

Quotients of Bounded Natural Functors

Basil Fürer



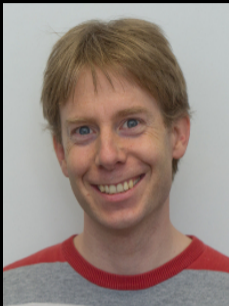
Andreas Lochbihler



Joshua Schneider

Dmitriy Traytel

Dramatis personae



Andreas

Isabelle Expert



Dmitriy

Working Formalizer
and Narrator



Isabelle

Proof Assistant

The characters and incidents portrayed and the names used herein are fictitious and any resemblance to the names, character, or history of any person is coincidental and unintentional.

A formalization problem

datatype *a re* = Atom *a* | Alt (*a re*) (*a re*) | Conc (*a re*) (*a re*) | Star (*a re*)

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datatype *Idl* = Prop *string* | And *Idl Idl* | Neg *Idl* | Match (*Idl re*)

A formalization problem

datatype $a\ re = \text{Atom } a \mid \text{Alt } (a\ re) (a\ re) \mid \text{Conc } (a\ re) (a\ re) \mid \text{Star } (a\ re)$

inductive \sim_{ACI} **where**

$\text{Alt } (\text{Alt } r\ s)\ t \sim_{\text{ACI}} \text{Alt } r\ (\text{Alt } s\ t)$

$\text{Alt } r\ s \sim_{\text{ACI}} \text{Alt } s\ r$

$\text{Alt } r\ r \sim_{\text{ACI}} r$

$$\frac{r \sim_{\text{ACI}} r' \quad s \sim_{\text{ACI}} s'}{\text{Alt } r\ s \sim_{\text{ACI}} \text{Alt } r'\ s'}$$
$$\frac{r \sim_{\text{ACI}} r' \quad s \sim_{\text{ACI}} s'}{\text{Conc } r\ s \sim_{\text{ACI}} \text{Conc } r'\ s'}$$
$$\frac{r \sim_{\text{ACI}} r'}{\text{Star } r \sim_{\text{ACI}} \text{Star } r'}$$
$$r \sim_{\text{ACI}} r$$
$$\frac{r \sim_{\text{ACI}} s}{s \sim_{\text{ACI}} r}$$
$$\frac{r \sim_{\text{ACI}} s \quad s \sim_{\text{ACI}} t}{r \sim_{\text{ACI}} t}$$

datatype $Idl = \text{Prop } string \mid \text{And } Idl\ Idl \mid \text{Neg } Idl \mid \text{Match } (Idl\ re)$

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$\text{Alt } (\text{Alt } r\ s) t \sim_{\text{ACI}} \text{Alt } r (\text{Alt } s\ t)$

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$r \sim_{\text{ACI}} r$

$\frac{r \sim_{\text{ACI}} s}{s \sim_{\text{ACI}} r}$

$\frac{r \sim_{\text{ACI}} s \quad s \sim_{\text{ACI}} t}{r \sim_{\text{ACI}} t}$

quotient_type $a\ re_{\text{ACI}} = a\ re / \sim_{\text{ACI}}$

datatype $Idl = \text{Prop } string \mid \text{And } Idl\ Idl \mid \text{Neg } Idl \mid \text{Match } (Idl\ re_{\text{ACI}})$

A formalization problem

datatype $are = \text{Atom } a \mid \text{Alt } (are) (are) \mid \text{Conc } (are) (are) \mid \text{Star } (are)$

inductive \sim_{ACI} **where**

Unsupported recursive occurrence of type Idl via type constructor re_{ACI} in type expression $Idl re_{ACI}$.

Use the **bnf** command to register re_{ACI} as a bounded natural functor to allow nested (co)recursion through it.

$$r s \sim_{ACI} \text{Alt } s r$$

$$\text{Alt } r r \sim_{ACI} r$$

$$\frac{r' s \sim_{ACI} s'}{s \sim_{ACI} \text{Conc } r' s'}$$

$$\frac{r \sim_{ACI} r'}{\text{Star } r \sim_{ACI} \text{Star } r'}$$

$$\frac{r \sim_{ACI} s}{s \sim_{ACI} r}$$

$$\frac{r \sim_{ACI} s \quad s \sim_{ACI} t}{r \sim_{ACI} t}$$

quote $\text{type } re_{ACI} = \text{Prop } (Idl re_{ACI})$

datatype $Idl = \text{Prop } string \mid \text{And } Idl Idl \mid \text{Neg } Idl \mid \text{Match } (Idl re_{ACI})$

Interlude: Contribution

Identified sufficient conditions on
when quotients of BNFs are BNFs

Relevant for (co)datatypes, relational parametricity, refinement

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Automated BNF preservation
proofs via **lift_bnf** command in



Datatype recursion worries

Higher Order Logic Theorem Proving and its Applications (A-20)
L.J.M. Claesen and M.J.C. Gordon (Editors)
Elsevier Science Publishers B.V. (North-Holland)
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Why We Can't have SML Style datatype Declarations in HOL

Elsa L. Gunter

AT&T Bell Laboratories, Rm. #2A-432, Murray Hill, NJ, 07974-0636, USA

Unsupported recursive occurrence
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$C :: bad\ set \Rightarrow bad$ **injective**

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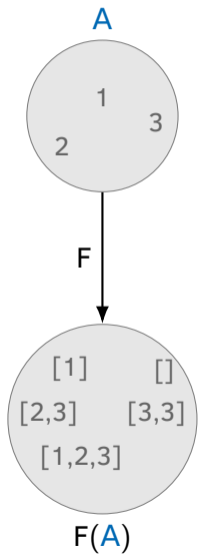
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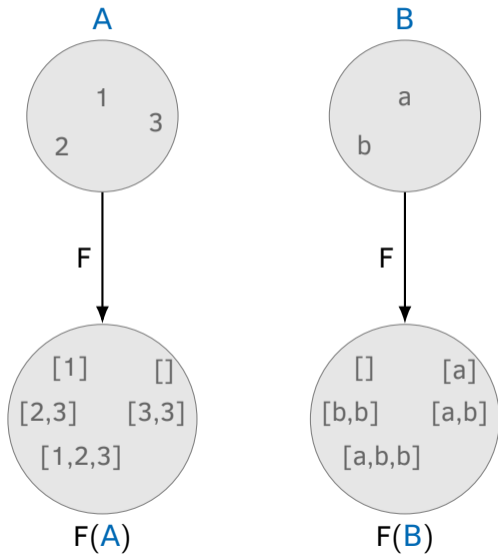


Datatypes may recurse only through BNFs

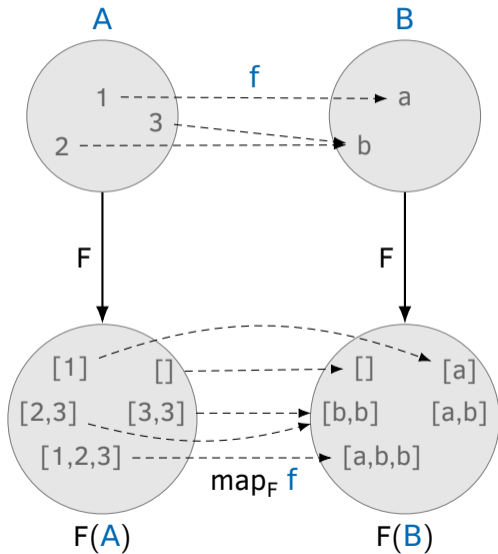
Bounded Natural Functors (BNF)



