*) *auto*

lemma *take-stake*: $take\ n\ (stake\ m\ s) = stake\ (\min\ n\ m)\ s$
proof (*induct* *m arbitrary*: *s n*)
 case (*Suc m*) **thus** ?*case* **by** (*cases n*) *auto*
qed *simp*

lemma *drop-stake*: $drop\ n\ (stake\ m\ s) = stake\ (m - n)\ (sdrop\ n\ s)$
proof (*induct* *m arbitrary*: *s n*)
 case (*Suc m*) **thus** ?*case* **by** (*cases n*) *auto*
qed *simp*

lemma *stream-map-same*[*simp*]: $stream-map\ f\ (\text{same}\ x) = \text{same}\ (f\ x)$
by (*coinduct rule*: *stream.coinduct*[*of λs1 s2. s1 = stream-map f (same x) ∧ s2 = same (f x)*]) *auto*

lemma (*in wellorder*) *min-Least*:
 $\llbracket \exists n. P n; \exists n. Q n \rrbracket \implies \min\ (\text{Least } P) (\text{Least } Q) = (\text{LEAST } n. P n \vee Q n)$
proof (*intro sym[OF Least-equality]*)
 fix *y* **assume** *P y ∨ Q y*
 thus $\min\ (\text{Least } P) (\text{Least } Q) \leq y$
 proof (*elim disjE*)
 assume *P y*
 hence $\text{Least } P \leq y$ **by** (*auto intro: LeastI2-wellorder*)
 thus $\min\ (\text{Least } P) (\text{Least } Q) \leq y$ **unfolding** *min-def* **by** *auto*
 next
 assume *Q y*
 hence $\text{Least } Q \leq y$ **by** (*auto intro: LeastI2-wellorder*)
 thus $\min\ (\text{Least } P) (\text{Least } Q) \leq y$ **unfolding** *min-def* **by** *auto*
 qed
qed (*metis LeastI-ex min-def*)

lemma *sdrop-snth*: $sdrop\ n\ s\ !!\ m = s\ !!\ (n + m)$
by (*induct* *n arbitrary*: *m s*) *auto*

context *formula*
begin

definition *any* \equiv *hd* Σ

lemma *any-Σ*[*simp*]: $\text{any} \in \text{set } \Sigma$

```

unfolding any-def by (auto simp: nonempty intro: someI[of - hd Σ])

lemma enc-atom-any-σ[simp]: length I = n  $\Rightarrow$  enc-atom I m any  $\in$  set ( $\sigma$  Σ n)
  by (auto simp: σ-def image-iff set-n-lists)

fun stream-enc :: 'a interp  $\Rightarrow$  ('a × bool list) stream where
  stream-enc (w, I) = stream-map2 (enc-atom I) nats (w @- same any)

lemma tl-stream-enc[simp]: stream-map π (stream-enc (w, x # I)) = stream-enc
  (w, I)
  by (auto simp: comp-def π-def)

lemma enc-atom-max:  $\llbracket \forall x \in \text{set } I. \text{case } x \text{ of Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n; n \leq n' \rrbracket \Rightarrow$ 
  enc-atom I (Suc n') a = (a, replicate (length I) False)
  by (induct I) (auto split: sum.splits)

lemma ex-Loop-stream-enc:
  assumes  $\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid \text{-} \Rightarrow \text{True}$ 
  shows  $\exists n. \text{sdrop } n (\text{stream-enc } (w, I)) = \text{same } (\text{any}, \text{replicate } (\text{length } I) \text{ False})$ 
  proof -
    from assms have  $\exists n > \text{length } w. \forall x \in \text{set } I. \text{case } x \text{ of Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n$ 
    proof (induct I)
      case (Cons x I)
      then obtain n where IH:  $\text{length } w < n$ 
         $\forall x \in \text{set } I. \text{case } x \text{ of Inl } p \Rightarrow p \leq n \mid \text{Inr } P \Rightarrow \forall p \in P. p \leq n$  by auto
      thus ?case
        proof (cases x)
          case (Inl p)
          with IH show ?thesis
            by (intro exI[of - max p n]) (fastforce split: sum.splits)
        next
          case (Inr P)
          with IH Cons(2) show ?thesis
            by (intro exI[of - max (Max P) n]) (fastforce dest: Max-ge split: sum.splits)
        qed
      qed auto
      then guess n by (elim exE conjE)
      hence sd़rop (Suc n) (stream-enc (w, I)) = same (any, replicate (length I) False)
        (is ?s1 n = ?s2)
        by (coinduct rule: stream.coinduct[of λs1 s2. ∃n' ≥ n. s1 = ?s1 n' ∧ s2 = ?s2])
          (auto simp: enc-atom-max dest: le-SucI)
      thus ?thesis by blast
    qed
  lemma length-snth-enc[simp]: length (snd (stream-enc (w, I) !! n)) = length I
    by auto

```

```

lemma stream-set-same:  $\llbracket y \in \text{stream-set } s; s = \text{same } x \rrbracket \implies y = x$ 
by (induct rule: stream-set-induct1) auto

lemma same-alt:  $s = \text{same } x \longleftrightarrow \text{stream-set } s = \{x\}$ 
using stream-set-same[of - same x x]
apply auto
apply (metis shd-stream-set)
apply (coinduct rule: stream.coinduct[of  $\lambda s1\ s2. s2 = \text{same } x \wedge \text{stream-set } s1 = \{x\}$ ])
apply auto
apply (metis shd-stream-set singleton-iff)
apply (metis stl-stream-set singleton-iff)
by (metis (full-types) empty-iff insert-iff shd-stream-set stl-stream-set)

lemma sdrop-sameE:  $\llbracket \text{sdrop } n (w @- \text{same } y) = \text{same } y; p < \text{length } w; \neg p < n \rrbracket \implies w ! p = y$ 
unfolding not-less same-alt
apply (induct p arbitrary: w n)
apply simp
apply (metis hd-conv-nth shd-stream-set shift-simps(1) singletonE stream-set-shift)
apply (case-tac w)
apply simp-all
apply (case-tac n)
apply simp-all
by (metis equals0D nth-mem singletonE subset-singletonD)

lemma less-length-cutSame:
 $\llbracket (w @- \text{same } y) !! p = a \rrbracket \implies a = y \vee (p < \text{length } (\text{cutSame } y (w @- \text{same } y)) \wedge w ! p = a)$ 
unfolding cutSame-def length-stake
by (rule LeastI2-ex[OF exI[of - length w]])
(auto simp: sdrop-shift shift-snth split: split-if-asm elim: sdrop-sameE)

lemma less-length-cutSame-Inl:
 $\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}); r < \text{length } I; I ! r = \text{Inl } p \rrbracket \implies$ 
 $p < \text{length } (\text{cutSame } (\text{any}, \text{replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I)))$ 
unfolding cutSame-def length-stake
by (erule LeastI2-ex[OF ex-Loop-stream-enc ccontr])
(auto simp: stream-map2-alt dest!: add-diff-inverse,
metis (lifting, full-types) nth-map nth-replicate sum.simps(5))

lemma less-length-cutSame-Inr:
 $\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}); r < \text{length } I; I ! r = \text{Inr } P \rrbracket \implies$ 
 $\forall p \in P. p < \text{length } (\text{cutSame } (\text{any}, \text{replicate } (\text{length } I) \text{ False}) (\text{stream-enc } (w, I)))$ 
unfolding cutSame-def length-stake

```

```

by (rule ballI, erule LeastI2-ex[OF ex-Loop-stream-enc ccontr])
  (auto simp: stream-map2-alt dest!: add-diff-inverse,
   metis nth-map nth-replicate sum.simps(6))

fun enc :: 'a interp ⇒ ('a × bool list) list set where
  enc (w, I) = {x. ∃ n. x = (cutSame (any, replicate (length I) False) (stream-enc
  (w, I)) @
  replicate n (any, replicate (length I) False))}

lemma cutSame-all[simp]: cutSame x (same x) = []
  unfolding cutSame-def by (auto intro: Least-equality)

lemma cutSame-stop[simp]:
  assumes x ≠ y
  shows cutSame x (xs @— Stream y (same x)) = xs @ [y] (is cutSame x ?s = -)
proof –
  have (LEAST n. sdrop n ?s = same x) = Suc (length xs)
  proof (rule Least-equality)
    show sdrop (Suc (length xs)) ?s = same x
    by (metis sdrop-shift sdrop-simps(2) stream.sels(2))
  next
    fix m assume *: sdrop m ?s = same x
    { assume m < Suc (length xs)
      hence m ≤ length xs by simp
      then obtain ys where sdrop m ?s = ys @— Stream y (same x)
        by atomize-elim (induct m arbitrary: xs, auto)
      with * obtain ys @— Stream y (same x) = same x by simp
      hence Stream y (same x) = same x by (metis sdrop-same sdrop-shift)
      with assms have False by (metis same-simps(1) stream.sels(1))
    }
    thus Suc (length xs) ≤ m by (blast intro: leI)
  qed
  thus ?thesis unfolding cutSame-def
    by (metis length-append-singleton shift.simps shift-append stake-shift)
qed

lemma cutSame-shift-same: ∃ n. w = cutSame x (w @— same x) @ replicate n x
proof (induct w rule: rev-induct)
  case (snoc a w)
  then obtain n where w = cutSame x (w @— same x) @ replicate n x by blast
  thus ?case
    by (cases a = x)
    (auto simp: same-unfold[symmetric] replicate-append-same[symmetric] intro!:
    exI[of - Suc n])
  qed simp

lemma set-cutSame: set (cutSame x (w @— same x)) ⊆ set w
proof (induct w rule: rev-induct)
  case (snoc a w)

```

```

thus ?case by (cases a = x) (auto simp: same-unfold[symmetric])
qed simp

lemma stream-enc-cutSame:
assumes (∀x ∈ set I. case x of Inr P ⇒ finite P | - ⇒ True)
shows stream-enc (w, I) = cutSame (any, replicate (length I) False) (stream-enc (w, I)) @-
same (any, replicate (length I) False)
unfolding cutSame-def
by (rule trans[OF sym[OF stake-sdrop] arg-cong2[of ---- op @-, OF refl]]) (rule LeastI-ex[OF ex-Loop-stream-enc[OF assms]])

```

```

lemma map-fst-zip-min[simp]: map fst (zip xs ys) ≡ take (min (length xs) (length ys)) xs
proof (induct ys arbitrary: xs)
  case Cons thus ?case by (case-tac xs) auto
qed simp

lemma map-snd-zip-min[simp]: map snd (zip xs ys) ≡ take (min (length xs) (length ys)) ys
proof (induct ys arbitrary: xs)
  case Cons thus ?case by (case-tac xs) auto
qed simp

lemma stream-enc-enc:
assumes (∀x ∈ set I. case x of Inr P ⇒ finite P | - ⇒ True) and v: v ∈ enc (w, I)
shows stream-enc (w, I) = v @- same (any, replicate (length I) False)
(is ?s = ?v @- same ?F)
proof -
  from assms(1) obtain n where sdrop n (stream-enc (w, I)) = same ?F by (metis ex-Loop-stream-enc)
  moreover from v obtain m where ?v = cutSame ?F ?s @ replicate m ?F by auto
  ultimately show ?s = v @- same ?F
    by (auto simp del: stream-enc.simps intro: stream-enc-cutSame[OF assms(1)])
qed

lemma stream-enc-enc-some:
assumes (∀x ∈ set I. case x of Inr P ⇒ finite P | - ⇒ True)
shows stream-enc (w, I) = (SOME v. v ∈ enc (w, I)) @- same (any, replicate (length I) False)
by (rule stream-enc-enc[OF assms], rule someI-ex) auto

lemma enc-unique-length: v ∈ enc (w, I) ⇒ ∀v'. length v' = length v ∧ v' ∈ enc (w, I) → v = v'
by auto

lemma sdrop-same: sdrop n s = same x ⇒ n ≤ m ⇒ s !! m = x

```

```

by (metis le-iff-add sdrop-snth snth-same)

lemma fin-cutSame-tl:
assumes ∃n. sdrop n s = same x
shows fin-cutSame (π x) (map π (cutSame x s)) = cutSame (π x) (stream-map
π s)
proof -
def min ≡ LEAST n. sdrop n s = same x
from assms have min: sdrop min s = same x ∧ m. sdrop m s = same x ==>
min ≤ m
unfolding min-def by (auto intro: LeastI Least-le)
have Ex: ∃n. drop n (map π (stake min s)) = replicate (length (map π (stake
min s)) - n) (π x)
by (auto intro: exI[of - length (map π (stake min s))])
have fin-cutSame (π x) (map π (cutSame x s)) =
map π (stake (LEAST n.
map π (stake (min - n) (sdrop n s)) = replicate (min - n) (π x) ∨ sdrop
n s = same x) s)
unfolding fin-cutSame-def cutSame-def take-map take-stake min-Least[OF Ex
assms, folded min-def]
min-def[symmetric] by (auto simp: drop-map drop-stake)
also have (λn. map π (stake (min - n) (sdrop n s)) = replicate (min - n) (π
x) ∨ sdrop n s = same x) =
(λn. stream-map π (sdrop n s) = same (π x))
proof (rule ext, unfold stream-map-alt snth-same, safe)
fix n m
assume map π (stake (min - n) (sdrop n s)) = replicate (min - n) (π x)
hence ∀y∈set (stake (min - n) (sdrop n s)). π y = π x
by (intro iffD1[OF map-eq-conv]) (metis length-stake map-replicate-const)
hence ∀i<min - n. π (sdrop n s !! i) = π x
unfolding all-set-conv-all-nth by (auto simp: sdrop-snth)
thus π (sdrop n s !! m) = π x
proof (cases m < min - n)
case False
hence min ≤ n + m by linarith
hence sdrop n s !! m = x unfolding sdrop-snth by (rule sdrop-same[OF
min(1)])
thus ?thesis by simp
qed auto
next
fix n
assume ∀m. π (sdrop n s !! m) = π x
thus map π (stake (min - n) (sdrop n s)) = replicate (min - n) (π x)
unfolding stake-stream-map[symmetric] by (metis snth-same stake-same
stream-map-alt)
qed auto
finally show ?thesis unfolding cutSame-def sdrop-stream-map stake-stream-map
.
qed