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Bounded Natural Functors (BNF)



Functor

$$\text{map}_F \text{id} = \text{id}$$

$$\text{map}_F g \circ \text{map}_F f = \text{map}_F (g \circ f)$$

Bounded Natural Functors (BNF)

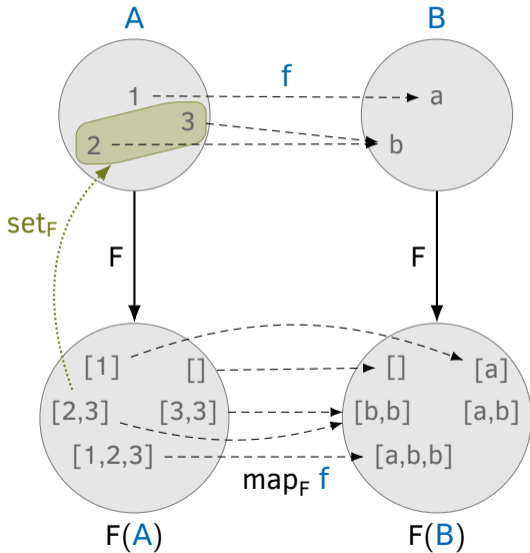
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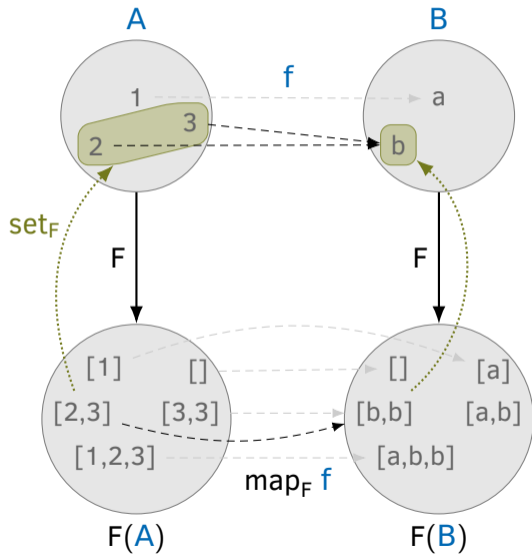
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Bound

$$|\text{set}_F x| < \aleph$$



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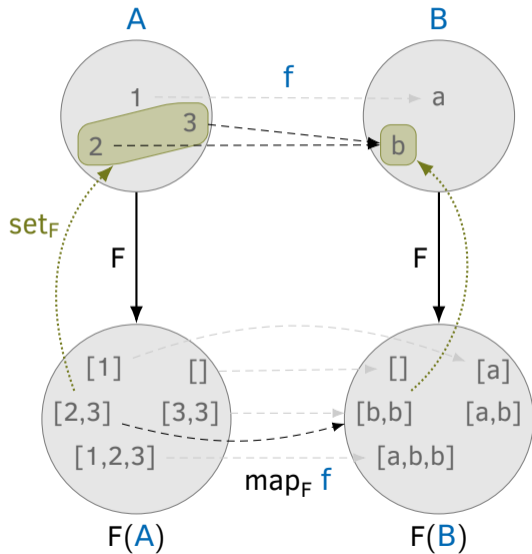
Natural

$$\text{set}_F (\text{map}_F f x) = f \langle \text{set}_F x \rangle$$

$$\forall x \in \text{set}_F x. f x = g x$$

$$\text{map}_F f x = \text{map}_F g x$$

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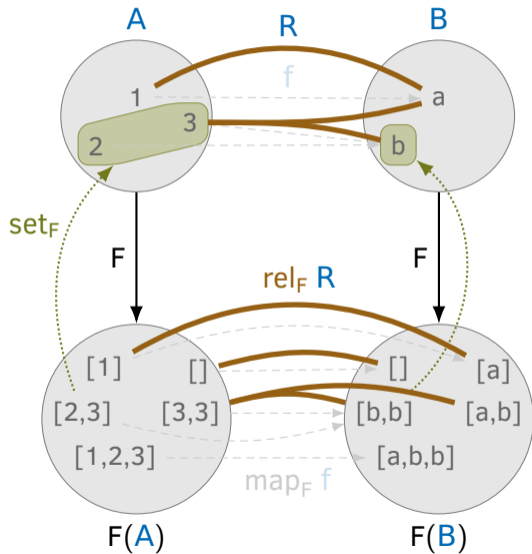
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Relator

$$(x, y) \in \text{rel}_F R = \exists z \in F(R). \text{map}_F \pi_1 z = x \wedge \text{map}_F \pi_2 z = y$$

$$\text{rel}_F R \bullet \text{rel}_F S = \text{rel}_F (R \bullet S)$$

Closure properties of BNF

Basic BNFs

$_ + _ \quad _ \times _$

$\tau \Rightarrow _$

$_ \text{set} \quad _ \Rightarrow \tau$

Non-BNFs

Closure properties of BNF

Basic BNFs

$_ + _ \quad _ \times _$

$\tau \Rightarrow _$

Derived BNFs

composition

unit + $_ \times _$

codatatypes

$_ \text{stream}$

datatypes

$_ \text{list}$

subtypes*

$_ \text{balanced-tree}$

$_ \text{set} \quad _ \Rightarrow \tau$

Non-BNFs

* Conditions apply.

Viewing re_{ACI} as a subtype

fun $nf_{ACI} :: a\ re \Rightarrow a\ re$ **where** ...

lemma $r \sim_{ACI} s \iff nf_{ACI}\ r = nf_{ACI}\ s$ *(proof)*

typedef $a\ re_{ACI} = \underbrace{\{nf_{ACI}\ r \mid r :: a\ re\}}_{NF}$ **by auto**

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lift_bnf $a\ re_{ACI}$

1. $s \in NF \longrightarrow \text{map}_{re}\ f\ s \in NF$
2. ...

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Quotients can be viewed as subtypes via representatives but we cannot lift the BNF structure along this view.

Quotients of Polynomial Functors

Data Types as Quotients of Polynomial Functors

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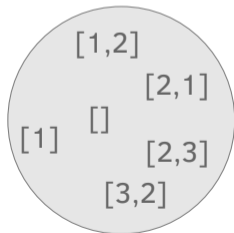
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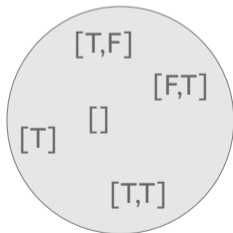
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Abstract

A broad class of data types, including arbitrary nestings of inductive types, coinductive types, and quotients, can be represented as quotients of polynomial functors. This provides perspicuous ways of constructing them and reasoning about them in an interactive theorem prover.