```

```

lemma tl-enc[simp]:
  assumes  $\forall x \in set(x \# I). case x of Inr P \Rightarrow finite P \mid - \Rightarrow True$ 
  shows SAMEQUOT (any, replicate (length I) False) (map  $\pi \cdot enc(w, x \# I)$ )
  =  $enc(w, I)$ 
  unfolding SAMEQUOT-def
  by (fastforce simp: assms  $\pi$ -def
    fin-cutSame-tl[OF ex-Loop-stream-enc[OF assms], unfolded  $\pi$ -def, simplified,
    symmetric])

lemma encD:
   $\llbracket v \in enc(w, I); (\forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True) \rrbracket \implies$ 
   $v = map(split(enc-atom I)) (zip[0 .. < length v] (stake(length v) (w @- same any)))$ 
  by (erule box-equals[OF sym[OF arg-cong[of - - stake (length v), OF stream-enc-enc]]])
    (auto simp: stake-shift sdrop-shift stake-add[symmetric] simp del: stake-add)

lemma enc-Inl:  $\llbracket x \in enc(w, I); (\forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True); m < length I; I ! m = Inl p \rrbracket \implies p < length x \wedge snd(x ! p) ! m$ 
  by (auto dest!: less-length-cutSame-Inl[of - - w] simp: nth-append cutSame-def)

lemma enc-Inr: assumes  $x \in enc(w, I) \forall x \in set I. case x of Inr P \Rightarrow finite P \mid - \Rightarrow True$ 
   $M < length I I ! M = Inr P$ 
  shows  $p \in P \longleftrightarrow p < length x \wedge snd(x ! p) ! M$ 
  proof
    assume  $p \in P$  with assms show  $p < length x \wedge snd(x ! p) ! M$ 
    by (auto dest!: less-length-cutSame-Inr[of - - w] simp: nth-append cutSame-def)
  next
    assume  $p < length x \wedge snd(x ! p) ! M$ 
    thus  $p \in P$  using assms by (subst (asm) (2) encD[OF assms(1,2)]) auto
  qed

lemma enc-length:
  assumes  $enc(w, I) = enc(w', I')$ 
  shows  $length I = length I'$ 
  proof -
    let  $?cL = \lambda w I. cutSame(any, replicate(length I) False) (stream-enc(w, I))$ 
    let  $?w = \lambda w I m. ?cL w I @ replicate(m - length(?cL w I)) (any, replicate(length I) False)$ 
    let  $?max = max(length(?cL w I)) (length(?cL w' I')) + 1$ 
    from assms have  $?w w I ?max \in enc(w, I) ?w w' I' ?max \in enc(w', I')$  by auto
    hence  $?w w I ?max = ?w w' I' ?max$  using enc-unique-length assms by (simp del: enc.simps)
    moreover have  $last(?w w I ?max) = (any, replicate(length I) False)$ 
       $last(?w w' I' ?max) = (any, replicate(length I') False)$  by auto
    ultimately show  $length I = length I'$  by auto

```

qed

lemma *enc-stream-enc*:

$\llbracket (\forall x \in \text{set } I. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$
 $(\forall x \in \text{set } I'. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$
 $\text{enc } (w, I) = \text{enc } (w', I') \rrbracket \implies \text{stream-enc } (w, I) = \text{stream-enc } (w', I')$
by (*rule box-equals[OF - sym[OF stream-enc-enc-some] sym[OF stream-enc-enc-some]]*)
(auto dest: enc-length simp del: enc.simps)

fun *wf-interp-for-formula* :: '*a interp* \Rightarrow '*a formula* \Rightarrow *bool* **where**

wf-interp-for-formula (*w, I*) φ =
 $((\forall a \in \text{set } w. a \in \text{set } \Sigma) \wedge$
 $(\forall n \in \text{FOV } \varphi. \text{case } I ! n \text{ of } \text{Inl} \mid - \Rightarrow \text{True} \mid - \Rightarrow \text{False}) \wedge$
 $(\forall n \in \text{SOV } \varphi. \text{case } I ! n \text{ of } \text{Inl} \mid - \Rightarrow \text{False} \mid \text{Inr} \mid - \Rightarrow \text{True}) \wedge$
 $(\forall x \in \text{set } I. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}))$

fun *satisfies* :: '*a interp* \Rightarrow '*a formula* \Rightarrow *bool* (**infix** \models 50) **where**

$(w, I) \models FQ a m = ((\text{case } I ! m \text{ of } \text{Inl } p \Rightarrow \text{if } p < \text{length } w \text{ then } w ! p \text{ else any})$
 $= a)$
 $| (w, I) \models FLess m1 m2 = ((\text{case } I ! m1 \text{ of } \text{Inl } p \Rightarrow p) < (\text{case } I ! m2 \text{ of } \text{Inl } p \Rightarrow p))$
 $| (w, I) \models FIn m M = ((\text{case } I ! m \text{ of } \text{Inl } p \Rightarrow p) \in (\text{case } I ! M \text{ of } \text{Inr } P \Rightarrow P))$
 $| (w, I) \models FNot \varphi = (\neg (w, I) \models \varphi)$
 $| (w, I) \models FOr \varphi_1 \varphi_2 = ((w, I) \models \varphi_1 \vee (w, I) \models \varphi_2)$
 $| (w, I) \models FAnd \varphi_1 \varphi_2 = ((w, I) \models \varphi_1 \wedge (w, I) \models \varphi_2)$
 $| (w, I) \models FExists \varphi = (\exists p. (w, \text{Inl } p \# I) \models \varphi)$
 $| (w, I) \models FEXISTS \varphi = (\exists P. \text{finite } P \wedge (w, \text{Inr } P \# I) \models \varphi)$

definition *langWS1S* :: *nat* \Rightarrow '*a formula* \Rightarrow ('*a* \times *bool list*) *list set* **where**

langWS1S *n* φ = $\bigcup \{\text{enc } (w, I) \mid w \text{ I . length } I = n \wedge \text{wf-interp-for-formula } (w, I) \varphi \wedge (w, I) \models \varphi\}$

lemma *encD-ex*: $\llbracket x \in \text{enc } (w, I); (\forall x \in \text{set } I. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True}) \rrbracket \implies$

$\exists n. x = \text{map } (\text{split } (\text{enc-atom } I)) (\text{zip } [0 .. < n] (\text{stake } n (w @- \text{same any})))$
by (*auto dest!: encD simp del: enc.simps*)

lemma *enc-set-σ*: $\llbracket x \in \text{enc } (w, I); (\forall x \in \text{set } I. \text{case } x \text{ of } \text{Inr } P \Rightarrow \text{finite } P \mid - \Rightarrow \text{True});$

$\text{length } I = n; a \in \text{set } x; \text{set } w \subseteq \text{set } \Sigma \rrbracket \implies a \in \text{set } (\sigma \Sigma n)$

apply (*auto dest!: encD-ex simp: in-set-zip simp del: enc.simps*)

apply (*case-tac na < length w*)

apply (*auto intro!: enc-atom-σ*)

done

definition *positions-in-row s i* =

Option.these (*stream-set* (*stream-map2* (*λp (‐, bs)*. *if nth bs i then Some p else None*) *nats s*))

```

lemma positions-in-row: positions-in-row s i = {p. snd (s !! p) ! i}
  unfolding positions-in-row-def these-def stream-map2-szip stream.set-natural'
  stream-set-range
  by (auto split: split-if-asm intro!: image-eqI[of - the] split: prod.splits)

lemma positions-in-row-unique:  $\exists!p.$  snd (s !! p) ! i  $\implies$ 
  the-elem (positions-in-row s i) = (THE p. snd (s !! p) ! i)
  by (rule the1I2) (auto simp: the-elem-def positions-in-row)

lemma positions-in-row-nth:  $\exists!p.$  snd (s !! p) ! i  $\implies$ 
  snd (s !! the-elem (positions-in-row s i)) ! i
  unfolding positions-in-row-unique by (rule the1I2) auto

definition dec-word s = cutSame any (stream-map fst s)

lemma dec-word-stream-enc: dec-word (stream-enc (w, I)) = cutSame any (w @-
  same any)
  unfolding dec-word-def by (auto intro!: arg-cong[of -- cutSame any] simp:
  stream-map2-alt)

definition stream-dec n FO s = map ( $\lambda i.$ 
  if  $i \in FO$ 
  then Inl (the-elem (positions-in-row s i))
  else Inr (positions-in-row s i)) [0..<n]

lemma stream-dec-Inl:  $\llbracket i \in FO; i < n \rrbracket \implies \exists p.$  stream-dec n FO s ! i = Inl p
  unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

lemma stream-dec-not-Inr:  $\llbracket stream-dec n FO s ! i = Inr P; i \in FO; i < n \rrbracket \implies$ 
  False
  unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

lemma stream-dec-Inr:  $\llbracket i \notin FO; i < n \rrbracket \implies \exists P.$  stream-dec n FO s ! i = Inr P
  unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

lemma stream-dec-not-Inl:  $\llbracket stream-dec n FO s ! i = Inl p; i \notin FO; i < n \rrbracket \implies$ 
  False
  unfolding stream-dec-def using nth-map[of n [0..<n]] by auto

lemma Inr-dec-finite:  $\llbracket \forall i < n. finite \{p. snd (s !! p) ! i\}; Inr P \in set (stream-dec$ 
 $n FO s) \rrbracket \implies$ 
  finite P
  unfolding stream-dec-def by (auto simp: positions-in-row)

lemma enc-atom-dec:
   $\llbracket \forall p. length (snd (s !! p)) = n; \forall i \in FO. i < n \longrightarrow (\exists!p.$  snd (s !! p) ! i); a =
  fst (s !! p)  $\rrbracket \implies$ 
  enc-atom (stream-dec n FO s) p a = s !! p
  unfolding stream-dec-def

```

```

by (rule sym, subst surjective-pairing[of s !! p])
  (auto intro!: nth-equalityI simp: positions-in-row simp del: pair-collapse split:
split-if-asm,
  (metis positions-in-row positions-in-row-nth)+)

lemma length-stream-dec[simp]: length (stream-dec n FO x) = n
unfolding stream-dec-def by auto

lemma stream-enc-dec:
 $\exists n. \text{sdrop } n (\text{stream-map } \text{fst } s) = \text{same } \text{any};$ 
 $\text{stream-all } (\lambda x. \text{length } (\text{snd } x) = n) s; \forall i \in \text{FO}. (\exists !p. \text{snd } (s !! p) ! i) \Rightarrow$ 
 $\text{stream-enc } (\text{dec-word } s, \text{stream-dec } n \text{ FO } s) = s$ 
unfolding dec-word-def snth-fromN
by (drule LeastI-ex)
  (auto intro!: enc-atom-dec simp: stream-map2-alt cutSame-def
simp del: stake-stream-map sdrop-stream-map
intro!: trans[OF arg-cong2[of - - - op !!] snth-stream-map]
trans[OF arg-cong2[of - - - op @-] stake-sdrop])

lemma stream-enc-unique:
 $i < \text{length } I \Rightarrow \exists p. I ! i = \text{Inl } p \Rightarrow \exists !p. \text{snd } (\text{stream-enc } (w, I) !! p) ! i$ 
by auto

lemma stream-dec-enc-Inl:
 $\llbracket \text{stream-dec } n \text{ FO } (\text{stream-enc } (w, I)) ! i = \text{Inl } p'; I ! i = \text{Inl } p; i \in \text{FO}; i < n;$ 
 $\text{length } I = n \rrbracket \Rightarrow$ 
 $p = p'$ 
unfolding stream-dec-def
by (auto intro!: trans[OF - sym[OF positions-in-row-unique[OF stream-enc-unique]]]
simp del: stream-enc.simps) simp

lemma stream-dec-enc-Inr:
 $\llbracket \text{stream-dec } n \text{ FO } (\text{stream-enc } (w, I)) ! i = \text{Inr } P'; I ! i = \text{Inr } P; i \notin \text{FO}; i <$ 
 $n; \text{length } I = n \rrbracket \Rightarrow$ 
 $P = P'$ 
unfolding stream-dec-def positions-in-row by auto

lemma Collect-snth: {p. P (Stream x s !! p)} ⊆ {0} ∪ Suc ` {p. P (s !! p)}
unfolding image-def by (auto simp: gr0-conv-Suc)

lemma finite-True-in-row: ∀ i < n. finite {p. snd ((w @- same (any, replicate n
False)) !! p) ! i}
by (induct w) (auto intro: finite-subset[OF Collect-snth])

lemma lang-ENC:
assumes wf-formula n φ
shows lang n (ENC n φ) = ∪ {enc (w, I) | w I . length I = n ∧ wf-interp-for-formula
(w, I) φ}
(is ?L = ?R)