$F(A)$



$F(B)$

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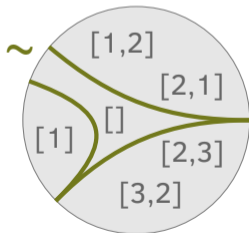
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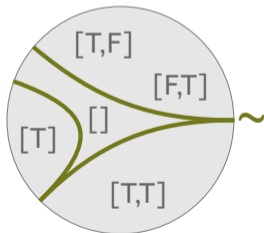
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$F(A)/\sim$



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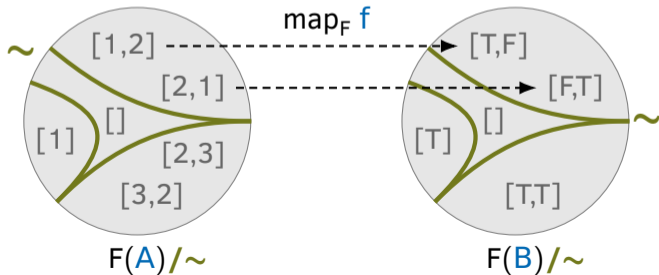
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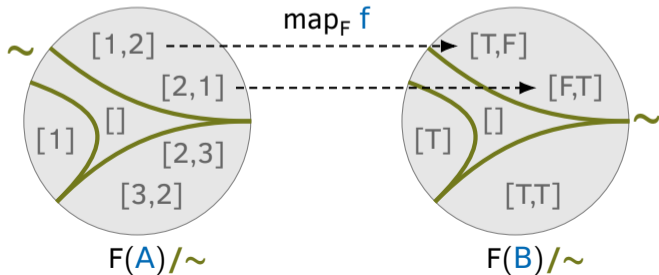
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$$x \sim y \longrightarrow \text{map}_F f x \sim \text{map}_F f y$$

$$x \sim y \longrightarrow \text{set}_F x = \text{set}_F y$$



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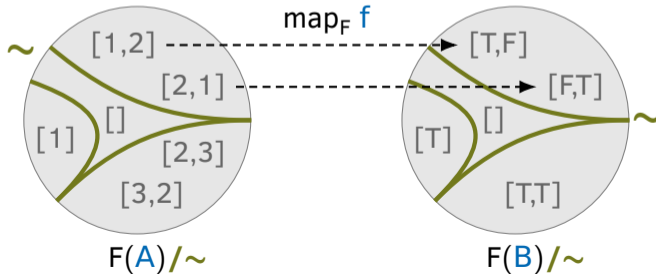
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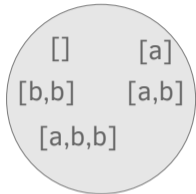
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\sim preserves wide intersections

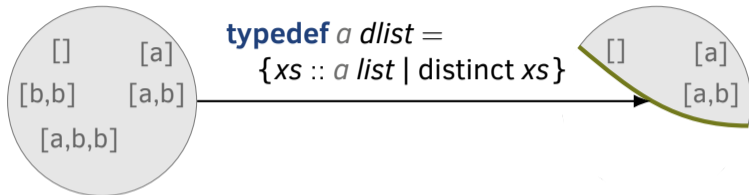
\sim preserves weak pullbacks



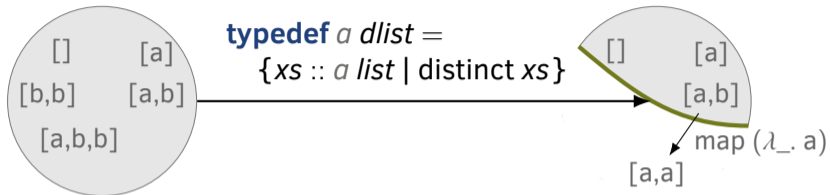
Distinct Lists



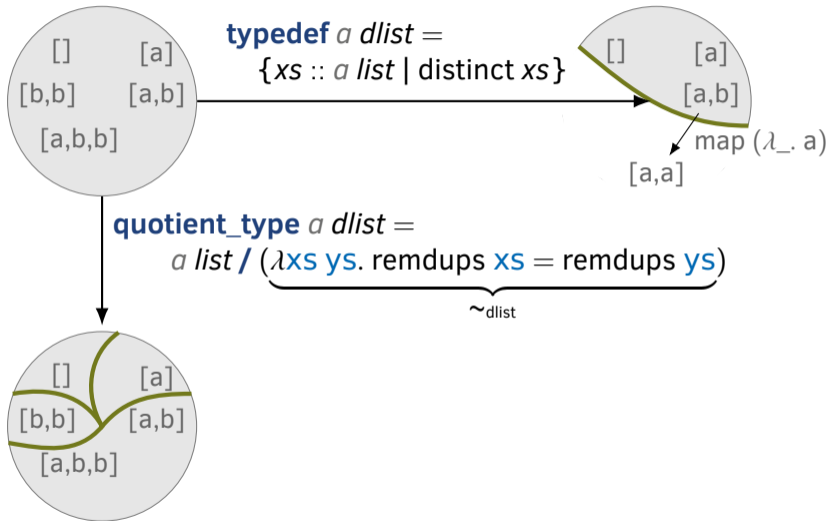
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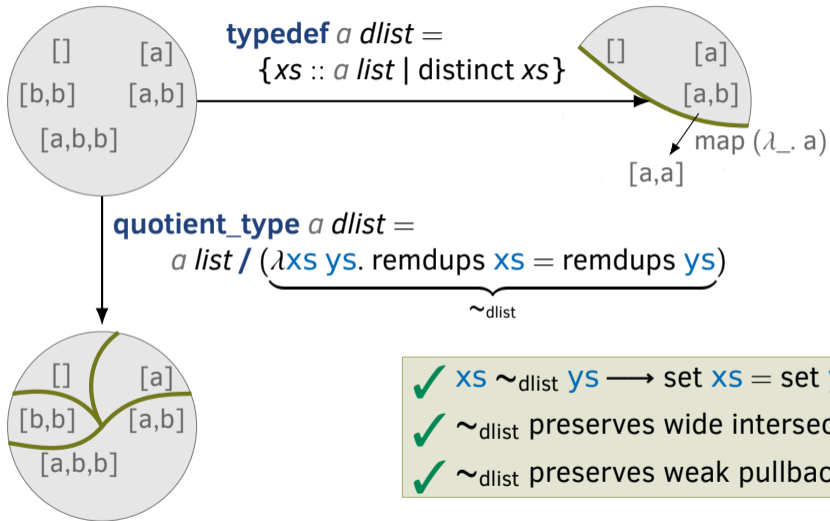
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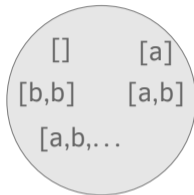


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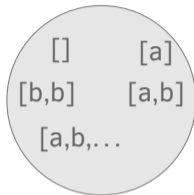
Terminated Lazy Lists

codatatype a *l*list = LNil | LCons a (a *l*list)