```

```

proof (intro equalityI subsetI)
  fix x assume  $L: x \in ?L$ 
  hence  $\ast: \text{set } x \subseteq \text{set } (\sigma \Sigma n)$  using wf-lang-wf-word[OF wf-rexp-ENC] by (auto simp: wf-word)
  let  $?s = x @\text{-- same}$  (any, replicate n False)
  have list-all ( $\lambda bs. \text{length } (snd bs) = n$ ) x
    using bspec[OF wf-lang-wf-word[OF wf-rexp-ENC], OF  $\langle x \in ?L \rangle$ ]
    by (auto simp: list-all-iff wf-word) (auto simp: σ-def set-n-lists)
  hence stream-all ( $\lambda x. \text{length } (snd x) = n$ ) ( $x @\text{-- same}$  (any, replicate n False))
    by (auto simp only: stream-all-shift stream-all-same length-replicate snd-conv)
  moreover
  { fix m assume  $m \in FOV \varphi$ 
    with assms have  $m < n$  by (auto simp: max-idx-vars)
    with  $L \langle m \in FOV \varphi \rangle$  obtain u z v where  $uzv: x = u @ z @ v$ 
       $u \in \text{star}(\text{lang } n (\text{arbitrary-except } n [(m, False)] \Sigma))$ 
       $z \in \text{lang } n (\text{arbitrary-except } n [(m, True)] \Sigma)$ 
       $v \in \text{star}(\text{lang } n (\text{arbitrary-except } n [(m, False)] \Sigma))$  unfolding ENC-def
      by (auto simp: wf-rexp-valid-ENC finite-FOV dest!: iffD1[OF lang-flatten-INTERSECT, rotated -1]]
        (fastforce simp: valid-ENC-def)
      with  $\langle m < n \rangle$  have  $\exists !p. \text{snd } (x ! p) ! m \wedge p < \text{length } x$ 
      proof (intro exI[of - length u])
        fix p assume  $m < n$   $\text{snd } (x ! p) ! m \wedge p < \text{length } x$ 
        with star-arbitrary-except[OF uzv(2)] arbitrary-except[OF uzv(3)] star-arbitrary-except[OF uzv(4)]
          show  $p = \text{length } u$  by (cases rule: nat-less-cases) (auto simp: nth-append uzv(1))
        qed (auto dest!: arbitrary-except)
        then obtain p where  $p: p < \text{length } x \text{ snd } (x ! p) ! m$ 
           $\wedge q. \text{snd } (x ! q) ! m \wedge q < \text{length } x \longrightarrow q = p$  by auto
        hence  $\exists !p. \text{snd } (?s !! p) ! m$ 
        proof (intro exI[of - p])
          fix q from  $p \langle m < n \rangle$  show  $\text{snd } (?s !! q) ! m \implies q = p$  by (cases q < length x)
          auto
        qed auto
      }
      moreover have sdrop (length x) (stream-map fst ( $x @\text{-- same}$  (any, replicate n False))) = same any
        unfolding sdrop-stream-map by (subst sdrop-shift[OF refl refl]) simp
        ultimately have enc-dec: stream-enc (dec-word ?s, stream-dec n (FOV φ) ?s)
      =
       $x @\text{-- same}$  (any, replicate n False) by (intro stream-enc-dec) auto
      def I  $\equiv$  stream-dec n (FOV φ) ?s
      with assms have wf-interp-for-formula (dec-word ?s, I)  $\varphi$  unfolding I-def dec-word-def
        by (auto dest: stream-dec-not-Inr stream-dec-not-Inl simp :σ-def max-idx-vars dest!: set-mp[OF set-cutSame[of any map fst x]] set-mp[OF *] split: sum.splits)
          (auto simp: stream-dec-def positions-in-row finite-True-in-row)
      moreover have length I = n unfolding I-def by simp
  }

```

moreover have $x \in enc(\text{dec-word } ?s, I)$ unfolding $I\text{-def}$
 by (simp add: enc-dec cutSame-shift-same del: stream-enc.simps)
 ultimately show $x \in ?R$ by blast
 next
 fix x assume $x \in ?R$
 then obtain $w I$ where $I: x \in enc(w, I)$ wf-interp-for-formula $(w, I) \varphi$ length
 $I = n$ by blast
 { fix i from $I(2)$ have $(w @- same \text{ any}) !! i \in set \Sigma$ by (cases $i < \text{length } w$)
 auto } note $* = this$
 from I have $x @- same (\text{any}, replicate (\text{length } I) False) = stream-enc(w, I)$
 (is $x @- ?F = ?s$)
 by (intro stream-enc-enc[symmetric]) auto
 with $* \langle \text{length } I = n \rangle$ have $\forall x \in set x. \text{length} (\text{snd } x) = n \wedge \text{fst } x \in set \Sigma$
 by (auto dest!: shift-snth-less[of - - ?F, symmetric] simp: in-set-conv-nth)
 thus $x \in ?L$
 proof (cases $FOV \varphi = \{\}$)
 case False
 hence nonempty: valid-ENC $n`FOV \varphi \neq \{\}$ by simp
 have finite: finite (valid-ENC $n`FOV \varphi$) by (rule finite-imageI[OF finite-FOV])
 from False assms(1) have $0 < n$ by (cases n) (auto split: dest!: max-idx-vars)
 with wf-rexp-valid-ENC have wf-rexp: $\forall x \in \text{valid-ENC } n`FOV \varphi. \text{wf } n x$ by
 auto
 { fix r assume $r \in FOV \varphi$
 with $I(2)$ obtain p where $p: I ! r = Inl p$ by (cases $I ! r$) auto
 from $\langle r \in FOV \varphi \rangle$ assms $I(2,3)$ have $r: r < \text{length } I$ by (auto dest!: max-idx-vars)
 from $p I(1,2) r$ have $p < \text{length } x$
 using less-length-cutSame-Inl[of $I r p w$] by auto
 with $p I r * \text{have } [x ! p] \in lang n$ (arbitrary-except $n [(r, \text{True})] \Sigma$)
 by (subst encD[of x]) (auto intro!: enc-atom-lang-arbitrary-except-True)
 moreover
 from $p I r * \text{have } take p x \in star (lang n (\text{arbitrary-except } n [(r, False)] \Sigma))$
 by (subst encD[of x]) (auto simp: in-set-conv-nth intro!: Ball-starI enc-atom-lang-arbitrary-except-False)
 moreover
 from $p I r * \text{have } drop (\text{Suc } p) x \in star (lang n (\text{arbitrary-except } n [(r, False)] \Sigma))$
 by (subst encD[of x]) (auto simp: in-set-conv-nth simp del: snth.simps intro!: Ball-starI enc-atom-lang-arbitrary-except-False)
 ultimately have $take p x @ [x ! p] @ drop (p + 1) x \in lang n (\text{valid-ENC } n r)$
 using < $0 < n$ > unfolding valid-ENC-def by (auto simp del: append.simps)
 hence $x \in lang n (\text{valid-ENC } n r)$ using id-take-nth-drop[OF < $p < \text{length } x$ >]
 by auto
 }
 with False lang-flatten-INTERSECT[OF finite nonempty wf-rexp] show ?thesis
 by (auto simp: ENC-def)
 qed (simp add: ENC-def, auto simp: sigma-def set-n-lists image-iff)
 qed

10.2 Welldefinedness of enc wrt. Models

```

lemma wf-interp-for-formula-FExists:
   $\llbracket \text{wf-formula}(\text{length } I) (\text{FExists } \varphi) \rrbracket \implies$ 
     $\text{wf-interp-for-formula}(w, I) (\text{FExists } \varphi) \longleftrightarrow (\forall p. \text{wf-interp-for-formula}(w, \text{Inl } p \# I) \varphi)$ 
  by (auto simp: nth-Cons' split: split-if-asm)

lemma wf-interp-for-formula-any-Inl: wf-interp-for-formula(w, Inl p # I)  $\varphi \implies$ 
   $\forall p. \text{wf-interp-for-formula}(w, \text{Inl } p \# I) \varphi$ 
  by (auto simp: nth-Cons' split: split-if-asm)

lemma wf-interp-for-formula-FEXISTS:
   $\llbracket \text{wf-formula}(\text{length } I) (\text{FEXISTS } \varphi) \rrbracket \implies$ 
     $\text{wf-interp-for-formula}(w, I) (\text{FEXISTS } \varphi) \longleftrightarrow (\forall P. \text{finite } P \longrightarrow \text{wf-interp-for-formula}(w, \text{Inr } P \# I) \varphi)$ 
  by (auto simp: nth-Cons' split: split-if-asm)

lemma wf-interp-for-formula-any-Inr: wf-interp-for-formula(w, Inr P # I)  $\varphi \implies$ 
   $\forall P. \text{finite } P \longrightarrow \text{wf-interp-for-formula}(w, \text{Inr } P \# I) \varphi$ 
  by (auto simp: nth-Cons' split: split-if-asm)

lemma wf-interp-for-formula-FOr:
   $\text{wf-interp-for-formula}(w, I) (\text{FOr } \varphi_1 \varphi_2) =$ 
     $(\text{wf-interp-for-formula}(w, I) \varphi_1 \wedge \text{wf-interp-for-formula}(w, I) \varphi_2)$ 
  by auto

lemma wf-interp-for-formula-FAnd:
   $\text{wf-interp-for-formula}(w, I) (\text{FAnd } \varphi_1 \varphi_2) =$ 
     $(\text{wf-interp-for-formula}(w, I) \varphi_1 \wedge \text{wf-interp-for-formula}(w, I) \varphi_2)$ 
  by auto

lemma enc-wf-interp:
   $\llbracket \text{wf-formula}(\text{length } I) \varphi; \text{wf-interp-for-formula}(w, I) \varphi; x \in \text{enc}(w, I) \rrbracket \implies$ 
     $\text{wf-interp-for-formula}(\text{dec-word}(x @- \text{same}(\text{any}, \text{replicate}(\text{length } I) \text{ False})),$ 
       $\text{stream-dec}(\text{length } I) (\text{FOV } \varphi) (x @- \text{same}(\text{any}, \text{replicate}(\text{length } I) \text{ False}))$ 
 $\varphi$ 
using
  stream-dec-Inl[of - FOV  $\varphi$  length I stream-enc (w, I), OF - bspec[OF max-idx-vars]]
  stream-dec-Inr[of - FOV  $\varphi$  length I stream-enc (w, I), OF - bspec[OF max-idx-vars]]
by (auto split: sum.splits intro: Inr-dec-finite[OF finite-True-in-row] simp: max-idx-vars dec-word-def
dest!: stream-dec-not-Inl stream-dec-not-Inr set-mp[OF set-cutSame] simp del:
stream-enc.simps)
  (auto simp: cutSame-def in-set-zip stream-map2-alt shift-snth)

lemma enc-atom-welldef:  $\forall x a. \text{enc-atom } I x a = \text{enc-atom } I' x a \implies m < \text{length } I$ 
 $I \implies$ 
  (case (I ! m, I' ! m) of (Inl p, Inl q)  $\Rightarrow p = q \mid (\text{Inr } P, \text{Inr } Q) \Rightarrow P = Q \mid -$ 
 $\Rightarrow \text{True}$ )

```

```

proof (induct length I arbitrary: I I' m)
  case (Suc n I I')
    then obtain x xs x' xs' where *: I = x # xs I' = x' # xs'
      by (fastforce simp: Suc-length-conv map-eq-Cons-conv)
    with Suc show ?case
    proof (cases m)
      case 0 thus ?thesis using Suc(3) unfolding *
        by (cases x x' rule: sum.exhaust[case-product sum.exhaust]) auto
    qed auto
  qed simp

lemma stream-enc-welldef:  $\llbracket \text{stream-enc } (w, I) = \text{stream-enc } (w', I'); \text{wf-formula} (\text{length } I) \varphi; \text{wf-interp-for-formula } (w, I) \varphi; \text{wf-interp-for-formula } (w', I') \varphi \rrbracket \implies$ 
 $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$ 
proof (induction  $\varphi$  arbitrary: w w' I I')
  case (FQ a m) thus ?case using enc-atom-welldef[of I I' m]
    by (simp split: sum.splits add: stream-map2-alt shift-snth) (metis snth-same)
next
  case (FLess m1 m2) thus ?case using enc-atom-welldef[of II' m1] enc-atom-welldef[of I I' m2]
    by (auto split: sum.splits simp add: stream-map2-alt)
next
  case (FIn m M) thus ?case using enc-atom-welldef[of II' m] enc-atom-welldef[of I I' M]
    by (auto split: sum.splits simp add: stream-map2-alt)
next
  case (FOr  $\varphi_1 \varphi_2$ ) show ?case unfolding satisfies.simps(5)
  proof (intro disj-cong)
    from FOr(3–6) show  $(w, I) \models \varphi_1 \longleftrightarrow (w', I') \models \varphi_1$ 
      by (intro FOr(1)) auto
next
  from FOr(3–6) show  $(w, I) \models \varphi_2 \longleftrightarrow (w', I') \models \varphi_2$ 
    by (intro FOr(2)) auto
  qed
next
  case (FAnd  $\varphi_1 \varphi_2$ ) show ?case unfolding satisfies.simps(6)
  proof (intro conj-cong)
    from FAnd(3–6) show  $(w, I) \models \varphi_1 \longleftrightarrow (w', I') \models \varphi_1$ 
      by (intro FAnd(1)) auto
next
  from FAnd(3–6) show  $(w, I) \models \varphi_2 \longleftrightarrow (w', I') \models \varphi_2$ 
    by (intro FAnd(2)) auto
  qed
next
  case (FExists  $\varphi$ )
  hence length: length I' = length I by (metis length-snth-enc)
  show ?case
  proof

```

```

assume ( $w, I \models F\text{Exists } \varphi$ 
with  $F\text{Exists}.prems(3)$  obtain  $p$  where  $(w, \text{Inl } p \# I) \models \varphi$  by auto
moreover
with  $F\text{Exists}.prems$  have  $(w', \text{Inl } p \# I') \models \varphi$ 
  apply (intro iffD1[ $\text{OF } F\text{Exists.IH}[\text{of } w \text{ Inl } p \# I \text{ } w' \text{ Inl } p \# I']]$ )
  apply (auto simp: stream-map2-alt split: sum.splits) []
  apply (auto split: sum.splits split-if-asm) []
  apply (blast dest!: wf-interp-for-formula- $F\text{Exists}$ )
  apply (blast dest!: wf-interp-for-formula- $F\text{Exists}$ [of  $I'$ , unfolded length])
  apply assumption
  done
ultimately show  $(w', I') \models F\text{Exists } \varphi$  by auto
next
assume  $(w', I') \models F\text{Exists } \varphi$ 
with  $F\text{Exists}.prems(1,2,4)$  obtain  $p$  where  $(w', \text{Inl } p \# I') \models \varphi$  by auto
moreover
with  $F\text{Exists}.prems$  have  $(w, \text{Inl } p \# I) \models \varphi$ 
  apply (intro iffD2[ $\text{OF } F\text{Exists.IH}[\text{of } w \text{ Inl } p \# I \text{ } w' \text{ Inl } p \# I']]$ )
  apply (auto simp: stream-map2-alt split: sum.splits) []
  apply (auto split: sum.splits split-if-asm) []
  apply (blast dest!: wf-interp-for-formula- $F\text{Exists}$ )
  apply (blast dest!: wf-interp-for-formula- $F\text{Exists}$ [of  $I'$ , unfolded length])
  apply assumption
  done
ultimately show  $(w, I) \models F\text{Exists } \varphi$  by auto
qed
next
case ( $\text{FEXISTS } \varphi$ )
hence  $\text{length}: \text{length } I' = \text{length } I$  by (metis length-snth-enc)
show ?case
proof
assume  $(w, I) \models \text{FEXISTS } \varphi$ 
with  $\text{FEXISTS}.prems(3)$  obtain  $P$  where finite  $P$   $(w, \text{Inr } P \# I) \models \varphi$  by auto
moreover
with  $\text{FEXISTS}.prems$  have  $(w', \text{Inr } P \# I') \models \varphi$ 
  apply (intro iffD1[ $\text{OF } \text{FEXISTS.IH}[\text{of } w \text{ Inr } P \# I \text{ } w' \text{ Inr } P \# I']]$ )
  apply (auto simp: stream-map2-alt split: sum.splits) []
  apply (auto split: sum.splits split-if-asm) []
  apply (blast dest!: wf-interp-for-formula- $\text{FEXISTS}$ )
  apply (blast dest!: wf-interp-for-formula- $\text{FEXISTS}$ [of  $I'$ , unfolded length])
  apply assumption
  done
ultimately show  $(w', I') \models \text{FEXISTS } \varphi$  by auto
next
assume  $(w', I') \models \text{FEXISTS } \varphi$ 
with  $\text{FEXISTS}.prems(1,2,4)$  obtain  $P$  where finite  $P$   $(w', \text{Inr } P \# I') \models \varphi$  by auto
moreover

```

```

with FEXISTS.prems have  $(w, \text{Inr } P \# I) \models \varphi$ 
  apply (intro iffD2[OF FEXISTS.IH[of  $w \text{ Inr } P \# I w' \text{ Inr } P \# I'$ ]])
  apply (auto simp: stream-map2-alt split: sum.splits) []
  apply (auto split: sum.splits split-if-asm) []
  apply (blast dest!: wf-interp-for-formula-FEXISTS)
  apply (blast dest!: wf-interp-for-formula-FEXISTS[of  $I'$ , unfolded length])
  apply assumption
  done
ultimately show  $(w, I) \models \text{FEXISTS } \varphi$  by auto
qed
qed auto

lemma langWS1S-FOr:
assumes wf-formula  $n (\text{For } \varphi_1 \varphi_2)$ 
shows  $\text{langWS1S } n (\text{For } \varphi_1 \varphi_2) \subseteq$ 
   $(\text{langWS1S } n \varphi_1 \cup \text{langWS1S } n \varphi_2) \cap \bigcup \{\text{enc } (w, I) \mid w \text{ I. length } I = n \wedge$ 
   $\text{wf-interp-for-formula } (w, I) (\text{For } \varphi_1 \varphi_2)\}$ 
  (is -  $\subseteq (?L1 \cup ?L2) \cap ?ENC$ )
proof (intro equalityI subsetI)
fix  $x$  assume  $x \in \text{langWS1S } n (\text{For } \varphi_1 \varphi_2)$ 
then obtain  $w I$  where
*:  $x \in \text{enc } (w, I) \text{ wf-interp-for-formula } (w, I) (\text{For } \varphi_1 \varphi_2) \text{ length } I = n$  and
  satisfies  $(w, I) \varphi_1 \vee \text{satisfies } (w, I) \varphi_2$  unfolding langWS1S-def by auto
thus  $x \in (?L1 \cup ?L2) \cap ?ENC$ 
proof (elim disjE)
assume satisfies  $(w, I) \varphi_1$ 
with * have  $x \in ?L1$  using assms unfolding langWS1S-def by (fastforce
simp del: enc.simps)
with * show ?thesis by auto
next
assume satisfies  $(w, I) \varphi_2$ 
with * have  $x \in ?L2$  using assms unfolding langWS1S-def by (fastforce simp
del: enc.simps)
with * show ?thesis by auto
qed
qed

lemma langWS1S-FAnd:
assumes wf.formula  $n (\text{FAnd } \varphi_1 \varphi_2)$ 
shows  $\text{langWS1S } n (\text{FAnd } \varphi_1 \varphi_2) \subseteq$ 
   $\text{langWS1S } n \varphi_1 \cap \text{langWS1S } n \varphi_2 \cap \bigcup \{\text{enc } (w, I) \mid w \text{ I. length } I = n \wedge$ 
   $\text{wf-interp-for-formula } (w, I) (\text{FAnd } \varphi_1 \varphi_2)\}$ 
using assms unfolding langWS1S-def by (fastforce simp del: enc.simps)

```

10.3 From WS1S to Regular expressions

```

fun rexp-of :: nat  $\Rightarrow$  'a formula  $\Rightarrow$  ('a  $\times$  bool list) rexp where
rexp-of  $n (FQ a m) =$ 
  Inter (TIMES [rexp.Not Zero, arbitrary-except  $n [(m, \text{True})] [a]$ , rexp.Not Zero])

```

```

(ENC n (FQ a m))
| rexp-of n (FLess m1 m2) = (if m1 = m2 then Zero else
    Inter (TIMES [rexp.Not Zero, arbitrary-except n [(m1, True)] Σ,
        rexp.Not Zero, arbitrary-except n [(m2, True)] Σ,
        rexp.Not Zero]) (ENC n (FLess m1 m2)))
| rexp-of n (FIn m M) =
    Inter (TIMES [rexp.Not Zero, arbitrary-except n [(min m M, True), (max m
        M, True)] Σ, rexp.Not Zero])
        (ENC n (FIn m M)))
| rexp-of n (FNot φ) = Inter (rexp.Not (rexp-of n φ)) (ENC n (FNot φ))
| rexp-of n (FOr φ1 φ2) = Inter (Plus (rexp-of n φ1) (rexp-of n φ2)) (ENC n (FOr
    φ1 φ2))
| rexp-of n (FAnd φ1 φ2) = INTERSECT [rexp-of n φ1, rexp-of n φ2, ENC n
    (FAnd φ1 φ2)]
| rexp-of n (FExists φ) = samequot-exec (any, replicate n False) (Pr (rexp-of (n
    + 1) φ))
| rexp-of n (FEXISTS φ) = samequot-exec (any, replicate n False) (Pr (rexp-of
    (n + 1) φ))

fun rexp-of-alt :: nat ⇒ 'a formula ⇒ ('a × bool list) rexp where
    rexp-of-alt n (FQ a m) =
        TIMES [rexp.Not Zero, arbitrary-except n [(m, True)] [a], rexp.Not Zero]
    | rexp-of-alt n (FLess m1 m2) = (if m1 = m2 then Zero else
        Inter (rexp.Not Zero, arbitrary-except n [(m1, True)] Σ,
            rexp.Not Zero, arbitrary-except n [(m2, True)] Σ,
            rexp.Not Zero))
    | rexp-of-alt n (FIn m M) =
        Inter (TIMES [rexp.Not Zero, arbitrary-except n [(min m M, True), (max m M, True)] Σ,
            rexp.Not Zero])
            (ENC n (FIn m M)))
    | rexp-of-alt n (FNot φ) = rexp.Not (rexp-of-alt n φ)
    | rexp-of-alt n (FOr φ1 φ2) = Plus (rexp-of-alt n φ1) (rexp-of-alt n φ2)
    | rexp-of-alt n (FAnd φ1 φ2) = Inter (rexp-of-alt n φ1) (rexp-of-alt n φ2)
    | rexp-of-alt n (FExists φ) = samequot-exec (any, replicate n False) (Pr (Inter
        (rexp-of-alt (n + 1) φ) (ENC (Suc n) φ)))
    | rexp-of-alt n (FEXISTS φ) = samequot-exec (any, replicate n False) (Pr (Inter
        (rexp-of-alt (n + 1) φ) (ENC (Suc n) φ)))

definition rexp-of' n φ = Inter (rexp-of-alt n φ) (ENC n φ)

```

```

lemma enc-eqI:
    assumes x ∈ enc (w, I) x ∈ enc (w', I') wf-interp-for-formula (w, I) φ
    wf-interp-for-formula (w', I') φ
    length I = length I'
    shows enc (w, I) = enc (w', I')
proof –
    from assms have stream-enc (w, I) = stream-enc (w', I')
    by (intro box-equals[OF - stream-enc-enc[symmetric] stream-enc-enc[symmetric]])
    auto
    thus ?thesis using assms(5) by auto

```

qed

lemma *enc-eq-welldef*:

$\llbracket \text{enc } (w, I) = \text{enc } (w', I'); \text{wf-formula } (\text{length } I) \varphi; \text{wf-interp-for-formula } (w, I) \varphi ; \text{wf-interp-for-formula } (w', I') \varphi \rrbracket \implies$
 $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$
by (*intro stream-enc-welldef*) (*auto simp del: stream-enc.simps intro!: enc-stream-enc*)

lemma *enc-welldef*:

$\llbracket x \in \text{enc } (w, I); x \in \text{enc } (w', I'); \text{length } I = \text{length } I'; \text{wf-formula } (\text{length } I) \varphi; \text{wf-interp-for-formula } (w, I) \varphi ; \text{wf-interp-for-formula } (w', I') \varphi \rrbracket \implies$
 $(w, I) \models \varphi \longleftrightarrow (w', I') \models \varphi$
by (*intro enc-eq-welldef[OF enc-eqI]*)

lemma *wf-rexp-of*: *wf-formula n* $\varphi \implies \text{wf } n \text{ (rexp-of } n \varphi)$

by (*induct* φ *arbitrary: n*)
(auto simp: wf-rexp-ENC intro: wf-rexp-arbitrary-except intro!: wf-samequot-exec,
auto simp: σ-def set-n-lists image-ifff)

theorem *langWS1S-rexp-of*: *wf-formula n* $\varphi \implies \text{lang}_{WS1S} n \varphi = \text{lang } n \text{ (rexp-of } n \varphi)$

(is $- \implies - = ?L n \varphi$)

proof (*induct* φ *arbitrary: n*)

case (*FQ a m*)

show $?case$

proof (*intro equalityI subsetI*)

fix x **assume** $x \in \text{lang}_{WS1S} n \text{ (FQ a m)}$

then obtain $w I$ **where**

$*: x \in \text{enc } (w, I) \text{ wf-interp-for-formula } (w, I) \text{ (FQ a m) length } I = n \text{ (w, I)}$

$\models FQ a m$

unfolding *langWS1S-def* **by** *blast*

hence $x\text{-alt}: x = \text{map } (\text{split } (\text{enc-atom } I)) (\text{zip } [0 .. < \text{length } x] (\text{stake } (\text{length } x) (w @- \text{same any})))$
by (*intro encD*) *auto*

from $FQ(1) * (2,4)$ **obtain** p **where** $p: I ! m = \text{Inl } p$

by (*auto simp: all-set-conv-all-nth enc-def split: sum.splits*)

with $FQ(1) * \text{have}$ $p\text{-less}: p < \text{length } x$

by (*auto simp del: stream-enc.simps intro: trans-less-add1[OF less-length-cutSame-Inl]*)

hence *enc-atom*: $x ! p = \text{enc-atom } I p ((w @- \text{same any}) !! p)$ **(is** $- = \text{enc-atom}$