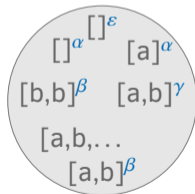


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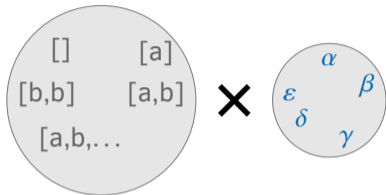


codatatype (a, b) *t*llist = TLNil b | TLCons a ((a, b) *t*llist)

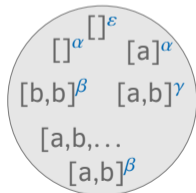


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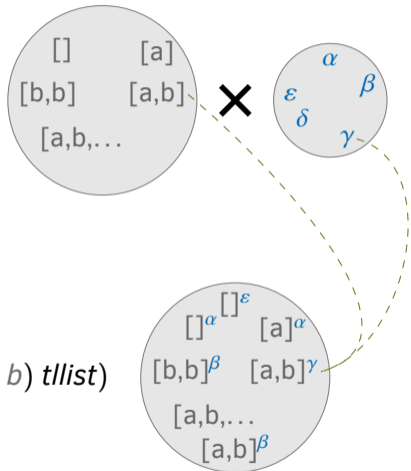
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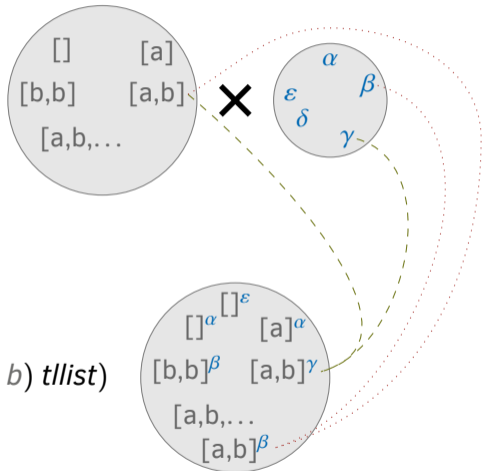
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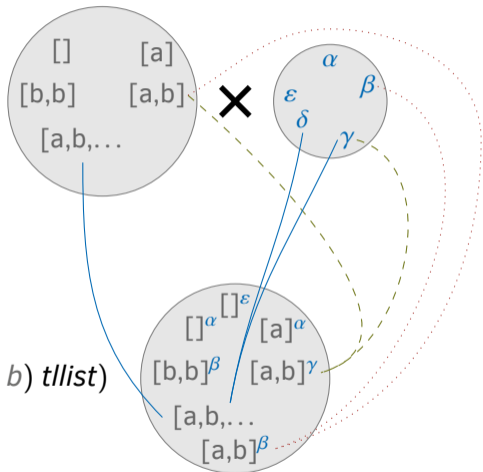
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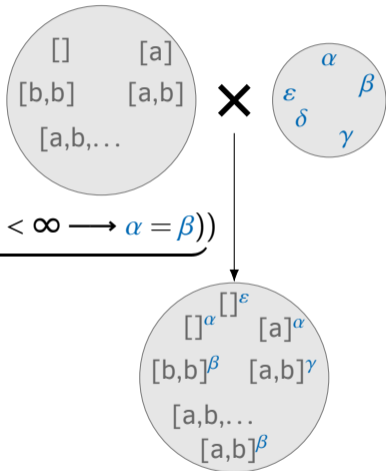
Terminated Lazy Lists

codatatype a *l*list = LNil | LCons a (a *l*list)

quotient_type (a, b) *t*list =

a *l*list \times b / $(\lambda(xS, \alpha) (yS, \beta). xS = yS \wedge (|xS| < \infty \longrightarrow \alpha = \beta))$

\sim_{tlist}



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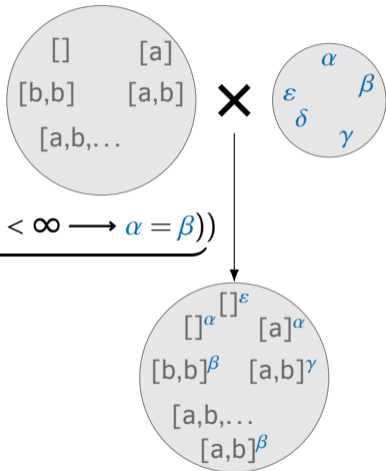
$$a \text{ llist} \times b / \underbrace{(\lambda(xs, \alpha) (ys, \beta). xs = ys \wedge (|xs| < \infty \longrightarrow \alpha = \beta))}_{\sim_{\text{tlist}}}$$

$$(xs, \alpha) \sim_{\text{tlist}} (ys, \beta) \longrightarrow \text{set}_{\text{llist}} xs = \text{set}_{\text{llist}} ys$$

$$(xs, \alpha) \sim_{\text{tlist}} (ys, \beta) \longrightarrow \{\alpha\} = \{\beta\}$$

\sim_{tlist} preserves wide intersections

\sim_{tlist} preserves weak pullbacks

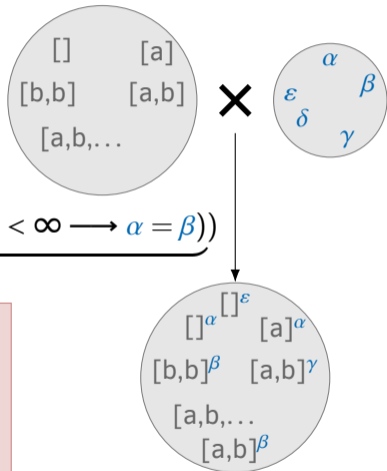


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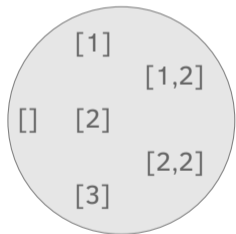
✓ $(xs, \alpha) \sim_{\text{tlist}} (ys, \beta) \longrightarrow \text{set}_{\text{llist}} xs = \text{set}_{\text{llist}} ys$

✗ $(xs, \alpha) \sim_{\text{tlist}} (ys, \beta) \longrightarrow \{\alpha\} = \{\beta\}$

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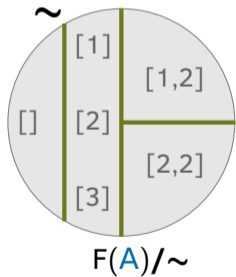
✓ \sim_{tlist} preserves weak pullbacks

How to correct?