$- - ?p$)

by (*subst x-alt, simp*)

with $*(1) p\text{-less}(1)$ **have** $x = \text{take } p x @ [\text{enc-atom } I p ?p] @ \text{drop } (p + 1) x$
using *id-take-nth-drop*[*of p x*] **by** *auto*

moreover

from $*(2,3,4) FQ(1) p$ **have** $[\text{enc-atom } I p ?p] \in \text{lang } n \text{ (arbitrary-except } n [(m, \text{True})] [a])$

by (*intro enc-atom-lang-arbitrary-except-True*) *auto*

moreover from $*(2,3)$ **have** $\text{take } p x \in \text{lang } n \text{ (rexp.Not Zero)}$

by (*subst x-alt*) (*auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!*)

```

 $\text{in-set-takeD}$ )
  moreover from  $\ast(2,3)$  have  $\text{drop}(\text{Suc } p) x \in \text{lang } n$  ( $\text{rexp.Not Zero}$ )
    by ( $\text{subst } x\text{-alt}$ ) ( $\text{auto simp: in-set-zip shift-snth intro!: enc-atom-}\sigma\text{ dest!:$ 
 $\text{in-set-dropD})$ 
    ultimately show  $x \in ?L n (\text{FQ } a m)$  using  $\ast(1,2,3)$ 
    unfolding  $\text{rexp-of.simps lang.simps}(5,8)$   $\text{rexp-of-list.simps lang-ENC[OF }$ 
 $\text{FQ]}$ 
    by ( $\text{auto elim: ssubst simp del: o-apply append.simps lang.simps enc.simps}$ )
next
  fix  $x$  let  $?x = x @-$  same ( $\text{any, replicate } n \text{ False}$ )
  assume  $x: x \in ?L n (\text{FQ } a m)$ 
  with  $\text{FQ}$  obtain  $w I$  where
     $I: x \in \text{enc } (w, I)$   $\text{length } I = n$   $\text{wf-interp-for-formula } (w, I) (\text{FQ } a m)$ 
    unfolding  $\text{rexp-of.simps lang.simps lang-ENC[OF FQ]$  by  $\text{fastforce}$ 
    hence  $\text{stream-enc: stream-enc } (w, I) = ?x$  using  $\text{stream-enc-enc}$  by  $\text{auto}$ 
    from  $I$   $\text{FQ}$  obtain  $p$  where  $m: I ! m = \text{Inl } p \ m < \text{length } I$  by ( $\text{auto split:}$ 
 $\text{sum.splits}$ )
    with  $I$  have  $\text{wf-interp-for-formula } (\text{dec-word } ?x, \text{stream-dec } n \{m\} ?x) (\text{FQ } a$ 
 $m)$  unfolding  $I(1)$ 
      using  $\text{enc-wf-interp[OF FQ(1)[folded } I(2)]}$  by  $\text{auto}$ 
    moreover
    from  $x$  obtain  $u1 u u2$  where  $x = u1 @ u @ u2$ 
       $u \in \text{lang } n$  ( $\text{arbitrary-except } n [(m, \text{True})] [a]$ )
      unfolding  $\text{rexp-of.simps lang.simps rexp-of-list.simps}$  using  $\text{concE}$  by  $\text{fast}$ 
      with  $\text{FQ}(1)$  obtain  $v$  where  $v: x = u1 @ [v] @ u2 \text{ snd } v ! m \text{ fst } v = a$ 
        using  $\text{arbitrary-except[of } u n m \text{ True } [a]}$  by  $\text{fastforce}$ 
      from  $v$  have  $u: \text{length } u1 < \text{length } x$  by  $\text{auto}$ 
      { from  $v$  have  $\text{snd } (x ! \text{length } u1) ! m$  by  $\text{auto}$ 
        moreover
        from  $m I$  have  $p < \text{length } x \text{ snd } (x ! p) ! m$  by ( $\text{auto dest: enc-Inl simp del:}$ 
 $\text{enc.simps}$ )
        moreover
        from  $m I$  have  $\text{ex1: } \exists !p. \text{ snd } (\text{stream-enc } (w, I) !! p) ! m$  by ( $\text{intro}$ 
 $\text{stream-enc-unique}$ )  $\text{auto}$ 
        ultimately have  $p = \text{length } u1$  unfolding  $\text{stream-enc}$  using  $u I(3)$  by  $\text{auto}$ 
      } note  $\ast = \text{this}$ 
      from  $v$  have  $v = x ! \text{length } u1$  by  $\text{simp}$ 
      with  $u$  have  $?x !! \text{length } u1 = v$  by ( $\text{auto simp: shift-snth}$ )
      with  $\ast m I v(3)$  have  $(\text{dec-word } ?x, \text{stream-dec } n \{m\} ?x) \models \text{FQ } a m$ 
        using  $\text{stream-enc-enc[OF - } I(1), \text{symmetric]}$   $\text{less-length-cutSame[of } w \text{ any}$ 
 $\text{length } u1 a]$ 
        by ( $\text{auto simp del: enc.simps stream-enc.simps simp: dec-word-stream-enc}$ 
 $\text{dest!:$ 
           $\text{stream-dec-enc-Inl stream-dec-not-Inr split: sum.splits}$ 
          ( $\text{auto simp: stream-map2-alt cutSame-def}$ )
        moreover from  $m I(2)$ 
        have  $\text{stream-enc-dec: stream-enc } (\text{dec-word } (\text{stream-enc } (w, I)), \text{stream-dec } n$ 
 $\{m\} (\text{stream-enc } (w, I))) = \text{stream-enc } (w, I)$ 
        by ( $\text{intro stream-enc-dec}$ )
      
```

```

(auto simp: stream-map2-alt sdrop-snth shift-snth intro: stream-enc-unique,
  auto simp: stream-map2-szip stream.set-natural')
moreover from I have wf-word n x unfolding wf-word by (auto elim:
  enc-set-σ simp del: enc.simps)
ultimately show x ∈ langWS1S n (FQ a m) unfolding langWS1S-def using
m I(1,3)
  by (auto simp del: enc.simps stream-enc.simps intro!: exI[of - enc (dec-word
?x, stream-dec n {m} ?x)],
    fastforce simp del: enc.simps stream-enc.simps,
    auto simp del: stream-enc.simps simp: stream-enc[symmetric] I(2))
qed
next
  case (FLess m m')
  show ?case
  proof (cases m = m')
    case False
    thus ?thesis
    proof (intro equalityI subsetI)
      fix x assume x ∈ langWS1S n (FLess m m')
      then obtain w I where
        *: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FLess m m') length I = n
        (w, I) ⊨ FLess m m'
        unfolding langWS1S-def by blast
        hence x-alt: x = map (split (enc-atom I)) (zip [0 .. < length x] (stake (length
        x) (w @- same any)))
          by (intro encD) auto
        from FLess(1) *(2,4) obtain p q where pq: I ! m = Inl p I ! m' = Inl q p
        < q
          by (auto simp: all-set-conv-all-nth enc-def split: sum.splits)
          with FLess(1) *(1,2,3) have pq-less: p < length x q < length x
          by (auto simp del: stream-enc.simps intro!: trans-less-add1[OF less-length-cutSame-Inl])
          hence enc-atom: x ! p = enc-atom I p ((w @- same any) !! p) (is - =
          enc-atom - - ?p)
            x ! q = enc-atom I q ((w @- same any) !! q) (is - = enc-atom -
            - ?q) by (subst x-alt, simp) +
          with *(1) pq-less(1) have x = take p x @ [enc-atom I p ?p] @ drop (p + 1)
          x
            using id-take-nth-drop[of p x] by auto
            also have drop (p + 1) x = take (q - p - 1) (drop (p + 1) x) @
              [enc-atom I q ?q] @ drop (q - p) (drop (p + 1) x) (is - = ?LHS)
            using id-take-nth-drop[of q - p - 1 drop (p + 1) x] pq pq-less(2) enc-atom(2)
          by auto
            finally have x = take p x @ [enc-atom I p ?p] @ ?LHS .
            moreover from *(2,3) FLess(1) pq(1)
            have [enc-atom I p ?p] ∈ lang n (arbitrary-except n [(m, True)] Σ)
              by (intro enc-atom-lang-arbitrary-except-True) (auto simp: shift-snth)
            moreover from *(2,3) FLess(1) pq(2)
            have [enc-atom I q ?q] ∈ lang n (arbitrary-except n [(m', True)] Σ)
              by (intro enc-atom-lang-arbitrary-except-True) (auto simp: shift-snth)

```

```

moreover from *(2,3) have take p x ∈ lang n (rexp.Not Zero)
    by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!: in-set-takeD)
moreover from *(2,3) have take (q - p - 1) (drop (Suc p) x) ∈ lang n (rexp.Not Zero)
    by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!: in-set-dropD in-set-takeD)
moreover from *(2,3) have drop (q - p) (drop (Suc p) x) ∈ lang n (rexp.Not Zero)
    by (subst x-alt) (auto simp: in-set-zip shift-snth intro!: enc-atom-σ dest!: in-set-dropD)
ultimately show x ∈ ?L n (FLess m m') using *(1,2,3)
unfolding rexp-of.simps lang.simps(5,8) rexp-of-list.simps Int-Diff lang-ENC[OF FLess] if-not-P[OF False]
    by (auto elim: ssubst simp del: o-apply append.simps lang.simps enc.simps)
next
fix x let ?x = x @- same (any, replicate n False)
assume x: x ∈ ?L n (FLess m m')
with FLess obtain w I where
    I: x ∈ enc (w, I) length I = n wf-interp-for-formula (w, I) (FLess m m')
unfolding rexp-of.simps lang.simps lang-ENC[OF FLess] if-not-P[OF False]
by fastforce
    hence stream-enc: stream-enc (w, I) = x @- same (any, replicate n False)
using stream-enc-enc by auto
    from I FLess obtain p p' where m: I ! m = Inl p m < length I I ! m' = Inl p' m' < length I
        by (auto split: sum.splits)
    with I have wf-interp-for-formula (dec-word ?x, stream-dec n {m, m'} ?x)
(FLess m m') unfolding I(1)
    using enc-wf-interp[OF FLess(1)[folded I(2)]] by auto
moreover
from x obtain u1 u u2 u' u3 where x = u1 @ u @ u2 @ u' @ u3
    u ∈ lang n (arbitrary-except n [(m, True)] Σ)
    u' ∈ lang n (arbitrary-except n [(m', True)] Σ)
    unfolding rexp-of.simps lang.simps rexp-of-list.simps if-not-P[OF False]
using concE by fast
    with FLess(1) obtain v v' where v: x = u1 @ [v] @ u2 @ [v'] @ u3
        snd v ! m snd v' ! m' fst v ∈ set Σ fst v' ∈ set Σ
        using arbitrary-except[of u n m True Σ] arbitrary-except[of u' n m' True Σ] by fastforce
    hence u: length u1 < length x and u': Suc (length u1 + length u2) < length x (is ?u' < -) by auto
    { from v have snd (x ! length u1) ! m by auto
        moreover
        from m I have p < length x snd (x ! p) ! m by (auto dest: enc-Inl simp del: enc.simps)
        moreover
        from m I have ex1: ∃!p. snd (stream-enc (w, I) !! p) ! m by (intro stream-enc-unique) auto

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```

ultimately have  $p = \text{length } u1$  unfolding stream-enc using  $u I(3)$  by
auto
}
{ from  $v$  have  $\text{snd } (x ! ?u') ! m'$  by (auto simp: nth-append)
  moreover
    from  $m I$  have  $p' < \text{length } x$   $\text{snd } (x ! p') ! m'$  by (auto dest: enc-Inl simp
del: enc.simps)
    moreover
      from  $m I$  have  $\text{ex1: } \exists !p. \text{snd } (\text{stream-enc } (w, I) !! p) ! m'$  unfolding  $I(1)$ 
by (intro stream-enc-unique) auto
      ultimately have  $p' = ?u'$  unfolding stream-enc using  $u' I(3)$  by auto
(metis shift-snth-less)
    } note * = this  $\langle p = \text{length } u1 \rangle$ 
    with  $m I$  have (dec-word  $?x$ , stream-dec  $n \{m, m'\} ?x$ )  $\models F\text{Less } m m'$ 
    using stream-enc-enc[ $OF - I(1)$ , symmetric]
    by (auto dest: stream-dec-not-Inr stream-dec-enc-Inl split: sum.splits simp
del: stream-enc.simps)
    moreover from  $m I(2)$ 
      have stream-enc-dec: stream-enc (dec-word (stream-enc (w, I)), stream-dec
 $n \{m, m'\}$  (stream-enc (w, I))) = stream-enc (w, I)
      by (intro stream-enc-dec)
        (auto simp: stream-map2-alt sdrop-snth shift-snth intro: stream-enc-unique,
         auto simp: stream-map2-szip stream.set-natural')
      moreover from  $I$  have wf-word  $n x$  unfolding wf-word by (auto elim:
enc-set- $\sigma$  simp del: enc.simps)
      ultimately show  $x \in \text{lang}_{WS1S} n$  ( $F\text{Less } m m'$ ) unfolding langWS1S-def
using  $m I(1,3)$ 
      by (auto simp del: enc.simps stream-enc.simps intro!: exI[of - enc (dec-word
?x, stream-dec  $n \{m, m'\} ?x$ )],
fastforce simp del: enc.simps stream-enc.simps,
auto simp del: stream-enc.simps simp: stream-enc[symmetric] I(2))
    qed
qed (simp add: langWS1S-def del: o-apply)
next
case (FIn  $m M$ )
show ?case
proof (intro equalityI subsetI)
fix  $x$  assume  $x \in \text{lang}_{WS1S} n$  (FIn  $m M$ )
then obtain  $w I$  where
*:  $x \in \text{enc } (w, I)$  wf-interp-for-formula  $(w, I)$  (FIn  $m M$ ) length  $I = n$   $(w,$ 
 $I) \models F\text{In } m M$ 
unfolding langWS1S-def by blast
hence  $x\text{-alt: } x = \text{map } (\text{split } (\text{enc-atom } I)) (\text{zip } [0 .. < \text{length } x] (\text{stake } (\text{length } x) (w @- same any)))$ 
by (intro encD) auto
from FIn(1)*(2,4) obtain  $p P$  where  $p: I ! m = \text{Inl } p I ! M = \text{Inr } P p \in P$ 
by (auto simp: all-set-conv-all-nth enc-def split: sum.splits)
with FIn(1)*(1,2,3) have p-less:  $p < \text{length } x \forall p \in P. p < \text{length } x$ 
by (auto simp del: stream-enc.simps intro: trans-less-add1[ $OF \text{less-length-cutSame-Inl}$ ])

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trans-less-add1[OF bspec[OF less-length-cutSame-Inr]]]
hence enc-atom:  $x ! p = \text{enc-atom } I p ((w @- same any) !! p)$  (is  $- = \text{enc-atom} - - ?p$ )
 $\forall p \in P. x ! p = \text{enc-atom } I p ((w @- same any) !! p)$  (is Ball -  $(\lambda p. - = \text{enc-atom} - - (?P p))$ )
by (subst  $x$ -alt, simp)+
with *(1)  $p$ -less(1) have  $x = \text{take } p x @ [\text{enc-atom } I p ?p] @ \text{drop } (p + 1) x$ 
using id-take-nth-drop[of  $p x$ ] by auto
moreover
have [ $\text{enc-atom } I p ?p \in \text{lang } n$  (arbitrary-except  $n$  [ $(\min m M, \text{True}), (\max m M, \text{True})$ ])  $\Sigma$ ]
proof (cases  $m < M$ )
case  $\text{True}$  with *(2,3)  $FIn(1) p$  show ?thesis
by (intro enc-atom-lang-arbitrary-except-True2) (auto simp: min-absorb1 max-absorb2 shift-snth)
next
case  $\text{False}$  with *(2,3)  $FIn(1) p$  show ?thesis
by (intro enc-atom-lang-arbitrary-except-True2) (auto simp: min-absorb2 max-absorb1 shift-snth)
qed
moreover from *(2,3) have  $\text{take } p x \in \text{lang } n$  (rexp.Not Zero)
by (subst  $x$ -alt) (auto simp: in-set-zip shift-snth intro!: enc-atom- $\sigma$  dest!: in-set-takeD)
moreover from *(2,3) have  $\text{drop } (\text{Suc } p) x \in \text{lang } n$  (rexp.Not Zero)
by (subst  $x$ -alt) (auto simp: in-set-zip shift-snth intro!: enc-atom- $\sigma$  dest!: in-set-dropD)
ultimately show  $x \in ?L n (FIn m M)$  using *(1,2,3)
unfolding rexp-of.simps lang.simps(5,8) rexp-of-list.simps Int-Diff lang-ENC[OF FIn]
by (auto elim: ssubst simp del: o-apply append.simps lang.simps enc.simps)
next
fix  $x$  let  $?x = x @- same$  (any, replicate  $n$  False)
assume  $x: x \in ?L n (FIn m M)$ 
with FIn obtain  $w I$  where
 $I: x \in \text{enc } (w, I)$  length  $I = n$  wf-interp-for-formula  $(w, I)$  ( $FIn m M$ )
unfolding rexp-of.simps lang.simps lang-ENC[OF FIn] by fastforce
hence stream-enc: stream-enc  $(w, I) = ?x$  using stream-enc-enc by auto
from  $I$  FIn obtain  $p P$  where  $m: I ! m = \text{Inl } p m < \text{length } I$   $I ! M = \text{Inr } P$ 
 $M < \text{length } I$ 
by (auto split: sum.splits)
with  $I$  have wf-interp-for-formula (dec-word  $?x$ , stream-dec  $n \{m\} ?x$ ) ( $FIn m M$ ) unfolding  $I(1)$ 
using enc-wf-interp[OF FIn(1)[folded I(2)]] by auto
moreover
from  $x$  obtain  $u1 u u2$  where  $x = u1 @ u @ u2$ 
 $u \in \text{lang } n$  (arbitrary-except  $n$  [ $(\min m M, \text{True}), (\max m M, \text{True})$ ])  $\Sigma$ 
unfolding rexp-of.simps lang.simps rexp-of-list.simps using concE by fast
with FIn(1) obtain  $v$  where  $v: x = u1 @ [v] @ u2$  and  $\text{snd } v ! \min m M$ 
 $\text{snd } v ! \max m M \text{ fst } v \in \text{set } \Sigma$ 

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using arbitrary-except2[of u n min m M True max m M True Σ] by fastforce
hence v': snd v ! m snd v ! M
  by (induct m < M) (auto simp: min-absorb1 min-absorb2 max-absorb1
max-absorb2)
  from v have u: length u1 < length x by auto
  { from v v' have snd (x ! length u1) ! m by auto
    moreover
    from m I have p < length x snd (x ! p) ! m by (auto dest: enc-Inl simp del:
enc.simps)
    moreover
    from m I have ex1: ∃!p. snd (stream-enc (w, I) !! p) ! m by (intro
stream-enc-unique) auto
    ultimately have p = length u1 unfolding stream-enc using u I(3) by auto
    } note * = this
    from v v' have v = x ! length u1 by simp
    with v'(2) m(3,4) u I(1,3) have length u1 ∈ P by (auto dest!: enc-Inr simp
del: enc.simps)
    with * m I have (dec-word ?x, stream-dec n {m} ?x) ⊨ FIn m M
    using stream-enc-enc[OF - I(1), symmetric]
    by (auto simp del: stream-enc.simps dest: stream-dec-not-Inr stream-dec-not-Inl
stream-dec-enc-Inl stream-dec-enc-Inr split: sum.splits)
    moreover from m I(2)
    have stream-enc-dec: stream-enc (dec-word (stream-enc (w, I)), stream-dec n
{m} (stream-enc (w, I))) = stream-enc (w, I)
    by (intro stream-enc-dec)
    (auto simp: stream-map2-alt sdrop-snth shift-snth intro: stream-enc-unique,
auto simp: stream-map2-szip stream.set-natural')
    moreover from I have wf-word n x unfolding wf-word by (auto elim:
enc-set-σ simp del: enc.simps)
    ultimately show x ∈ langWS1S n (FIn m M) unfolding langWS1S-def using
m I(1,3)
    by (auto simp del: enc.simps stream-enc.simps intro!: exI[of - enc (dec-word
?x, stream-dec n {m} ?x)],
      fastforce simp del: enc.simps stream-enc.simps,
      auto simp del: stream-enc.simps simp: stream-enc[symmetric] I(2))
qed
next
case (For φ1 φ2)
from For(3) have IH1: langWS1S n φ1 = lang n (rexp-of n φ1)
  by (intro For(1)) auto
from For(3) have IH2: langWS1S n φ2 = lang n (rexp-of n φ2)
  by (intro For(2)) auto
show ?case
proof (intro equalityI subsetI)
  fix x assume x ∈ langWS1S n (For φ1 φ2) thus x ∈ lang n (rexp-of n (For
φ1 φ2))
    using langWS1S-For[OF For(3)] unfolding lang-ENC[OF For(3)] rexp-of.simps
lang.simps IH1 IH2 by blast
next