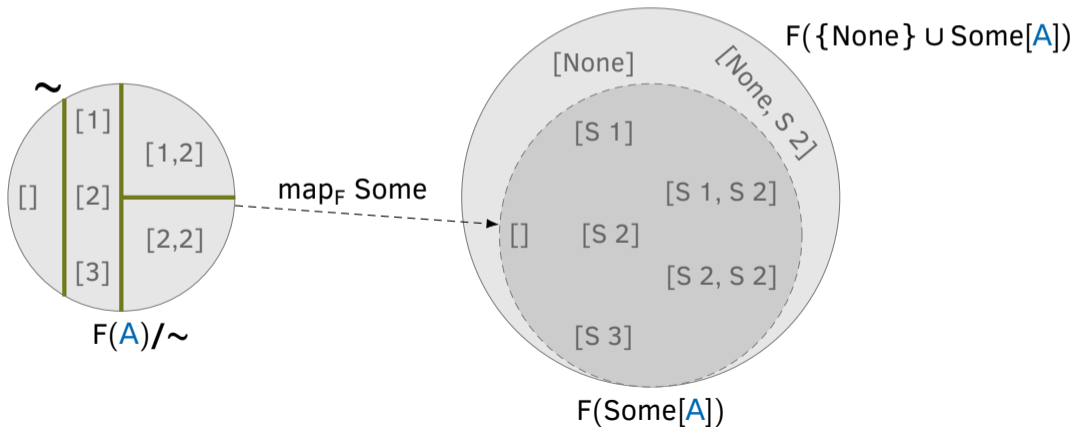
F(A)

How to correct?



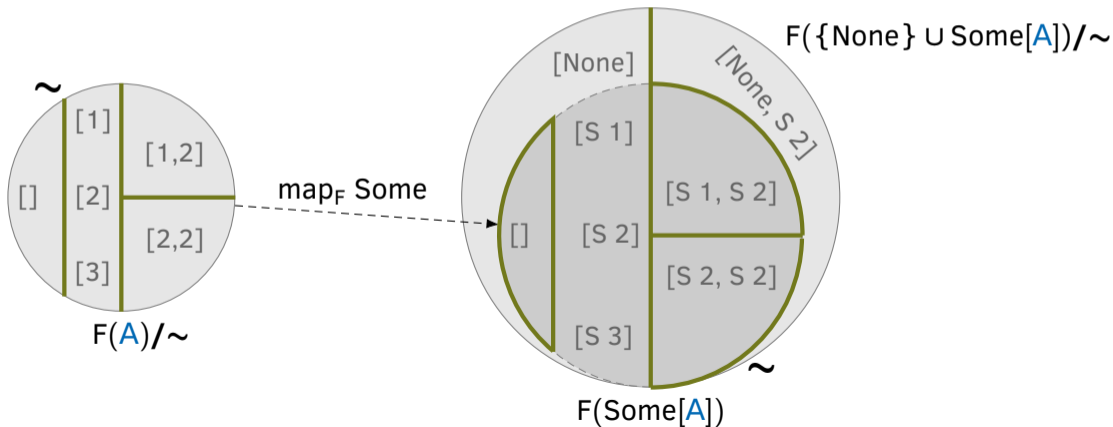
How to correct?

datatype *a option* = None | Some *a*



How to correct?

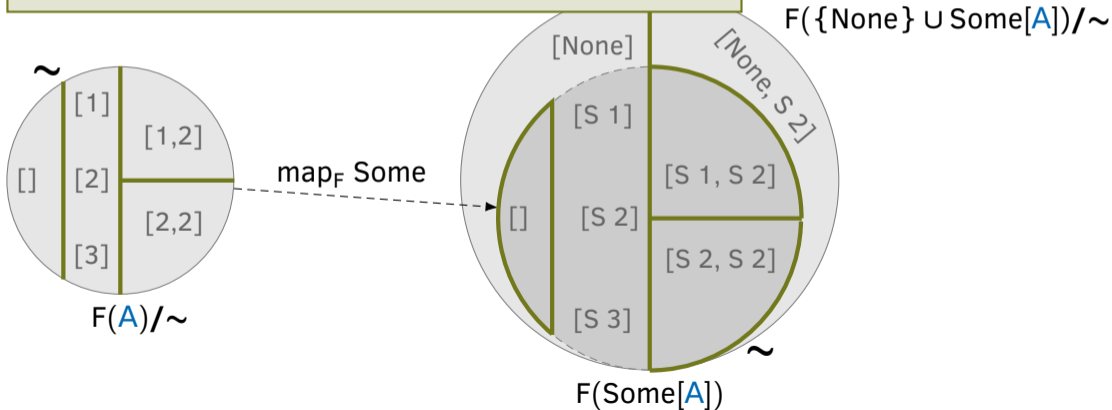
datatype a option = None | Some a



How to correct?

datatype *a option* = None | Some *a*

$$\text{set}_{F/\sim} [x]_{\sim} = \bigcap_{y \in [\text{map}_F \text{Some } x]_{\sim}} \{a. \text{Some } a \in \text{set}_F y\}$$



Preservation theorem

- BNF F with equivalence relation \sim

- \sim preserves wide intersections

$$\mathcal{A} \neq \{\} \wedge \bigcap \mathcal{A} \neq \{\} \longrightarrow \bigcap \{[A]_{\sim} \mid A \in F(\mathcal{A})\} \subseteq [\bigcap F(\mathcal{A})]_{\sim}$$

- \sim weakly preserve pullbacks

$$R \bullet S \neq \{\} \longrightarrow \text{rel}_F R \bullet \sim \bullet \text{rel}_F S \subseteq \sim \bullet \text{rel}_F (R \bullet S) \bullet \sim$$

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yields BNF for F/\sim

- $\text{map}_{F/\sim} f [x]_{\sim} = [\text{map}_F f x]_{\sim}$

- $\text{set}_{F/\sim} [x]_{\sim} = \bigcap_{y \in [\text{map}_F \text{Some } x]_{\sim}} \{a. \text{Some } a \in \text{set}_F y\}$

- $([x]_{\sim} [y]_{\sim}) \in \text{rel}_{F/\sim} R \iff (\text{map}_F \text{Some } x, \text{map}_F \text{Some } y) \in (\sim \bullet \text{rel}_F (\text{rel}_{\text{option}} R) \bullet \sim)$

lift_bnf in action

codatatype a *llist* = LNil | LCons a (a *llist*)

definition $\sim_{\text{tllist}} :: a \text{ llist} \times b \Rightarrow a \text{ llist} \times b \Rightarrow \text{bool}$ **where**
 $(xS, \alpha) \sim_{\text{tllist}} (yS, \beta) \iff xS = yS \wedge (|xS| < \infty \longrightarrow \alpha = \beta)$

quotient_type (a, b) *tllist* = $a \text{ llist} \times b / \sim_{\text{tllist}}$

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lift_bnf (a, b) *t*llist

1. $A \bullet A' \neq \perp \longrightarrow B \bullet B' \neq \perp \longrightarrow$
 $\text{rel}_x (\text{rel}_{\text{l}list} A) B \bullet \sim_{\text{tllist}} \bullet \text{rel}_x (\text{rel}_{\text{l}list} A') B' \leq \sim_{\text{tllist}} \bullet \text{rel}_x (\text{rel}_{\text{l}list} (A \bullet A')) (B \bullet B') \bullet \sim_{\text{tllist}}$
2. $S \neq \{\}$ $\longrightarrow \bigcap S \neq \{\}$ \longrightarrow
 $\bigcap_{A \in S} \{x. \exists y. y \sim_{\text{tllist}} x \wedge \text{set}_{\text{l}list} (\pi_1 y) \subseteq A\} \subseteq \{x. \exists y. y \sim_{\text{tllist}} x \wedge \text{set}_{\text{l}list} (\pi_1 y) \subseteq \bigcap S\}$
3. $S \neq \{\}$ $\longrightarrow \bigcap S \neq \{\}$ \longrightarrow
 $\bigcap_{A \in S} \{x. \exists y. y \sim_{\text{tllist}} x \wedge \pi_2 y \in A\} \subseteq \{x. \exists y. y \sim_{\text{tllist}} x \wedge \pi_2 y \in \bigcap S\}$

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subgoal by (*auto* 0 4 *simp*: $\sim_{\text{tllist_def}}$...)

subgoal by (*auto simp*: $\sim_{\text{tllist_def}}$)

subgoal by (*auto* 6 0 *simp*: $\sim_{\text{tllist_def}}$)

done

lift_bnf in action

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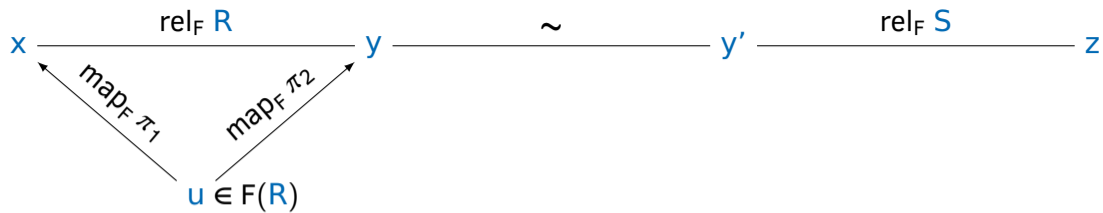
subgoal by (*auto simp*: $\sim_{\text{tllist_def}}$)

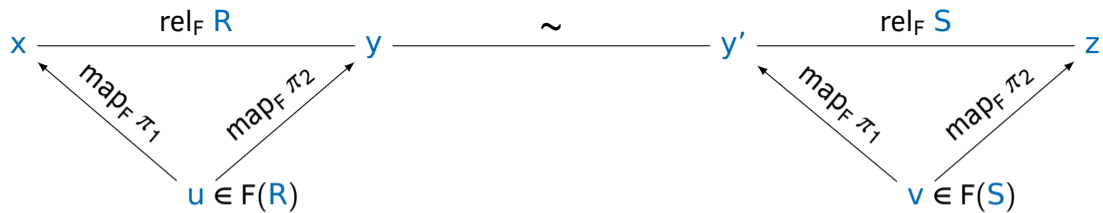
subgoal by (*auto* 6 0 *simp*: $\sim_{\text{tllist_def}}$)

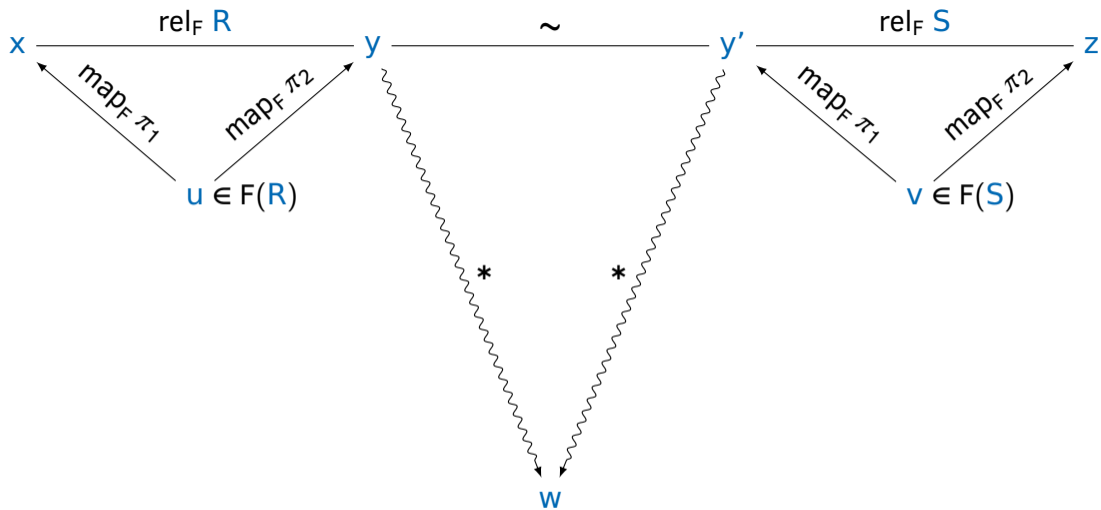
done

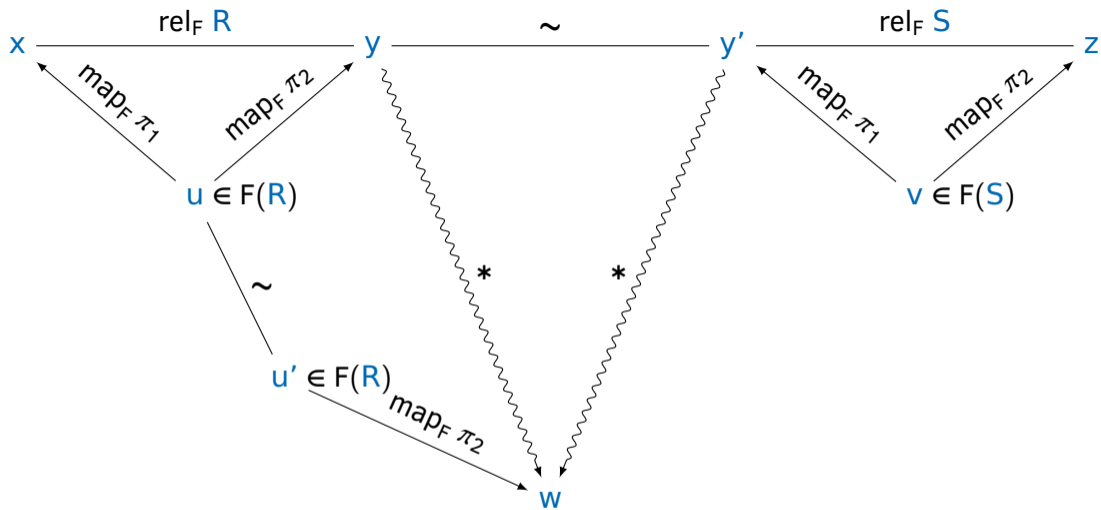
datatype *foo* = E | C ((*foo*, *foo*) *tllist*)