```

```

fix x assume x ∈ lang n (rexp-of n (FOr φ1 φ2))
then obtain w I where or: x ∈ langWS1S n φ1 ∨ x ∈ langWS1S n φ2 and
I: x ∈ enc (w, I) length I = n
wf-interp-for-formula (w, I) (FOr φ1 φ2)
unfolding lang-ENC[OF FOr(3)] rexp-of.simps lang.simps IH1 IH2 Int-Diff
by auto
have (w, I) ⊨ φ1 ∨ (w, I) ⊨ φ2
proof (intro mp[OF disj-mono[OF impI impI] or])
assume x ∈ langWS1S n φ1
with I FOr(3) show (w, I) ⊨ φ1
unfolding langWS1S-def I(1) wf-interp-for-formula-FOr
by (auto dest!: enc-welldef[of x w I - - φ1] simp del: enc.simps)
next
assume x ∈ langWS1S n φ2
with I FOr(3) show (w, I) ⊨ φ2
unfolding langWS1S-def I(1) wf-interp-for-formula-FOr
by (auto dest!: enc-welldef[of x w I - - φ2] simp del: enc.simps)
qed
with I show x ∈ langWS1S n (FOr φ1 φ2) unfolding langWS1S-def by auto
qed
next
case (FAnd φ1 φ2)
from FAnd(3) have IH1: langWS1S n φ1 = lang n (rexp-of n φ1)
by (intro FAnd(1)) auto
from FAnd(3) have IH2: langWS1S n φ2 = lang n (rexp-of n φ2)
by (intro FAnd(2)) auto
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langWS1S n (FAnd φ1 φ2) thus x ∈ lang n (rexp-of n (FAnd
φ1 φ2))
using langWS1S-FAnd[OF FAnd(3)]
unfolding lang-ENC[OF FAnd(3)] rexp-of.simps rexp-of-list.simps lang.simps
IH1 IH2 Int-assoc
by blast
next
fix x assume x ∈ lang n (rexp-of n (FAnd φ1 φ2))
then obtain w I where and: x ∈ langWS1S n φ1 ∧ x ∈ langWS1S n φ2 and
I: x ∈ enc (w, I) length I = n
wf-interp-for-formula (w, I) (FAnd φ1 φ2)
unfolding lang-ENC[OF FAnd(3)] rexp-of.simps rexp-of-list.simps lang.simps
IH1 IH2 Int-Diff by auto
have (w, I) ⊨ φ1 ∧ (w, I) ⊨ φ2
proof (intro mp[OF conj-mono[OF impI impI] and])
assume x ∈ langWS1S n φ1
with I FAnd(3) show (w, I) ⊨ φ1
unfolding langWS1S-def I(1) wf-interp-for-formula-FAnd
by (auto dest!: enc-welldef[of x w I - - φ1] simp del: enc.simps)
next
assume x ∈ langWS1S n φ2

```

```

with I FAnd(3) show (w, I) ⊨ φ₂
  unfolding langWS1S-def I(1) wf-interp-for-formula-FAnd
  by (auto dest!: enc-welldef[of x w I - - φ₂] simp del: enc.simps)
qed
with I show x ∈ langWS1S n (FAnd φ₁ φ₂) unfolding langWS1S-def by
auto
qed
next
case (FNot φ)
hence IH: ?L n φ = langWS1S n φ by simp
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langWS1S n (FNot φ)
then obtain w I where
*: x ∈ enc (w, I) wf-interp-for-formula (w, I) φ length I = n and unsat: ⊥
(w, I) ⊨ φ
unfolding langWS1S-def by auto
{ assume x ∈ ?L n φ
hence (w, I) ⊨ φ using enc-welldef[of x w I - - φ, OF *(1) - - - *(2)]
FNot(2)
unfolding *(3) langWS1S-def IH by auto
}
with unsat have x ∉ ?L n φ by blast
with * show x ∈ ?L n (FNot φ) unfolding rexp-of.simps lang.simps using
lang-ENC[OF FNot(2)]
by (auto simp del: enc.simps simp: comp-def intro: enc-set-σ)
next
fix x assume x ∈ ?L n (FNot φ)
with IH have x ∈ lang n (ENC n (FNot φ)) and x: x ∉ langWS1S n φ by
(auto simp del: o-apply)
then obtain w I where *: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FNot
φ) length I = n
unfolding lang-ENC[OF FNot(2)] by blast
{ assume ⊥ (w, I) ⊨ FNot φ
with * have x ∈ langWS1S n φ unfolding langWS1S-def by auto
}
with x * show x ∈ langWS1S n (FNot φ) unfolding langWS1S-def by blast
qed
next
case (FExists φ)
have σ: (any, replicate n False) ∈ (set o σ Σ) n by (auto simp: σ-def set-n-lists
image-iff)
from FExists(2) have wf: wf n (Pr (rexp-of (Suc n) φ)) by (fastforce intro:
wf-rexp-of)
note lang-quot = lang-samequot-exec[OF wf σ]
show ?case
proof (intro equalityI subsetI)
fix x assume x ∈ langWS1S n (FExists φ)
then obtain w I p where

```

```

*:  $x \in \text{enc}(w, I)$  wf-interp-for-formula  $(w, I)$  ( $\text{FExists } \varphi$ ) length  $I = n$   $(w, \text{Inl } p \# I) \models \varphi$ 
  unfolding  $\text{lang}_{WS1S}\text{-def}$  by auto
  with  $\text{FExists}(2)$  have  $\text{enc}(w, \text{Inl } p \# I) \subseteq ?L(\text{Suc } n) \varphi$ 
    by (subst  $\text{FExists}(1)$ [of  $\text{Suc } n$ , symmetric])
      (fastforce simp del:  $\text{enc.simps}$  simp:  $\text{lang}_{WS1S}\text{-def}$  nth-Cons' intro!:  $\text{exI}$ [of -  $\text{enc}(w, \text{Inl } p \# I)$ ])+
    thus  $x \in ?L n$  ( $\text{FExists } \varphi$ ) using *(1,2,3)
      by (auto simp: lang-quot simp del: o-apply  $\text{enc.simps}$  elim: set-mp[ $\text{OF SAMEQUOT-mono}$ [ $\text{OF image-mono}$ ]])
  next
    fix  $x$  assume  $x \in ?L n$  ( $\text{FExists } \varphi$ )
    then obtain  $x' m$  where  $x' \in ?L(\text{Suc } n) \varphi$  and
       $x: x = \text{fin-cutSame}(\text{any}, \text{replicate } n \text{ False}) (\text{map } \pi x') @ \text{replicate } m (\text{any}, \text{replicate } n \text{ False})$ 
        by (auto simp: lang-quot SAMEQUOT-def simp del: o-apply  $\text{enc.simps}$ )
    with  $\text{FExists}(2)$  have  $x' \in \text{lang}_{WS1S}(\text{Suc } n) \varphi$ 
      by (intro subsetD[ $\text{OF equalityD2}$ [ $\text{OF FExists}(1)$ ], of  $\text{Suc } n x'$ )
        (auto split: split-if-asm sum.splits)
    then obtain  $w I'$  where
       $*: x' \in \text{enc}(w, I')$  wf-interp-for-formula  $(w, I')$   $\varphi$  length  $I' = \text{Suc } n$   $(w, I')$ 
       $\models \varphi$ 
      unfolding  $\text{lang}_{WS1S}\text{-def}$  by blast
      moreover then obtain  $I_0 I$  where  $I' = I_0 \# I$  by (cases  $I'$ ) auto
      moreover with  $\text{FExists}(2) *(\text{2})$  obtain  $p$  where  $I_0 = \text{Inl } p$ 
        by (auto simp: nth-Cons' split: sum.splits split-if-asm)
      ultimately have  $x \in \text{enc}(w, I)$  wf-interp-for-formula  $(w, I)$  ( $\text{FExists } \varphi$ )
      length  $I = n$ 
       $(w, I) \models \text{FExists } \varphi$  using  $\text{FExists}(2)$  fin-cutSame-tl[ $\text{OF ex-Loop-stream-enc}$ , of  $\text{Inl } p \# I w$ ]
        unfolding  $x$  by (auto simp add:  $\pi\text{-def}$  nth-Cons' split: split-if-asm)
        thus  $x \in \text{lang}_{WS1S} n$  ( $\text{FExists } \varphi$ ) unfolding  $\text{lang}_{WS1S}\text{-def}$  by (auto intro!:  $\text{exI}$ [of -  $I$ ])
      qed
    next
      case (FEXISTS  $\varphi$ )
      have  $\sigma: (\text{any}, \text{replicate } n \text{ False}) \in (\text{set } o \sigma \Sigma) n$  by (auto simp:  $\sigma\text{-def}$  set-n-lists image-iff)
      from FEXISTS(2) have wf: wf  $n$  ( $\text{Pr}(\text{rexp-of}(\text{Suc } n) \varphi)$ ) by (fastforce intro: wf-rexp-of)
      note lang-quot = lang-samequot-exec[ $\text{OF wf } \sigma$ ]
      show ?case
        proof (intro equalityI subsetI)
          fix  $x$  assume  $x \in \text{lang}_{WS1S} n$  (FEXISTS  $\varphi$ )
          then obtain  $w I P$  where
             $*: x \in \text{enc}(w, I)$  wf-interp-for-formula  $(w, I)$  (FEXISTS  $\varphi$ ) length  $I = n$ 
            finite  $P$   $(w, \text{Inr } P \# I) \models \varphi$ 
            unfolding  $\text{lang}_{WS1S}\text{-def}$  by auto
            with FEXISTS(2) have  $\text{enc}(w, \text{Inr } P \# I) \subseteq ?L(\text{Suc } n) \varphi$ 