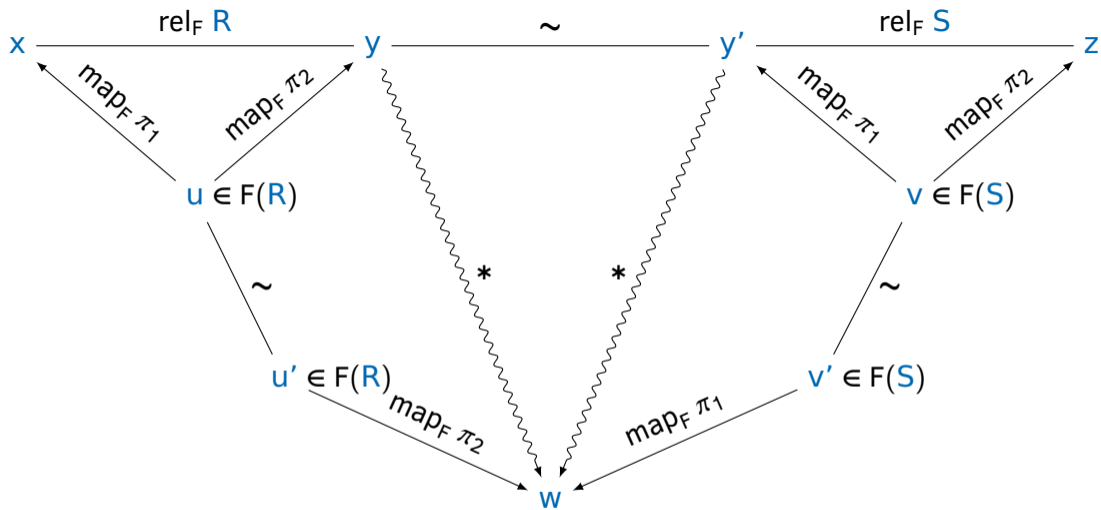
x $\xrightarrow{\text{rel}_F R}$ y $\xrightarrow{\sim}$ y' $\xrightarrow{\text{rel}_F S}$ z

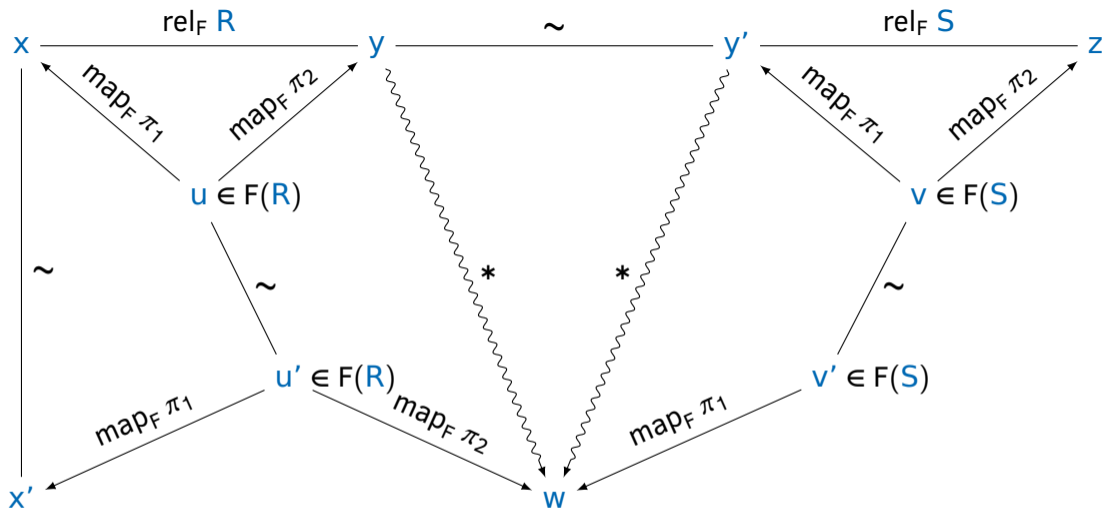


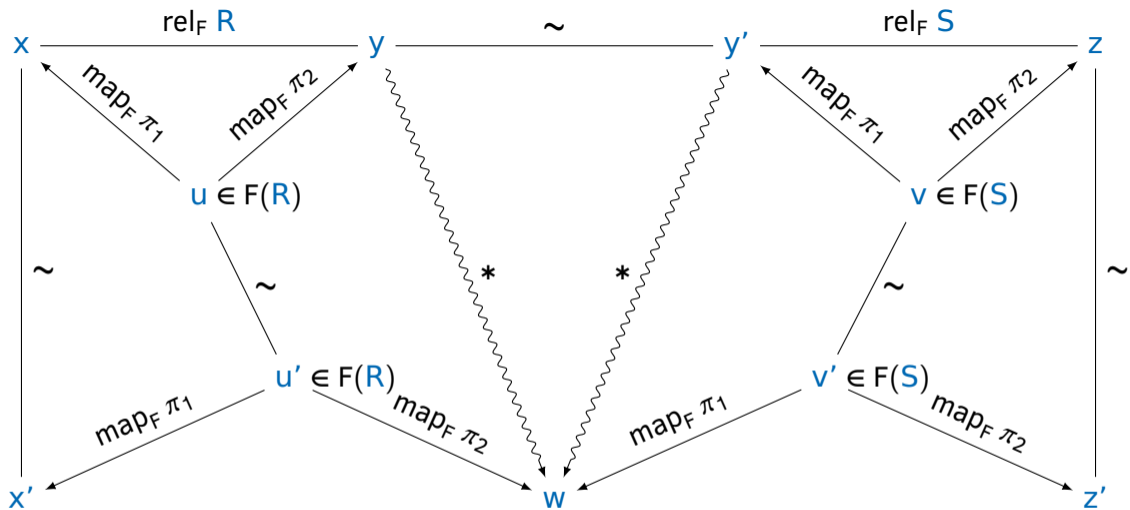


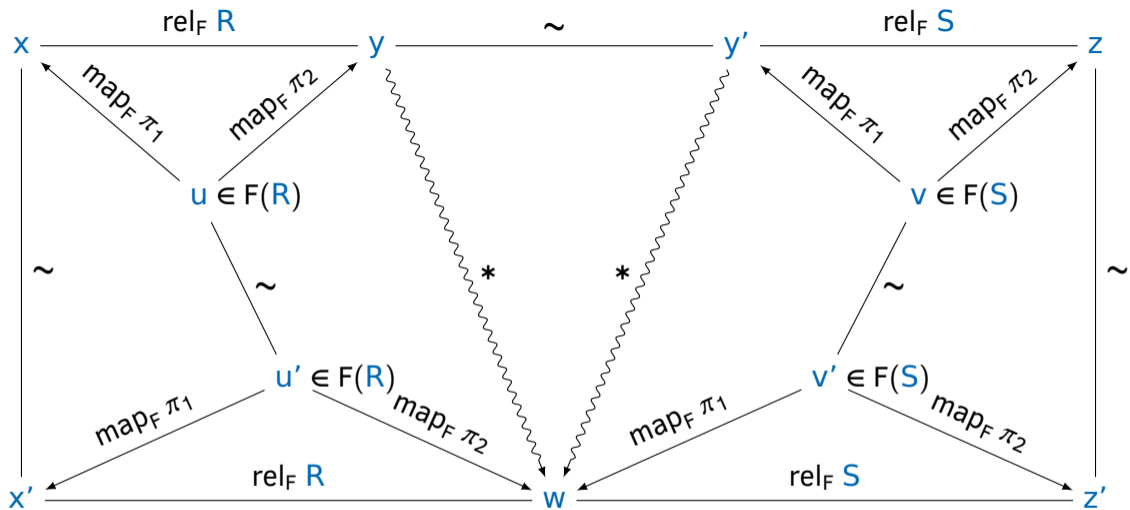


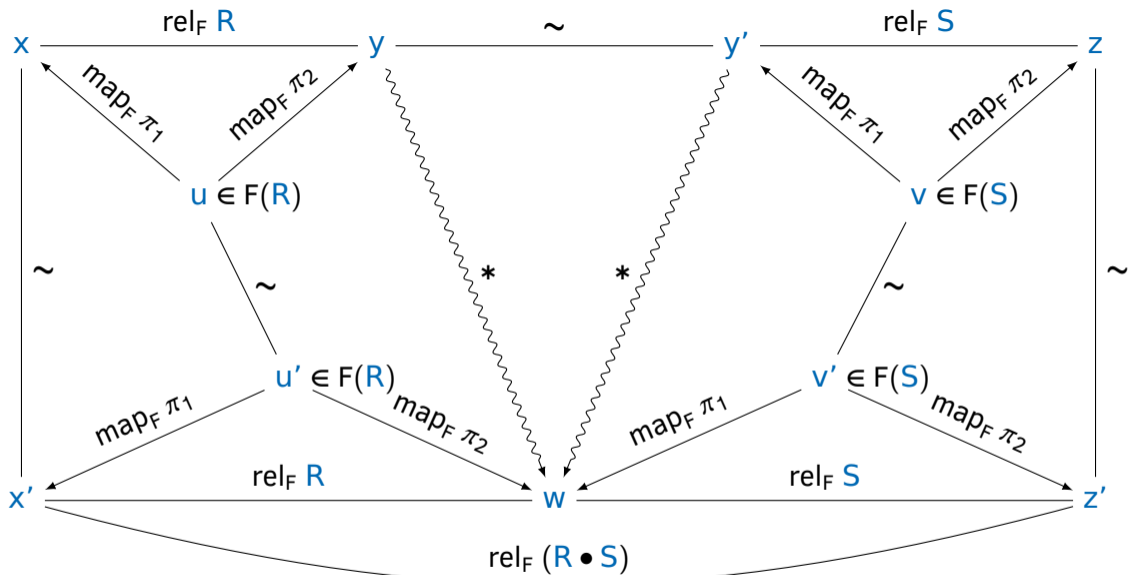












Subdistributivity via rewrite relation

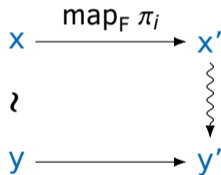
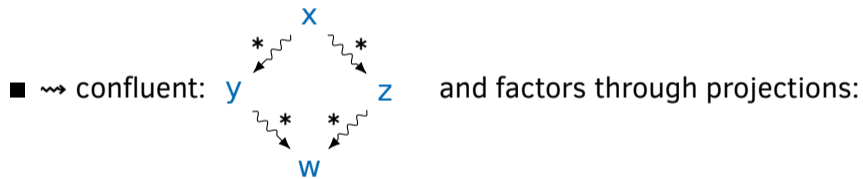
Sufficient conditions:

- BNF F with equivalence relation \sim
- $x \sim y \longrightarrow \text{map}_F f x \sim \text{map}_F f y \wedge \text{set}_F x = \text{set}_F y$

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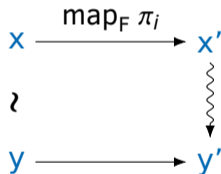
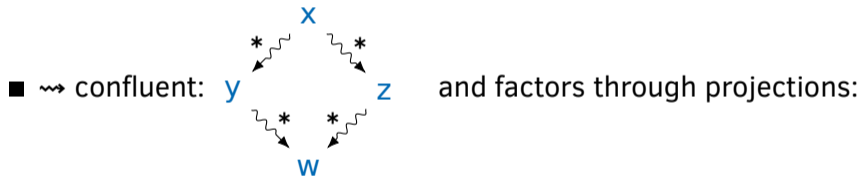
- BNF F with equivalence relation \sim
- $x \sim y \longrightarrow \text{map}_F f x \sim \text{map}_F f y \wedge \text{set}_F x = \text{set}_F y$
- Rewrite relation \rightsquigarrow over-approximates \sim



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- Rewrite relation \rightsquigarrow over-approximates \sim



Distinct lists:

$$xs \cdot ys \rightsquigarrow xs \cdot [x] \cdot ys \quad \text{if} \quad x \in ys$$

Proof effort: 50% shorter (58 instead of 126 lines)

are_{ACI} is a BNF

inductive \rightsquigarrow_{ACI} **where**

$$\frac{r \rightsquigarrow_{ACI} r' \quad s \rightsquigarrow_{ACI} s'}{\text{Alt } rs \rightsquigarrow_{ACI} \text{Alt } r' s'}$$

$$\frac{r \rightsquigarrow_{ACI} r' \quad s \rightsquigarrow_{ACI} s'}{\text{Conc } rs \rightsquigarrow_{ACI} \text{Conc } r' s'}$$

$$\frac{r \rightsquigarrow_{ACI} r'}{\text{Star } r \rightsquigarrow_{ACI} \text{Star } r'}$$

$$r \rightsquigarrow_{ACI} r$$

$$r \rightsquigarrow_{ACI} \text{Alt } r r$$

$$\text{Alt } rs \rightsquigarrow_{ACI} \text{Alt } sr$$

$$\text{Alt } (\text{Alt } rs) t \rightsquigarrow_{ACI} \text{Alt } r (\text{Alt } st)$$

$$\text{Alt } r (\text{Alt } st) \rightsquigarrow_{ACI} \text{Alt } (\text{Alt } rs) t$$

$a\ re_{ACI}$ is a BNF

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$$\blacksquare (\rightsquigarrow_{ACI} \cup \rightsquigarrow_{ACI}^{-1})^* = (\sim_{ACI})$$

$$\blacksquare \rightsquigarrow_{ACI} \text{ is confluent}$$

$$\blacksquare \text{map}_{re} \pi_1 r \rightsquigarrow_{ACI} s \longrightarrow \exists t. t \sim_{ACI} r \wedge s = \text{map}_{re} \pi_1 t$$

$$\blacksquare \text{map}_{re} \pi_2 r \rightsquigarrow_{ACI} s \longrightarrow \exists t. t \sim_{ACI} r \wedge s = \text{map}_{re} \pi_2 t$$

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lift_bnf $a\ re_{ACI}$ *<proof>*

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lift_bnf $a re_{ACI}$ *<proof>*

datatype Idl = Prop *string* | And Idl Idl | Neg Idl
| Match (Idl re_{ACI})

Epilogue

lift_bnf

- part of Isabelle2020
- 1600 lines of Isabelle/ML
- generation of transfer rules

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- terms modulo α -equivalence
[Blanchette, Gheri, Popescu, T., POPL'19]
- signed multisets
[Blanchette, Fleury, T., FSCD'16]

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Future Work

- partial quotients
- generalizations of BNFs
[L., S., ITP'18]
[Blanchette, Gheri, Popescu, T., POPL'19]

Quotients of Bounded Natural Functors

Basil Fürer



Andreas Lochbihler



Joshua Schneider

Dmitriy Traytel

merci!
questions?