```

```

by (subst FEXISTS(1)[of Suc n, symmetric])
  (fastforce simp del: enc.simps simp: langWS1S-def nth-Cons' intro!: exI[of
- enc (w, Inr P # I)])+
  thus x ∈ ?L n (FEXISTS φ) using *(1,2,3,4)
    by (auto simp: lang-quot simp del: o-apply enc.simps elim: set-mp[OF
SAMEQUOT-mono[OF image-mono]])
  next
  fix x assume x ∈ ?L n (FEXISTS φ)
  then obtain x' m where x' ∈ ?L (Suc n) φ and
    x: x = fin-cutSame (any, replicate n False) (map π x') @ replicate m (any,
replicate n False)
    by (auto simp: lang-quot SAMEQUOT-def simp del: o-apply enc.simps)
  with FEXISTS(2) have x' ∈ langWS1S (Suc n) φ
    by (intro subsetD[OF equalityD2[OF FEXISTS(1)], of Suc n x'])
      (auto split: split-if-asm sum.splits)
  then obtain w I' where
    *: x' ∈ enc (w, I') wf-interp-for-formula (w, I') φ length I' = Suc n (w, I')
  ⊨ φ
    unfolding langWS1S-def by blast
  moreover then obtain I₀ I where I' = I₀ # I by (cases I') auto
  moreover with FEXISTS(2) *(2) obtain P where I₀ = Inr P finite P
    by (auto simp: nth-Cons' split: sum.splits split-if-asm)
  ultimately have x ∈ enc (w, I) wf-interp-for-formula (w, I) (FEXISTS φ)
length I = n
  (w, I) ⊨ FEXISTS φ using FEXISTS(2) fin-cutSame-tl[OF ex-Loop-stream-enc,
of Inr P # I]
    unfolding x by (auto simp: nth-Cons' π-def split: split-if-asm)
    thus x ∈ langWS1S n (FEXISTS φ) unfolding langWS1S-def by (auto intro!:
exI[of - I])
    qed
  qed

lemma wf-rexp-of-alt: wf-formula n φ ⇒ wf n (rexp-of-alt n φ)
  by (induct φ arbitrary: n)
    (auto simp: wf-rexp-ENC intro: wf-rexp-arbitrary-except intro!: wf-samequot-exec,
     auto simp: σ-def set-n-lists image-iff)

lemma wf-rexp-of': wf-formula n φ ⇒ wf n (rexp-of' n φ)
  unfolding rexp-of'-def by (auto intro: wf-rexp-of-alt wf-rexp-ENC)

lemma ENC-FNot: ENC n (FNot φ) = ENC n φ
  unfolding ENC-def by auto

lemma ENC-FAnd:
  wf-formula n (FAnd φ ψ) ⇒ lang n (ENC n (FAnd φ ψ)) ⊆ lang n (ENC n φ)
  ∩ lang n (ENC n ψ)
  proof
    fix x assume wf: wf-formula n (FAnd φ ψ) and x: x ∈ lang n (ENC n (FAnd
φ ψ))

```

```

hence wf1: wf-formula n φ and wf2: wf-formula n ψ by auto
from x obtain w I where I: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FAnd
φ ψ) length I = n
using lang-ENC[OF wf] by auto
hence wf-interp-for-formula (w, I) φ wf-interp-for-formula (w, I) ψ
using wf-interp-for-formula-FAnd by auto
thus x ∈ lang n (ENC n φ) ∩ lang n (ENC n ψ)
unfolding lang-ENC[OF wf1] lang-ENC[OF wf2] using I by blast
qed

```

lemma ENC-FOr:

```

wf-formula n (FOr φ ψ)  $\implies$  lang n (ENC n (FOr φ ψ)) ⊆ lang n (ENC n φ)
 $\cap$  lang n (ENC n ψ)

```

proof

```

fix x assume wf: wf-formula n (FOr φ ψ) and x: x ∈ lang n (ENC n (FOr φ
ψ))
hence wf1: wf-formula n φ and wf2: wf-formula n ψ by auto
from x obtain w I where I: x ∈ enc (w, I) wf-interp-for-formula (w, I) (FOr
φ ψ) length I = n
using lang-ENC[OF wf] by auto
hence wf-interp-for-formula (w, I) φ wf-interp-for-formula (w, I) ψ
using wf-interp-for-formula-FOr by auto
thus x ∈ lang n (ENC n φ) ∩ lang n (ENC n ψ)
unfolding lang-ENC[OF wf1] lang-ENC[OF wf2] using I by blast
qed

```

lemma ENC-FExists:

```

wf-formula n (FExists φ)  $\implies$  lang n (ENC n (FExists φ)) =
SAMEQUOT (any, replicate n False) (map π ` lang (Suc n) (ENC (Suc n) φ))
(is -  $\implies$  ?L = ?R)

```

proof (intro equalityI subsetI)

```

fix x assume wf: wf-formula n (FExists φ) and x: x ∈ ?L
hence wf1: wf-formula (Suc n) φ by auto
from x obtain w I where I: x ∈ enc (w, I) wf-interp-for-formula (w, I)
(FExists φ) length I = n
using lang-ENC[OF wf] by auto
from I(2) obtain p where wf-interp-for-formula (w, Inl p # I) φ
using wf-interp-for-formula-FExists[OF wf[folded I(3)]] by blast
with I(3) show x ∈ ?R
unfolding lang-ENC[OF wf1] using I(1) tl-enc[of Inl p I, symmetric]
by (simp del: enc.simps)
(fastforce simp del: enc.simps elim!: set-rev-mp[OF - SAMEQUOT-mono[OF
image-mono]]
intro: exI[of - enc (w, Inl p # I)])

```

next

```

fix x assume wf: wf-formula n (FExists φ) and x: x ∈ ?R
hence wf1: wf-formula (Suc n) φ and 0 ∈ FOV φ by auto
from x obtain w I where I: x ∈ SAMEQUOT (any, replicate n False) (map
π ` enc (w, I))

```

$wf\text{-}interp\text{-}for\text{-}formula (w, I) \varphi$ length $I = Suc n$
using lang-ENC[$OF wf1$] unfolding SAMEQUOT-def **by** fast
with $\langle 0 \in FOV \varphi \rangle$ **obtain** $p I'$ **where** $I' : I = Inl p \# I'$ **by** (cases I) (fastforce split: sum.splits)+
with I **have** $wtI : x \in enc (w, I')$ length $I' = n$ **using** tl-enc[of Inl $p I' w$] **by** auto
have $wf\text{-}interp\text{-}for\text{-}formula (w, I') (FExists \varphi)$
using wf-interp-for-formula-FExists[$OF wf [folded wtI(2)]$]
 wf-interp-for-formula-any-Inl[$OF I(2)[unfolded I']$] ..
with wtI **show** $x \in ?L$ **unfolding** lang-ENC[$OF wf$] **by** blast
qed

lemma ENC-FEXISTS:
 $wf\text{-}formula n (FEXISTS \varphi) \implies lang n (ENC n (FEXISTS \varphi)) =$
 SAMEQUOT (any, replicate n False) (map π ‘ lang ($Suc n$) ($ENC (Suc n) \varphi$))
(is - $\implies ?L = ?R$
proof (intro equalityI subsetI)
fix x **assume** $wf : wf\text{-}formula n (FEXISTS \varphi)$ **and** $x : x \in ?L$
hence $wf1 : wf\text{-}formula (Suc n) \varphi$ **by** auto
from x **obtain** $w I$ **where** $I : x \in enc (w, I)$ $wf\text{-}interp\text{-}formula (w, I)$
 $(FEXISTS \varphi)$ length $I = n$
using lang-ENC[$OF wf$] **by** auto
from $I(2)$ **obtain** P **where** $wf\text{-}interp\text{-}formula (w, Inr P \# I) \varphi$
using wf-interp-for-formula-FEXISTS[$OF wf [folded I(3)]$] **by** blast
with $I(3)$ **show** $x \in ?R$
unfolding lang-ENC[$OF wf1$] **using** $I(1)$ tl-enc[of Inr $P I$, symmetric]
by (simp del: enc.simps)
 (fastforce simp del: enc.simps elim!: set-rev-mp[OF - SAMEQUOT-mono[OF image-mono]]
 intro: exI[of - enc (w, Inr $P \# I$)]))
next
fix x **assume** $wf : wf\text{-}formula n (FEXISTS \varphi)$ **and** $x : x \in ?R$
hence $wf1 : wf\text{-}formula (Suc n) \varphi$ **and** $0 \in SOV \varphi$ **by** auto
from x **obtain** $w I$ **where** $I : x \in SAMEQUOT (any, replicate n False)$ (map π ‘ enc (w, I))
 $wf\text{-}interp\text{-}formula (w, I) \varphi$ length $I = Suc n$
using lang-ENC[$OF wf1$] **unfolding** SAMEQUOT-def **by** fast
with $\langle 0 \in SOV \varphi \rangle$ **obtain** $P I'$ **where** $I' : I = Inr P \# I'$ **by** (cases I) (fastforce split: sum.splits)+
with I **have** $wtI : x \in enc (w, I')$ length $I' = n$ **using** tl-enc[of Inr $P I' w$] **by** auto
have $wf\text{-}interp\text{-}formula (w, I') (FEXISTS \varphi)$
using wf-interp-for-formula-FExists[$OF wf [folded wtI(2)]$]
 wf-interp-for-formula-any-Inr[$OF I(2)[unfolded I']$] ..
with wtI **show** $x \in ?L$ **unfolding** lang-ENC[$OF wf$] **by** blast
qed

lemma langWS1S-rexp-of-rexp-of':
 $wf\text{-}formula n \varphi \implies lang n (rexp\text{-}of n \varphi) = lang n (rexp\text{-}of' n \varphi)$

```

unfolding rexp-of'-def proof (induction  $\varphi$  arbitrary:  $n$ )
  case (FNot  $\varphi$ )
    hence wf-formula  $n \varphi$  by simp
    with FNot.IH show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps
ENC-FNot by blast
next
  case (FAnd  $\varphi_1 \varphi_2$ )
    hence wf1: wf-formula n  $\varphi_1$  and wf2: wf-formula n  $\varphi_2$  by force+
    from FAnd.IH(1)[OF wf1] FAnd.IH(2)[OF wf2] show ?case using ENC-FAnd[OF
FAnd.preds]
    unfolding rexp-of.simps rexp-of-alt.simps lang.simps rexp-of-list.simps by blast
next
  case (FOr  $\varphi_1 \varphi_2$ )
    hence wf1: wf-formula n  $\varphi_1$  and wf2: wf-formula n  $\varphi_2$  by force+
    from FOr.IH(1)[OF wf1] FOr.IH(2)[OF wf2] show ?case using ENC-FOr[OF
FOr.preds]
    unfolding rexp-of.simps rexp-of-alt.simps lang.simps by blast
next
  case (FExists  $\varphi$ )
    from FExists(2) have IH: lang  $(n + 1)$  (rexp-of  $(n + 1) \varphi$ ) =
      lang  $(n + 1)$  (Inter (rexp-of-alt  $(n + 1) \varphi$ ) (ENC  $(n + 1) \varphi$ )) by (intro
FExists.IH) auto
    have  $\sigma$ : (any, replicate n False)  $\in$  (set o  $\sigma$   $\Sigma$ )  $n$  by (auto simp: σ-def set-n-lists
image-iff)
    from FExists(2) have wf: wf n (Pr (rexp.Inter (rexp-of-alt  $(n + 1) \varphi$ ) (ENC
 $(n + 1) \varphi$ )))
      wf n (Pr (rexp-of  $(n + 1) \varphi$ )) by (fastforce intro!: wf-rexp-of wf-rexp-of-alt
wf-rexp-ENC)+
      note lang-quot = lang-samequot-exec[OF wf(1) σ] lang-samequot-exec[OF wf(2)
 $\sigma$ ]
      show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps IH lang-quot
Suc-eq-plus1
        ENC-FExists[OF FExists.preds, unfolded Suc-eq-plus1] by (auto simp add:
SAMEQUOT-def)
next
  case (FEXISTS  $\varphi$ )
    from FEXISTS(2) have IH: lang  $(n + 1)$  (rexp-of  $(n + 1) \varphi$ ) =
      lang  $(n + 1)$  (Inter (rexp-of-alt  $(n + 1) \varphi$ ) (ENC  $(n + 1) \varphi$ )) by (intro
FEXISTS.IH) auto
    have  $\sigma$ : (any, replicate n False)  $\in$  (set o  $\sigma$   $\Sigma$ )  $n$  by (auto simp: σ-def set-n-lists
image-iff)
    from FEXISTS(2) have wf: wf n (Pr (rexp.Inter (rexp-of-alt  $(n + 1) \varphi$ ) (ENC
 $(n + 1) \varphi$ )))
      wf n (Pr (rexp-of  $(n + 1) \varphi$ )) by (fastforce intro!: wf-rexp-of wf-rexp-of-alt
wf-rexp-ENC)+
      note lang-quot = lang-samequot-exec[OF wf(1) σ] lang-samequot-exec[OF wf(2)
 $\sigma$ ]
      show ?case unfolding rexp-of.simps rexp-of-alt.simps lang.simps IH lang-quot
Suc-eq-plus1

```

```

ENC-FEXISTS[OF FEXISTS.prems, unfolded Suc-eq-plus1] by (auto simp
add: SAMEQUOT-def)
qed auto

theorem langWS1S-rexp-of': wf-formula n φ  $\implies$  langWS1S n φ = lang n (rexp-of'
n φ)
unfolding langWS1S-rexp-of-rexp-of'[symmetric] by (rule langWS1S-rexp-of)
end

end

```

11 Normalization of WS1S Formulas

```

fun nNot where
  nNot (FNot φ) = φ
| nNot (FAnd φ1 φ2) = FOr (nNot φ1) (nNot φ2)
| nNot (FOr φ1 φ2) = FAnd (nNot φ1) (nNot φ2)
| nNot φ = FNot φ

primrec norm where
  norm (FQ a m) = FQ a m
| norm (FLess m n) = FLess m n
| norm (FIn m M) = FIn m M
| norm (FOr φ ψ) = FOr (norm φ) (norm ψ)
| norm (FAnd φ ψ) = FAnd (norm φ) (norm ψ)
| norm (FNot φ) = nNot (norm φ)
| norm (FExists φ) = FExists (norm φ)
| norm (FEXISTS φ) = FEXISTS (norm φ)

context formula
begin

lemma satisfies-nNot[simp]: (w, I) ⊨ nNot φ  $\longleftrightarrow$  (w, I) ⊨ FNot φ
by (induct φ rule: nNot.induct) auto

lemma FOV-nNot[simp]: FOV (nNot φ) = FOV (FNot φ)
by (induct φ rule: nNot.induct) auto

lemma SOV-nNot[simp]: SOV (nNot φ) = SOV (FNot φ)
by (induct φ rule: nNot.induct) auto

lemma pre-wf-formula-nNot[simp]: pre-wf-formula n (nNot φ) = pre-wf-formula
n (FNot φ)
by (induct φ rule: nNot.induct) auto

lemma FOV-norm[simp]: FOV (norm φ) = FOV φ

```

```

by (induct  $\varphi$ ) auto

lemma  $SOV\text{-norm}[simp]$ :  $SOV\ (\text{norm}\ \varphi) = SOV\ \varphi$ 
  by (induct  $\varphi$ ) auto

lemma  $\text{pre-wf-formula-norm}[simp]$ :  $\text{pre-wf-formula}\ n\ (\text{norm}\ \varphi) = \text{pre-wf-formula}$ 
   $n\ \varphi$ 
  by (induct  $\varphi$  arbitrary:  $n$ ) auto

lemma  $\text{satisfies-norm}[simp]$ :  $wI \models \text{norm}\ \varphi \longleftrightarrow wI \models \varphi$ 
  by (induct  $\varphi$  arbitrary:  $wI$ ) auto

lemma  $\text{lang}_{WS1S}\text{-norm}[simp]$ :  $\text{lang}_{WS1S}\ n\ (\text{norm}\ \varphi) = \text{lang}_{WS1S}\ n\ \varphi$ 
  unfolding  $\text{lang}_{WS1S}\text{-def}$  by auto

end

end

```

12 Deciding Equivalence of WS1S Formulas

```

type-synonym ' $a$   $T = 'a \times \text{bool list}$ 
abbreviation  $\mathfrak{L} \equiv \lambda\Sigma. \text{project.lang}\ (\text{set } o\ (\sigma\ \Sigma))\ \pi$ 

definition  $\text{wf-rexp}$  where [code del]:
   $\text{wf-rexp}\ \Sigma = \text{alphabet.wf}\ (\text{set } o\ \sigma\ \Sigma)$ 

interpretation  $\text{project}\ \text{set}\ o\ \sigma\ \Sigma\ \pi$ 
  where  $\text{alphabet.wf}\ (\text{set } o\ \sigma\ \Sigma) = \text{wf-rexp}\ \Sigma$ 
  by (unfold-locales) (auto simp:  $\sigma\text{-def}$   $\pi\text{-def}$   $\text{wf-rexp-def}$ )

definition  $\text{norm-lderiv}$  where [code del]:  $\text{norm-lderiv} \equiv \lambda\Sigma. \text{embed.lderiv}\ (\varepsilon\ \Sigma)$ 
definition  $\text{norm-rderiv}$  where [code del]:  $\text{norm-rderiv} \equiv \lambda\Sigma. \text{embed.rderiv}\ (\varepsilon\ \Sigma)$ 
definition  $\text{norm-rderiv-and-add}$  where [code del]:  $\text{norm-rderiv-and-add} \equiv \lambda\Sigma. \text{embed.rderiv-and-add}\ (\varepsilon\ \Sigma)$ 
definition  $\text{quot}$  where [code del]:  $\text{quot} \equiv \lambda\Sigma. \text{embed.samequot-exec}\ (\varepsilon\ \Sigma)$ 

interpretation  $\text{embed}\ \text{set}\circ(\sigma\ (\Sigma :: 'a :: \text{linorder list}))\ \pi\ \varepsilon\ \Sigma$ 
  where  $\text{embed.lderiv}\ (\varepsilon\ \Sigma) = \text{norm-lderiv}\ \Sigma$ 
  and  $\text{embed.rderiv}\ (\varepsilon\ \Sigma) = \text{norm-rderiv}\ \Sigma$ 
  and  $\text{embed.rderiv-and-add}\ (\varepsilon\ \Sigma) = \text{norm-rderiv-and-add}\ \Sigma$ 
  and  $\text{embed.samequot-exec}\ (\varepsilon\ \Sigma) = \text{quot}\ \Sigma$ 
  by (unfold-locales) (auto simp:  $\text{norm-lderiv-def}$   $\text{norm-rderiv-def}$   $\text{norm-rderiv-and-add-def}$ 
     $\text{quot-def}$   $\sigma\text{-def}$   $\pi\text{-def}$   $\varepsilon\text{-def}$ )

definition  $\text{norm-step}'$  where [code del]:
   $\text{norm-step}' \equiv \lambda\Sigma. \text{equivalence-checker.step}'(\sigma\ \Sigma)\ (\varepsilon\ \Sigma)$  (Smart Constructors Normalization.norm)

```

```

:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-closure' where [code del]:
  norm-closure' ≡ λΣ. equivalence-checker.closure' (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-check-eqv' where [code del]:
  norm-check-eqv' ≡ λΣ. equivalence-checker.check-eqv' (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-step where [code del]:
  norm-step ≡ λΣ. equivalence-checker.step (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-closure where [code del]:
  norm-closure ≡ λΣ. equivalence-checker.closure (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-check-eqv where [code del]:
  norm-check-eqv ≡ λΣ. equivalence-checker.check-eqv (σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm
:: 'a::linorder T rexp ⇒ 'a T rexp)
definition norm-check-eqv-counterexample where [code del]:
  norm-check-eqv-counterexample ≡ λΣ. equivalence-checker.check-eqv-counterexample
(σ Σ) (ε Σ) (Smart-Constructors-Normalization.norm :: 'a::linorder T rexp ⇒ 'a
T rexp)

lemmas norm-defs = wf-rexp-def
norm-check-eqv-def norm-closure-def norm-step-def norm-check-eqv-counterexample-def
norm-check-eqv'-def norm-closure'-def norm-step'-def

interpretation norm: equivalence-checker σ Σ ε Σ Smart-Constructors-Normalization.norm
Σ Σ
  where norm.check-eqv' = norm-check-eqv' Σ
  and norm.check-eqv = norm-check-eqv Σ
  and norm.check-eqv-counterexample = norm-check-eqv-counterexample Σ
  and norm.closure' = norm-closure' Σ
  and norm.closure = norm-closure Σ
  and norm.step' = norm-step' Σ
  and norm.step = norm-step Σ
  by unfold-locales (auto simp: norm-defs trans[OF lang-norm[OF iffD2[OF ACI-norm-wf]
ACI-norm-lang]])

```

```

abbreviation ext Σ ≡ None # map Some (Σ :: 'a :: linorder list)

definition any where [code del]:
  any ≡ λΣ. formula.any (ext Σ)
definition pre-wf-formula where [code del]:
  pre-wf-formula ≡ λΣ. formula.pre-wf-formula (ext Σ)
definition wf-formula where [code del]:
  wf-formula ≡ λΣ. formula.wf.formula (ext Σ)
definition valid-ENC where [code del]: valid-ENC ≡ λΣ. formula.valid-ENC (ext
Σ)
definition ENC where [code del]: ENC ≡ λΣ. formula.ENC (ext Σ)

```

```

definition rexp-of where [code del]: rexp-of ≡ λΣ. formula.rexp-of (ext Σ)
definition rexp-of-alt where [code del]: rexp-of-alt ≡ λΣ. formula.rexp-of-alt (ext Σ)
definition rexp-of' where [code del]: rexp-of' ≡ λΣ. formula.rexp-of' (ext Σ)

lemmas formula-defs = pre-wf-formula-def wf-formula-def any-def
rexp-of-def rexp-of'-def rexp-of-alt-def ENC-def valid-ENC-def FOV-def SOV-def

interpretation Φ: formula ext (Σ :: 'a :: linorder list)
  where alphabet.wf (set o σ Σ) = wf-rexp Σ
    and embed.rderiv (ε Σ) = norm-rderiv Σ
    and embed.rderiv-and-add (ε Σ) = norm-rderiv-and-add Σ
    and embed.samequot-exec (ε Σ) = quot Σ
    and Φ.any = any Σ
    and Φ.pre-wf-formula = pre-wf-formula Σ
    and Φ.wf-formula = wf-formula Σ
    and Φ.rexp-of = rexp-of Σ
    and Φ.rexp-of-alt = rexp-of-alt Σ
    and Φ.rexp-of' = rexp-of' Σ
    and Φ.valid-ENC = valid-ENC Σ
    and Φ.ENC = ENC Σ
  by unfold-locales
    (auto simp: σ-def π-def wf-rexp-def norm-rderiv-def norm-rderiv-and-add-def
     quot-def formula-defs)

definition check-eqv where
check-eqv Σ n φ ψ ↔ wf-formula Σ n (For φ ψ) ∧
  norm-check-eqv' (ext Σ) n (rexp-of Σ n (norm φ)) (rexp-of Σ n (norm ψ))

definition check-eqv-counterexample where
check-eqv-counterexample Σ n φ ψ =
  norm-check-eqv-counterexample (ext Σ) n (rexp-of Σ n (norm φ)) (rexp-of Σ n (norm ψ))

definition check-eqv' where
check-eqv' Σ n φ ψ ↔ wf-formula Σ n (For φ ψ) ∧
  norm-check-eqv' (ext Σ) n (rexp-of' Σ n (norm φ)) (rexp-of' Σ n (norm ψ))

lemmas langWS1S-rexp-of-norm = trans[OF sym[OF Φ.langWS1S-norm] Φ.langWS1S-rexp-of]

lemma soundness: check-eqv Σ n φ ψ ==> Φ.langWS1S Σ n φ = Φ.langWS1S Σ
n ψ
  by (rule box-equals[OF norm.soundness']
    sym[OF trans[OF langWS1S-rexp-of-norm]] sym[OF trans[OF langWS1S-rexp-of-norm]]])
  (auto simp: check-eqv-def split: sum.splits option.splits)

lemmas langWS1S-rexp-of'-norm = trans[OF sym[OF Φ.langWS1S-norm] Φ.langWS1S-rexp-of']

```

```

lemma soundness': check-eqv'  $\Sigma n \varphi \psi \implies \Phi.lang_{WS1S} \Sigma n \varphi = \Phi.lang_{WS1S} \Sigma n \psi$ 
by (rule box-equals[OF norm.soundness'
sym[OF trans[OF langWS1S-rexp-of'-norm]] sym[OF trans[OF langWS1S-rexp-of'-norm]]]])
(auto simp: check-eqv'-def split: sum.splits option.splits)

lemma completeness:
assumes  $\Phi.lang_{WS1S} \Sigma n \varphi = \Phi.lang_{WS1S} \Sigma n \psi$  wf-formula  $\Sigma n (For \varphi \psi)$ 
shows check-eqv  $\Sigma n \varphi \psi$ 
using assms(2) unfolding check-eqv-def
by (intro conjI[OF assms(2) norm.completeness',
OF box-equals[OF assms(1) langWS1S-rexp-of-norm langWS1S-rexp-of-norm]])
(auto split: sum.splits option.splits intro!: Φ.wf-rexp-of)

lemma completeness':
assumes  $\Phi.lang_{WS1S} \Sigma n \varphi = \Phi.lang_{WS1S} \Sigma n \psi$  wf-formula  $\Sigma n (For \varphi \psi)$ 
shows check-eqv'  $\Sigma n \varphi \psi$ 
using assms(2) unfolding check-eqv'-def
by (intro conjI[OF assms(2) norm.completeness',
OF box-equals[OF assms(1) langWS1S-rexp-of'-norm langWS1S-rexp-of'-norm]])
(auto split: sum.splits option.splits intro!: Φ.wf-rexp-of')

end

```